Simple Contextual Information-Flow Control with Effects

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Pure functional languages have the particularity of being able to provide information-flow security (IFC) as a library. These libraries (e.g., LIO, MAC, HLIO, etc.) use monads as their main abstraction for enforcing security. While sound, such a design decision can introduce restrictions at the time of programming that need to be solved by adding extra primitives, e.g., to support a functor or applicative-like functor structure when handling sensitive values. We argue that such approaches are unnecessarily conservative mainly because of the use of *a single security label* to secure both aspects of a monadic computation, namely its effects and result.

In this work, we explore a different point in the design space for IFC in pure languages. We present coIFC, a novel domain-specific language where IFC is tracked differently for the effect-free and effectful components. This language uses modalities for protecting the effect-free part of our language, and a graded monad for effectful components. The monad does not enforce security per se, but only collects the labels of the potential observers of the computation's effects. coIFC reduces the security of effectful IFC to a *single point* in the language, thus giving us clarity and simplicity in the design and implementation.

We provide a Haskell library implementing coIFC in less than 10 lines of code for the effect-free fragment and less than 30 for the effectful part. We demonstrate that our library is capable to encode previous libraries that enforce IFC statically. We also show that providing a functor or applicative-functor structure for sensitive values is a derived operation in our language. All of our security guarantees are mechanized in the Agda proof assistant.

Additional Key Words and Phrases: Modalities, Graded Monads, Information-Flow Control, Security Library, Haskell

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1 INTRODUCTION

Information-flow control [17, 38] (IFC) is a promising technology to protect data confidentiality. Many IFC approaches are designed to prevent sensitive data from influencing what attackers can observe from a program's public behavior—a security policy known as non-interference [14]. In the past years, the use of pure functional languages for tackling IFC challenges and answering research questions has been proliferating, e.g., [31, 33, 34, 49]. From the practical point of view, pure functional languages can provide IFC security via libraries [24, 37, 42]—which is a minor task when compared to building compilers or interpreters from scratch [9, 16, 28, 41]. Some of these security libraries have demonstrated to be capable of building practical secure systems [13, 31].

The foundational work on the Dependency Core Calculus [1] (DCC) positions monads as a suitable abstraction for enforcing IFC on the simply-typed lambda calculus; and there are several implementations of DCC's ideas in Haskell [3, 4, 37, 44]—a language known to embrace monadic domain-specific languages. Intuitively, DCC implements security through a family *T* of monads indexed by security labels. The type T_{ℓ} *a* denotes data of type *a* which is only accessible to observers

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who have the privilege of reading data at least as sensitive as the security label ℓ . This idea naturally extends to secure effects which can be represented in a pure way (like state or exceptions), but it becomes less evident how to use it to secure arbitrary effects, e.g., like those provided by the opaque *IO*-monad. Hirsch and Cecchetti [19] describe a translation from a version of DCC with a *program counter* [11]—a label used to rule out data leakage arising from effects in imperative languages—to an effect-free setting based on *productors* [43].

Other monadic approaches to IFC adopt principles from operating systems [6] to provide security 56 57 in pure functional languages. For instance, Russo [36] introduces a family MAC of monads indexed by security labels. The type MAC ℓ a represents an effectful computation where the label ℓ indicates 58 that the result of type a is only accessible to observers who have the privilege of reading data at 59 least as sensitive as ℓ -analogously to DCC. However, the label ℓ is also used to restrict leakeage 60 through effects. Specifically, a computation of type MAC ℓ a can only read sources labeled ℓ_i if 61 they are at most as sensitive as ℓ (no-read-up principle). Similarly, a computation of type MAC ℓ a 62 can only write to sinks labeled ℓ_0 if they are at least as sensitive as ℓ (no-write-down principle). The 63 choice of using a single label to restrict both aspects of a computation, i.e., both its effects and result, 64 is exhibited by a number of IFC libraries, e.g., SecLib [37], LIO [42], HLIO[10], LWeb [31], and Lifty 65 [33]. While sound, such a design decision imposes constraints at the time of programming that 66 need to be resolved by adding more primitives. For instance, the work by Vassena et al. [46] adds a 67 functor and applicative-like structure to sensitive values handled by MAC, which took the authors 68 to re-do the security proofs from previous work [47]. 69

This work explores a different point in the design space of enforcing IFC in pure languages. 70 We design a security library that is simple, sound, and implementable in a way that *each security* 71 label talks only about a single aspect of the computation, i.e., either a value like an integer or a 72 boolean, or an effect like writing to a file. For that we have taken inspiration from work on IFC 73 for the simply-typed lambda calculus using modalities [26, 40] and other contextual approaches 74 like coeffects [12]. It is known that approaches based on modalities and coeffects are challenging 75 to implement as a library since they are likely to require access to contextual information that is 76 usually not available to the library implementer, e.g., which variables are currently in scope. This 77 work shows how to overcome this limitation in the IFC setting. 78

This article introduces coIFC, a language where IFC is tracked differently for the effect-free 79 and effectful components. By construction, coIFC separates effect-free and effectful terms and 80 each label talks only about a single aspect of the computation. For the effect-free part we utilize 81 a family *Labeled* of modal types. The type *Labeled* τ makes sure that the value of type τ is only 82 accessible to observers who have the privilege of reading data at least as sensitive as ℓ . We stress that 83 Labeled_{ℓ} τ is a modal type rather than a monadic one and that implies having a different *interface* 84 to manipulate sensitive values. We later show that the modal operators for protecting or inspecting 85 sensitive values are flexible enough to encode DCC; and we provide a Haskell implementation that 86 corroborates it. 87

For representing computations coIFC uses a graded monad $Ef_{\ell_s} \tau$ which tracks in its type a set 88 of security labels *ls*. The monad does *not* enforce security however, it only collects the labels of 89 the potential observers of the computation's effects. For instance, when the effect is printing the 90 set contains the labels of the channels the computation writes to; when the effect is writing to 91 memory it accounts for the security labels of the memory cells the computation writes to. In pure 92 languages-like Haskell-there is a strict separation between the time a computation is built and 93 when it is executed. Enforcing security amounts to only allow computations to run whose effects 94 do not violate the security policy. 95

In this work, we reduce the problem of ensuring that an effectful sensitive computation does not leak through effects to simply checking that the computation's label is compatible with the

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effects' label. To illustrate this point, the type $Labeled_{\ell}$ ($Eff_{\ell s}$ Unit) denotes a program which builds an effectful computation depending on sensitive information at level ℓ . A program with this type, if naively allowed to execute, could leak information marked with sensitivity ℓ into public sinks if ℓs contains the label of a less sensitive observer than ℓ . To enforce security, we could forbid running any program of type $Labeled_{\ell}$ ($Eff_{\ell s} \tau$), but this would be overly restrictive. Instead, if every label in ℓs is at least as sensitive as ℓ then we definitely know that the effect will not leak; and allowing the

program to run is safe. The relation between the sensitivity of the data as indicated by the modality $Labeled_{\ell} \tau$ and of the effects' observers is the key and the design of coIFC takes full advantage of it. Thus, coIFC reduces the security of effectful IFC to a single point in the language thereby giving us clarity and simplicity in the design and implementation.

Along with our informal argumentation for why coIFC is secure, we have mechanized proofs in the Agda proof assistant about the strong security guarantees that the programs in the language satisfy, namely termination-insensitive non-interference (TINI). Our proofs are based on the technique of logical relations and consist of around 1500 lines of Agda code.

Finally, we present an implementation of coIFC as a Haskell library. The conciseness of our implementation illustrates the elegance and simplicity of our approach: less than 10 lines of code for the effect-free fragment and less than 30 for the effectful part. Our library is at least as expressive as previous work on libraries for IFC in Haskell. We show implementations of SecLib [37], DCC [1] (in its alternative presentation SDCC [3]) and MAC [36]) in terms of coIFC's interface. Furthermore, in order to implement our IFC modal operators as a library, we use a novel encoding of contextual information as capabilities via higher-rank polymorphism [22].

The summary of the technical contributions of this paper are:

► A language design where effect-free parts are protected by modal types (Section 2).

► A language design where effectful components are modelled by a graded monad. We show how to handle both write (Section 3) and read effects (Section 4). Further, we present a single primitive capable of enforcing security in effectful computations (Section 3).

▶ Security gurantees and proofs of TINI based on logical relations (Section 5).

A Haskell implementation of coIFC using a novel encoding of contextual information as capabilities together with evidence that coIFC can encode existing monadic security libraries as well as derive operations for a flexible treatment of sensitive values (Section 6).

Mechanized proofs of all our security guarantees (approx. 1500 lines of Agda code submitted as
 accompanying material).

2 EFFECT-FREE LANGUAGE

In this section we present λ -coIFC, an effect-free language with a modal type-system for informationflow control. We split the presentation in two parts; a base programming language which is a variant of the STLC with call-by-name semantics; and a security type-system that enforces IFC. This incremental presentation arises from the consideration that programs already make sense (semantically speaking) on their own before enforcing security. This statement is specially true for static IFC enforcements, since all the relevant security type annotations and security checks *should not* have any runtime impact on programs.

The effect-free fragment of the language is a non-strict variant of the sealing calculus [40]. For simplicity, we consider *Bool* and *Unit* as the only ground types. The base language, STLC, is given by the set of types, typing contexts, well-typed terms and a small-step reduction relation which explains the operational behavior of programs—see Figure 1. The typing judgements and semantics for STLC are well understood and therefore we omit their explanation here. Instead, we focus on the IFC security type-system.

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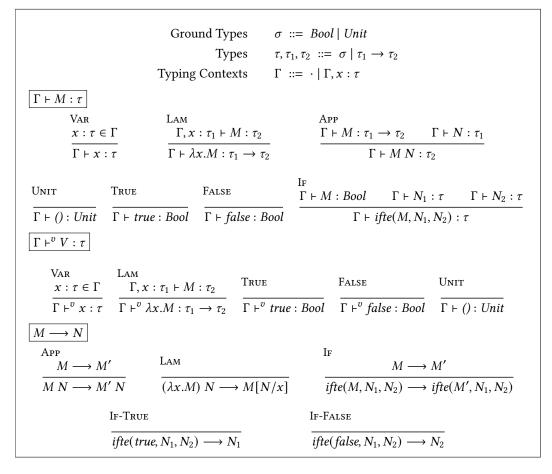


Fig. 1. Types, terms and small-step operational semantics for STLC (excerpts).

In the rest of this document, we assume *L* to be a set of security labels equipped with a preorder structure $\mathcal{L} = (L, \Box)$; we also denote concrete labels using letters ℓ, ℓ' , etc.

 λ -coIFC enforces IFC through a type discipline. To introduce the type-system, we start by defining the grammar for security types τ^s and a relation $[\![\tau^s]\!] = \tau$ to be read as τ^s refines type τ with a security annotation—see Figure 2. The security types reflect those in the base language except for a new type former, *Labeled*, which serves to annotate a piece of a program with a security label. *Labeled* takes two arguments, a security label ℓ from *L* and a security type τ^s .

Further, in Figure 2 we define the typing derivations for the security IFC type-system where the typing rules are defined over well-typed terms in the base language. Judgements in the security type-system are of the form π ; $\Gamma^s \vdash^s M^s : \tau^s$, where $[\![\tau^s]\!] = \tau$ and $[\![\Gamma^s]\!] = \Gamma$ —we ignore π for the moment. It is necessary that M is a well-typed term in the base calculus, i.e., $\Gamma \vdash M : \tau$ holds.

For easier reading of examples, we decorate rules [LABEL] and [UNLABEL] with terms, and interchangeably use M to refer both to the underlying term without decorations, i.e., $\Gamma \vdash M : \tau$, and the typing derivation, i.e., π ; $\Gamma^s \vdash^s M^s : \tau^s$. Further, in the latter we usually omit the ^s superscript in the typing contexts and types when it is evident from the context.

