## Warshall's algorithm

See Floyd-Warshall algorithm on Wikipedia
The Floyd-Warshall algorithm is a graph analysis algorithm for finding shortest paths in a weigthed, directed graph

Warshall algorithm finds the transitive closure of a directed graph

## Warshall's algorithm

We have a graph with $n$ nodes $1,2, \ldots, n$
We define $E_{i j}=1$ iff there is an edge $i \rightarrow j$
$E_{i j}=0$ if there is no edge from $i$ to $j$
We define $E_{i j}^{1}=E_{i j}$ and
$E_{i j}^{k+1}=E_{i j}^{k} \vee E_{i k}^{k} E_{k j}^{k}$
Then $E_{i j}^{k}=1$ iff there exists a path $i \rightarrow i_{1} \cdots \rightarrow i_{l} \rightarrow j$ with $i_{1}, \ldots, i_{l}$ all $<k$

## Warshall's algorithm

This is best implemented with a fixed array of $n \times n$ booleans
For $k=1$ to $n$
$E_{i j}:=E_{i j} \vee E_{i k} E_{k j}$

Floyd's algorithm

Now $E_{i j}$ is a positive number (the cost or the distance of going from $i$ to $j$; it is $\infty$ if there is no edge from $i$ to $j$ ).

For $k=1$ to $n$

$$
E_{i j}:=\min \left(E_{i j}, E_{i k}+E_{k j}\right)
$$

## Regular expression

Now $E_{i j}$ is a regular expression, and we compute all possible paths from $i$ to $j$. We initialize by $E_{i j}:=E_{i j}$ if $i \neq j$ and $E_{i i}:=\epsilon+E_{i i}$.

For $k=1$ to $n$

$$
E_{i j}:=E_{i j}+E_{i k} E_{k k}^{*} E_{k j}
$$

## Regular expression

For the automata with accepting state 2 and defined by

$$
1.0=2,1.1=1,2.0=2.1=2
$$

We have $E_{11}=\epsilon+1, E_{12}=0, E_{21}=\emptyset, E_{22}=\epsilon+0+1$

## Regular expression

Then the first step is

$$
\begin{aligned}
& E_{11}=\epsilon+1+(\epsilon+1)(\epsilon+1)^{*}(\epsilon+1)=1^{*} \\
& E_{12}=0+(\epsilon+1)(\epsilon+1)^{*} 0=1^{*} 0 \\
& E_{21}=\emptyset+\emptyset(\epsilon+1)^{*}(\epsilon+1)=\emptyset \\
& E_{22}=\epsilon+0+1+\emptyset(\epsilon+1)^{*} 0=\epsilon+0+1
\end{aligned}
$$

## Regular expression

The second step is

$$
\begin{aligned}
& E_{11}=1^{*}+1^{*} 0(\epsilon+0+1)^{*} \emptyset=1^{*} \\
& E_{12}=1^{*} 0+1^{*} 0(\epsilon+0+1)^{*}(\epsilon+0+1)=1^{*} 0(0+1)^{*} \\
& E_{21}=\emptyset+(\epsilon+0+1)(\epsilon+0+1)^{*} \emptyset=\emptyset \\
& E_{22}=\epsilon+0+1+(\epsilon+0+1)(\epsilon+0+1)^{*}(\epsilon+0+1)=(0+1)^{*}
\end{aligned}
$$

## Regular expression

In this way, we have seen two proofs of one direction of Kleene's Theorem: any regular language is recognized by a regular expression

The two proofs are
by solving an equation system and using Arden's Lemma
by using Warshall's algorithm

## Algebraic Laws for Regular Expressions

$$
\begin{aligned}
& E+(F+G)=(E+F)+G, E+F=F+E, E+E=E, E+0=E \\
& E(F G)=(E F) G, E 0=0 E=0, E \epsilon=\epsilon E=E \\
& E(F+G)=E F+E G,(F+G) E=F E+G E \\
& \epsilon+E E^{*}=E^{*}=\epsilon+E^{*} E
\end{aligned}
$$

## Algebraic Laws for Regular Expressions

We have also
$E^{*}=E^{*} E^{*}=\left(E^{*}\right)^{*}$
$E^{*}=(E E)^{*}+E(E E)^{*}$

## Algebraic Laws for Regular Expressions

How can one prove equalities between regular expressions?
In usual algebra, we can "simplify" an algebraic expression by rewriting

$$
(x+y)(x+z) \rightarrow x x+y x+x z+y z
$$

For regular expressions, there is no such way to prove equalities. There is not even a complete finite set of equations.

