

# Computing Nested Fixpoints in Quasipolynomial Time

---

*Daniel Hausmann* and Lutz Schröder

LaBRI – October 22 2019

Chair for Theoretical Computer Science

Friedrich-Alexander Universität Erlangen-Nürnberg, Germany

# Why Nested Fixpoints?

- ▶ **Model checking** for the  $\mu$ -calculus = solving **parity games**.
- ▶ **Satisfiability checking** for the  $\mu$ -calculus by solving parity games.
- ▶ Winning regions of parity games are **nested fixpoints**.
- ▶ Model checking and satisfiability checking for generalized  $\mu$ -calculi (graded, probabilistic, alternating-time) by nested fixpoints.
- ▶ **Synthesis** for linear-time logics (e.g. LTL).
- ▶ Computing generalized **fair bisimulations**.
- ▶ **Type checking** for inductive-coinductive types.

# Why Nested Fixpoints?

- ▶ **Model checking** for the  $\mu$ -calculus = solving **parity games**.
- ▶ **Satisfiability checking** for the  $\mu$ -calculus by solving parity games.
- ▶ Winning regions of parity games are **nested fixpoints**.
- ▶ Model checking and satisfiability checking for generalized  $\mu$ -calculi (graded, probabilistic, alternating-time) by nested fixpoints.
- ▶ **Synthesis** for linear-time logics (e.g. LTL).
- ▶ Computing generalized **fair bisimulations**.
- ▶ **Type checking** for inductive-coinductive types.

## We show:

- ▶ Nested fixpoints stabilize after quasipolynomially many iterations.
- ▶ The problem of computing nested fixpoints is in  $NP \cap co-NP$ .
- ▶ Zielonka's algorithm can be adapted to compute nested fixpoints.

# Fixpoints of Set-Functions

Function  $\alpha : \mathcal{P}(U)^k \rightarrow \mathcal{P}(U)$  is **monotone** if for all  $U_i \subseteq V_i$ ,  $1 \leq i \leq k$ ,

$$\alpha(U_1, \dots, U_k) \subseteq \alpha(V_1, \dots, V_k)$$

## Extremal Fixpoints, Nested Fixpoints

Let  $f : \mathcal{P}(U) \rightarrow \mathcal{P}(U)$  and  $\alpha : \mathcal{P}(U)^k \rightarrow \mathcal{P}(U)$  be monotone functions.

$$\text{LFP } f = \bigcap \{Z \subseteq U \mid f(Z) \subseteq Z\}$$

$$\text{GFP } f = \bigcup \{Z \subseteq U \mid Z \subseteq f(Z)\}$$

$$\text{NFP } \alpha = \eta_k X_k \cdot \eta_{k-1} X_{k-1} \cdot \dots \cdot \eta_1 X_1 \cdot \alpha(X_1, \dots, X_k),$$

where  $\eta_i = \text{LFP}$  if  $i$  is odd,  $\eta_i = \text{GFP}$  if  $i$  is even.

# Nested Fixpoints and Parity Games

Parity game  $(V = V_{\exists} \cup V_{\forall}, E \subseteq V \times V, \Omega)$  with  $k$  priorities. Define:

$$\Omega_i = \{v \in V \mid \Omega(v) = i\}$$

$$\diamond U = \{v \in V \mid E(v) \cap U \neq \emptyset\}$$

$$\square U = \{v \in V \mid E(v) \subseteq U\}$$

$$\alpha_{\text{PG}}(X_1, \dots, X_k) = (V_{\exists} \cap (\bigcup_{1 \leq i \leq k} \Omega_i \cap \diamond X_i)) \cup (V_{\forall} \cap (\bigcup_{1 \leq i \leq k} \Omega_i \cap \square X_i))$$

