

# Mechanically Verified LISP Interpreters

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# Verified LISP interpreters

Why LISP?

The simplest real-world functional language for which I could verify an implementation.

The specification?

Given a string denoting an s-expression, the interpreters evaluates the expression and produce a string describing the result.

Interpreters?

Yes, multiple: ARM, PowerPC and x86 implementations.

# This talk

Describes ideas behind proof techniques instead of detailed proofs.

1. machine-code specifications
2. decompilation into logic
3. proof-producing compilation
4. LISP proofs
5. summary, lessons learnt

## **Part 1.** Machine code

— underlying models, Hoare triples

# Machine code

Underlying processor models:

**ARM** – developed by Anthony Fox, verified against a register-transfer level model of an ARM processor;

**x86** – developed together with Susmit Sarkar, Peter Sewell, Scott Owens, etc, heavily tested against a real processor;

**PowerPC** – a HOL4 translation of Xavier Leroy's PowerPC model, used in his proof of an optimising C compiler.

Large detailed models...

## Machine code, x86

Example, specification of x86 decoding:

```
" 8B /r      | MOV r32, r/m32  ";  
" B8+rd id  | MOV r32, imm32  ";
```

Snippet from operational semantics:

```
x86 exec ii (Xbinop binop_name ds) len = parT_unit  
(seqT (read_eip ii) (λx. write_eip ii (x + len)))  
(seqT  
  (parT (read_src ea ii ds) (read_dest ea ii ds))  
  (λ((ea_src , val_src), (ea_dest , val_dest)).  
    write_binop ii binop_name val_dest val_src ea_dest))
```

## Machine code, x86

Even 'simple' instructions get complex definition.

Sequential op.sem. evaluated for instruction "40" (i.e. `inc eax`):

```
x86_read_reg EAX state = eax ∧
x86_read_eip state = eip ∧
x86_read_mem eip state = some 0x40 ⇒
x86_next state =
  some (x86_write_reg EAX (eax + 1)
    (x86_write_eip (eip + 1)
      (x86_write_eflag AF none
        (x86_write_eflag SF (some (sign_of (eax + 1)))
          (x86_write_eflag ZF (some (eax + 1 = 0))
            (x86_write_eflag PF (some (parity_of (eax + 1)))
              (x86_write_eflag OF none state))))))))))
```

## Machine code, specifications

Clearly some abbreviations are needed!

A machine-code Hoare triple:

$$\begin{array}{l} \{ R \text{ EAX } a * \text{ EIP } p * S \} \\ p : 40 \\ \{ R \text{ EAX } (a+1) * \text{ EIP } (p+1) * S \} \end{array}$$

Here  $S = \exists a s z p o. \text{eflag AF } a * \text{eflag SF } s * \text{eflag ZF } z * \dots$

In HOL4 syntax:

```
SPEC X86_MODEL
  (xR EAX a * xEIP p * xS)
  {(p, [0x40w])}
  (xR EAX (a+1w) * xEIP (p+1w) * xS)
```



## Machine code, Hoare triple

The Hoare triple uses a separating conjunction  $*$ , defined over sets:

$$(p * q) s = \exists v u. p u \wedge q v \wedge (u \cup v = s) \wedge (u \cap v = \{\})$$

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We define translations from processor states to sets, for instance `x86_to_set` can produce:

$$\{ \text{xReg EAX } 5, \text{xReg EDX } 56, \text{xReg ECX } 89, \dots, \\ \text{xMem } 0 \text{ none}, \text{xMem } 1 \text{ (some } 67), \text{xMem } 2 \text{ (some } 255), \dots, \\ \text{xStatus AF (some } \textit{true}), \text{xStatus ZF none}, \dots \}$$

Let  $R a x = \lambda s. (s = \{\text{xReg } a \ x\})$ .

$$(R a x * R b y * p) (\text{x86\_to\_set } s) \Rightarrow a \neq b \wedge (\text{x86\_read\_reg } a \ s = x)$$

# Machine code, Hoare triple definition

The Hoare triple's definition

$$\{p\} c \{q\} = \forall r s. (p * \text{code } c * r) (\text{to\_set}(s)) \Rightarrow \\ \exists n. (q * \text{code } c * r) (\text{to\_set}(\text{next}^n(s)))$$