## Algebraic Laws for Regular Expressions

Example: $L^{*} \subseteq L^{*} L^{*}$ since $\epsilon \in L^{*}$
Conversely if $x \in L^{*} L^{*}$ then $x=x_{1} x_{2}$ with $x_{1} \in L^{*}$ and $x_{2} \in L^{*}$
$x \in L^{*}$ is clear if $x_{1}=\epsilon$ or $x_{2}=\epsilon$. Otherwise
So $x_{1}=u_{1} \ldots u_{n}$ with $u_{i} \in L$
and $x_{2}=v_{1} \ldots v_{m}$ with $v_{j} \in L$
Then $x=x_{1} x_{2}=u_{1} \ldots u_{n} v_{1} \ldots v_{m}$ is in $L^{*}$

## Algebraic Laws for Regular Expressions

Two laws that are useful to simplify regular expressions
Shifting rule

$$
E(F E)^{*}=(E F)^{*} E
$$

Denesting rule

$$
\left(E^{*} F\right)^{*} E^{*}=(E+F)^{*}
$$

## Variation of the denesting rule

One has also

$$
\left(E^{*} F\right)^{*}=\epsilon+(E+F)^{*} F
$$

and this represents the words empty or finishing with $F$

## Algebraic Laws for Regular Expressions

## Example:

$a^{*} b\left(c+d a^{*} b\right)^{*}=a^{*} b\left(c^{*} d a^{*} b\right)^{*} c^{*}$
by denesting
$a^{*} b\left(c^{*} d a^{*} b\right)^{*} c^{*}=\left(a^{*} b c^{*} d\right)^{*} a^{*} b c^{*}$
by shifting

$$
\left(a^{*} b c^{*} d\right)^{*} a^{*} b c^{*}=\left(a+b c^{*} d\right)^{*} b c^{*}
$$

by denesting. Hence
$a^{*} b\left(c+d a^{*} b\right)^{*}=\left(a+b c^{*} d\right)^{*} b c^{*}$

## Algebraic Laws for Regular Expressions

Examples: $10 ? 0 ?=1+10+100$

$$
(1+01+001)^{*}(\epsilon+0+00)=((\epsilon+0)(\epsilon+0) 1)^{*}(\epsilon+0)(\epsilon+0)
$$

is the same as

$$
(\epsilon+0)(\epsilon+0)(1(\epsilon+0)(\epsilon+0))^{*}=(\epsilon+0+00)(1+10+100)^{*}
$$

Set of all words with no substring of more than two adjacent 0's

## Proving by induction

Let $\Sigma$ be $\{a, b\}$
Lemma: For all $n$ we have $a(b a)^{n}=(a b)^{n} a$
Proof: by induction on $n$
Theorem: $a(b a)^{*}=(a b)^{*} a$
Similarly we can prove $(a+b)^{*}=\left(a^{*} b\right)^{*} a^{*}$

## Complement of a(n ordinary) regular expression

For building the "complement" of a regular expression, or the "intersection" of two regular expressions, we can use NFA/DFA

For instance to build $E$ such that $L(E)=\{0,1\}^{*}-\{0\}$ we first build a DFA for the expression 0 , then the complement DFA. We can compute $E$ from this complement DFA. We get for instance

$$
\epsilon+1(0+1)^{*}+0(0+1)^{+}
$$

## Abstract States

Two notations for the derivative $L / a$ or $a \backslash L$
Last time I have used
$L / a=\left\{x \in \Sigma^{*} \mid a x \in L\right\}$
I shall use now the following notation (cf. exercice 4.2.3)
$a \backslash L=\left\{x \in \Sigma^{*} \mid a x \in L\right\}$
and more generally if $z$ in $\Sigma^{*}$
$z \backslash L=\left\{x \in \Sigma^{*} \mid z x \in L\right\}$

## Abstract States

Example: $L=\left\{a^{n} \mid 3\right.$ divides $\left.n\right\}$ we have
$\epsilon \backslash L=L, a \backslash L=\left\{a^{3 n+2} \mid n \geq 0\right\}$
$a a \backslash L=\left\{a^{3 n+1} \mid n \geq 0\right\}, a a a \backslash L=L$
Although $\Sigma^{*}$ is infinite, the number of distinct sets of the form $u \backslash L$ is finite