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The component π of the security typing judgement is a finite set of security labels, drawn from L, 197 i.e., $\pi \subseteq_{fin} L$, and it represents the security labels of all the data that the term can depend upon. The 198 set of labels indexing the context are analogous protections contexts from previous work [40, 44]. 199 To illustrate the intuition behind π , we assume the classical two-point lattice for IFC as a preorder, 200 i.e., $\mathcal{LH} = (\{L, H\}, \sqsubseteq_{\mathcal{LH}})$ where $H \not\sqsubseteq_{\mathcal{LH}} L$ is the only disallowed flow. In this scenario, $\pi \stackrel{\text{\tiny def}}{=} \{H\}$ 201 means that the program can unlabel, i.e., can depend on, terms tagged with security type $Labeled_{i}$ 202 203 or Labeled_H τ . Instead, if $\pi \stackrel{\text{def}}{=} \{L\}$, then only terms of type Labeled_L τ can be unlabeled, ensuring 204 the flows of information are secure, i.e., they follow the policy ascribed by \mathcal{LH} . Labels in π act as a 205 kind of *type-level key* whose possession permits access to sensitive information.

The IFC typing rules for the STLC fragment are rather standard: they simply propagate the set of labels π to their premises, e.g., rules [APP], [LAM] and [IF]. Observe, that using rule [IF] to branch on a secret boolean requires the secret to be explicitly unlabeled to be of the correct type, i.e., *Bool^s*. Rules [UNLABEL] and [LABEL] are the most interesting ones since they are responsible for enforcing that information flows to the appropriate places. These are the only rules which interact in a non-trivial way with the set of security labels π .

212 The rule [UNLABEL] allows unlabeling a term with security type Labeled_t τ if π contains a security 213 label which is compatible with the label ℓ in the term's type. Compatible means the policy allows 214 the flow of information. Formally, this is encoded by the relation $\pi \geq \ell \stackrel{\text{\tiny def}}{=} \exists \ell_H. \ \ell_H \in \pi \land \ell \sqsubseteq \ell_H$, 215 which we read as π covers ℓ . Intuitively, a key ℓ_H can be used to open a term of type Labeled_{ℓ} τ if 216 information with sensitivity ℓ can flow into entities with sensitivity ℓ_H , which the security policy 217 allows just in case $\ell \subseteq \ell_H$. If we were to assume a lattice structure on L, then, the only possible 218 readers of a term typed with a set of labels π would be any ℓ that is at least as sensitive as *the least* 219 upper bound of all the labels in π , i.e., $\sqcup(\pi) \sqsubseteq \ell^1$. 220

The rule [LABEL] serves a double purpose: it marks terms as being of Labeled, τ type; and it 221 extends the set of security labels in the premise with label ℓ . This means that the derivation of the 222 premise can utilize the rule [UNLABEL] on any label that can flow to ℓ . From the IFC perspective, 223 [LABEL] is responsible to make sure that M is built from values of at most sensitivity ℓ or any other 224 label $\ell' \in \pi$. The set π in the judgement π ; $\Gamma \vdash^s M : \tau$ represents an over-approximation on the 225 number of enclosing *label*-terms to *M*. In case that the set is initially non-empty, then the term 226 can depend on information that can flow to any of those levels, even containing sub-terms whose 227 evaluation cannot be observed. Yet those sub-terms cannot influence the observable behaviour of 228 the program. 229

As an example, consider a two-point preorder with labels ℓ_1 and ℓ_2 such that the only permitted flows are the reflexive ones, i.e., $\ell_1 \subseteq \ell_1$ and $\ell_2 \subseteq \ell_2$. A program $\cdot \vdash M : Bool \rightarrow Bool$ typed in the security type system with $\{\ell_1\}$; $\cdot \vdash^s M : Labeled_{\ell_1} Bool^s \rightarrow^s Bool^s$ may use the rule [UNLABEL] to make use of its argument to influence the result, e.g., it could be the identity function. On the other hand, if instead the term is typed as $\{\ell_1\}$; $\cdot \vdash^s M : Labeled_{\ell_2} Bool^s \rightarrow^s Bool^s$ then we are guaranteed that the resulting *Bool* cannot be influenced by the argument, i.e., it is equivalent to a constant program.

3 EFFECTFUL LANGUAGE WITH PRINTING EFFECTS

To secure effects in a language, it is enough to classify them as read and/or write effect and enforce the corresponding security checks—an insight that comes from operating systems research [6, 52] and has been recently adopted by security IFC libraries (e.g., [10, 36, 42]). In this light, we follow the same approach and start describing how to incorporate *write-effects* to λ -coIFC.

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¹The join operator \sqcup is lifted to sets in the usual manner.

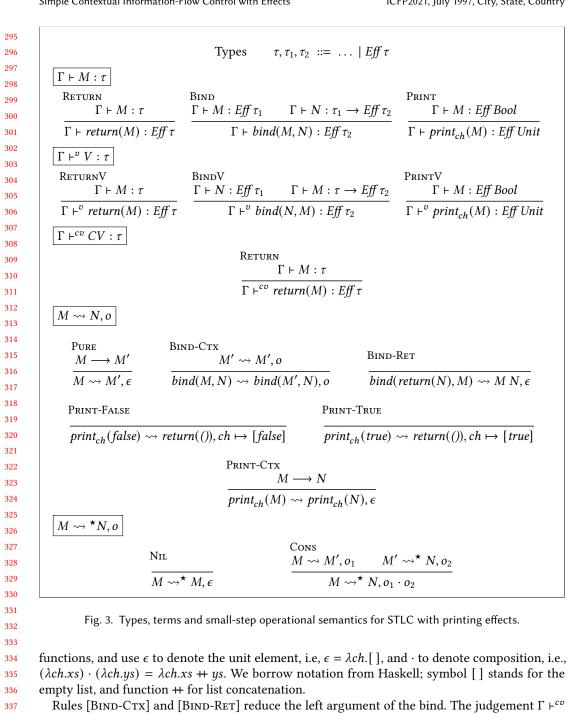
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Annotation relation Security types $\llbracket Unit^s \rrbracket = Unit$ Ground Types $\sigma^s ::= Bool^s \mid Unit^s$ $[Bool^s] = Bool$ Types $\tau^s, \tau_1^s, \tau_2^s ::= \sigma^s \mid \tau_1^s \to \tau_2^s$ $\llbracket \tau_1^s \to {}^s \tau_2^s \rrbracket = \llbracket \tau_1^s \rrbracket \to \llbracket \tau_2^s \rrbracket$ | Labeled_e τ^{s} $\llbracket Labeled_{\ell} \tau^{s} \rrbracket = \llbracket \tau^{s} \rrbracket$ Typing Contexts $\Gamma^s ::= \cdot | \Gamma^s . x : \tau^s$ $\llbracket \cdot \rrbracket = \cdot$ $\llbracket \Gamma^{s}, x : \tau^{s} \rrbracket = \llbracket \Gamma^{s} \rrbracket, x : \llbracket \tau^{s} \rrbracket$ Typing rules π ; $\Gamma^{s} \vdash^{s} M^{s} : \tau^{s}$ given $\llbracket \Gamma^{s} \rrbracket \vdash M : \llbracket \tau^{s} \rrbracket$ VAR $\frac{x:\tau\in\Gamma}{\pi\;;\;\Gamma\vdash^{s}x:\tau} \qquad \frac{\pi\;;\;\Gamma,x:\tau_{1}\vdash^{s}M:\tau_{2}}{\pi\;;\;\Gamma\vdash^{s}\lambda x.M:\tau_{1}\rightarrow^{s}\tau_{2}} \qquad \frac{\pi\;;\;\Gamma\vdash^{s}M:\tau_{1}\rightarrow^{s}\tau_{2}}{\pi\;;\;\Gamma\vdash^{s}MN:\tau_{2}}$ Unit $\overline{\pi ; \Gamma \vdash^{s} () : Unit^{s}} \qquad \overline{\pi ; \Gamma \vdash^{s} true : Bool^{s}}$ $\pi ; \Gamma \vdash^{s} false : Bool^{s}$ $\pi ; \Gamma \vdash^{s} M : Bool^{s} \qquad \pi ; \Gamma \vdash^{s} N_{1} : \tau \qquad \pi ; \Gamma \vdash^{s} N_{2} : \tau$ $\pi : \Gamma \vdash^{s} ifte(M, N_1, N_2) : \tau$ LABEL UNLABEL $\pi \; ; \; \Gamma \vdash^{s} M : Labeled_{\ell} \; \tau \qquad \pi \geq \ell$ $\frac{\pi \cup \{\ell\}; \Gamma \vdash^{s} M : \tau}{\pi; \Gamma \vdash^{s} label_{\ell}(M) : Labeled_{\ell} \tau}$ $\pi: \Gamma \vdash^{s} unlabel_{\ell}(M): \tau$

Fig. 2. Security type-system for λ -coIFC.

As in the previous section, we first introduce the syntax, typing rules, and semantics of the underlying language. As expected in a pure setting, programs which might produce effects, hereafter computations, are given a specific type former which enjoys a monadic structure [27]. In our case, $Eff \tau$ types computations that when executed on top of possibly producing effects also return a result of type τ . Figure 3 presents the extension to STLC which allows programs to perform printing effects via a primitive *print* (rule [PRINT]). Note that *print* is indexed by a channel and the channel is static information, i.e., there are a number of fixed channels and these are statically known. This permits linking a channel to the security label of the observers on that channel. The typing rules of the standard monadic operations, *return* and *bind*, are as expected—see rules [RETURN] and [BIND].

A monadic reduction relation specifies the operational semantics of computations. The relation is of the form $M \rightsquigarrow N$, o and is to be read as: program $\cdot \vdash M : Eff \tau$ evaluates in one step to program $\cdot \vdash N : Eff \tau$ and produces output o. The output is a function from channels to lists of boolean values, $o : Ch \rightarrow List(Bool)$. For a given channel ch, o(ch) is the list of booleans printed to ch during the execution of the program. To combine outputs, we lift the monoid structure on List(Bool) to



Rules [BIND-CTX] and [BIND-RET] reduce the left argument of the bind. The judgement $\Gamma \vdash^{cv}$ return(M) : Eff τ indicates that the term return(M) is a computational value for any term M; the monadic semantics does not evaluate it further. This design choice will have implication on how we state the security property (explained below).

Return

 $\frac{\Gamma \vdash M : \tau}{\Gamma \vdash^{cv} return(M) : Eff \tau}$

 $\frac{M \longrightarrow N}{print_{ch}(M) \rightsquigarrow print_{ch}(N), \epsilon}$

Print-True

 $\frac{\stackrel{\circ}{M} \rightsquigarrow M', o_1 \qquad M' \rightsquigarrow^{\star} N, o_2}{M \rightsquigarrow^{\star} N, o_1 \cdot o_2}$

Rules [PRINT-FALSE] and [PRINT-TRUE] output false and true in the output channel ch, respec-341 tively. The output $ch \mapsto [v]$ is the function that maps the channel ch to the list [v] and every other 342

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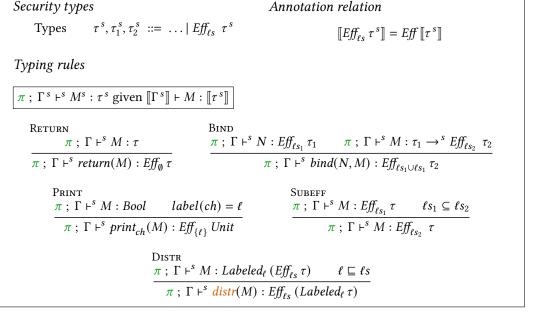


Fig. 4. Security type-system for λ -colFC ^{print} (excerpts).

channel to the empty list. Rule [PRINT-CTX] reduces $print_{ch}$'s argument. Observe that the rules for $print_{ch}$ make the primitive strict in its argument, i.e., the reduction first evaluates the argument to a boolean value, and then prints it to ch. The reflexive transitive closure of the monadic reduction relation—see rules [NIL] and [CONS]—is responsible to combine the outputs of multiple steps of the computation.

