

# Automated Deduction

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# Outline

Saturation Algorithms (contd)

Redundancy Elimination

# Inference Process

**Inference process:** sequence of sets of formulas  $S_0, S_1, \dots$ , denoted by

$$S_0 \Rightarrow S_1 \Rightarrow S_2 \Rightarrow \dots$$

$(S_i \Rightarrow S_{i+1})$  is a **step** of this process.

We say that this step is an **I-step** if

1. there exists an inference

$$\frac{F_1 \quad \dots \quad F_n}{F}$$

in  $\mathbb{I}$  such that  $\{F_1, \dots, F_n\} \subseteq S_i$ ;

2.  $S_{i+1} = S_i \cup \{F\}$ .

An **I-inference process** is an inference process whose every step is an I-step.

# Property

Let  $S_0 \Rightarrow S_1 \Rightarrow S_2 \Rightarrow \dots$  be an  $\mathbb{I}$ -inference process and a formula  $F$  belongs to some  $S_i$ . Then  $S_i$  is derivable in  $\mathbb{I}$  from  $S_0$ . In particular, every  $S_i$  is a subset of the  $\mathbb{I}$ -closure of  $S_0$ .

# Limit of a Process

The **limit** of an inference process  $S_0 \Rightarrow S_1 \Rightarrow S_2 \Rightarrow \dots$  is the set of formulas  $\bigcup_i S_i$ .

In other words, the limit is **the set of all derived formulas**.

Suppose that we have an infinite inference process such that  $S_0$  is **unsatisfiable** and we use the **binary resolution inference system**.

**Question:** does completeness imply that the limit of the process contains the empty clause?

# Fairness

Let  $S_0 \Rightarrow S_1 \Rightarrow S_2 \Rightarrow \dots$  be an inference process with the limit  $S_\omega$ .  
The process is called **fair** if for every  $\mathbb{I}$ -inference

$$\frac{F_1 \quad \dots \quad F_n}{F},$$

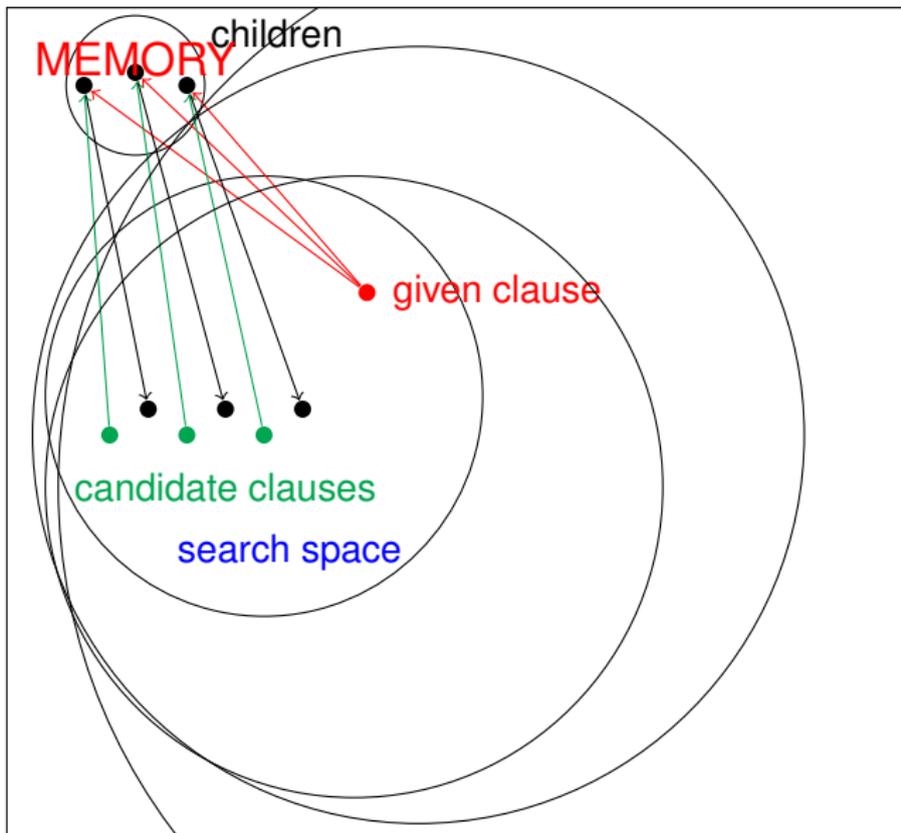
if  $\{F_1, \dots, F_n\} \subseteq S_\omega$ , then there exists  $i$  such that  $F \in S_i$ .