## Another example

$$
\begin{aligned}
& \Sigma=\{0,1\} \\
& L=\left\{0^{n} 1^{n} \mid n \geqslant 0\right\} \\
& \epsilon \backslash L=L, 0 \backslash L=\left\{0^{n} 1^{n+1} \mid n \geq 0\right\} \\
& 00 \backslash L=\left\{0^{n} 1^{n+2} \mid n \geq 0\right\}, \quad 000 \backslash L=\left\{0^{n} 1^{n+3} \mid n \geq 0\right\} \\
& 1 \backslash L=\emptyset, 11 \backslash L=\emptyset
\end{aligned}
$$

In this case there are infinitely many distinct sets of the form $u \backslash L$

## Abstract States

The sets $u \backslash L$ are called the abstract states of the language $L$
Myhill-Nerode theorem: A language is regular iff its set of abstract states is finite

This is a characterisation of regular sets, and a powerful way to show that a language is not regular

## Proof of the Myhill-Nerode theorem

Assume $L$ is such that its set of abstract states $u \backslash L$ is finite.
We define $Q$ to be the set of all $u \backslash L$. By hypothesis $Q$ is a finite set
We define $q_{0}$ to be $L=\epsilon \backslash L$
We define $\delta(M, a)=a \backslash M$ for $a \in \Sigma$ and $M \subseteq \Sigma^{*}$ an arbitrary language
In particular $\delta(u \backslash L, a)=u a \backslash L$
Remark: We have $a \backslash(u \backslash L)=u a \backslash L$ and more generally $v \backslash(u \backslash L)=u v \backslash L$

## Proof of the Myhill-Nerode theorem

Define $F \subseteq Q$ to be the set of abstract states $u \backslash L$ such that $\epsilon$ is in the set $u \backslash L$. Thus $u \backslash L \in F$ iff $u \in L$

Lemma: We have L.u=u\L
Proof: By induction on $u$. This holds for $u=\epsilon$ and if it holds for $v$ and $u=a v$ then

$$
\begin{aligned}
& L .(a v)=(a \backslash L) . v=v \backslash(a \backslash L)=a v \backslash L \\
& \text { If } A=\left(Q, \Sigma, \delta, q_{0}, F\right) \text { we have } u \in L(A) \text { iff } u \backslash L \in F \text { iff } u \in L . \text { Thus } \\
& L=L(A) \text { and } L \text { is regular }
\end{aligned}
$$

## Proof of the Myhill-Nerode theorem

This proves one direction: if the set of abstract sets is finite then $L$ is regular
Conversely assume that $L$ is regular then $L=L(A)$ for some DFA $A=$ $\left(Q, \Sigma, \delta, q_{0}, F\right)$

We have

$$
u \backslash L(A)=L\left(Q, \Sigma, \delta, q_{0} \cdot u, F\right)
$$

Indeed $v$ is in $u \backslash L(A)$ iff $u v$ is in $L(A)$ iff $q_{0} \cdot(u v)=\left(q_{0} \cdot u\right) . v$ is in $F$
Since $Q$ is finite since there are only finitely many possibilities for $u \backslash L$

## Proof of the Myhill-Nerode theorem

Hence we have shown that $L$ is regular iff there are only finitely many abstract states $u \backslash L$

This is a powerful way to prove that a language is not regular
For instance $L=\left\{0^{n} 1^{n} \mid n \geqslant 0\right\}$ is not regular since there are infinitely many abstract states $0^{k} \backslash L$

## Proof of the Myhill-Nerode theorem

You should compare this with the use of the "pumping Lemma" (section 4.1) that I will present next time

## Proof of the Myhill-Nerode theorem

This can be used also to show that a language is regular and indicate how to build a DFA for this language

$$
L=\left\{a^{n} \mid 3 \text { divides } n\right\}
$$

We have three abstract states $q_{0}=L, q_{1}=a \backslash L, q_{2}=a a \backslash L$ hence a DFA with 3 states

## A corollary of Myhill-Nerode's Theorem

Corollary: If $L$ is regular then each $u \backslash L$ is regular
Proof: Since we have
$v \backslash(u \backslash L)=u v \backslash L$
each abstract state of $u \backslash L$ is an abstract state of $L$. If $L$ is regular it has finitely many abstract states by Myhill-Nerode's Theorem. So $u \backslash L$ has finitely many abstract states and is regular by Myhill-Nerode's Theorem.