Rule [PURE] defines the interplay between the reduction relation for the effect-free and the effectful fragments of the language. It states that we can lift effect-free into monadic reductions and these produce the empty output ϵ . Terms which exclusively belong to the monadic part of the language, such as *return*, *bind* and *print*, are treated as values by the pure reduction relation from Figure 1—see rules [RETURNV], [BINDV] and [PRINTV].

Two reduction relations. While it might seem unnecessary to have two separated reduction relations, it is a natural form of expressing the semantics of a pure language with effects [50]. The pure reduction relation is responsible to evaluate pure programs, whilst the monadic reduction relation for computing the effects. Having two separated semantics enables us to precisely localize the parts of the program that might perform effects when executed. This choice of presentation helps to clarify one of the novel insights of this work: in a pure language, computations are first class objects and there exists a separation between building computations, i.e., values of type *Eff* τ , and executing them. *In IFC terms, the construction of programs which can produce effects does not leak information, but its execution might!* In this light, our approach is more permissive than previous work by allowing attackers to build insecure computations as long as they do not attempt to run them.

Once laid down the basis of the extended underlying language, we are in position to turn our attention to security. For IFC purposes, we consider that each output channel has a dedicated

security level ℓ which represents the lower bound of the security labels of the observers on the channel. Using $label(ch) = \ell$ we denote that the channel ch is at security label ℓ , i.e., observers at label ℓ' are allowed to see output on ch if $\ell \sqsubseteq \ell'$. The security type system indexes the *print* instruction with the channel and the security level of the channel where the write occurs. For ease of presentation, sometimes we conflate a channel with its security label since to reason about security it is irrelevant that there are several channels associated with the same label.

Unfortunately, by naively extending λ -coIFC with computations (programs of type Eff τ) is not 399 secure. To illustrate this point, the reader should consider a program p of type Labeled_H (Eff Unit); 400 and ask themselves, is it secure to execute those effects? It is if $p = label_H(print_H(unlabel_H(s)))$ 401 assuming $\cdot \vdash^{s} s$: Labeled_H Bool is the secret we would like to protect, i.e., p outputs the con-402 tents of s into a secret channel. However, and assuming again the same s, it can also be the case 403 that $p = label_{H}(print_{I}(unlabel_{H}(s)))$. In this case, p, when executed, sends a secret in an public 404 405 channel, clearly in violation of the security policy! The problem arises because the type of p, Labeled_H (Eff Unit), is not expressive enough; it does not say anything about what effects the 406 computation might perform-in this case printing effects-and most importantly who will be able 407 to observe those effects. Without this information, and as just exemplified, only from its type we 408 cannot tell if the program p is safe to execute. If we wanted to retain any sort of security guarantee 409 410 in the presence of effects, thus, we would need to disallow executing any such program. However, this would be overly restrictive; we can do better and will do. 411

To address this, we need to reflect the security levels of the channels a computation prints to in 412 413 its type so we can decide when it is safe to execute. We extend the security type-system with an 414 *Eff*-type that is more precise; it carries a set of security labels. Programs typeable at security type $Eff_{\ell s} \tau$ are guaranteed not to print to any channel whose security label does not belong to the set ℓs . 415 Figure 4 presents λ -coIFC^{*print*} and the new IFC typing rules that cover possibly effectful programs. 416 We extend the security types with $Eff_{\ell s} \tau^s$, which essentially indexes the monad for printing effects 417 with a set of labels ℓs . The set ℓs over-approximates the actual set of labels of the channels that the 418 419 computation may print to.

Next, we explain the rules of the security type-system. Rule [RETURN] states that *return* produces no effect, thus its security monadic type has the empty set as index, $Eff_{\emptyset} \tau$. Rule [BIND] combines the sets of labels of its two arguments $(Eff_{\ell_{s_1}\cup\ell_{s_1}}\tau_2)$. The only instruction which actually performs an effect is *print*, thus, rule [PRINT] states that its security type is indexed by the label of the channel where the output will be written, $(Eff_{\{\ell\}} Unit^s)$. Lastly, rule [SUBEFF] allows for subeffecting, this is casting the type of a term to include more security labels, $(\ell_{s_1} \subseteq \ell_{s_2})$.

426 With the security types for the effectful language in place, we turn our attention to terms of type $Labeled_{\ell}$ ($Eff_{\ell s} \tau^{s}$). Observe that, in the underlying language, a program $\cdot \vdash^{s} p : Labeled_{\ell}$ ($Eff_{\ell s} \tau^{s}$) is 427 nothing but a computation, i.e., $\cdot \vdash p$: *Eff* τ . As the previous examples evidence, in terms of security 428 there are two kinds of computations; those that leak secrets upon execution; and those which do 429 not. Thus, in the general case we should forbid their execution. To increase the expressivity of 430 431 our security type-system, we introduce a more selective primitive that only permits the execution of computations when security is not compromised. The idea is that programmers can construct 432 computations, i.e., programs of type $E\!f\!f_{\tau}$, at will inside labeled terms; the computations and the 433 effects they produce depend on secret information. However, they are not guaranteed that these 434 programs will ever get executed. 435

Rule [DISTR] introduces a mechanism to make sometimes possible to execute the effects described inside a term of type *Labeled*_l (*Eff*_{ls} τ). For that, the rule permits the security-type of its premise to be swapped in its conclusion, i.e., *Labeled*_l (*Eff*_l τ) to *Eff*_{ls} (*Labeled*_l τ), always provided it is secure to execute the effects. Yet, the question remains: when it is secure to execute the effects?

The answer is, whenever the observers of the computations' effects , i.e., ℓs , are no less sensitive than the label of the decision to perform the effects, i.e., ℓ . The condition $\ell \sqsubseteq \ell s$ in the premise of the rule precisely captures this situation, i.e., $\forall \ell' \in \ell s$. $\ell \sqsubseteq \ell'$. After bringing the monadic type to the top level, we can be sure the effects of type $Eff_{\ell s}$ (*Labeled*_{ℓ} τ^{s}) can be safely performed by the monadic operational semantics from Figure 3.

To illustrate rule [DISTR] in action, we can revisit the previous examples. The program $p = label_H(print_H(unlabel_H(s)))$, again assuming the secret $s \vdash^s Labeled_H Bool^s$, is a well-typed program of type $Eff_{\{H\}}$ (Labeled_H Bool^s) in the security type-system—therefore executable—by applying the rule.

$$\vdash^{s} p : distr(label_{H}(print_{H}(unlabel_{H}(s)))) : Eff_{\{H\}}(Labeled_{H}(unlabel_{H}(s))))$$

However, program $p = label_H(print_L(unlabel_H(s)))$ cannot be safely executed, since it leaks sensitive information into a public channel. Our type-system rightfully rejects p:

 $eqref{eq: p: distr(label_H(print_L(unlabel_H(s)))) : Eff_{H} (Labeled_H Unit^s)}$

Observe that rule [DISTR] executes the effects and protects the returned value using the same label
as the decision to perform the effects. This is necessary because computations need not to perform
effects at all. In a pure language, it is always possible to construct the no-op computation using the
monadic primitive *return*.

Rule [DISTR] shows the main novelty and elegance of our approach: the separation of effect-free and effectful computations, where each of them has different security labels (i.e., ℓ , and ℓ s). Previous security libraries tried to separate effect-free and effectful computations but they often ended up using one security label for both [10, 31, 33, 36, 37, 42]. We argue that in a pure language having such separation is natural, since computations are both executable and first-class objects that can be manipulated. This also helps to clarify the relation between secure effect-free programs and computations as recent works points out [19].

4 EFFECTFUL LANGUAGE WITH MEMORY EFFECTS

We turn our attention to reading effects which are the counterpart to writing effects. In order to do so, we look at an effectul language with memory references.

The language is, again, an extension of STLC with a monadic type for computations and a type 472 for memory references. References allow both writing effects—like channels in λ -coIFC^{*print*}—but 473 also reading effects, i.e., reading the contents of a memory cell. The idea is that to ensure security, 474 memory references are ascribed a security label, like channels did, and the security label of a memory 475 location is used both to constraint the writes and ensure readings are secure. Following standard 476 procedure, we start by introducing the syntax, typing rules, and semantics for the underlying 477 language. Besides a monadic type for computations, the types also include Ref σ for typed memory 478 locations that hold terms of type σ . The typing judgement is extended with a store typing Σ , which 479 is a mapping from store locations to ground types. Figure 5 presents the extension to STLC which 480 allows programs to manipulate memory cells via two primitives, read and write (rules [READ] and 481 [WRITE]). Moreover, the primitive ref(l) (rule [REF]) is not part of the surface syntax but rather 482 an internal representation for locations of memory cells. The typing of the monadic operations is 483 exactly as before. 484

A monadic reduction relation of the form $\theta_1, M \rightsquigarrow \theta_2, N$ specifies the operational semantics of computations. This is to be read as: program $\Sigma, \cdot \vdash M : Eff \tau$ paired with store θ_1 evaluates in one step to program $\Sigma, \cdot \vdash N : Eff \tau$ and store θ_2 . Observe, the input and output stores are of store typing Σ , i.e., $\theta_1 : \Sigma$ and $\theta_2 : \Sigma$. In order to avoid unnecessary extra complexity, we make two assumptions: stores only holds terms—since we are in a call-by-name language—of ground type,

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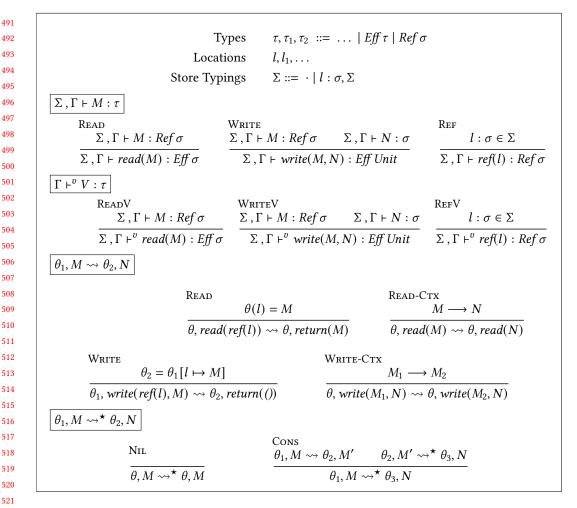


Fig. 5. Extending STLC with read and write effects.

i.e., *Bool* or *Unit*; and the size of the store is fixed during execution. The reason for the former is that higher-order stores allows to express monadic fixpoint operator, thus non-termination. The reason for the latter is that the well-typed formalization that we work on becomes complicated and we prioritize simplicity of presentation—recent work [15] shows it is possible to lift these two simplifications and still obtain NI guarantees.

⁵³⁰ We follow the same approach as before and extend the security type-system of λ -coIFC with ⁵³¹ reading and writing primitives. Since terms are well-typed with respect to a store typing, we extend ⁵³² the grammar of security types with security store typings. These equip each location of the store ⁵³³ given by the store typing Σ with a security type for its contents and a security label of the location. ⁵³⁴ The latter serves to prevent implicit flows of information through the store [51]. Rules [RETURN], ⁵³⁵ [BIND], [SUBEFF] and [DISTR] are analogous to those from λ -coIFC ^{print}, cf. Figure 4 thus omitted.

Next, we introduce typing rules for the new primitives in Figure 5. Rule [REF] states that typing a location at security type $Ref_{\ell}\sigma$ amounts to checking in the security typing of the store that location *l* has the correct type and label ℓ . Writing on the store is an effect, thus the typing rule for

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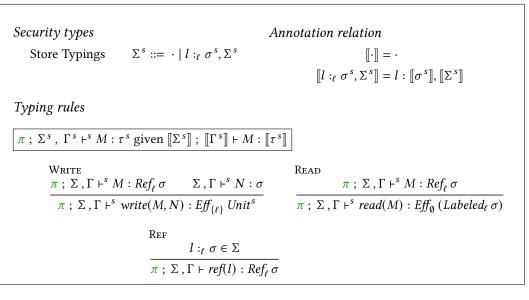


Fig. 6. Security-type system for λ -coIFC ^{mem}(excerpts).

write ([WRITE]) reflects the writing effect it on its type using the label of the reference (ℓ) as the annotation in its monadic security type, i.e., $Eff_{\{\ell\}} \sigma$.