# Completeness, reformulated

**Theorem** Let  $\mathbb{I}$  be an inference system. The following conditions are equivalent.

1.  $\mathbb{I}$  is complete.
2. For every unsatisfiable set of formulas  $S_0$  and any fair  $\mathbb{I}$ -inference process with the initial set  $S_0$ , the limit of this inference process contains  $\square$ .

# Fair Saturation Algorithms: Inference Selection by Clause Selection



# Saturation Algorithm

A **saturation algorithm** tries to **saturate** a set of clauses with respect to a given inference system.

**In theory** there are three possible scenarios:

1. At some moment the empty clause  $\square$  is generated, in this case the input set of clauses is unsatisfiable.
2. Saturation will terminate without ever generating  $\square$ , in this case the input set of clauses is satisfiable.
3. Saturation will run **forever**, but without generating  $\square$ . In this case the input set of clauses is satisfiable.

# Saturation Algorithm in Practice

In practice there are three possible scenarios:

1. At some moment the empty clause  $\square$  is generated, in this case the input set of clauses is unsatisfiable.
2. Saturation will terminate without ever generating  $\square$ , in this case the input set of clauses is satisfiable.
3. Saturation will run until we run out of resources, but without generating  $\square$ . In this case it is unknown whether the input set is unsatisfiable.

# Outline

Saturation Algorithms (contd)

Redundancy Elimination

# Subsumption and Tautology Deletion

A clause is a propositional tautology if it is of the form  $p \vee \neg p \vee C$ , that is, it contains a pair of complementary literals.

There are also **equational tautologies**, for example  $a \neq b \vee b \neq c \vee f(c, c) = f(a, a)$ .

A clause  $C$  **subsumes** any clause  $C \vee D$ , where  $D$  is non-empty.

It was known since 1965 that **subsumed clauses and propositional tautologies can be removed from the search space.**

# Problem

How can we **prove** that **completeness is preserved** if we **remove subsumed clauses and tautologies** from the **search space**?

Solution: general **theory of redundancy**.

# Bag Extension of an Ordering

**Bag = finite multiset.**

Let  $>$  be any (strict) ordering on a set  $X$ . The **bag extension** of  $>$  is a binary relation  $>^{bag}$ , on bags over  $X$ , defined as the smallest transitive relation on bags such that

$$\{x, y_1, \dots, y_n\} >^{bag} \{x_1, \dots, x_m, y_1, \dots, y_n\} \\ \text{if } x > x_i \text{ for all } i \in \{1 \dots m\},$$

where  $m \geq 0$ .

**Idea:** a bag becomes smaller if we replace an element by **any finite number** of smaller elements.

The following **results are known** about the bag extensions of orderings:

1.  $>^{bag}$  is an **ordering**;
2. If  $>$  is **total**, then so is  $>^{bag}$ ;
3. If  $>$  is **well-founded**, then so is  $>^{bag}$ .

# Clause Orderings

From now on consider clauses also as **bags of literals**. Note:

- ▶ we have an ordering  $\succ$  for comparing literals;
- ▶ a clause is a bag of literals.

Hence

- ▶ we can compare clauses using the **bag extension**  $\succ^{bag}$  of  $\succ$ .

For simplicity we denote the multiset ordering also by  $\succ$ .

# Redundancy

A clause  $C \in S$  is called **redundant in  $S$**  if it is a logical consequence of clauses in  $S$  strictly smaller than  $C$ .