## A corollary of Myhill-Nerode's Theorem

Another direct proof of
Corollary: If $L$ is regular then each $u \backslash L$ is regular
Proof: $L$ is regular so we have some DFA $A=\left(Q, \Sigma, \delta, q_{0}, F\right)$ such that $L=L(A)$. Define
$u \backslash A=\left(Q, \Sigma, \delta, q_{0} \cdot u, F\right)$
We have seen that $L(u \backslash A)=u \backslash L(A)$.

## Symbolic Computation of $u \backslash L$

$$
\begin{aligned}
& a \backslash \emptyset=\emptyset \\
& a \backslash \epsilon=\emptyset \\
& a \backslash a=\epsilon \\
& a \backslash b=\emptyset \text { if } b \neq a \\
& a \backslash\left(E_{1}+E_{2}\right)=a \backslash E_{1}+a \backslash E_{2} \\
& a \backslash\left(E_{1} E_{2}\right)=\left(a \backslash E_{1}\right) E_{2} \text { if } \epsilon \notin L\left(E_{1}\right) \\
& a \backslash\left(E_{1} E_{2}\right)=\left(a \backslash E_{1}\right) E_{2}+a \backslash E_{2} \text { if } \epsilon \in L\left(E_{1}\right) \\
& a \backslash E^{*}=(a \backslash E) E^{*}
\end{aligned}
$$

## Symbolic Computation of $u \backslash L$

If we introduce the notation $\delta(E)=\epsilon$ if $\epsilon$ in $L(E)$ and $\delta(E)=\emptyset$ if $\epsilon$ is not in $L(E)$

$$
\begin{aligned}
& a \backslash \emptyset=\emptyset \quad a \backslash \epsilon=\emptyset \quad a \backslash a=\epsilon \\
& a \backslash b=\emptyset \text { if } b \neq a \\
& a \backslash\left(E_{1}+E_{2}\right)=a \backslash E_{1}+a \backslash E_{2} \\
& a \backslash\left(E_{1} E_{2}\right)=\left(a \backslash E_{1}\right) E_{2}+\delta\left(E_{1}\right)\left(a \backslash E_{2}\right) \\
& a \backslash E^{*}=(a \backslash E) E^{*}
\end{aligned}
$$

The Derivatives

Let $E$ be $(0+1)^{*} 01(0+1)^{*}$
$0 \backslash E=E+1(0+1)^{*}$
$1 \backslash E=E$
$01 \backslash E=(0+1)^{*}$
$00 \backslash E=0 \backslash E$
We have three languages $E, E+1(0+1)^{*},(0+1)^{*}$
We can build then a DFA for $E$

The Derivatives

Other example: let $E$ be $(01)^{*} 0$
$0 \backslash E=\left(0 \backslash(01)^{*}\right) 0+0 \backslash 0=1(01)^{*} 0+\epsilon=(10)^{*}$
$1 \backslash E=\left(1 \backslash(01)^{*}\right) 0+1 \backslash 0=\emptyset$
$00 \backslash E=0 \backslash 1(01)^{*} 0+0 \backslash \epsilon=\emptyset$
$01 \backslash E=1 \backslash 1(01)^{*} 0+1 \backslash \epsilon=E$
We have three languages $E,(10)^{*}, \emptyset$
We can build then a DFA for $E$

## Closure properties

Regular languages have remarkable closure properties
closure by union
closure by intersection
closure by complement
closure by difference
closure by reversal
closure by morphism and inverse morphism

## Reversal

The reversal of a string $a_{1} \ldots a_{n}$ is the string $a_{n} \ldots a_{1}$.
We write $x^{R}$ the reversal of $x$
Thus $\epsilon^{R}=\epsilon$ and $0010^{R}=0100$
Lemma: $(x y)^{R}=y^{R} x^{R}$

## Reversal

If $L$ is a language let $L^{R}$ be the set of all $x^{R}$ for $x \in L$
Theorem: If $L$ is regular then so if $L^{R}$
Proof 1: We have $L=L(E)$ for a regular expression $E$. We define $E^{R}$ by induction

$$
\begin{array}{lcc}
\left(E_{1} E_{2}\right)^{R}=E_{2}^{R} E_{1}^{R} & \left(E_{1}+E_{2}\right)^{R}=E_{1}^{R}+E_{2}^{R} & \left(E^{*}\right)^{R}=\left(E^{R}\right)^{*} \\
a^{R}=a & \emptyset^{R}=\emptyset & \epsilon^{R}=\epsilon
\end{array}
$$