Most peculiar is the typing rule for read (rule [READ]) for its type diverges from usual presentation of coarse-grained libraries to IFC (e.g., [33, 36, 42] with exception of SLIO in [35]). In coarse-grained libraries, the monadic type keeps track of one label which represents and upper bound of all the labels that could have influenced the computation after unlabeling them. In a nutshell, the monad uses a single label to rule them all. Reading from a memory reference, if the flow is permitted, amounts to incorporate the contents of the memory cell directly into the context. The coarse label protects the whole context and in particular the newly incorporated term.

In contrast, λ -coIFC^{mem} takes advantage of purity again by forcing the flow of information from memory to the program to be explicit. Rule [READ]—see Figure 6-does so by wrapping the result of the read in a Labeled value so subsequent parts of the computation that depend on it have to explicitly remove the label by unlabeling. Further, the typing rule states that the set of labels attached to the monadic type is empty; in our model reads to the store do leave footprints that an attacker can use as a side-channel [21] to recover information about our secrets.

SECURITY GUARANTEES

In this section, we formally state the security conditions of the languages presented in previous sections. Further, we explain the proof technique of logical relations which we utilize to show that the type-system is sound, i.e., terms with a typing derivation are secure. Lastly, we detail how each soundess proof follows as a corollary of the fundamental theorem of the appropriate logical relation.

5.1 Effect-free Language

In this section, we formally state our security condition for λ -coIFC: termination-insensitive non-*interference* (TINI). In words, for any given program in λ -coIFC with a hole for a secret, and two executions of it obtained by replacing the secret by any two values, then the public output will be the same. We note that λ -coIFC is strongly normalizing, and assuming termination in TINI

 $\begin{aligned} &\mathcal{R}_{\mathcal{V}}\llbracket Unit^{s} \rrbracket_{\pi_{Atk}}(M_{1},M_{2}) = M_{1} \equiv M_{2} \\ &\mathcal{R}_{\mathcal{V}}\llbracket Bool^{s} \rrbracket_{\pi_{Atk}}(M_{1},M_{2}) = M_{1} \equiv M_{2} \\ &\mathcal{R}_{\mathcal{V}}\llbracket \tau_{1} \rightarrow^{s} \tau_{2} \rrbracket_{\pi_{Atk}}(M_{1},M_{2}) = \forall (N_{1},N_{2}:\cdot \vdash \tau_{1}).\mathcal{R}_{\mathcal{E}}\llbracket E \rrbracket_{\tau_{1}}\pi_{Atk}(N_{1},N_{2}) \Rightarrow \mathcal{R}_{\mathcal{E}}\llbracket \tau_{2} \rrbracket_{\pi_{Atk}}(M_{1},N_{1},M_{2},N_{2}) \\ &\mathcal{R}_{\mathcal{V}}\llbracket Labeled_{t} \tau \rrbracket_{\pi_{Atk}}(M_{1},M_{2}) = \pi_{Atk} \geq \ell \Rightarrow \mathcal{R}_{\mathcal{V}}\llbracket \tau \rrbracket_{\pi_{Atk}}(M_{1},M_{2}) \\ &\mathcal{R}_{\mathcal{E}}\llbracket \tau \rrbracket_{\ell_{Atk}}(M_{1},M_{2}) = \forall (V_{1},V_{2}:\cdot \vdash \tau).M_{1} \longrightarrow^{*} V_{1} \land M_{2} \longrightarrow^{*} V_{2} \Rightarrow \mathcal{R}_{\mathcal{V}}\llbracket A \rrbracket_{\pi_{Atk}}(V_{1},V_{2}) \\ &\mathcal{R}_{\mathcal{S}}\llbracket S \rrbracket_{\pi_{Atk}}(\cdot,\cdot) = \top \end{aligned}$

 $\mathcal{R}_{\mathcal{S}}[\![\Gamma, x: \tau]\!]_{\pi_{Atk}}((\gamma_1, M_1), (\gamma_2, M_2)) = \mathcal{R}_{\mathcal{S}}[\![\tau]\!]_{\pi_{Atk}}(M_1, M_2) \land \mathcal{R}_{\mathcal{S}}[\![\Gamma]\!]_{\pi_{Atk}}(\gamma_1, \gamma_2)$

 $\mathcal{R}_{\mathcal{T}}\llbracket\Gamma \vdash^{s} \tau\rrbracket_{\pi_{Atk}}(M_{1}, M_{2}) = \forall (\gamma_{1}, \gamma_{2} : \cdot \Vdash \Gamma) . \mathcal{R}_{\mathcal{S}}\llbracket\Gamma\rrbracket_{\pi_{Atk}}(\gamma_{1}, \gamma_{2}) \Rightarrow \mathcal{R}_{\mathcal{E}}\llbracket\tau\rrbracket_{\pi_{Atk}}(\gamma_{1}(M_{1}), \gamma_{2}(M_{2}))$

Fig. 7. Logical relation for λ -colFC.

seems redundant. We remark that it is straightforward to consider fixpoint operators from a IFCperspective while assuming termination (e.g., as done in [37, 39, 41]) and therefore we omit it here for simplicity and easy of presentation.

In what follows, we keep the formulation of TINI concrete by restricting the secret input and public output to be both of boolean type. This simplifies the security condition since it is enough to check for syntactic equality of the outputs, i.e., boolean values. We assume the presence of an attacker at security level ℓ_{Atk} and secrets with sensitivity ℓ_H . We consider public outputs as those outputs observable by the attacker.

Definition 1 (TINI for λ -coIFC). Assume an attacker with security label ℓ_{Atk} , and a label ℓ_H for secrets such that $\ell_H \not\subseteq \ell_{Atk}$. Given a program M, two terms $\cdot \vdash N_1, N_2 : Bool$, and values V_1, V_2 such that,

• $\{\ell_{Atk}\}$; s: Labeled_{$\ell_H} Bool^s <math>\vdash^s M$: Labeled_{ℓ_{Atk}} Bool^s</sub>

• $M[N_1/s] \longrightarrow^* V_1 \land M[N_2/s] \longrightarrow^* V_2$,

then it holds that $V_1 \equiv V_2$.

A more general formulation of TINI is possible, for instance, where the output of the program M is at function type. This naturally follows from the logical relation we use to prove that λ -coIFC satisfies Definition 1. We refer the interested reader to our Agda mechanization for further details. In the rest of this subsection we explain the details of the logical relation.

5.1.1 Soundness of the IFC Type-System for λ -coIFC. In order to prove that the language satisfies TINI, we define a logical relation (LR) by structural induction on the security types. As usual in proofs based on logical relations, the proof of TINI for λ -coIFC follows as a corollary of the fundamental theorem of the LR (described below).

Logical relation for proving TINI. We split the LR in two parts, depending on whether the term is already evaluated, i.e., $\mathcal{R}_{\mathcal{V}}[_]_$, or not, i.e., $\mathcal{R}_{\mathcal{E}}[_]_$. At each type the LR stipulates what observations an attacker can perform on programs. For this, the LR is parametrized by a finite set of labels π_{Atk} which represents the attacker's observation power, namely, the attacker can observe any data labeled at ℓ -or below ℓ -such that $\ell \in \pi_{Atk}$.

Before diving into the details of our LRs, we remark that the operational behavior of programs 638 typed in the security type system of Figure 2 is based on the operational reduction of the underlying 639 programs in the base calculus-cf. Figure 1. This implies that the terms decorating the rules [LABEL] 640 and [UNLABEL], i.e., *label* and *unlabel*, do not carry computational meaning; they are operationally 641 irrelevant and do not influence reduction. 642

The LR for λ -coIFC is described in Figure 7. Starting with the LR for values ($\mathcal{R}_{\mathcal{V}}[-]$), we have 643 that for types Unit^s or Bool^s two values are related whenever they are the same syntactic term 644 $(M_1 \equiv M_2)$. At the function type $\tau_1 \rightarrow^s \tau_2$, two functions are related whenever they map related 645 inputs² ($\mathcal{R}_{\mathcal{E}}[\![E]\!]_{\pi_{Atk}}\tau_1(N_1,N_2)$) to related outputs ($\mathcal{R}_{\mathcal{E}}[\![\tau_2]\!]_{\pi_{Atk}}(M_1,N_1,M_2,N_2)$). Finally, if the security 646 type is Labeled_{ℓ} τ for some ℓ and τ , then depending on the attacker observation power we might 647 require the underlying values to be related. If the attacker can observe the labeled term, as in the 648 premise of the implication ($\pi_{Atk} \geq \ell$), then the two values have to be related at type τ . As before, 649 650 $\pi_{Atk} \geq \ell$ means that ℓ flows to some label in π_{Atk} , i.e., π_{Atk} covers ℓ . In case the attacker cannot observe the label ℓ , both M_1 and M_2 can assume any value. 651

When it comes to the LR for expressions ($\mathcal{R}_{\mathcal{E}}[-]]$), we have that, for an attacker with observational 652 power π_{Atk} , two expressions are related at type $\tau (\mathcal{R}_{\mathcal{E}}[\![E]\!]_{\tau} \pi_{Atk}(M_1, M_2))$, whenever both expressions 653 evaluate to two corresponding values and those values are related by the LR for values. Definitions 654 655 $\mathcal{R}_{\mathcal{V}}[_]$ and $\mathcal{R}_{\mathcal{E}}[_]$ only work on closed terms. In order to prove the fundamental theorem of the LR we need to lift the latter to closing substitutions, i.e., $\mathcal{R}_{\mathcal{S}}[-]$. Two empty substitutions, (, ,), are 656 trivially related, denoted by \top , and two non-empty substitutions (γ_1, M_1) and (γ_2, M_2) are related 657 whenever they are pointwise related, i.e., $\mathcal{R}_{\mathcal{E}}[\tau]_{\pi_{Atk}}(M_1, M_2) \wedge \mathcal{R}_{\mathcal{S}}[\Gamma]_{\pi_{Atk}}(\gamma_1, \gamma_2)$. Finally, the LR 658 for arbitrary open terms, written $\mathcal{R}_{\mathcal{T}}[\]$, states that two open terms are related when for any two 659 660 related closing substitutions, the substituted terms are related by the LR for expression.

The fundamental theorem of the LR states that any open term which can be typed in the security type system of λ -coIFC is related to itself. 662

THEOREM 5.1 (FUNDAMENTAL THEOREM OF THE LR FOR λ -coIFC). For any attacker's observational power π_{Atk} , and program π_{Atk} ; $\Gamma \vdash^{s} M : \tau$ with type τ in typing context Γ , it holds that $\mathcal{T} \llbracket \Gamma \vdash^{s}$ τ $[]_{\pi_{Atk}}(M,M).$

PROOF. By induction on the typing derivation.

The security property TINI is derived as a corollary of the fundamental theorem.

COROLLARY 5.2. Assume an attacker with security label ℓ_{Atk} , and label ℓ_H for secrets, and a program *M* as described by Definition 1, then *M* satisfies TINI.