Covers functional correctness, termination, resource usage.

$$\{ R \text{ EAX } a * \text{ EIP } p * S \} \\ p : 40 \\ \{ R \text{ EAX } (a+1) * \text{ EIP } (p+1) * S \}$$

## Machine code, memory accesses

A memory load:

$$\begin{aligned} & a \in \text{domain } f \wedge \text{aligned}(a) \Rightarrow \\ & \{ \text{R ESI } a * \text{M } f * \text{EIP } p * \text{S} \} \\ & \quad p : 31\text{C0} \\ & \{ \text{R ESI } (f(a)) * \text{M } f * \text{EIP } (p+2) * \text{S} \} \end{aligned}$$

where  $\text{M } f = \lambda s. (s = \{\text{xMem } a \text{ (some } f(a)) \mid a \in \text{domain } f\})$ .

## Machine code, Hoare triple rules

compose:  $\{p\} c \{m\} \wedge \{m\} c' \{q\} \Rightarrow \{p\} c \cup c' \{q\}$

frame:  $\{p\} c \{q\} \Rightarrow \forall r. \{p * r\} c \{q * r\}$

where  $\text{cond } g = \lambda s. (s = \{\}) \wedge g.$

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exists:  $\{\exists x. p(x)\} c \{q\} = \forall x. \{p(x)\} c \{q\}$

id:  $\{p\} c \{p\}$

extend:  $\{p\} c \{q\} \Rightarrow \forall c'. \{p\} c \cup c' \{q\}$

where  $\text{cond } g = \lambda s. (s = \{\}) \wedge g$ .

## Machine code, manual proofs

Tried to do proofs manually in HOL4, very tiresome.

Proved Schorr-Waite implementation and used it the verification of an in-place mark-and-sweep garbage collector.

Proof was unsatisfactory: long, tedious and tied to the ARM model.



## **Part 2.** Decompilation into logic

— automating machine code proofs

# Decompilation, overview

Conventional approach:

1. **user** annotates program with assertions
2. **tool** generates verification conditions (VCs)
3. **user** proves VCs

Decompilation approach:

1. **tool** translates program into recursive function
2. **user** proves function correct

## Decompilation, idea

Given a while-program:

```
a := 0;
while (n  $\neq$  0) do
  a := a + 1;
  n := n - 2
end
```

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a := 0;
while (n ≠ 0) do
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```

automatic transformation produces:

```
f(a,n) = let a = 0 in g(a,n)
g(a,n) = if n = 0 then (a,n) else
  let a = a + 1 in
  let n = n - 2 in
  g(a,n)
```

## Decompilation, certificate

“while-programs as recursive functions” – an idea by McCarthy from 1960s.

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The automatically proved statement:

```
f_pre(a,n) ⇒  
HOARE_TRIPLE  
  (VAR "a" a * VAR "n" n)  
  (a := 0; while (n ≠ 0) do a := a + 1; n := n - 2 end)  
  (let (a2,n2) = f(a,n) in (VAR "a" a2 * VAR "n" n2))
```

```
where f_pre(a,n) = let a = 0 in g_pre(a,n)  
      g_pre(a,n) = if n = 0 then true else  
                    let a = a + 1 in  
                    let n = n - 2 in  
                    g_pre(a,n)
```

## Decompilation, verification

Suppose we want to prove the while-program.

We look at the generated function:

```
f(a,n) = let a = 0 in g(a,n)
g(a,n) = if n = 0 then (a,n) else
         let a = a + 1 in
         let n = n - 2 in
         g(a,n)
```

prove that it computes the desired result:

$$\forall n a. 0 \leq n \Rightarrow (g(a, 2 \times n) = (n + a, 0)) \wedge g\_pre(a, 2 \times n)$$
$$\forall n a. 0 \leq n \wedge \text{EVEN } n \Rightarrow (f(a, n) = (n \text{ DIV } 2, 0)) \wedge f\_pre(a, n)$$

Very simple proofs (7-line HOL4-proof).