# Examples

A **tautology**  $p \vee \neg p \vee C$  is a logical consequence of the empty set of formulas:

$$\models p \vee \neg p \vee C,$$

therefore it is **redundant**.

We know that  $C$  **subsumes**  $C \vee D$ . Note

$$\begin{aligned} C \vee D &\succ C \\ C &\models C \vee D \end{aligned}$$

therefore subsumed clauses are **redundant**.

If  $\square \in S$ , then all non-empty other clauses in  $S$  are **redundant**.

# Redundant Clauses Can be Removed

In  $\mathbb{BR}_\sigma$  (and in all calculi we will consider later) **redundant clauses can be removed from the search space.**

# Inference Process with Redundancy

Let  $\mathbb{I}$  be an inference system. Consider an inference process with two kinds of step  $S_i \Rightarrow S_{i+1}$ :

1. Adding the conclusion of an  $\mathbb{I}$ -inference with premises in  $S_i$ .
2. Deletion of a clause redundant in  $S_i$ , that is

$$S_{i+1} = S_i - \{C\},$$

where  $C$  is redundant in  $S_i$ .

# Fairness: Persistent Clauses and Limit

Consider an inference process

$$S_0 \Rightarrow S_1 \Rightarrow S_2 \Rightarrow \dots$$

A clause  $C$  is called **persistent** if

$$\exists \forall j \geq i (C \in S_j).$$

The **limit**  $S_\omega$  of the inference process is the set of all persistent clauses:

$$S_\omega = \bigcup_{i=0,1,\dots} \bigcap_{j \geq i} S_j.$$

# Fairness

The process is called  $\mathbb{I}$ -fair if every inference with persistent premises in  $S_\omega$  has been applied, that is, if

$$\frac{C_1 \quad \dots \quad C_n}{C}$$

is an inference in  $\mathbb{I}$  and  $\{C_1, \dots, C_n\} \subseteq S_\omega$ , then  $C \in S_i$  for some  $i$ .

# Completeness of $\text{BR}_\sigma$

**Completeness Theorem.** Let  $\succ$  be a well-founded ordering and  $\sigma$  a well-behaved selection function. Let also

1.  $\mathcal{S}_0$  be a set of clauses;
2.  $\mathcal{S}_0 \Rightarrow \mathcal{S}_1 \Rightarrow \mathcal{S}_2 \Rightarrow \dots$  be a fair  $\text{BR}_\sigma$ -inference process.

Then  $\mathcal{S}_0$  is unsatisfiable if and only if  $\square \in \mathcal{S}_i$  for some  $i$ .

# Saturation up to Redundancy

A set  $S$  of clauses is called **saturated up to redundancy** if for every  $\mathbb{I}$ -inference

$$\frac{C_1 \quad \dots \quad C_n}{C}$$

with premises in  $S$ , either

1.  $C \in S$ ; or
2.  $C$  is redundant w.r.t.  $S$ , that is,  $S_{\setminus C} \models C$ .

# Saturation up to Redundancy and Satisfiability Checking

**Lemma.** A set  $S$  of clauses saturated up to redundancy is unsatisfiable if and only if  $\square \in S$ .

Therefore, if we built a set saturated up to redundancy, then the initial set  $S_0$  is **satisfiable**. This is a powerful way of checking redundancy: one can even check satisfiability of formulas having only **infinite models**.

The only problem with this characterisation is that there is **no obvious way to build a model of  $S_0$**  out of a saturated set.

# Binary Resolution with Selection

One of the **key properties** to satisfy this lemma is the following: the conclusion of every rule is strictly smaller than the rightmost premise of this rule.

- ▶ Binary resolution,

$$\frac{\underline{p} \vee C_1 \quad \underline{\neg p} \vee C_2}{C_1 \vee C_2} \text{ (BR).}$$

- ▶ Positive factoring,

$$\frac{\underline{p} \vee \underline{p} \vee C}{p \vee C} \text{ (Fact).}$$