

# Shifting and Resetting in the Calculus of Constructions

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# Motivation

**Dependent Types** + **Control Operators**  
ensure safety                      increase convenience

$\Rightarrow$  Efficient implementation of safe programs?

## Challenge 1: Logical consistency

Herbelin '05:  $\Sigma$  + equality + call/cc =  $\perp$

- Proofs may have different witnesses
- Need to restrict dependency to pure terms (Herbelin '12)

## Challenge 2: CPS semantics

Barthe & Uustalu '02: Standard CPS fails

- CPS changes interface to values

$$e : A \rightsquigarrow v : A \xrightarrow{\text{CPS}} \lambda k. e : \neg\neg A \rightsquigarrow ???$$

- Need tricks for value-extraction  
(Bowman et al. '18)

# This work

## Calculus of Constructions + shift/reset

- What restrictions do we need?
- How do we obtain a type-preserving CPS?

shift and reset

# shift ( $\mathcal{S}$ ) and reset ( $\langle \rangle$ )

$$E[(\lambda x. e) v] \rightsquigarrow E[e [v/x]]$$

$$E[\langle F[\mathcal{S}k. e] \rangle] \rightsquigarrow E[\langle e [\lambda x. \langle F[x] \rangle / k] \rangle]$$

E.g.,  $1 + \langle 2 + \mathcal{S}k. k (k 3) \rangle$

$$\rightsquigarrow^* 1 + \langle 2 + (2 + 3) \rangle$$

$$\rightsquigarrow^* 8$$

# Pure and impure terms

Pure

Impure

---

$\lambda x. x$

$\mathcal{S}k. y$

$\langle \mathcal{S}k. x \rangle$

$(\lambda x. x) (\mathcal{S}k. y)$

$(\lambda x. x) y$

$(\lambda x. \mathcal{S}k. x) y$



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$(\lambda x. x) y$

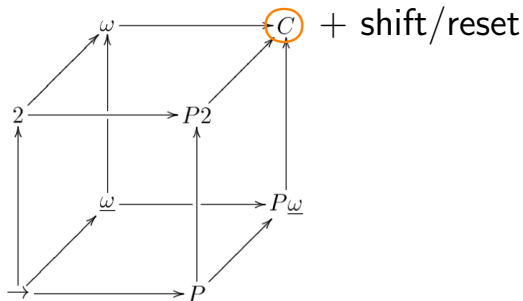
$(\lambda x. \mathcal{S}k. x) y$

$\Gamma \vdash_p e : A$

$\Gamma \vdash_{i(\alpha, \beta)} e : A$

$CC^{s/r}$ : a shift/reset-extension of CC

# The language



Key result: Need 3 restrictions on type dependency

# 1. Types do not depend on impure terms

$\mathbf{Vec} \mathbb{N} \langle \mathbf{Sk}. 1 \rangle : \checkmark$        $\mathbf{Vec} \mathbb{N} (\mathbf{Sk}. 1) : \times$

Reason: Impure indices are not informative

(What is the length of  $v : \mathbf{Vec} \mathbb{N} (\mathbf{Sk}. 1)$ ?)

## 2. Continuations are non-dependent functions

$$\mathbf{Sk}.e$$

$$\uparrow$$

Must not have type  $\Pi x : A. \alpha$

Reason: No closed  $\alpha$  for  $\Gamma \vdash_{i(\alpha,\beta)} \mathbf{Sk}.e : A$

Cf. call/cc :  $((A \rightarrow \perp) \rightarrow A) \rightarrow A$

### 3. Answers do not depend on continuations

$$Sk.e$$

$$\uparrow$$

Must not depend on  $k$

Reason: No closed  $\beta$  for  $\Gamma \vdash_{i(\alpha, \beta)} Sk.e : A$

Cf. call/cc :  $((A \rightarrow \perp) \rightarrow A) \rightarrow A$

# Enforcing Restriction 1

$$\frac{\Gamma \vdash_p e_0 : \Pi x : A. B \quad \Gamma \vdash_p e_1 : A}{\Gamma \vdash_p e_0 e_1 : B[e_1/x]} \text{ (E-APP1)}$$

$$\frac{\Gamma \vdash_p e_0 : A \rightarrow B \quad \Gamma \vdash_{i(\alpha,\beta)} e_1 : A}{\Gamma \vdash_{i(\alpha,\beta)} e_0 e_1 : B} \text{ (E-APP2)}$$

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# This work

## Calculus of Constructions + shift/reset

- What restrictions do we need? ✓
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# CPS for (pure) CC

$$e_0 e_1 \rightsquigarrow \lambda k. e_0 \dot{\div} (\lambda v_0. e_1 \dot{\div} (\lambda v_1. v_0 v_1 k))$$

# CPS for (pure) CC

$$e_0 e_1 \rightsquigarrow \lambda k. e_0^{\dot{+}} (\lambda v_0. e_1^{\dot{+}} (\lambda v_1. v_0 v_1 k))$$

Problem:

$$k : \neg(B [e_1/x])^+, v_0 v_1 : \neg\neg B^+ [v_1/x]$$

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Solution (Bowman et al. '18):

- $e^{\dot{\div}} : \Pi \alpha : *. (A \rightarrow \alpha) \rightarrow \alpha$
- New equivalence/typing rules

# Finding a “better” translation

Bowman et al.:

- CPS as a compiler pass
- Need make control flow explicit everywhere

Our work:

- CPS as a `shift/reset`-elimination
- Only need to translate impure terms

# Selective CPS translation

- Impure terms into CPS
- Type preservation *for free*

$$\underset{\text{impure}}{e_0} \underset{\text{pure}}{e_1} \rightsquigarrow \lambda k. e_0^{\dot{+}} (\lambda v_0. v_0 e_1^+ k)$$

$$k : \neg_{\alpha}(B [e_1/x])^+, \quad v_0 e_1^+ : \neg_{\beta}\neg_{\alpha}B^+ [e_1^+/x]$$

$$\text{where } \neg_{\alpha}A \stackrel{\text{def}}{=} A \rightarrow \alpha$$

# Takeaway

Let's make dependently typed programming more fun with shift and reset!

- 3 restrictions on type dependency
- Selective CPS translation