PROOF. Let $\cdot \vdash N_1 N_2$: Bool be the secrets to be substituted in M. Since $\ell_H \not\subseteq \ell_{Atk}$, we have 672 673 that the secrets are related, i.e., $\mathcal{R}_{\mathcal{E}}[Labeled_{\ell H} Bool^{s}]_{\{\ell_{AL}\}}(N_{1}, N_{2})$, and thus we have two closing 674 substitutions $\gamma_1 = \{s \mapsto N_1\}$ and $\gamma_2 = \{s \mapsto N_2\}$ which are also related. By the fundamental 675 theorem, the term *M* is related to itself which means that $\mathcal{R}_{\mathcal{E}}[Labeled_{\ell_{Atk}} Bool^{s}]_{\{\ell_{Atk}\}}(\gamma_{1}(M), \gamma_{2}(M)).$ 676 Unfolding the definitions of $\mathcal{R}_{\mathcal{E}}[-]$ and $\mathcal{R}_{\mathcal{V}}[-]$, we obtain, $\forall (V_1V_2: \cdot \vdash \tau).\gamma_1(M) \longrightarrow^* V_1 \land$ $\gamma_2(M) \longrightarrow^* V_2 \Longrightarrow V_1 \equiv V_2$ 677

The fundamental theorem of the LR permit us to state and prove more general versions of the non-interference theorem. It does not restrict the program nor its result to be of boolean type. For example, the program could return values of function type which independent of secrets are guaranteed to have the same extensional behaviour. In a different direction, the LR let us generalize the secret that M depends on to several secrets intermixed with public dependencies. In such case the public parts should be the same across all executions while the secrets can vary.

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²The \forall (forall) quantifies over well-typed terms of type τ_1 , i.e., $(N_1, N_2 : \cdot \vdash \tau_1)$ means $\cdot \vdash N_1 : \tau_1$ and $\cdot \vdash N_2 : \tau_1$.

Simple Contextual Information-Flow Control with Effects

687 5.2 Effectful Language with Printing Effects

Next, we proceed to show the security condition that holds for λ -coIFC ^{*print*} in the presence of effects. To do so, we have to take into account the resulting value of a program and the effects the program performs, and show that an attacker cannot learn information about the program's secrets through either. Therefore, the first step is to define when are two outputs, i.e., the outputs of the same program with different secrets, are indistinguishable to an observer of a certain security label.

Definition 2 (Output indistinguishability). Two outputs o_1 and o_2 are indistinguishable to an observer at level ℓ , denoted by $o_1 \simeq_{\ell} o_2$, when $\forall ch. \ label(ch) \sqsubseteq \ell \Rightarrow o_1(ch) \equiv o_2(ch)$.

In words, the definition above says that any channel *ch* observable at level ℓ (*label*(*ch*) $\subseteq \ell$) contains the same list of boolean values—the prints the program performs on the channel—in both o_1 and o_2 . The outputs do not contain observable differences to observers at level ℓ .

Next, we define the security condition for λ -coIFC^{*print*}, where we demand that a program with substituted secrets produces indistinguishable outputs with regard to an attacker at level ℓ_{Atk} .

Definition 3 (TINI for λ -coIFC^{print}). Assume an attacker with security label ℓ_{Atk} , and a label ℓ_H for secrets such that $\ell_H \not\subseteq \ell_{Atk}$ and a set of security labels ℓ_s .

Given a program $s : Bool \vdash M : Eff Bool$, two terms $\cdot \vdash N_1, N_2 : Bool$, two terms $\cdot \vdash M'_1, M'_2 : Bool$ and two outputs o_1, o_2 , such that

•
$$\{\ell_{Atk}\}$$
; $s: Labeled_{\ell_H} Bool \vdash_s M : Eff_{\ell_s} Bool$

• $M[N_1/s] \rightsquigarrow^* return(M'_1), o_1 \land M[N_2/s] \rightsquigarrow^* return(M'_2), o_2,$

then it holds that $o_1 \simeq_{\ell_{Atk}} o_2$.

The security condition disregards the boolean terms produced which result after the programs execution and only takes into account the produced output. In a non-strict language, possibly also in strict languages with explicit monads, the monadic operational semantics does not force evaluation under the monad, i.e., the final value of a computation is $\cdot \vdash return(M) : Eff \tau$ where $\cdot \vdash M : \tau$ is an arbitrary program of type τ , not a value.

Definition 3 does not require that the returned terms $(M'_1 \text{ and } M'_2)$ reduce to indistinguishable values. An alternative formulation of TINI could demand that if both terms M'_1 and M'_2 terminate, then they reduce to equal values. Our Agda formalization shows that these two apparently different formulations of Termination-Insensitive Non-Interference are actually equivalent.

⁷¹⁹ 5.2.1 Soundness of the IFC Type-System for λ -coIFC^{print}. In order to prove that the language with ⁷²⁰ printing effects is secure, i.e., satisfies *TINI*, we employ again the method of logical relations.

Writing-scope logical predicate. When considering effects, we need to first capture the *writing scope* of the effects that a monadic program might produce, i.e., what are the security labels of the channels that a computation possibly writes to. The security type-system already refines the type of computations by adding a set of security labels. What is needed now is to show that the type-system is sound in this regard; a program $\cdot \vdash p$: *Eff* τ with security type $\cdot \vdash^s p$: *Eff*_{ℓs} τ is guaranteed not to print on any channel whose security label does not belong to ℓs . Formally, $\forall N, o. P \rightsquigarrow^* return(N), o \Rightarrow \forall ch. label(ch) \notin \ell s \Rightarrow o(ch) = \epsilon$.

In order to prove soundness, as previous work on *LRs* for security type-systems [35], we use a logical predicate. Figure 8 introduces the logical predicate (LP)—unary logical relation—which defines for each type what effects a program might produce. Similarly as in the previous section, the LP is expressed for values ($W_V[[_]]$), expressions ($W_{\mathcal{E}}[[_]]$), closing substitutions ($W_{\mathcal{S}}[[_]]$), and open terms ($W_{\mathcal{T}}[[_]]$). For base types, $W_V[[_]]$ simply holds. For function types, $W_V[[M : \tau_1 \to {}^s \tau_2]]$ holds if it maps expressions that satisfy the expression predicate ($W_{\mathcal{E}}[[\tau_1]](n)$) to expressions that

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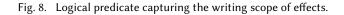
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$$\begin{split} & \mathcal{W}_{V}\llbracket Unit^{s} \rrbracket(M) = \top \\ & \mathcal{W}_{V}\llbracket Bool^{s} \rrbracket(M) = \top \\ & \mathcal{W}_{V}\llbracket \tau_{1} \rightarrow^{s} \tau_{2} \rrbracket(M) = \forall (N: \vdash \tau_{1}). \ \mathcal{W}_{\mathcal{E}}\llbracket \tau_{1} \rrbracket(N) \Rightarrow \mathcal{W}_{\mathcal{E}}\llbracket \tau_{2} \rrbracket(M N) \\ & \mathcal{W}_{V}\llbracket Labeled_{t} \tau \rrbracket(M) = \mathcal{W}_{V}\llbracket \tau \rrbracket(M) \\ & \mathcal{W}_{V}\llbracket Eff_{t_{s}} \tau \rrbracket(M) = \\ & \forall N, o. \ M \rightsquigarrow^{\star} return(N), o \Rightarrow \mathcal{W}_{\mathcal{E}}\llbracket \tau \rrbracket(N) \land (\forall ch. \ label(ch) \notin t_{s} \Rightarrow o(ch) \equiv []) \\ & \mathcal{W}_{\mathcal{E}}\llbracket \tau \rrbracket(M) = \forall (V: \vdash \tau_{s} \tau). \ M \longrightarrow^{*} V \Rightarrow \mathcal{W}_{V}\llbracket \tau \rrbracket(N) \\ & \mathcal{W}_{\mathcal{S}}\llbracket \cdot \rrbracket(\cdot) = \top \\ & \mathcal{W}_{\mathcal{S}}\llbracket \Gamma, x: \tau \rrbracket(\gamma, M) = \mathcal{W}_{\mathcal{E}}\llbracket \tau \rrbracket(M) \land \mathcal{W}_{\mathcal{S}}\llbracket \Gamma \rrbracket(\gamma) \\ & \mathcal{W}_{\mathcal{T}}\llbracket \Gamma \vdash_{s} \tau \rrbracket(M) = \forall (\gamma: \cdot \Vdash \Gamma). \ \mathcal{W}_{\mathcal{S}}\llbracket \Gamma \rrbracket \Rightarrow \mathcal{W}_{\mathcal{E}}\llbracket \tau \rrbracket(\gamma(M)) \end{split}$$



also satisfy it $(\mathcal{W}_{\mathcal{E}}[\tau_2](M N))$. The interesting case is the definition of $\mathcal{W}_{\mathcal{V}}[Eff_{\ell s} \tau](M)$, which demands that the outputs produced by M only target those channels whose label is present in its security type, i.e., in the set ℓs . The lifting of the LP for substitutions and open terms is standard and therefore we do not describe them any further.

The fundamental theorem of the LP guarantees that the writing scope of a monadic computation is bounded by its security type: the computation may print to any channel whose label is stated in its type and no more.

THEOREM 5.3 (FUNDAMENTAL THEOREM OF THE WRITING-SCOPE PREDICATE, $W_{\mathcal{T}}[\![_]\!]$.). For any program $\Gamma \vdash^{s} M : \tau$, it holds that $W_{\mathcal{T}}[\![\Gamma \vdash^{s} \tau]\!](M)$.

PROOF. By induction on typing derivations.

By capturing the scope of writing effects (outputs), we obtain an approximation about where information could flow via effects. Now we are in a position to reason about TINI for the effectful language.

Logical relation. Figure 9 presents the indistinguishability relation for λ -coIFC^{*print*} as a LR. Structurally, the indistinguishability relation is similar to the one defined for λ -coIFC–cf. Figure 7–except that now it includes a case when the security type is the monadic type for effects ($\mathcal{R}_{\mathcal{V}}[\![Eff_{\ell s} \tau]\!]_{\ell_{Atk}}$). It is worth noting however, that the LR has adjustments in both the function ($\mathcal{R}_{\mathcal{V}}[\![\tau_{1} \rightarrow s \tau_{2}]\!]_{\ell_{Atk}}$) and labeled types ($\mathcal{R}_{\mathcal{V}}[\![Labeled_{\ell} \tau]\!]_{\ell_{Atk}}$), where it mentions the writing scope predicate—recall Figure 8.

The LR at function type has now the additional requirement that both the arguments and the produced result satisfy the writing-scope predicate $(W_{\mathcal{E}}[\tau_2](N_1), W_{\mathcal{E}}[\tau_2](N_2), W_{\mathcal{E}}[\tau_1](M_1 N_1),$ $W_{\mathcal{E}}[\tau_1](M_2 N_2))$. Intuitively, this extra assumptions are needed to strengthen the induction hypothesis and prove the fundamental theorem of the LR. Unlike previous work, [34], the binary logical relation does not imply the writing-scope predicate for its components, namely it is not the case that $\mathcal{R}_{\mathcal{E}}[[\tau]]_{\ell_{Atk}}(M_1, M_2) \Rightarrow W_{\mathcal{E}}[[\tau]](M_1) \land W_{\mathcal{E}}[[\tau]](M_2)$. Intuitively, the reason is that in the call-by-name setting variables are substituted for arbitrary terms and not just for values.