**Theorem (e.g. [Dawar,Grädel,2008],[Bruse,Falk,Lange,2014])**

$$\text{win}_{\exists} = \text{NFP } \alpha_{\text{PG}}$$

# A Tool: Fixpoint Parity Games (Venema, König et al.)

## Fixpoint Parity Game for NFP $\alpha$

Parity game  $(V, E, \Omega)$ , nodes:  $V = U \cup \mathcal{P}(U)^k \cup \mathcal{P}(U) \times \{1, \dots, k\}$

node	priority	owner	moves to
$u \in U$	0	$\exists$	$\{\mathbf{U} \in \mathcal{P}(U)^k \mid u \in \alpha(\mathbf{U})\}$
$\mathbf{U}$	0	$\forall$	$\{(U_j, j) \mid 1 \leq j \leq k\}$
$(U, j)$	$j$	$\forall$	$\{v \mid v \in U\}$

where  $\mathbf{U} = (U_1, \dots, U_k) \in \mathcal{P}(U)^k$ .

## Theorem [König et al. 2019]

Eloise wins node  $u$  if and only if  $u \in \text{NFP } \alpha$ .

**Problem:** exponential size

- still useful for showing *history-freeness* for nested fixpoints.

# History-freeness for Nested Fixpoints

## History-free witnesses

Even graph  $S \subseteq U \times \{1, \dots, k\} \times U$  s.t. for all  $(u, p, u') \in S$ ,

$$u \in \alpha(S_1(u), \dots, S_k(u)),$$

where  $S_i(u) = \{v \mid (u, i, v) \in S\}$ .

Note:  $|S| \in \mathcal{O}(|U|^2)$

## Lemma

There is a history-free witness mentioning  $u$  if and only if  $u \in \text{NFP } \alpha$ .

## Theorem

If  $\alpha(X_1, \dots, X_k)$  can, for all  $X_1, \dots, X_n$ , be computed in polynomial time, the problem of computing  $\text{NFP } \alpha$  is in  $\text{NP} \cap \text{co-NP}$ .

Proof: Each State is contained in NFP or in dual nested fixpoint, hence containment in NP suffices. Guess *polynomial*-sized history-free witness for Eloise winning exponential-sized game. Verify witness in polynomial time: check that all paths are even and verify compatibility with  $\alpha$ .



# Parity Games in Quasipolynomial Time [Calude et al.,2017]

Idea: Annotate nodes with **quasipolynomial histories** (“statistics”)

$$\bar{o} = (o_{\lceil \log n \rceil + 1}, \dots, o_0) \quad 1 \leq o_i \leq k$$

Define  $\bar{o}@i = (o'_{\lceil \log n \rceil + 1}, \dots, o'_0)$  as follows:

- ▶  $i$  even: pick greatest  $j$  s.t.  $i > o_j > 0$ . If no such  $j$  exists, then  $j = *$ .
- ▶  $i$  odd: pick greatest  $j$  s.t.
  - a)  $i > o_j > 0$  or
  - b)  $o_j$  even for all  $j' < j$ ,  $o_{j'}$  odd (and if  $o_j > 0$ ,  $i < o_j$ ).
- ▶ If  $j = *$ , then  $\bar{o}@i = \bar{o}$ . Otherwise,  $o'_{j'} = o_{j'}$  for  $j' > j$ ,  $o'_j = i$  and  $o'_{j'} = 0$  for  $j' < j$ .

Move from  $(v, \bar{o})$  to  $(w, \bar{o}@i(w))$  if move from  $v$  to  $w$  exists in original game. Solve **safety game** of quasipolynomial size  $n \cdot k^{\lceil \log n \rceil + 2}$ .

