

Termination and Guardedness Checking with Continuous Types

*Towards a Higher-Order Polymorphic Lambda-Calculus
With Sized Types*

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— *Work in progress* —

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Setting the stage. . .

- Curry-Howard-Isomorphism:
proofs by induction = programs with recursion
- Only *terminating* programs constitute valid proofs.
- Design issue: How to integrate terminating recursion into
proof/programming language?

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One approach: special forms of recursion

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- Tame recursion by restricting to special patterns.
- Iteration/catamorphisms
e.g. Haskell's `List.fold`
- Primitive recursion/paramorphisms
- Problems:
 - Non-trivial operational semantics makes it harder to understand programs.
 - I do not want to write all of my list-processing functions using `fold`.

Another approach: recursion with termination checking

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- Use *general recursion*: `letrec`.
- Has “intuitive” meaning through simple operational semantics.
- In general not normalizing, need termination checking.
- Here we used the *sized types* approach [Hughes et al. 1996] [Barthe et al. 2003?].
- View data as trees.
- *Size* = height = # constructors in longest path of tree.
- Height of input data must decrease in each recursive call.
- Termination is ensured by type-checker.

Sized types in a nutshell

- Sizes are *upper bounds*.
 - List^a denotes lists of length $< a$.
 - List^∞ denotes list of arbitrary (but finite) length.
 - Sizes induce *subtyping*: $\text{List}^a \leq \text{List}^b$ if $a \leq b$.
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- In general, sizes are *ordinal numbers*, needed e.g. for infinitely branching trees.
 - Size expressions:

$a ::= i$ variable
 | $a + 1$ successor
 | ∞ ultimate limit, denoting Ω (first uncountable)

Example: list splitting

$\text{split} : \quad \forall A:*. \text{List } A \rightarrow \text{List } A \times \text{List } A$
 $\text{split } [] \quad = \langle [], [] \rangle$
 $\text{split } (y :: l) = \text{let } \langle xs, ys \rangle = \text{split } l \text{ in}$
 $\langle (y :: ys), xs \rangle$

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- Sized types allow us to express that `split` denotes a non-size increasing function.

Example: list splitting

$\text{split} : \forall i:\text{ord}. \forall A:*. \text{List}^i A \rightarrow \text{List} A \times \text{List} A$

$\text{split} [] = \langle [], [] \rangle$

$\text{split} (y :: l^i)^{i+1} = \text{let } \langle xs, ys \rangle = \text{split } l^i \text{ in}$
 $\langle (y :: ys), xs \rangle$

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- To compute `split` at stage $i + 1$, `split` is only used at stage i .
- Hence, `split` is terminating.

Example: list splitting

$\text{split} : \forall i:\text{ord}. \forall A:*. \text{List}^i A \rightarrow \text{List}^i A \times \text{List}^i A$

$\text{split} []^{i+1} = \langle []^{i+1}, []^{i+1} \rangle$

$\text{split} (y :: l^i)^{i+1} = \text{let } \langle xs^i, ys^i \rangle = \text{split } l^i \text{ in}$
 $\langle (y :: ys)^{i+1}, xs^{i \leq i+1} \rangle$

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- We additionally can infer that `split` is non-size increasing.
- Using `split`, we can define merge sort...

Example: merge sort

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```
merge : List Int → List Int → List Int
msort : List Int → List Int
msort [] = []
msort (x :: k) = case k of
  [] → x :: []
  (y :: l) → let (xs, ys) = split l in
              merge (msort (x :: xs))
                  (msort (y :: ys))
```

Example: merge sort

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```
merge : ∀i:ord. Listi Int → ∀j:ord. Listj Int → List∞ Int
msort : ∀i:ord. Listi Int → List∞ Int
msort []i+1 = []
msort (x :: ki) = case kj+1=i of
  [] → x :: []
  (y :: lj) → let (xsj, ysj) = split lj in
                merge (msort (x :: xs)j+1=i)
                    (msort (y :: ys)j+1=i)
```

Example: addition for tree ordinals

Datatype Ord of tree ordinals.

$$\begin{aligned} \text{Zero} & : \text{Ord} \\ \text{Succ} & : \text{Ord} \rightarrow \text{Ord} \\ \text{Lim} & : (\text{Nat} \rightarrow \text{Ord}) \rightarrow \text{Ord} \end{aligned}$$

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Addition for tree ordinals.

$$\begin{aligned} \text{add} & : \text{Ord} \rightarrow \text{Ord} \rightarrow \text{Ord} \\ \text{add } x \text{ Zero} & = x \\ \text{add } x \text{ (Succ } y \text{)} & = \text{Succ (add } x \text{ } y \text{)} \\ \text{add } x \text{ (Lim } f \text{)} & = (\text{Lim } (\lambda n. \text{add } x \text{ (} f \text{ } n \text{)})) \end{aligned}$$

Example: addition for tree ordinals

Datatype Ord of tree ordinals.