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$\mathcal{R}_{\mathcal{V}}\llbracket Bool^{s} \rrbracket_{\ell_{Atk}}(M_{1}, M_{2}) = M_{1} \equiv M_{2}$
$\mathcal{R}_{\mathcal{V}}\llbracket\tau_1 \to^{s} \tau_2 \rrbracket_{\ell_{Atk}}(M_1, M_2) = \forall (N_1, N_2 : \cdot \vdash_{s} \tau_1). \mathcal{R}_{\mathcal{E}}\llbracket\tau_1 \rrbracket_{\ell_{Atk}}(N_1, N_2) \land \mathcal{W}_{\mathcal{E}}\llbracket\tau_2 \rrbracket(N_1) \land \mathcal{W}_{\mathcal{E}}\llbracket\tau_2 \rrbracket(N_2)$
$\Rightarrow \mathcal{R}_{\mathcal{E}}\llbracket\tau_{2}\rrbracket_{\ell_{Atk}}(M_{1} N_{1}, M_{2} N_{2}) \land \mathcal{W}_{\mathcal{E}}\llbracket\tau_{1}\rrbracket(M_{1} N_{1}) \land \mathcal{W}_{\mathcal{E}}\llbracket\tau_{1}\rrbracket(M_{2} N_{2})$
$\mathcal{R}_{\mathcal{V}}\llbracket Labeled_{\ell} \tau \rrbracket_{\ell_{Atk}}(M_1, M_2) = (\ell \sqsubseteq \ell_{Atk} \Longrightarrow \mathcal{R}_{\mathcal{V}}\llbracket \tau \rrbracket_{\ell_{Atk}}(M_1, M_2))$
$\wedge (\ell \not\sqsubseteq \ell_{Atk} \Rightarrow \mathcal{W}_{\mathcal{V}}\llbracket \tau \rrbracket(M_1) \land \mathcal{W}_{\mathcal{V}}\llbracket \tau \rrbracket(M_2))$
$\mathcal{R}_{\mathcal{V}}\llbracket Eff_{\ell s} \ \tau \rrbracket_{\ell_{Atk}}(M_1, M_2) = \forall (N_1, N_2 : \cdot \vdash \tau), o_1, o_2. \ M_1 \rightsquigarrow^{\star} return(N_1), o_1 \land M_2 \rightsquigarrow^{\star} return(N_2), o_2$
$\Rightarrow \mathcal{R}_{\mathcal{E}}\llbracket \tau \rrbracket_{\ell_{Atk}}(N_1, N_2) \land o_1 \simeq_{\ell_{Atk}} o_2$
$\land (\forall ch. \ label(ch) \notin \ell s \Longrightarrow o_1(ch) \equiv [])$
$\land (\forall ch. \ label(ch) \notin \ell s \Longrightarrow o_2(ch) \equiv [])$
$\mathcal{R}_{\mathcal{E}}\llbracket \tau \rrbracket_{\ell_{Atk}}(M_1, M_2) = \forall (V_1, V_2 : \cdot \vdash_s \tau). \ M_1 \longrightarrow^* V_1 \land M_2 \longrightarrow^* V_2 \Rightarrow \mathcal{R}_{\mathcal{V}}\llbracket \tau \rrbracket_{\ell_{Atk}}(V_1, V_2)$
$\mathcal{R}_{\mathcal{S}}\llbracket \cdot \rrbracket_{\ell_{Atk}}(\cdot, \cdot) = \top$
$\mathcal{R}_{\mathcal{S}}\llbracket\Gamma, x: \tau\rrbracket_{\ell_{Atk}}((\gamma_1, M_1), (\gamma_2, M_2)) = \mathcal{R}_{\mathcal{E}}\llbracket\tau\rrbracket_{\ell_{Atk}}(M_1, M_2) \land \mathcal{R}_{\mathcal{S}}\llbracketS\rrbracket_{\ell_{Atk}}(\gamma_1, \gamma_2)$
$\mathcal{R}_{\mathcal{T}}\llbracket\Gamma \vdash_{s} \tau \rrbracket_{\ell_{Atk}}(M_{1}, M_{2}) = \forall (\gamma_{1}, \gamma_{2} : \cdot \Vdash \Gamma). \ \mathcal{R}_{\mathcal{S}}\llbracket\Gamma \rrbracket_{\ell_{Atk}}(\gamma_{1}, \gamma_{2}) \land \mathcal{W}_{\mathcal{S}}\llbracket\Gamma \rrbracket(\gamma_{1}) \land \mathcal{W}_{\mathcal{S}}\llbracket\Gamma \rrbracket(\gamma_{2})$
$\Rightarrow \mathcal{R}_{\mathcal{E}}[\![\tau]\!]_{\ell_{Atk}}(\gamma_1(M_1), \gamma_2(M_2))$

Fig. 9. Logical relation for λ -colFC ^{print}.

The LR for the $Labeled_{\ell} \tau$ type has two cases. As before, if the label flows to the attacker, then the two values have to be related at type $\tau (\mathcal{R}_{\mathcal{V}}[\![\tau]\!]_{\ell_{Atk}}(M_1, M_2))$. Otherwise, the terms are required to satisfy the writing-scope predicate. In this case, the writing predicate ensures that the underlying terms do not produce effects which may compromise security.

The relation for monadic values states that if the monadic reduction of both computations terminate $(M_1 \rightsquigarrow^* return(N_1), o_1 \text{ and } M_2 \rightsquigarrow^* return(N_2), o_2)$ then the resulting terms are related $(\mathcal{R}_{\mathcal{E}}[\![\tau]\!]_{\ell_{Atk}}(N_1, N_2))$ and the outputs are indistinguishable $(o_1 \simeq_{\ell_{Atk}} o_2)$. Moreover, the LR ensures that neither computation produces output on those channels not explicitly mentioned in its type analogously to the writing-scope predicate. The lifting to substitutions is as expected; however, the lifting to open terms requires that the closing substitutions to fulfil the writing-scope predicate. Again, it is needed to strengthen the induction hypothesis. Below, we state the fundamental theorem of the LR for λ -coIFC *print*.

THEOREM 5.4 (FUNDAMENTAL THEOREM OF $\mathcal{R}_{\mathcal{T}}[\![_]\!]_{-}$). For any program $\Gamma \vdash_{s} M : \tau$, it holds that $\mathcal{R}_{\mathcal{T}}[\![\Gamma \vdash_{s} \tau]\!]_{\ell_{Atk}}(M, M)$.

PROOF. By induction on the typing derivation.

The security property TINI is derived as a corollary of the fundamental theorem.

COROLLARY 5.5. Assume an attacker with security label ℓ_{Atk} , a label ℓ_H for secrets, and a program M as described by Definition 3, then M satisfies TINI.

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$$\begin{split} I_{\mathcal{G}}\llbracket Unit^{s} \rrbracket(M_{1}, M_{2}) \\ &= \forall (V_{1}V_{2}: \cdot \vdash_{s} Unit). \ M_{1} \longrightarrow^{*} V_{1} \land M_{2} \longrightarrow^{*} V_{2} \Rightarrow V_{1} \equiv V_{2} \\ I_{\mathcal{G}}\llbracket Bool^{s} \rrbracket(M_{1}, M_{2}) = M_{1} \equiv M_{2} \\ &= \forall (V_{1}V_{2}: \cdot \vdash_{s} Bool). \ M_{1} \longrightarrow^{*} V_{1} \land M_{2} \longrightarrow^{*} V_{2} \Rightarrow V_{1} \equiv V_{2} \\ I_{\mathcal{M}}\llbracket \Sigma^{s} \rrbracket_{\ell_{Atk}}(\theta_{1}, \theta_{2}) = \forall l, \ell, \sigma^{s}. \ (l:_{\ell} \sigma^{s} \in \Sigma^{s}) \land (\ell \sqsubseteq \ell_{Atk}) \Rightarrow I_{\mathcal{G}} \llbracket \sigma^{s} \rrbracket \ell_{Atk}(\theta_{1}(l), \theta_{2}(l)) \end{split}$$

Fig. 10. Indistinguishability of ground programs and stores for λ -coIFC ^{mem}.

PROOF. Let $\vdash N_1 N_2$: Bool be the secrets to be substituted in M. Since $\ell_H \not\subseteq \ell_{Atk}$, we have that the secrets are related, i.e., $\mathcal{R}_{\mathcal{E}}[[Labeled_{\ell_H} Bool^s]]_{\ell_{Atk}}(N_1, N_2)$, and thus we have two closing substitutions $\gamma_1 = \{s \mapsto N_1\}$ and $\gamma_2 = \{s \mapsto N_2\}$ which are also related. By the fundamental theorem, the term M is related to itself which means that $\mathcal{R}_{\mathcal{E}}[[Eff_{\ell_s} Bool^s]]_{\ell_{Atk}}(\gamma_1(M), \gamma_2(M))$. By assumption we have that $M[N_1/s] \longrightarrow return(M'_1)$, o_1 and $M[N_2/s] \longrightarrow return(M'_2)$, o_2 . From this we obtain that there exists two intermediate programs, M''_1, M''_2 such that $M[N_1/s] \longrightarrow M''_1 \land M''_1 \longrightarrow return(M'_1)$, o_1 and $M[N_2/s] \longrightarrow \mathcal{R}_{\mathcal{E}}[[Eff_{\ell_s} Bool^s]]_{\ell_{Atk}}(M[N_1/s], M[N_2/s])$ to the two pure reductions which gives us that $\mathcal{R}_V[[Eff_{\ell_s} Bool^s]]_{\ell_{Atk}}(M''_1, M''_2)$, which we apply again to the monadic reductions, obtaining that $o_1 \simeq_{\ell_{Atk}} o_2$.

5.3 Effectful Language with Memory Effects

The type-system λ -coIFC^{*mem*} satisfies an analogous security guarantee as TINI for λ -coIFC^{*print*}-cf. Definition 3. The main difference is that in λ -coIFC^{*mem*}, we have to take into account the stores, since monadic reduction is only sensible when the program is paired with a store that provides terms for the references. Note that differently from call-by-value, in a call-by-name language terms need not be fully evaluated before storing them in memory. Thus, it is not enough to say that two stores are indistinguishable if they contain syntactically the same terms in positions transparent to the attacker; we need a more refined notion. For that, we lift the security condition TINI to terms in the store, namely, assuming termination of the terms pairwise stored in the memories the resulting values are equal. In Figure 10 we define indistinguishability of stores.

The indistinguishability relation states that two stores are pointwise related at security store typing Σ when both stores agree on the contents—they are indistinguishable by $\mathcal{I}_{\mathcal{G}}[-]$ —up to locations that the attacker can not observe ($\ell \sqsubseteq \ell_{Atk}$). Note that $\mathcal{I}_{\mathcal{G}}[-]$ is an instance of the logical relation for expressions specialized at ground types.

Next, we state the notion of non-interference for the language with memory.

Definition 4 (TINI for λ -coIFC^{mem}). Assume an attacker with security label ℓ_{Atk} , and a label ℓ_H for secrets such that $\ell_H \not\subseteq \ell_{Atk}$ and a set of security labels ℓ_s . Also assume a security store typing Σ . Given a program Σ , $s : Bool \vdash M : Eff Bool$, two terms Σ , $\cdot \vdash N_1, N_2 : Bool$, two initial stores $\theta_1, \theta_2 : \Sigma$, two terms Σ , $\cdot \vdash M'_1, M'_2$ and two final stores $\theta'_1, \theta'_2 : \Sigma$, such that

• $\{\ell_{Atk}\}$; Σ , s: Labeled_{$\ell_H} Bool \vdash_s M$: Eff_{ℓ_s} Bool</sub>

• $I_{\mathcal{M}}[\![\Sigma]\!]_{\ell_{Atk}}(\theta_1,\theta_2)$

•
$$\theta_1, M[N_1/s] \rightsquigarrow^* \theta'_1, return(M'_1) \land \theta_2, M[N_2/s] \rightsquigarrow^* \theta'_2, return(M'_2),$$

then it holds that $I_{\mathcal{M}}[\![\Sigma]\!]_{\ell_{Atk}}(\theta'_1, \theta'_2)$.

5.3.1 Soundness of the IFC Type-System for λ -coIFC^{mem}. In order to show that λ -coIFC^{mem} satisfies TINI, we again employ the method of logical relations. In the rest of this section we outline the notable differences between the LR for λ -coIFC^{*print*} and λ -coIFC^{*mem*}. We refer the reader to the Agda formalization for further details.

First, we necessitate of a similar logical predicate to writing-scope to show that a computation only may modify those memory cells whose label, i.e., as given by the store typing, appear in the set of labels of its security monadic type. Formally, given a security store typing Σ , a computation Σ , $\cdot \vdash^{s} M : Eff_{\ell s} \tau$ and two concrete stores of that type, $\theta_1, \theta_2 : \Sigma$ the predicate states that $\forall l.label(l) \notin \ell s \Rightarrow \theta_1(l) \equiv \theta_2(l)$. In words, locations whose labels do not belong to ℓs contain syntactically equal terms in both stores. The logical predicate is also lifted to the contents of the store.