# Quasipolynomial Approximation

Use Calude et al.'s quasipolynomial histories to compute nested fixpoint:

Put  $hi = \{(o_{\lceil \log n \rceil + 1}, \dots, o_0) \mid 1 \leq o_i \leq k\}$  having  $|hi| \leq k^{\lceil \log n \rceil + 2}$  and define  $\gamma : \mathcal{P}(U \times hi) \rightarrow \mathcal{P}(U \times hi)$  by

$$\gamma(Y) = \{(v, \bar{o}) \in (U \times hi) \mid v \in \alpha(Y^{\bar{o}@1}, \dots, Y^{\bar{o}@k})\}$$

where

$$Y^{\bar{o}'} = \begin{cases} \emptyset & \text{leftmost digit in } \bar{o}' \text{ is not 0} \\ \{u \in U \mid (u, \bar{o}') \in Y\} & \text{otherwise.} \end{cases}$$

## Main Theorem:

Let  $\alpha : \mathcal{P}(U)^k \rightarrow \mathcal{P}(U)$  be monotone. Then  $\text{NFP } \alpha = \pi_1[\text{GFP } \gamma]$ .

# Zielonka's Algorithm for Solving Parity Games

Define

$$\text{Attr}_{\exists}^{\text{PG}}(G, F) = \mu X. G \cap (F \cup \alpha_{PG}(X, \dots, X))$$

$$\text{Attr}_{\forall}^{\text{PG}}(G, F) = \mu X. G \cap (F \cup \overline{\alpha_{PG}}(X, \dots, X))$$

## Algorithm: Solve parity game $(G, E, \Omega)$ [Zielonka]

- 1: **procedure** SOLVE $_{\exists}(G, i)$  ▷  $i$  even
- 2:    $N_i := \{v \in G \mid \Omega(v) = i\}$ ; ▷ maximal priority nodes
- 3:    $H := G \setminus \text{Attr}_{\exists}^{\text{PG}}(G, N_i)$ ; ▷ exclude Eloise-attractor of  $N_i$
- 4:    $W_{\forall} := \text{SOLVE}_{\forall}(H, i - 1)$ ; ▷ solve smaller game
- 5:    $G := G \setminus \text{Attr}_{\forall}^{\text{PG}}(G, W_{\forall})$ ; ▷ remove Abelard-attractor of  $W_{\forall}$
- 6:   **if**  $W_{\forall} \neq \emptyset$  **then** GOTO 2:
- 7:   **else** RETURN  $G$ .

# Zielonka's Algorithm for Computing Nested Fixpoints

Define

$$\text{Attr}_{\exists}(G, F) = \mu X. G \cap (F \cup \alpha(X, \dots, X))$$

$$\text{Attr}_{\forall}(G, F) = \mu X. G \cap (F \cup \bar{\alpha}(X, \dots, X))$$

## Algorithm: Compute NFP $\alpha$

- 1: **procedure** SOLVE $_{\exists}(G, i)$  ▷  $i$  even
- 2:  $N_i := \{v \in G \mid \Omega(v) = i\}$ ; ▷ maximal priority nodes
- 3:  $H := G \setminus \text{Attr}_{\exists}(G, N_i)$ ; ▷ exclude Eloise-attractor of  $N_i$
- 4:  $W_{\forall} := \text{SOLVE}_{\forall}(H, i - 1)$ ; ▷ **compute smaller fixpoint**
- 5:  $G := G \setminus \text{Attr}_{\forall}(G, W_{\forall})$ ; ▷ remove Abelard-attractor of  $W_{\forall}$
- 6: **if**  $W_{\forall} \neq \emptyset$  **then** GOTO 2:
- 7: **else** RETURN  $G$ .

# The Fixpoint Law behind Zielonka's Algorithm

NFP  $\alpha$  as a system of equations:

$$\begin{aligned}X_i &=_{\text{LFP}} X_{i-1} && i > 1, i \text{ odd} \\X_i &=_{\text{GFP}} X_{i-1} && i \text{ even} \\X_1 &=_{\text{GFP}} \alpha(X_1, \dots, X_k)\end{aligned}$$

A second system of equations:

$$\begin{aligned}Y_i &=_{\text{LFP}} (\Omega_{>}(i) \cup \alpha(Y_i, \dots, Y_i) \cup Y_{i-1}) \cap (\Omega_{\leq}(i) \cup Y_{i+1}) && i \text{ odd} \\Y_i &=_{\text{GFP}} (\Omega_{\leq}(i) \cap \alpha(Y_i, \dots, Y_i) \cap Y_{i-1}) \cup (\Omega_{>}(i) \cap Y_{i+1}) && i \text{ even}\end{aligned}$$

## Theorem:

$$X_k = Y_k.$$

# The Coalgebraic $\mu$ -Calculus [Cîrstea et al., 2011]

Set  $\mathbf{V}$  of fixpoint variables, set  $\Lambda$  of modalities, closed under duals.