We then prove $L\left(E^{R}\right)=L(E)^{R}$ by structural induction on $E$

## Reversal

Proof 2: We have $L=L(A)$ for a NFA $A$, we define then a $\epsilon$-NFA $A^{\prime}$ such that $L^{R}=L\left(A^{\prime}\right)$

We have $A=\left(Q, \Sigma, \delta, q_{0}, F\right)$
We take $q_{1} \notin Q$ and define $A^{\prime}=\left(Q \cup\left\{q_{1}\right\}, \Sigma, \delta^{\prime}, q_{1},\left\{q_{0}\right\}\right)$ which is an $\epsilon$-NFA with

$$
\begin{aligned}
& r \in \delta^{\prime}(s, a) \text { iff } s \in \delta(r, a) \text { for } r, s \in Q \\
& r \in \delta^{\prime}\left(q_{1}, \epsilon\right) \text { iff } r \in F
\end{aligned}
$$

Example: The reverse of the language defined by $(0+1) 0^{*}$ can be defined by $0^{*}(0+1)$

## Monoid

Let $\Sigma$ be an alphabet
$\Sigma^{*}$ is a monoid
It has a binary operation $(x, y) \longmapsto x y$ which is associative $x(y z)=(x y) z$
It has a neutral element $\epsilon$ : we have $x \epsilon=\epsilon x=x$
It is not commutative in general $a b \neq b a$

## Definition of Homomorphisms

Let $\Sigma$ and $\Theta$ be two alphabets.
Definition: an homomorphism $h: \Sigma^{*} \rightarrow \Theta^{*}$
is an application such that, for all $x, y \in \Sigma^{*}$

$$
h(x y)=h(x) h(y) \quad h(\epsilon)=\epsilon
$$

It follows that if $h\left(a_{1} \ldots a_{n}\right)=h\left(a_{1}\right) \ldots h\left(a_{n}\right)$
Notice that $h(a) \in \Theta^{*}$ if $a \in \Sigma$

Closure under Homomorphisms

Let $h: \Sigma^{*} \rightarrow \Theta^{*}$ be an homomorphism
Theorem: If $L \subseteq \Sigma^{*}$ is regular then $h(L)$ is regular
We define $h(E)$ if $E$ is a regular expression
$h(\epsilon)=\epsilon, h(\emptyset)=\emptyset, \quad h(a)=h(a)$
$h\left(E_{1}+E_{2}\right)=h\left(E_{1}\right)+h\left(E_{2}\right)$
$h\left(E_{1} E_{2}\right)=h\left(E_{1}\right) h\left(E_{2}\right)$
$h\left(E^{*}\right)=h(E)^{*}$

## Closure under Homomorphisms

Lemma: If $E$ is a regular expression then $L(h(E))=h(L(E))$
Proof: By structural induction on $E$. There are 6 cases.
This implies that given a DFA $A$ such that $L(A)=L \subseteq \Sigma^{*}$ one can build a DFA $A^{\prime}$ such that $L\left(A^{\prime}\right)=h(L)$

This DFA exists because we have a regular expression (hence a $\epsilon$-NFA hence a DFA by the subset construction)

Not obvious how to build directly this DFA

## Closure under Homomorphisms

Theorem: If $L \subseteq \Theta^{*}$ is regular then $h^{-1}(L)$ is regular
Proof: Let $A=\left(Q, \Theta, \delta, q_{0}, F\right)$ DFA for $L$ we define $A^{\prime}=\left(Q, \Sigma, \delta^{\prime}, q_{0}, F\right)$ with

$$
\delta^{\prime}(q, a)=q \cdot h(a)
$$

$A^{\prime}$ is a DFA of alphabet $\Sigma$, we prove then that $L\left(A^{\prime}\right)=h^{-1}(L)$
Lemma: We have for all $x \hat{\delta}^{\prime}(q, x)=q . h(x)$
The proof uses the fact that $q \cdot(u v)=(q \cdot u) \cdot v$

