

Geometric Hahn-Banach theorem

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In [MP2] is proved in a constructive way the following result: a point x lies in a compact convex set K in a normed space if and only if it lies within any bound for K (a bound for K being intuitively a parallel pair of hyperplanes between which K lies). This is given as an application of the localic form of the Hahn-Banach theorem, proved in a non constructive way in [MP1]. In [CC] we give a direct constructive proof of Hahn-Banach theorem and using this, we can give a much simpler proof of the characterisation of compact convex sets.

This note is organised as follows. First we recall the direct description the weak* topology on the unit ball of the dual of a normed space given in [CC]. We then use this to characterise the convex closed hull of a totally bounded subset.

1 Description of the unit ball in the dual space

Let E be a normed vector space (over the rational numbers). We write $x \in N(q)$ to express that the norm of x is $< q$. We describe a *complete Heyting algebra* by generators and relations. This complete Heyting algebra should be thought of as a point-free description of the unit ball of the dual E' for the weak* topology. The generators are formal expressions $\lambda x < q$, with $x \in E$, and $q \in \mathbb{Q}$ and the relations are

- (1) $[\lambda x < q] \wedge [q < \lambda x] = 0$
- (2) $[\lambda(x + y) < r + s] \leq [\lambda x < r] \vee [\lambda y < s]$
- (3) $1 = [\lambda x < 1]$ if $x \in N(1)$

where $q < \lambda x$ is defined to be $\lambda(-x) < -q$, together with the continuity axiom

- (4) $[\lambda x < q] = \vee_{q' < q} [\lambda x < q']$

Here are simple remarks about $F_n(E)$:

Proposition 1.1 *It follows from (1) and (2) that we have*

$$[\lambda x < p] \wedge [\lambda y < q] \leq [\lambda(x + y) < p + q]$$

If $0 < r$ we have $[\lambda(rx) < rp] = [\lambda x < p]$. If $r < s$ we have $1 = [\lambda x < s] \vee [r < \lambda x]$. If $x \in N(q)$ we have $1 = [\lambda x < q]$. For any $\epsilon > 0$ we have

$$1 = \vee_q [q < \lambda x] \wedge [\lambda x < q + \epsilon]$$

Notice that we recover the generators $\lambda x \in (p, q)$ used in [MP1] by defining

$$\lambda x \in (p, q) \quad =_{def} \quad [p < \lambda x] \wedge [\lambda x < q]$$

but the use of generators $\lambda x < q$ is the key to get a simple description of the frame $F_n(E)$ [CC]. In particular, [CC] provides a direct proof that this frame is compact regular, which is the localic form of Alaoglu's theorem, and of the following result¹.

Theorem 1.2 *In $F_n(E)$ we have*

$$1 = [\lambda y_0 < q] \vee \dots \vee [\lambda y_{m-1} < q]$$

if, and only if, there exists non negative rationals s_j such that $\sum s_j = 1$ and $\sum s_j y_j \in N(q)$.

2 Convex hull

We suppose given a totally bounded subset K of E . We want to characterise the compact convex hull of K .

Theorem 2.1 *A point x belongs to the closed convex hull of K if, and only if, we have in the theory $F_n(E)$ for all $q \in \mathbb{Q}$*

$$[\lambda x < q] \leq \vee_{y \in K} [\lambda y < q]$$

One possible intuitive reading of this result is that a point belongs to the closed convex hull of K if, and only if, it belongs to all closed regions bounded by hyperplanes that contain K^2 .

Proof. Assume

$$[\lambda x < q] \leq \vee_{y \in K} [\lambda y < q]$$

in $F_n(E)$. Fix $\epsilon > 0$. Since K is totally bounded, there is a *finite* family y_0, \dots, y_{m-1} of points in K such that for all $y \in K$ we have $y - y_j \in N(\epsilon)$ for some $j < m$. This implies

$$[\lambda x < q] \leq \vee_j [\lambda y_j < q + \epsilon] \quad (*)$$

for all $q \in \mathbb{Q}$. In the theory $F_n(E)$ we have for each j , by Proposition 1.1

$$1 = [\lambda(x - y_j) < 2\epsilon] \vee [\epsilon < \lambda(x - y_j)]$$

But (*) implies, using Proposition 1.1

$$\wedge_j [\epsilon < \lambda(x - y_j)] = 0$$

and hence

$$1 = \vee_j [\lambda(x - y_j) < 2\epsilon]$$

By theorem 1.2 we can conclude that we have non negative s_j such that $\sum s_j = 1$ and $\sum s_j(x - y_j) \in N(2\epsilon)$.

It follows that x belongs to the compact convex hull of K , as desired. \square

¹This is obtained by analysing the distributive lattice which is generated by the relations (1),(2),(3). This distributive lattice is *normal* [CaC], and the space $F_n(E)$ can be defined as the space of maximal points of the spectrum of this distributive lattice.

²The statement we give is a little different from the one in [MP2] but seems to correspond in a closer way to the usual statement.

References

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