## Decompilation, using the certificate

We now have two theorems:

$\forall n \ a. \ 0 \leq n \wedge \text{EVEN } n \Rightarrow (f(a,n) = (n \text{ DIV } 2, 0)) \wedge f\_pre(a,n)$

$f\_pre(a,n) \Rightarrow$

HOARE\_TRIPLE

(VAR "a" a \* VAR "n" n)

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It is now easy to prove the code:

$0 \leq n \wedge \text{EVEN } n \Rightarrow$

HOARE\_TRIPLE

(VAR "a" a \* VAR "n" n)

(a := 0; while (n  $\neq$  0) do a := a + 1; n := n - 2 end)

(VAR "a" (n DIV 2) \* VAR "n" 0)

## Comparison with the VC approach

Annotate the program:

```
{ pre n }  
a := 0;  
while (n  $\neq$  0) do { inv n } [ variant ]  
  a := a + 1;  
  n := n - 2  
end  
{ post n }
```

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end  
{ post n }
```

Define:

```
pre n =  $\lambda s. (s("n") = n) \wedge \text{EVEN } n \wedge 0 \leq n$   
post n =  $\lambda s. (s("a") = n \text{ DIV } 2) \wedge (s("n") = 0)$   
inv n =  $\lambda s. (n = 2 \times s("a") + s("n")) \wedge \text{EVEN } s("n") \wedge 0 \leq s("n")$   
variant =  $\lambda s. s("n")$ 
```

## Comparison with the VC approach

If the user proves the verification conditions, then we have:

$$\forall n. \text{HOARE\_TRIPLE } (\text{pre } n) \text{ (a := 0; while ...)} (\text{post } n)$$

Summary of comparison:

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the new proof only required stating the desired result of the remaining part of the loop:

$$g(a, 2 \times n) = (n + a, 0)$$

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$$g(a, 2 \times n) = (n + a, 0)$$

- ▶ VC proof uses a variant where the new proof uses induction;
- ▶ VC proof deals directly with the state  $s$ , the other does not.



## Decompilation, core ideas

How to implement the proof-producing translation?

Key ideas:

1. define functions as instances of

$$\mathit{tailrec}_{G,F,D}(x) = \text{if } G(x) \text{ then } \mathit{tailrec}_{G,F,D}(F(x)) \text{ else } D(x)$$

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$$\mathit{pre}_{G,F}(x) = \exists n. \neg(G(F^n(x)))$$

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2. specify termination for value  $x$  as

$$\mathit{pre}_{G,F}(x) = \exists n. \neg(G(F^n(x)))$$

3. but give the user

$$\mathit{pre}_{G,F}(x) = \text{if } G(x) \text{ then } \mathit{pre}_{G,F}(F(x)) \text{ else true}$$

## Decompilation, core ideas

### 4. use loop rule

$$\begin{aligned} & (\forall x. \text{HOARE\_TRIPLE } (p(x)) \ c \ (p(F(x)))) \Rightarrow \\ & (\forall x. \text{pre}_{G,F}(x) \Rightarrow \\ & \quad \text{HOARE\_TRIPLE } (p(x)) \ (\text{while } G \ c) \ (p(\text{tailrec}_{G,F,id}(x)))) \end{aligned}$$

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For machine code:

### 5. also work one loop at a time, loop rule:

$$\begin{aligned} & (\forall x. H \ x \wedge G(x) \Rightarrow \text{SPEC } m \ (p(x)) \ c \ (p(F(x)))) \Rightarrow \\ & (\forall x. H \ x \wedge \neg G(x) \Rightarrow \text{SPEC } m \ (p(x)) \ c \ (q(D(x)))) \Rightarrow \\ & (\forall x. \text{pre}_{G,F,H}(x) \Rightarrow \text{SPEC } m \ (p(x)) \ c \ (q(\text{tailrec}_{G,F,D}(x)))) \end{aligned}$$

with

$$\text{pre}_{G,F,H}(x) = H(x) \wedge \text{if } G(x) \text{ then } \text{pre}_{G,F,H}(F(x)) \text{ else true}$$

## Decompilation, example

Given some hard-to-read machine code,