## Syntax:

$\phi, \psi := \top \mid \perp \mid \phi \wedge \psi \mid \phi \vee \psi \mid X \mid \heartsuit\psi \mid \mu X.\psi \mid \nu X.\psi \quad \heartsuit \in \Lambda, X \in \mathbf{V}$

Set-endofunctor  $T$ , *predicate lifting*<sup>1</sup> for  $\heartsuit \in \Lambda$ : natural transformation

$$\llbracket \heartsuit \rrbracket : \mathcal{Q} \rightarrow \mathcal{Q} \circ T^{op}$$

E.g. for  $T = \mathcal{P}$ ,

$$\llbracket \diamond \rrbracket(A) = \{B \in \mathcal{P}(C) \mid B \cap A \neq \emptyset\}$$

$$\llbracket \square \rrbracket(A) = \{B \in \mathcal{P}(C) \mid B \subseteq A\}$$

---

<sup>1</sup>[Pattinson, 2007]

Assume monotonicity of predicate liftings ( $A \subseteq B \Rightarrow \llbracket \heartsuit \rrbracket A \subseteq \llbracket \heartsuit \rrbracket B$ )

## Semantics:

Models:  $T$ -coalgebras  $(C, \xi : C \rightarrow TC)$ , extension of formulas:

$$\begin{array}{ll} \llbracket X \rrbracket_\sigma = \sigma(X) & \llbracket \heartsuit \psi \rrbracket_\sigma = \xi^{-1}[\llbracket \heartsuit \rrbracket \llbracket \psi \rrbracket_\sigma] \\ \llbracket \mu X. \psi \rrbracket_\sigma = \text{LFP}(\llbracket \psi \rrbracket_\sigma^X) & \llbracket \nu X. \psi \rrbracket_\sigma = \text{GFP}(\llbracket \psi \rrbracket_\sigma^X) \end{array}$$

where  $\sigma : \mathbf{V} \rightarrow \mathcal{P}(C)$ , where  $\llbracket \psi \rrbracket_\sigma^X(A) = \llbracket \psi \rrbracket_{\sigma[X \mapsto A]}$  for  $A \subseteq C$  and where  $(\sigma[X \mapsto A])(X) = A$ ,  $(\sigma[X \mapsto A])(Y) = \sigma(Y)$  for  $X \neq Y$ .

# Instances of the Coalgebraic $\mu$ -Calculus

- ▶  $T = \mathcal{P}$ : transition systems  $(C, \xi : C \rightarrow \mathcal{P}(C))$ 
  - modalities:  $\diamond, \square$
  - standard  $\mu$ -calculus, e.g.  $\mu X. \psi \vee \diamond X$
- ▶  $T = \mathcal{B}$  (bag functor): graded transition systems  $(C, \xi : C \rightarrow \mathcal{B}(C))$ 
  - modalities:  $\langle g \rangle, [g], g \in \mathbb{N}$
  - graded  $\mu$ -calculus<sup>2</sup>, e.g.  $\mu X. \psi \vee \langle 1 \rangle X$
- ▶  $T = \mathcal{G}$ : concurrent game frames
  - Set  $N$  of agents, modalities  $[D], \langle D \rangle, D \subseteq N$
  - alternating-time  $\mu$ -calculus<sup>3</sup>, e.g.  $\nu X. \psi \wedge [D]X$
- ▶  $T = \mathcal{D}$ : Markov chains
  - modalities  $\langle p \rangle, [p], p \in \mathbb{Q} \cap [0, 1]$
  - (two-valued) probabilistic  $\mu$ -calculus, e.g.  $\nu X. \psi \wedge \langle 0.5 \rangle X$

---

<sup>2</sup>[Kupferman et al., 2002]

<sup>3</sup>[Alur et al., 2002]



# Recent Results on the Coalgebraic $\mu$ -Calculus

- ▶ Reduce **model checking** [H,Schröder,CONCUR 2019] and **satisfiability checking** [H,Schröder,FoSSaCS 2019] for the coalgebraic  $\mu$ -calculus to computing nested fixpoints.