Next, the logical indistinguishability relation from Figure 9 has to be adapted to take into
 consideration that terms of monadic type take related stores to related stores upon execution. The
 LR for stores is exactly like the indistinguishability relation from Figure 10. Restricting the contents
 of stores to terms of ground types avoids stepping into circularity problems in the definition which
 heavily complicates our development.

A further adaptation occurs in the case of the value relation for terms of monadic type $\mathcal{R}_{\mathcal{V}}[\![Eff_{\ell_s} \tau]\!]_{\ell_{A\ellk}}$. In this case, the computation takes any two initial logically related stores to related values and related final stores. Moreover, the initial stores should satisfy the writing-scope predicate, and in return the final stores also satisfy it.

Then the proof of Definition 4 falls as a corollary, in the same vein as the previous two languages, of the fundamental theorem of the logical relation.

THEOREM 5.6. The language λ -coIFC^{print} satisfies TINI as in Definition 4.

PROOF. Follows directly as a corollary of the fundamental theorem of the LR.

The details can be found in the accompanying Agda formalization.

6 IMPLEMENTATION

In this section, we outline the Haskell implementation of λ -coIFC and λ -coIFC P^{rint} —the code for handling references follows analogously. The challenging aspect of implementing the calculus from Figures 2 and 4 in Haskell is how to handle the security labels in π . Recall that the symbol π in the type judgement π ; $\cdot \vdash M$: τ represents the set of security labels—intuitively *type-level keys*—that program M has in context. Security labels in the calculus are non first-class objects, i.e., the calculus does not have a type of security labels neither introduction nor elimination rules; accordingly, the implementation should concur.

The set of security labels can also be understood as reflecting the *capabilities* the program can 922 use to open labeled data. In this light, our approach provides an implementation which considers 923 type-level keys—i.e., security labels—as capabilities [20] which should be explicitly exercised. This 924 design decision aligns with the fact that capability-based systems are enough to enforce IFC (e.g., 925 [7, 25]). Another challenge in the implementation is to provide *unforgeable capabilities*. For that we 926 will resort to a combination of Haskell's module system, to hide internals of the implementation 927 from users, and a known trick of second-order polymorphism. Our implementation is simple and 928 elegant, and we believe that it could be also applied to other capability-based system-an interesting 929 direction for future work. 930

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1 module CoIFC (Key (), Label (...), FlowsTo (), Labeled (Labeled), label, unlabel, ...) 2 3 where -- Enumeration of security labels for the two-point lattice 4 5 data $Label = H \mid L$ -- Preorder relation as a type-class 6 7 class FlowsTo (l :: Label) (l' :: Label) -- Instances for the preorder 8 9 instance FlowsTo l l 10 instance FlowsTo L H -- Type-level keys 11 12 data Key l s = Key13 data Labeled l $a = Labeled (\forall s . Key l s \rightarrow a)$ -- Labeling terms 14 15 label :: $(\forall s . Key l s \rightarrow a) \rightarrow Labeled l a$ $16 \ label = Labeled$ -- Unlabeling terms 17 18 unlabel :: FlowsTo l' $l \Rightarrow Key \ l \ s \rightarrow Labeled \ l' \ a \rightarrow a$ 19 unlabel k@Key (Labeled f) = f Key

Fig. 11. Implementation of λ -coIFC for the two-point security lattice.

6.1 Implementation of λ -colFC

Figure 11 shows the whole implementation of λ -coIFC in Haskell. Without loss of generality, the implementation assumes a two-point lattice. Similar as previous work (e.g., [4, 10, 36], we represent labels as types of kind *Label* (line 5, and the use of the GHC extension *DataKinds*) and the preorder relation encoded via a type class (lines 7–10).

 λ -coIFC treats the set of labels π abstract, and the only rules which modify the set of security labels are [LABEL] and [UNLABEL]—cf. Figure 2. This coincides with the idea that capabilities cannot be either created out of thin air nor deconstructed. Thus, our implementation needs to mimic this behavior within Haskell. We propose to apply the ST monad trick [22] as a solution to not allow keys be treated as first-class objects.

Line 12 introduces a new datatype *Key* which is parametrized by a type *l*, of kind *Label*. It stands for a label in the security lattice and a phantom type *s*. Then, line 13 introduces the *Labeled* datatype, which is simply a wrapper over the function space between the type *Key* and an arbitrary type *a*. In order to ensure IFC safety, it is important that the constructors of the datatype *Key* to be kept abstract from the library user—observe *Key* () in the export list of the module (line 2). Otherwise, anyone—including the attacker—could extract the underlying term of type *a* from *secret* :: *Labeled l a* by applying the destructor *unLabeled* to the constructor of the key datatype *Key*, i.e., writing *unLabeled secret Key*. In fact, anyone with access to the constructor *Key* has authority to forge any capability. The \forall (forall) quantifier on the right hand side of *Labeled* prevents keys from being stored within *Labeled* values—see line 13. The idea is that any new key bound by the

function will have a unique type variable which would not coincide with any type variable stated on the type, i.e., the program p = Labeled ($\lambda k \rightarrow k$) does not typecheck.

Function *label* (line 15 and 16) is a wrapper over the constructor of the *Labeled* type. The combinator *unlabel* (lines 18–19) allows to unlabel a term of *Labeled* type in case we have a *Key* (i.e., capability) for a label l' which flows to l (*FlowsTo* l' l). Similar to [37], this function is strict in its argument (k@Key) to eliminate the possibility that a forged key like *undefined* :: *Key* can be effectively used to leak secrets. We remark that the security condition TINI –recall Definitions 1 and 3–rules out the execution of those programs where *undefined* is evaluated, i.e., halts the execution with error.

The implementation shown so far consists of the *trusted computing base* (TCB) for our security library. From now on, users of the library can derive functionalities from the interface of the library. For instance, programmers can show that *Labeled l* is a *Functor*, an *Applicative* and a *Monad* for any label *l* as follows:

instance Functor (Labeled l) where 995 fmap $f x = label (\lambda k \rightarrow f (unlabel k x))$ 996 997 instance Applicative (Labeled l) where 998 *pure* $x = label (\lambda k \rightarrow x)$ 999 $f \iff a = label (\lambda k \rightarrow (unlabel k f) (unlabel k a))$ 1000 instance Monad (Labeled l) where 1001 return = pure1002 $m \gg f = label (\lambda k \rightarrow unlabel k (f \$ unlabel k m))$ 1003

Observe that these instances can be implemented *only* with the exposed interface, which we take as a sign of the elegance and generality of our approach. We have also implemented the security libraries for writting effect-free programs *Sec* [37] and DCC [1] (in an alternative but equivalent formulation proposed by Algehed [3]).

¹⁰⁰⁹ 1010 **6.2 Implementation of** λ -colFC^{*print*}

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Figure 12 shows the extension to the implementation in Figure 11 to obtain λ -coIFC ^{print}. In order to implement printing effects, we introduce a datatype that *Eff* which is parametrized with a type-level list of the security labels of the channels where the computation might print—see line 5. The type *Eff* is a graded monad over the set of security labels—just like in the calculus. The label information about effects is critical to implement the *distr* rule from Figure 4, i.e., to know what observers an computation has.

Datatype *Eff* wraps *IO*-actions and uses type-level lists (*cs* of kind [*Label*]) to keep track of the channel where the computation can write to. We can simply implement the return and bind of the graded monad, see lines 24–27, where we borrow type level sets from [30] to represent the labels indexing the graded monad. The type of return indicates that it does not produce effects, i.e., the index is the empty set—line 20. The type of bind is annotated with the union of the sets of the first argument and the continuation—line 26. Lastly, we present the subeffecting as the identity function—line 29–30.

The printing effect is emboddied by function printEff (line 10–11) which builds a computation that produces output at security level l when executed. Argument of type *SLabel l* is simply to provide an argument to indicate on which channel l, of kind *Label*, the output will get produced it—recall that functions' arguments in Haskell are of kind *, l has kind *Label*, and *SLabel l* has kind *.