$$\begin{aligned} \text{Zero} & : \forall i:\text{ord}. \text{Ord}^i \\ \text{Succ} & : \forall i:\text{ord}. \text{Ord}^i \rightarrow \text{Ord}^{i+1} \\ \text{Lim} & : \forall i:\text{ord}. (\text{Nat} \rightarrow \text{Ord}^i) \rightarrow \text{Ord}^{i+1} \end{aligned}$$

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Addition for tree ordinals.

$$\begin{aligned} \text{add} & : \text{Ord} \rightarrow \forall i:\text{ord}. \text{Ord}^i \rightarrow \text{Ord} \\ \text{add } x \text{ Zero} & = x \\ \text{add } x \text{ (Succ } y^i)^{i+1} & = \text{Succ (add } x \text{ } y^i \text{)} \\ \text{add } x \text{ (Lim } f^{\rightarrow i})^{i+1} & = (\text{Lim } (\lambda n. \text{add } x \text{ (} f \text{ } n)^i)) \end{aligned}$$

Example: addition for tree ordinals

Datatype Ord of tree ordinals.

Zero : $\forall i:\text{ord. Ord}^i$
Succ : $\forall i:\text{ord. Ord}^i \rightarrow \text{Ord}^{i+1}$
Lim : $\forall i:\text{ord. (Nat} \rightarrow \text{Ord}^i) \rightarrow \text{Ord}^{i+1}$

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Addition for tree ordinals.

add : $\text{Ord}^\infty \rightarrow \forall i:\text{ord. Ord}^i \rightarrow \text{Ord}^\infty$
add x^∞ Zero = x^∞
add x^∞ (Succ y^i) ^{$i+1$} = Succ (add x y^i) ^{∞}
add x^∞ (Lim $f^{\cdot \rightarrow i}$) ^{$i+1$} = (Lim ($\lambda n. \text{add } x (f\ n)^i$)) ^{∞}

Lambda-calculus with subtyping

- Types

$A, B, C ::= 1 \mid A \times B \mid A + B \mid A \rightarrow B$

- Terms

$r, s, t ::= \langle \rangle \mid \langle s, t \rangle \mid \text{fst } r \mid \text{snd } r$
 $\mid \text{inl } t \mid \text{inr } t \mid \text{case } r \text{ of inl } x \Rightarrow s \mid \text{inr } y \Rightarrow t$
 $\mid x \mid \lambda x.t \mid r\ s \mid \text{let } x=r \text{ in } t$

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- Subtyping

$\frac{}{1 \leq 1} \quad \frac{A_1 \leq A_2 \quad B_1 \leq B_2}{A_1 \times B_1 \leq A_2 \times B_2} \quad \frac{A_1 \leq A_2 \quad B_1 \leq B_2}{A_1 + B_1 \leq A_2 + B_2}$
 $\frac{A_2 \leq A_1 \quad B_1 \leq B_2}{A_1 \rightarrow B_1 \leq A_2 \rightarrow B_2}$

Polymorphism

- Types

$$A, B, C ::= \dots \mid X \mid \forall X. A$$

- Typing

$$\frac{\Gamma, X \vdash t : A}{\Gamma \vdash t : \forall X. A} \quad \frac{\Gamma \vdash t : \forall X. A}{\Gamma \vdash t : [B/X]A}$$

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- Subtyping

$$\frac{}{X \leq X} \quad \frac{\Gamma \vdash [C/X]A \leq B}{\Gamma \vdash (\forall X. A) \leq B} \quad \frac{\Gamma, X \vdash A \leq B}{\Gamma \vdash A \leq \forall X. B}$$

Size polymorphism

- Sizes

$$a, b, c ::= i \mid a + 1 \mid \infty$$

- Ordering

$$\frac{}{i \leq i} \quad \frac{a \leq b}{a + 1 \leq b + 1} \quad \frac{a \leq b}{a \leq b + 1} \quad \frac{}{\infty \leq \infty}$$

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- Types

$$A, B, C ::= \dots \mid \forall i. A$$

- Typing

$$\frac{\Gamma, i \vdash t : A}{\Gamma \vdash t : \forall i. A} \quad \frac{\Gamma \vdash t : \forall i. A}{\Gamma \vdash t : [a/X]A}$$

- Subtyping

$$\frac{\Gamma \vdash [a/X]A \leq B}{\Gamma \vdash (\forall i. A) \leq B} \quad \frac{\Gamma, i \vdash A \leq B}{\Gamma \vdash A \leq \forall i. B}$$

Inductive types

- Types and terms

$A, B, C ::= \dots \mid \mu^a X. A$ where X only pos in A

$r, s, t ::= \dots \mid \text{in } t \mid \text{out } t$

- Typing

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$$\frac{\Gamma \vdash t : [\mu^a X. A/X]A}{\Gamma \vdash \text{in } t : \mu^{a+1} X. A} \quad \frac{\Gamma \vdash r : \mu^{a+1} X. A}{\Gamma \vdash \text{out } r : [\mu^a X. A/X]A}$$