## Corollary

Model checking for coalgebraic  $\mu$ -calculi is in QP and in  $\text{NP} \cap \text{Co-NP}$ .

## Corollary

Satisfiability checking for coalgebraic  $\mu$ -calculi can be done in time  $\mathcal{O}(2^{nk \log n})$  (down from  $\mathcal{O}(2^{n^2 k^2 \log n})$ ).

# Introducing: Coalgebraic Parity Games

## Definition - Coalgebraic parity game:

$T$ -coalgebra  $(C, \xi : C \rightarrow TC)$  with mappings  $\Omega : C \rightarrow \mathbb{N}$ ,  $m : C \rightarrow \Lambda$ .

Eloise **wins** node  $c \in C$  if there is **even** graph  $(D, R)$  on  $C$  s.t.

$$\text{for all } d \in D, \xi(d) \in \llbracket m(d) \rrbracket R(d).$$

# Introducing: Coalgebraic Parity Games

## Definition - Coalgebraic parity game:

$T$ -coalgebra  $(C, \xi : C \rightarrow TC)$  with mappings  $\Omega : C \rightarrow \mathbb{N}$ ,  $m : C \rightarrow \Lambda$ .

Eloise **wins** node  $c \in C$  if there is **even** graph  $(D, R)$  on  $C$  s.t.

$$\text{for all } d \in D, \xi(d) \in \llbracket m(d) \rrbracket R(d).$$

e.g.

- $T = \mathcal{P}$ : parity game for  $T$  is graph  $(C, \xi : C \rightarrow \mathcal{P}(C))$  with priority map  $\Omega$  and node ownership map  $m : C \rightarrow \{\diamond, \square\}$ .

# Introducing: Coalgebraic Parity Games

## Definition - Coalgebraic parity game:

$T$ -coalgebra  $(C, \xi : C \rightarrow TC)$  with mappings  $\Omega : C \rightarrow \mathbb{N}$ ,  $m : C \rightarrow \Lambda$ .

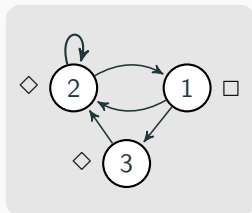
Eloise **wins** node  $c \in C$  if there is **even** graph  $(D, R)$  on  $C$  s.t.

$$\text{for all } d \in D, \xi(d) \in \llbracket m(d) \rrbracket R(d).$$

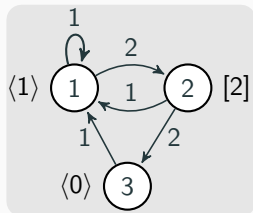
e.g.

- $T = \mathcal{P}$ : parity game for  $T$  is graph  $(C, \xi : C \rightarrow \mathcal{P}(C))$  with priority map  $\Omega$  and node ownership map  $m : C \rightarrow \{\diamond, \square\}$ .
- $T = \mathcal{D}$ : parity game for  $T$  is Markov chain  $(C, \xi : C \rightarrow \mathcal{D}(C))$  with priority map  $\Omega$  and map  $m : C \rightarrow \{\langle p \rangle, [p] \mid p \in \mathbb{Q} \cap [0, 1]\}$ .