## Closure under Homomorphisms

Notice that the proof would be difficult to do directly at the level of regular expressions. For instance if

$$
\begin{aligned}
\text { If } h(a) & =\epsilon, h(b)=b, h(c)=\epsilon \text { what is } h^{-1}(\{\epsilon\}) ? \\
\text { If } h(a) & =a b b, h(b)=c, h(c)=c \text { we have } h(a b) \in\{a b\}\{b c\} \text { but we have } \\
h^{-1}(\{a b\}) & =h^{-1}(\{b c\})=\emptyset
\end{aligned}
$$

## Closure under Homomorphisms

Can we prove this using Myhill-Nerode's Theorem?
We have to compute $u \backslash h^{-1}(L)$
$v$ is in this set iff $h(u v)=h(u) h(v)$ is in $L$
Hence $u \backslash h^{-1}(L)$ is the same as $h^{-1}(h(u) \backslash L)$
Hence if $L$ is regular there are only a finite number of possible values for $u \backslash h^{-1}(L)$ and hence $h^{-1}(L)$ is regular

## Closure under Union

We have a direct construction via $\epsilon$-NFA or variation on the product of DFA
It is interesting to notice that we have also a proof via Myhill-Nerode's Theorem
$u \backslash\left(L_{1} \cup L_{2}\right)=\left(u \backslash L_{1}\right) \cup\left(u \backslash L_{2}\right)$
If $L_{1}, L_{2}$ are regular, we have only a finite number of possible values for $u \backslash\left(L_{1} \cup L_{2}\right)$, hence $L_{1} \cup L_{2}$ is regular

Closure under Intersection, Difference, Complement

The same argument works for showing that regular languages are closed under intersection, complement and differences

$$
\begin{aligned}
& u \backslash\left(L_{1} \cap L_{2}\right)=\left(u \backslash L_{1}\right) \cap\left(u \backslash L_{2}\right) \\
& u \backslash L^{\prime}=(u \backslash L)^{\prime}
\end{aligned}
$$

Application: we have another way to compute $0^{\prime}$ We have also direct constructions on DFAs

## Closure under Prefix

If $L \subseteq \Sigma^{*}$ is a language we write $\operatorname{Pre}(L)$ the set
$\left\{u \in \Sigma^{*} \mid \exists v . u v \in L\right\}$
This is the set of prefixes of words that are in $L$
We present two proofs that $\operatorname{Pre}(L)$ is regular if $L$ is regular
One proof using Myhill-Nerode's Theorem, and one proof using a DFA for $L$

Closure under Prefix

If $\left(Q, \Sigma, \delta, q_{0}, F\right)$ is a DFA for $L$ we define a DFA for Pre $(L)$ by taking

$$
\begin{aligned}
& A^{\prime}=\left(Q, \Sigma, \delta, q_{0}, F^{\prime}\right) \\
& \text { where } F^{\prime}=\{q \in Q \mid \exists z . \hat{\delta}(q, z) \in F\}
\end{aligned}
$$

We then show that $x$ in $L\left(A^{\prime}\right)$ iff $\hat{\delta}\left(q_{0}, x\right) \in F^{\prime}$ iff there exists $z$ such that $\left(q_{0} \cdot x\right) \cdot z=q_{0} \cdot(x z)$ in $F$ iff $x z$ in $\operatorname{Pre}(L(A))=\operatorname{Pre}(L)$

Closure under Prefix

We have also a proof by using regular expression: given a regular expression $E$ we define $p(E)$ such that $L(p(E))=\operatorname{Pre}(L(E))$

$$
\begin{aligned}
& p(a)=\epsilon+a \quad p(\epsilon)=\epsilon \quad p(\emptyset)=\emptyset \\
& p\left(E_{1} E_{2}\right)=p\left(E_{1}\right)+E_{1} p\left(E_{2}\right) \\
& p\left(E_{1}+E_{2}\right)=p\left(E_{1}\right)+p\left(E_{2}\right) \\
& p\left(E^{*}\right)=E^{*} p(E)
\end{aligned}
$$

## Minimal automaton

If $L$ is regular, we have seen that there is a DFA which recognizes $L$ which has for set of states the set $S$ of abstract states of $L$
$S$ is the set of all $u \backslash L$
$u \backslash L$ goes to $(u a) \backslash L$
This is the minimal automaton which recognizes $L$