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1 module CoIFC
      (..., Eff (), FlowsToSet (), pureEff, appEff, returnEff, bindEff, distr, subeff, printEff)
 2
 3 where
 4 ....
 5 newtype Eff (ls :: [Label]) a = Eff \{ runEff :: IO a \}
      -- Printing
 6
 7 data SLabel :: Label \rightarrow *where
      SH :: SLabel H
 8
      SL :: SLabel L
 9
10 printEff :: (Show a) \Rightarrow SLabel l \rightarrow a \rightarrow Eff[l] ()
11 printEff l x = Eff (print (header l) > print x)
      where header :: SLabel l \rightarrow String
12
         header SH = "Channel H:"
13
         header SL = "Channel L:"
14
      -- Functor Eff
15
16 instance Functor (Eff ls) where
      fmap f (Eff io) = Eff (fmap f io)
17
      -- Applicative
18
19 pureEff :: a \rightarrow Eff [] a
20 pureEff = returnEff
21 appEff :: Eff ls (a \rightarrow b) \rightarrow Eff ls a \rightarrow Eff (Union ls ls') b
22 appEff (Eff ioff) (Eff ioa) = Eff $ ioff \iff ioa
      -- Monad
23
24 returnEff :: a \rightarrow Eff [] a
25 returnEff a = Eff (return a)
26 bindEff :: Eff ls_1 a \rightarrow (a \rightarrow Eff ls_2 b) \rightarrow Eff (Union ls_1 ls_2) b
27 bindEff (Eff m) f = Eff (m \gg runEff \circ f)
      -- Sub-typing
28
29 subeff :: Subset ls_1 ls_2 \Rightarrow Eff ls_1 a \rightarrow Eff ls_2 a
30 subeff (Eff m) = Eff m
      -- Distr
31
32 type family FlowsToSet (1 :: Label) (ls :: [Label]) :: Constraint where
      FlowsToSet l_1 []
                               = ()
33
      FlowsToSet l_1 (l_2 : l_3) = (FlowsTo l_1 l_2, FlowsToSet l_1 l_3)
34
35 distr :: FlowsToSet l ls \Rightarrow Labeled l (Eff ls a) \rightarrow Eff ls (Labeled l a)
36 distr (Labeled f) = Eff $ fmap (\lambda a \rightarrow label (const a)) (runEff (f Key))
```

Fig. 12. Implementation of λ -colFC ^{print}.

Simple Contextual Information-Flow Control with Effects

Finally, the implementation of the primitive *distr* is straightforward—see line 35-36. Type constraint *FlowsToSet l ls* checks that $l \sqsubseteq l'$ for every channel *l'* in *ls*. The implementation uses a *Key* for label *l* (*eff Key*) to "unlabel" the *IO*-action *effects io* :: *IO a*. The final step is to "label" the result of the *IO*-action with label *l* (*fmap* ($\lambda a \rightarrow label$ (const a) io)).

1084 6.3 Implementing Existing Libraries for IFC

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The strength of our type-system as a basis for IFC libraries in Haskell arises from being able to use
it as a low level implementation language for already existing libraries such as SecLib [37], DCC
[1] and MAC [48].

In the rest of the section we briefly explain their implementations with a focus on the nonstandard combinators. To clarify the presentation assume the interface of λ -coIFC is in scope and qualified as *CoIFC* when it is not clear from the context. For a more complete account of DCC's and SecLib's implementations, we refer the reader to the Appendices A and B.

SecLib. SecLib [37] is one of the pioneers of static IFC as a library in the context of a general purpose language such as Haskell. Its main feature is a family of security monads, Sec, indexed by labels from the security lattice, each equipped with \gg (bind) and return. Sec's special ingredient is a combinator up, which allows coercing values value from a lower security into a higher levels in the security monad. On the left column, the reader can find the important types and combinators exported from the Sec library, on the right their implementation using λ -coIFCas a library:

type Sec l a	type Sec $l = CoIFC.Labeled l = a$
instance Functor (Sec l) where	
instance Monad (Sec l) where	
$up :: FlowsTo \ l \ l' \Rightarrow Sec \ l \ a \rightarrow Sec \ l' \ a$	$up :: Flows To \ l \ l' \Rightarrow Sec \ l \ a \rightarrow Sec \ l' \ a$
	$up \ lv = label \ (\lambda k \to unlabel \ k \ lv)$

Dependency Core Calculus. DCC [1] is a calculus design to subsume several dependency analysis. Although direct implementations of DCC in Haskell exist [4], we opt for an alterative presentation, S(implified)DCC, due to [3].

The main difference between SDDC and DCC, is that the former avoids the non-standard bind and the *protected at* relation disapears favoring a different set of combinators. Like DCC, SDCC sports a family of monads, one for each security label with *fmap*, *return* and \ge . Moreover, SDC interface exposes two combinators *up* and *com*. The former serves to relabel values to higher security types and the latter alows to commute the labels of a value with double monadic type. The left column displays SDCC's interface, on the right column its implementation using λ -coIFC.

1116	type T l a	type $T \ l \ a = CoIFC.Labeled \ l \ a$
1117 1118	instance Functor (Sec l) where	
1119	instance Monad (Sec l) where	
1120	$up :: Flows To \ l \ l' \Rightarrow T \ l \ a \to T \ l' \ a$	$up :: FlowsTo \ l \ l' \Rightarrow Sec \ l \ a \rightarrow Sec \ l' \ a$
1121		$up \ lv = label \ (\lambda k \to unlabel \ k \ lv)$
1122	$com :: T \ l \ (T \ l' \ a) \rightarrow T \ l' \ (T \ l \ a)$	$com :: T l (T l' a) \rightarrow T l' (T l a)$
1123		$com lv = label (\lambda kl' \rightarrow label (\lambda kl \rightarrow$
1124		unlabel kl' (unlabel kl lv)))
1125		uniabel kl (uniabel kl lv)))
1126		

MAC. MAC [48] is a full-featured library for effectful IFC in Haskell. At its core, MAC defines 1128 two types, one for labeled pure values, Labeled l a and a another, MAC l a, for secure computations. 1129 MAC l a is a monad for each security label l, where the purpose of the label is to, a) protect the 1130 data that it is in the context of the computation; and b) restrict what effects the computation is 1131 allowed to perform. MACs key is the interaction between labeled values and computations. This is 1132 achieved through two primitives *label* and *unlabel*. Unlike λ -coIFC, in order to label a value one 1133 needs to be in a computation, there is no notion of a effect-free (non monadic) secure programs. 1134

Below we hint its implementation. In the left column, we show MAC's interface and in the right 1135 column its implementation in terms of effectful λ -coIFC. 1136

1138	type Labeled l a	type Labeled $l = CoIFC.Labeled l a$
1139	type MAC l a	type MAC $l \ a = \forall \ ls$. FlowsToSet $l \ ls$
1140		$\Rightarrow Labeled \ l \ (Eff \ ls \ a)$
1141	label :: FlowsTo l l' \Rightarrow a \rightarrow MAC l (Labeled l' a)	label :: FlowsTo $l_1 \ l_2 \Rightarrow a \rightarrow MAC \ l_1$ (Labeled $l_2 \ a$)
1142 1143		label $a = label (\lambda k \rightarrow (return Eff (label (\lambda k' \rightarrow a))))$
1145		unlabel :: FlowsTo $l_1 l_2 \Rightarrow$ Labeled $l_1 a \rightarrow MAC l_2 a$
1145	unlabel :: FlowsTo l l' \Rightarrow Labeled l a \rightarrow MAC l' a	unlabel $lv = label (\lambda k \rightarrow returnEff (unlabel k lv))$
1146		$uniabel iv = iabel (\lambda K \rightarrow return Ejj (uniabel K iv))$

7 RELATED WORK

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Modal logic and programming languages. The pure fragment of our language, i.e., λ -coIFC, has 1149 been inspired by several works on applying modal logic to language-based security. The work 1150 by Shikuma and Igarashi [40] presents the sealing calculus, which captures the same principles 1151 behind our *label*, and *unlabel*, annotations but in a call-by-value setting. The sealing calculus is 1152 equivalent to DCC [1] and we therefore expect that λ -coIFC enjoys of the same expressivity. The 1153 work by Miyamoto and Igarashi [26] gives an informal connection between a classical type-system 1154 for IFC and a certain modal logic. Their type-system is very different from ours in that a typing 1155 judgement has two separate contexts, inspired by work on dual-context calculi for modal logic, 1156 which serve to keep track of globally and locally valid assumptions. Globally valid assumptions are 1157 tagged with security labels which represents the sensitivity of the variable. Further, the judgement 1158 is also indexed by a label which somehow stands for the *current* security level, and serves to 1159 constraint when variables from the global context can be used in terms. The authors also give 1160 semantics for their *label* term which has a computation cost (i.e., a subsitution). In contrast, $label_{\ell}$ 1161 in λ -coIFC has no runtime representation, and therefore no cost at runtime. Recently, the work 1162 by Abel and Bernardy [2] presents a unify treatment of modalities in typed lambda calculi. The 1163 authors essentially present a side-effect free lambda calculus parametrized on a modality with 1164 certain mathematical structure, and show many PL analyses, including IFC, can be obtained as an 1165 instantiation of their framework. As we have shown, our type-system has a rather straightforward 1166 implementation in Haskell, in contrast is not very clear how would one implement theirs since it 1167 would require a fine-grained control over the variables in the context which is difficult to achieve 1168 for a shallow embedding. 1169

Coeffects type-systems. Recently, there is a line of work that suggests using coeffect type systems 1170 to enforce information-flow control. The work by Petricek et al. [32] develops a calculus to capture 1171 different granulatiry demands on contexts, i.e., flat whole-context coeffetcs (like implicit parameters 1172 [23]) or structural per-variable ones (like usage or data access patterns). The work by Gaboardi et al. 1173 [12] expand and use graded comonads and monads to combine coeffects and effects. The authors 1174 describe distributivity laws for the graded comonads and monads for situations similar to what 1175

our primitive *distr* addresses. The article suggests IFC as an application domain where the coeffect 1177 system captures the IFC constraints and the effect system gives semantics to effects, concretely 1178 non-determinism. The distributive laws, then explain how both are combined. However, their work 1179 does not state neither proves a concrete security property for their calculus. Their interest lays in 1180 constructing a categorical model with a flavour of the usual erasure for IFC languages. Different 1181 from it, our work does not use comonads as the underlying structure for IFC and considers general 1182 reading and writing effects. Granule is a recent programming language [29] based on graded modal 1183 *types* to impose usage constraints, and encompassing coeffect types and graded monads for effects. 1184 This work also hints about IFC as an application domain by changing the interpretation of the 1185 coffect grades in a pure setting. 1186

IFC for pure and effectful languages. The work by Austin et al. [5] analyzes dynamic IFC for an 1188 imperative language in terms of a IFC-aware pure lambda calculus. This work relies on adding 1189 security annotations to lambda terms' evaluation contexts as well as that insecure effects can be 1190 rolled back, i.e., writing to a public location of memory gets ignored if a secret is available in scope. 1191 In a similar spirit, Hirsch and Cecchetti [19] develop a formal framework based on productors 1192 and a type-and-effects systems to characterize how effectful languages can be translated into a 1193 pure subset-an idea that informally hint by Algehed and Russo [4]. In contrast, our approach is 1194 conceived to separate side-effect free and side-effectful parts by design, where we use a modal 1195 operator for the side-effect free terms and a graded monad for the side-effectful ones. 1196

1197 Logical relations for NI. Both Heintze and Riecke [18], and Zdancewic and Myers [51] use logical 1198 relation to prove non-interference for a simply-typed security lambda calculus. Tse and Zdancewic 1199 [45] apply logical relation to prove soundness of a translation from DCC [1] to System F. Unfortu-1200 nately, the translation is not sound [40]. Bowman and Ahmed [8] use existential types (expressed 1201 as universal ones) to provide a valid DCC to System F_{ω} translation—their soundness theorem is 1202 also based on logical relations. Different from the cited work so far, Rajani and Garg [34] introduce 1203 logical relation to prove non-interference for a language with references. In this line of work, 1204 Gregersen et al. [15] extend the use of logical relation to prove NI for languages with predicative 1205 polymorphism. Different from [15, 34], we consider only first-order references for simplicity. Oth-1206 erwise, we should have had to utilize a step-indexed Krypke-style logical-relations model, which 1207 would have introduced technical complications that are orthogonal to the main contribution of our 1208 work. 1209

CONCLUSIONS 8

In this paper, we shed light on a point in the design space of information-flow control libraries in 1212 the context of pure languages with effects. To achieve so, we have introduced several type-systems 1213 for IFC, λ -coIFC, λ -coIFC *print* and λ -coIFC *mem*, which build atop a pure call-by-name program-1214 ming language with modal types and explicit effects of a monadic type. We show that to secure 1215 computations is enough to constraint what computations we allow to run rather than restricting 1216 which ones are allowed to be programed. After all, in a pure language, computations are first-class 1217 objects! Such an insight translates into a single primitive which controls when computations built 1218 depending on labeled information can be executed. We hope that coIFC revitalizes the importance 1219 of modalities for IFC research and opens the door to a new design space for security libraries. 1220

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1317 A IMPLEMENTATION OF THE SECLIB LIBRARY (PURE FRAGMENT)

1 module SecLib

- 2 (Sec, return, (\gg), fmap, up, FlowsTo, Label (...))
- 1321 3 where
- 1322 4 import CoIFC
- 1323

1316

1318

1319

```
5 import Prelude hiding (fmap, (≫), return)
1324
1325
       6 type Sec l a = Labeled l a
1326
       7 return :: a \rightarrow Sec \ l \ a
1327
       8 return a = label (\lambda k \rightarrow a)
1328
       9 fmap :: (a \rightarrow b) \rightarrow Sec \ l \ a \rightarrow Sec \ l \ b
1329
1330
      10 fmap f lv = label (\lambda k \rightarrow f (unlabel k lv))
1331
      11 (\gg) :: Sec l \ a \rightarrow (a \rightarrow Sec \ l \ b) \rightarrow Sec \ l \ b
1332
      12 lv \gg f = label (\lambda k \rightarrow unlabel k (f (unlabel k lv)))
1333
      13 up :: FlowsTo l l' \Rightarrow Sec l a \rightarrow Sec l' a
1334
      14 up \ lv = label \ (\lambda k \rightarrow unlabel \ k \ lv)
1335
1336
        B IMPLEMENTATION OF THE (SIMPLIFIED) DEPENDENCY CORE CALCULUS
1337
1338
       1 module SDCC
1339
             (T, eta, mu, mapT, up, com, FlowsTo, Label (...))
       2
1340
       3 where
1341
1342
       4 import CoIFC
1343
       5 type T l a = Labeled l a
1344
       6 eta :: a \rightarrow T l a
1345
       7 eta a = label (\lambda k \rightarrow a)
1346
       8 mapT :: (a \to b) \to T \ l \ a \to T \ l \ b
1347
       9 mapT f lv = label (\lambda k \rightarrow f (unlabel k lv)
1348
1349
      10 mu :: T l (T l a) \rightarrow T l a
1350
      11 mu lv = label (\lambda k \rightarrow unlabel k (unlabel k lv))
1351
      12 up :: FlowsTo \ l \ l' \Rightarrow T \ l \ a \to T \ l' \ a
1352
      13 up \ lv = label \ (\lambda k \rightarrow unlabel \ k \ lv)
1353
      14 com :: T l (T l' a) \rightarrow T l' (T l a)
1354
1355
      15 com lv = label (\lambda kl' \rightarrow label (\lambda kl \rightarrow unlabel kl' (unlabel kl lv)))
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