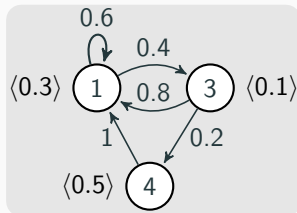
# Coalgebraic Parity Games, examples



$T = \mathcal{P}$ : standard

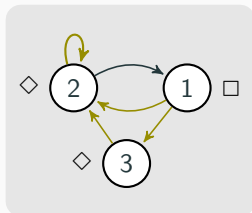


$T = \mathcal{B}$ : graded

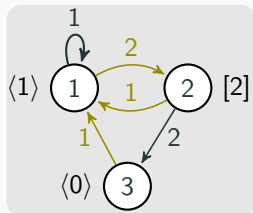


$T = \mathcal{D}$ : probabilistic

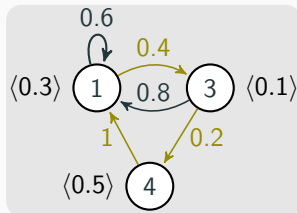
# Coalgebraic Parity Games, examples, strategies



$T = \mathcal{P}$ : standard



$T = \mathcal{B}$ : graded



$T = \mathcal{D}$ : probabilistic

# Solving Coalgebraic Parity Games

Winning regions in coalgebraic parity games are nested fixpoints:

Given game  $(C, \xi, m, \Omega)$ , define  $f : \mathcal{P}(C)^k \rightarrow \mathcal{P}(C)$  by

$$f(X_0, \dots, X_k) = \{v \mid \exists i, \heartsuit \in \Lambda. m(v) = \heartsuit, \Omega(v) = i \text{ and } \xi(v) \in \llbracket \heartsuit \rrbracket X_i\}$$

# Solving Coalgebraic Parity Games

Winning regions in coalgebraic parity games are nested fixpoints:

Given game  $(C, \xi, m, \Omega)$ , define  $f : \mathcal{P}(C)^k \rightarrow \mathcal{P}(C)$  by

$$f(X_0, \dots, X_k) = \{v \mid \exists i, \heartsuit \in \Lambda. m(v) = \heartsuit, \Omega(v) = i \text{ and } \xi(v) \in \llbracket \heartsuit \rrbracket X_i\}$$

**Theorem [H,Schröder,CONCUR 2019]:**

Player Eloise wins  $u$  in coalgebraic parity game if and only if  $u \in \text{NFP } f$ .

Coalgebraic  $\mu$ -calculus model checking = solving coalgebraic parity games.

Enables on-the-fly model checking: Start with initial node, expand nodes step by step, compute NFP  $f$  at any point (solving a **partial** game).



## Results:

- Computing nested fixpoints by
  - (fixpoint iteration),
  - Calude et al.'s quasipolynomial algorithm
  - Zielonka's algorithm
- Computing nested fixpoints also is in  $NP \cap Co-NP$ .
- Reduction of satisfiability checking and model checking for the *coalgebraic*  $\mu$ -calculus to computing nested fixpoints.

## Future work:

- Computing **fair bisimulations** as nested fixpoints.
- **Type checking** for inductive-coinductive types by computing nested fixpoints.



R. Alur, T. Henzinger, and O. Kupferman.

**Alternating-time temporal logic.**

*J. ACM*, 49:672–713, 2002.



F. Bruse, M. Falk, and M. Lange.

**The fixpoint-iteration algorithm for parity games.**

In *Games, Automata, Logics and Formal Verification, GandALF 2014*, volume 161 of *EPTCS*, pages 116–130, 2014.



C. S. Calude, S. Jain, B. Khousainov, W. Li, and F. Stephan.

**Deciding parity games in quasipolynomial time.**

In *Theory of Computing, STOC 2017*, pages 252–263. ACM, 2017.



C. Cîrstea, C. Kupke, and D. Pattinson.

**EXPTIME tableaux for the coalgebraic  $\mu$ -calculus.**

*Log. Meth. Comput. Sci.*, 7, 2011.



A. Dawar and E. Grädel.

**The descriptive complexity of parity games.**

In *Computer Science Logic, CSL 2008*, volume 5213 of *LNCS*, pages 354–368. Springer, 2008.



D. Pattinson.

**Expressivity Results in the Modal Logic of Coalgebras.**

PhD thesis, Universität München, 2001.