```
0: E3A00000      mov r0, #0
4: E3510000      L: cmp r1, #0
8: 12800001      addne r0, r0, #1
12: 15911000      ldrne r1, [r1]
16: 1AFFFFFFB     bne L
```

This transforms to a readable HOL4 function:

$$f(r_0, r_1, m) = \text{let } r_0 = 0 \text{ in } g(r_0, r_1, m)$$
$$g(r_0, r_1, m) = \text{if } r_1 = 0 \text{ then } (r_0, r_1, m) \text{ else} \\ \text{let } r_0 = r_0 + 1 \text{ in} \\ \text{let } r_1 = m(r_1) \text{ in} \\ g(r_0, r_1, m)$$

## Decompilation, example

Precondition keeps track of side-conditions:

$$f\_pre(r_0, r_1, m) = \text{let } r_0 = 0 \text{ in } g\_pre(r_0, r_1, m)$$

$$g\_pre(r_0, r_1, m) = \text{if } r_1 = 0 \text{ then } true \text{ else}$$
$$\quad \text{let } r_0 = r_0 + 1 \text{ in}$$
$$\quad \text{let } cond = r_1 \in \text{domain } m \wedge \text{aligned}(r_1) \text{ in}$$
$$\quad \text{let } r_1 = m(r_1) \text{ in}$$
$$\quad \quad g\_pre(r_0, r_1, m) \wedge cond$$

## Decompilation, example

Certificate:

$$f_{pre}(r_0, r_1, m) \Rightarrow$$
$$\{ (R0, R1, M) \text{ is } (r_0, r_1, m) * \text{PC } p \}$$
$$p : \text{E3A00000}, p+4 : \text{E3510000} \dots p+16 : \text{1AFFFFFFB}$$
$$\{ (R0, R1, M) \text{ is } f(r_0, r_1, m) * \text{PC } (p + 20) \}$$

Here  $(R0, R1, M) \text{ is } (r_0, r_1, m) = R0 \ r_0 * R1 \ r_1 * M \ m$ .



## Decompilation, proof reuse

Manual verification proof:

$$\forall x \ l \ a \ m. \text{list}(l, a, m) \Rightarrow f(x, a, m) = (\text{length}(l), 0, m)$$

$$\forall x \ l \ a \ m. \text{list}(l, a, m) \Rightarrow f_{pre}(x, a, m)$$

Note: Proof not tied to ARM model.

In fact, similar x86 code and PowerPC code decompiles to  $f'$  and  $f''$  such that  $f = f' = f''$ . Manual proof can be reused!

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In fact, similar x86 code and PowerPC code decompiles to  $f'$  and  $f''$  such that  $f = f' = f''$ . Manual proof can be reused!

Proving  $f = f'$  is easy in some case since

$$G = G' \wedge F = F' \wedge D = D' \Rightarrow \text{tailrec}_{G,F,D} = \text{tailrec}_{G',F',D'}$$

**Part 3.** Proof-producing compilation  
— generating correct code

## Compilation, idea

Decompilation:  $code \rightarrow function \times certificate$ .

Compilation:  $function \rightarrow code \times certificate$ .

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Decompilation:  $code \rightarrow function \times certificate$ .

Compilation:  $function \rightarrow code \times certificate$ .

Compilation of function  $f$ :

1. generate code for  $f$ ;
2. decompile code to produce proved-to-be-correct  $f'$ ;
3. automatically prove  $f = f'$ .

Note: step 1 can introduce arbitrary optimisations (instruction reordering, conditional execution ...) as long as step 3 succeeds.

## Compilation, example

### Compiling

$f(r_1) = \text{if } r_1 < 10 \text{ then } r_1 \text{ else let } r_1 = r_1 - 10 \text{ in } f(r_1)$

produces ARM code:

```
E351000A  L:  cmp r1,#10
2241100A      subcs r1,r1,#10
2AFFFFFC      bcs L
```

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E351000A  L:  cmp r1,#10
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and proves:

$\{R1\ r_1 * PC\ p * S\} \ p : E351000A, \dots \{R1\ f(r_1) * PC\ (p+12) * S\}$

## Compilation, example

### Compiling

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and proves:

$\{R1\ r_1 * PC\ p * S\} \ p : E351000A, \dots \{R1\ f(r_1) * PC\ (p+12) * S\}$

**Extension:** If we prove “ $f(x) = x \bmod 10$ ”, then compiler can be made to understand “let  $r_1 = r_1 \bmod 10$  in”, for ARM.



## Compilation, input language

$input ::= f(v, v, \dots, v) = rhs$

$rhs ::= let\ r = exp\ in\ rhs \quad | \quad let\ s = r\ in\ rhs$

$| \quad let\ m = m[address \mapsto r]\ in\ rhs$

$| \quad let\ (v, v, \dots, v) = g(v, v, \dots, v)\ in\ rhs$

$| \quad if\ guard\ then\ rhs\ else\ rhs$

$| \quad f(v, v, \dots, v) \quad | \quad (v, v, \dots, v)$

$exp ::= x \quad | \quad \neg x \quad | \quad s \quad | \quad i_{32} \quad | \quad x\ binop\ x \quad | \quad m\ address \quad | \quad x \ll i_5 \quad | \quad x \gg i_5$

$binop ::= + \quad | \quad - \quad | \quad \times \quad | \quad \& \quad | \quad ?? \quad | \quad !!$

$compare ::= < \quad | \quad \leq \quad | \quad > \quad | \quad \geq \quad | \quad <. \quad | \quad \leq. \quad | \quad >. \quad | \quad \geq. \quad | \quad =$

$guard ::= \neg guard \quad | \quad x\ compare\ x \quad | \quad x \& x = 0$

$address ::= r \quad | \quad r + i_7 \quad | \quad r - i_7$

$x ::= r \quad | \quad i_8$

$v ::= r \quad | \quad s \quad | \quad m$

## **Part 4.** LISP proofs

— decompiling primitives, compiling interpreters

## LISP proofs, strategy

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## LISP proofs, strategy

To produce verified LISP interpreters:

1. write ARM implementation of `car`, `cdr`, `cons`, etc.
2. verify these operations using decompilation;
3. reuse proofs for PowerPC and x86;
4. define a *`lisp_eval`* as tail-recursive function, using `car`, `cdr` etc.
5. compile *`lisp_eval`* using proof-producing compilation.

## LISP proofs, primitives

First define s-expression as HOL data-type:

$$x ::= \text{Dot } x \ x \mid \text{Num } n \mid \text{Str } s$$

where  $n$  is natural numbers and  $s$  is strings.



## LISP proofs, primitives

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where  $n$  is natural numbers and  $s$  is strings.

Define basic LISP operations:

$$\text{car } (\text{Dot } x \ y) = x$$

$$\text{cdr } (\text{Dot } x \ y) = y$$

$$\text{cons } x \ y = \text{Dot } x \ y$$

$$\text{plus } (\text{Num } m) \ (\text{Num } n) = \text{Num } (m + n)$$

## LISP proofs, primitives proved

The specification for ARM instruction executing 'car':

$$\begin{aligned} & (\exists x y. v_1 = \text{Dot } x y) \Rightarrow \\ & \{ \text{LISP } \textit{limit} (v_1, v_2, v_3, v_4, v_5, v_6) * \text{PC } p \} \\ & p : 35E30003 \\ & \{ \text{LISP } \textit{limit} ((\text{car } v_1), v_2, v_3, v_4, v_5, v_6) * \text{PC } (p+1) \} \end{aligned}$$

where

$$\begin{aligned} \text{LISP } \textit{limit} (v_1, v_2, v_3, v_4, v_5, v_6) = \\ \exists r_3 r_4 r_5 r_6 r_7 r_8 r_9 m t. \\ R3 r_3 * R4 r_4 * R5 r_5 * R6 r_6 * R7 r_7 * R8 r_8 * R9 r_9 * M m * M t * \\ \text{cond}(\text{lisp\_inv } \textit{limit} (v_1, v_2, v_3, v_4, v_5, v_6) (r_3, r_4, r_5, r_6, r_7, r_8, r_9, m, t)) \end{aligned}$$

with 'lisp\_inv' relating abstract and concrete states.

## LISP proofs, cons

The specification for 'cons':

*size v<sub>1</sub> + size v<sub>2</sub> + size v<sub>3</sub> + size v<sub>4</sub> + size v<sub>5</sub> + size v<sub>6</sub> < limit* ⇒

{ LISP *limit* (v<sub>1</sub>, v<sub>2</sub>, v<sub>3</sub>, v<sub>4</sub>, v<sub>5</sub>, v<sub>6</sub>) \* S \* PC p }

p : ...code...

{ LISP *limit* ((cons v<sub>1</sub> v<sub>2</sub>), v<sub>2</sub>, v<sub>3</sub>, v<sub>4</sub>, v<sub>5</sub>, v<sub>6</sub>) \* S \* PC (p+336) }

where

size (Str s) = 0

size (Num n) = 0

size (Dot x y) = size x + size y + 1

# LISP proofs, memory usage

Memory layout:

(heap half 1)	(heap half 2)	(table)
[xxxxxxxxxxxx.....]	[.....]	[symbols]

# LISP proofs, memory usage

Memory layout:

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Memory not wasted:

$$\{ \text{LISP limit } (v_1, v_2, v_3, v_4, v_5, v_6) * S * \text{PC } p \}$$
$$p : \dots \text{code} \dots$$
$$\{ \text{LISP limit } ((\text{equal } v_1 \ v_2), v_2, v_3, v_4, v_5, v_6) * S * \text{PC } (p+232) \}$$

where

$$\text{equal } x \ y = \text{if } x = y \text{ then Str "T" else Str "nil"}$$

## LISP proofs, compile

Verified primitives supplied to compiler, makes it understand:

```
let v1 = cons v1 v2 in  
let v1 = equal v1 v2 in  
let v1 = car v1 in  
let v4 = cdr v2 in  
...
```

# LISP proofs, compile

Example:

$$f(v_1, v_2, v_3, v_4, v_5, v_6) = \text{if } v_2 = \text{Str "nil"} \text{ then}$$
$$\quad (v_1, v_2, v_3, v_4, v_5, v_6)$$
$$\text{else}$$
$$\quad \text{let } v_2 = \text{cdr } v_2 \text{ in}$$
$$\quad \text{let } v_1 = \text{cons } v_1 \ v_2 \text{ in}$$
$$\quad f(v_1, v_2, v_3, v_4, v_5, v_6)$$

compiles to:

$$f_{pre}(v_1, v_2, v_3, v_4, v_5, v_6, limit) \Rightarrow$$
$$\{ \text{LISP } limit (v_1, v_2, v_3, v_4, v_5, v_6) * S * \text{PC } p \}$$
$$p : \dots \text{code} \dots$$
$$\{ \text{LISP } limit f(v_1, v_2, v_3, v_4, v_5, v_6) * S * \text{PC } (p+356) \}$$

## LISP proofs, eval

So *lisp\_eval* is defined as tail-recursion with

- $v_1$  – s-exp to be evaluated
- $v_2$  – temp var 1
- $v_3$  – temp var 2
- $v_4$  – stack/continuation
- $v_5$  – store: list of symbol, value pairs
- $v_6$  – task variable

compiles to:

*lisp\_eval<sub>pre</sub>*( $v_1, v_2, v_3, v_4, v_5, v_6, limit$ )  $\Rightarrow$

{ LISP *limit* ( $v_1, v_2, v_3, v_4, v_5, v_6$ ) \* S \* PC  $p$  }

$p$  : ...code...

{ LISP *limit* *lisp\_eval*( $v_1, v_2, v_3, v_4, v_5, v_6$ ) \* S \* PC ( $p+1452$ ) }



## Future work

(not-yet-proved) specification with parsing and printing:

*lisp\_eval<sub>pre</sub>(exp, limit)*  $\Rightarrow$

{ String *a* (*sexp2str*(*exp*)) \* ... \* S \* PC *p* }

*p* : ...code...

{ String *a* (*sexp2str*(*lisp\_eval*(*exp*))) \* ... \* S \* PC (*p*+...) }

Further work

1. finish string-to-string specification
2. add support for bignum, rationals, complex rationals...
3. verify an ACL2 evaluator

## **Part 5.** Summary

— lessons learnt

# Summary

Verified LISP interpreters were produced by:

1. verifying ARM implementations of `car`, `cdr`, `cons`, etc. using decompilation
2. reusing proofs for PowerPC and x86;
3. defining a *`lisp_eval`* as tail-recursive function;
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Verified LISP interpreters were produced by:

1. verifying ARM implementations of `car`, `cdr`, `cons`, etc. using decompilation
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Lesson learnt:

“Make the proof easy for the theorem prover” – Mike Gordon.

(It made sense to turn everything into recursive functions.)