## Minimal automaton

Let $A=\left(Q, \Sigma, \delta, q_{0}, F\right)$ be another DFA which recognizes $L$
We show that $Q$ has more elements than $S$
Indeed we know that $u \backslash L$ is $\left(Q, \Sigma, \delta, q_{0} \cdot u, F\right)$
Thus $S$ has less elements than there accessible states in $Q$

## Minimal automaton

For example, for $L=L\left((0+1)^{*} 01(0+1)^{*}\right)$ we have computed three abstract states

$$
L, 0 \backslash L, 01 \backslash L=\Sigma^{*}
$$

Hence any automaton which recognizes $L$ has at least three states

## Minimal automaton

Let $Q^{\prime}$ be the set of states accessible from $q_{0}$
If $q_{0} \cdot u=q_{0} . v$ I claim that we have $u \backslash L=v \backslash L$
Indeed this is the set recognized by $\left(Q, \Sigma, \delta, q_{0} \cdot u, F\right)=\left(Q, \Sigma, \delta, q_{0} \cdot v, F\right)$
This means that we have a surjective map $\psi: Q^{\prime} \rightarrow S, q_{0} \cdot u \longmapsto u \backslash L$
Furthermore $\psi(q \cdot a)=a \backslash \psi(q)$
This shows that connection between any automaton recognizing $L$ and the minimal automaton of abstract states

## Minimal automaton

Next time, I will present an algorithm for computing the minimal automaton for $L$ given a DFA for $L$

## Accessible states

$$
A=\left(Q, \Sigma, \delta, q_{0}, F\right) \text { is a DFA }
$$

A state $q \in Q$ is accessible iff there exists $x \in \Sigma^{*}$ such that $q=q_{0} . x$
Let $Q_{0}$ be the set of accessible states, $Q_{0}=\left\{q_{0} \cdot x \mid x \in \Sigma^{*}\right\}$
Theorem: We have q.a $\in Q_{0}$ if $q \in Q_{0}$ and $q_{0} \in Q_{0}$. Hence we can consider the automaton $A_{0}=\left(Q_{0}, \Sigma, \delta, q_{0}, F \cap Q_{0}\right)$. We have $L(A)=L\left(A_{0}\right)$

In particular $L(A)=\emptyset$ if $F \cap Q_{0}=\emptyset$.

## Accessible states

Actually we have $L(A)=\emptyset$ iff $F \cap Q_{0}=\emptyset$ since if $q \cdot x \in F$ then $q \cdot x \in F \cap Q_{0}$
Implementation in a functional language: we consider automata on a finite collection of characters given by a list cs

An automaton is given by a parameter type a with a transition function and an initial state

## Accessible states

```
import List(union)
isIn as a = or (map ((==) a) as)
isSup as bs = and (map (isIn as) bs)
closure :: Eq a => [Char] -> (a -> Char -> a) -> [a] -> [a]
closure cs delta qs =
    let qs' = qs >>= (\ q -> map (delta q) cs)
    in if isSup qs qs' then qs
        else closure cs delta (union qs qs')
```


## Accessible states

```
accessible :: Eq a => [Char] -> (a -> Char -> a) -> a -> [a]
accessible cs delta q = closure cs delta [q]
-- test emptyness on an automaton
notEmpty :: Eq a => ([Char],a-> Char -> a,a,a->Bool) -> Bool
notEmpty (cs,delta,q0,final) = or (map final (accessible cs delta q0))
```


## Accessible states

```
data Q = A | B | C | D | E
    deriving (Eq,Show)
delta A 'O' = A delta A '1' = B
delta B 'O' = A delta B '1' = B
delta C _ = D
delta D 'O' = E delta D '1' = C
delta E 'O' = D delta E '1' = C
as = accessible "01" delta A
test = notEmpty ("01",delta,A,(==) C)
```


## Accessible states

```
    Optimisation
import List(union)
isIn as a = or (map ((==) a) as)
isSup as bs = and (map (isIn as) bs)
Closure :: Eq a => [Char] -> (a -> Char -> a) -> [a] -> [a]
```


## Accessible states

```
closure cs delta qs = clos ([],qs)
    where
        clos (qs1,qs2) =
    if qs2 == [] then qs1
            else let qs = union qs1 qs2
            qs' = qs2 >>= (\ q -> map (delta q) cs)
            qs'' = filter (\ q -> not (isIn qs q)) qs'
            in clos (qs,qs'')
```