- Subtyping

$$\frac{a \leq b \text{ or } b = \infty \quad \Gamma, X \vdash A \leq B}{\Gamma \vdash \mu^a X. A \leq \mu^b X. B}$$

- Admissible typing rules

$$\frac{\Gamma \vdash t : [\mu^\infty X. A/X]A}{\Gamma \vdash \text{in } t : \mu^\infty X. A} \quad \frac{\Gamma \vdash r : \mu^\infty X. A}{\Gamma \vdash \text{out } r : [\mu^\infty X. A/X]A}$$

Inductive types – example

$\text{List}^i(A) ::= \mu^i X. 1 + A \times X$

$\text{nil} : \forall A \forall i. \text{List}^i A$
 $:= \text{in}(\text{inl}\langle \rangle)$

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$\text{cons} : \forall A. A \rightarrow \forall i. \text{List}^i(A) \rightarrow \text{List}^{i+1}(A)$
 $:= \lambda a \lambda as. \text{in}(\text{inr}\langle a, as \rangle)$

$\text{head} : \forall A \forall i. \text{List}^{i+1}(A) \rightarrow (1 + A)$

$\text{head} := \lambda l. \text{case}(\text{out } l) \text{ of } \text{inl } _ \Rightarrow \text{inl}\langle \rangle \mid \text{inr } p \Rightarrow \text{inr}(\text{fst } p)$

Could we also type $\text{head} : \forall A \forall i. \text{List}^i(A) \rightarrow (1 + A)$?

Case distinction for inductive types

- Case distinction on ordinal i :

$$\frac{\Gamma, i, \Gamma' \vdash r : \mu^i X.A \quad \Gamma, j, x : \mu^{j+1} X.A \vdash t : C(j+1)}{\Gamma, i, \Gamma' \vdash \text{let } x=r \text{ in } t : C(i)}$$

where i only pos in $C(i)$.

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- Better typing for head:

$$\text{head} : \forall A \forall i. \text{List}^i(A) \rightarrow (1 + A)$$

$$\text{head} := \lambda l. \text{let } x^{j+1} = l^i \text{ in}$$

$$\text{case (out } x \text{) of inl } _ \Rightarrow \text{inl} \langle \rangle \mid \text{inr } p \Rightarrow \text{inr (fst } p \text{)}$$

- Case distinction on ordinal could be integrated into case construct.

Infinite structures

- On infinite objects like streams, we are interested in the *definedness* rather than the size.
- $s : \text{Stream}^a(A)$ means s is defined upto depth a .
- Objects which are defined upto depth ∞ are called *productive*.
- Subtyping for streams:

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$$\text{Stream}^\infty(A) \leq \dots \leq \text{Stream}^{i+1}(A) \leq \text{Stream}^i(A)$$

Coinductive types

- Types

$A, B, C ::= \dots \mid \nu^a X. A$ where X only pos in A

- Typing

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$$\frac{\Gamma \vdash t : [\nu^a X.A/X]A}{\Gamma \vdash \text{in } t : \nu^{a+1} X.A} \quad \frac{\Gamma \vdash r : \nu^{a+1} X.A}{\Gamma \vdash \text{out } r : [\nu^a X.A/X]A}$$

- Subtyping

$$\frac{a \leq b \text{ or } b = \infty \quad \Gamma, X \vdash A \leq B}{\Gamma \vdash \nu^b X.A \leq \nu^a X.B}$$

- Admissible typing rules

$$\frac{\Gamma \vdash t : [\nu^\infty X.A/X]A}{\Gamma \vdash \text{in } t : \nu^\infty X.A} \quad \frac{\Gamma \vdash r : \nu^\infty X.A}{\Gamma \vdash \text{out } r : [\nu^\infty X.A/X]A}$$

Coinductive types – example

$\text{Stream}^i(A) := \nu^i X. A \times X$

$\text{s_cons} : \forall A. A \rightarrow \forall i. \text{Stream}^i(A) \rightarrow \text{Stream}^{i+1}(A)$
 $:= \lambda a \lambda as. \text{in} \langle a, as \rangle$

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$\text{s_head} : \forall A \forall i. \text{Stream}^{i+1}(A) \rightarrow A$

$\text{s_head} := \lambda s. \text{fst}(\text{out } s)$

$\text{s_tail} : \forall A \forall i. \text{Stream}^{i+1}(A) \rightarrow \text{Stream}^i(A)$

$\text{s_tail} := \lambda s. \text{snd}(\text{out } s)$

Recursion and corecursion

- Terms

$$r, s, t ::= \dots \mid \text{fix}^\mu s \mid \text{fix}^\nu s$$

- Typing

$$\frac{\Gamma, i \vdash s : ((\mu^i X.A) \rightarrow B(i)) \rightarrow (\mu^{i+1} X.A) \rightarrow B(i+1)}{\Gamma \vdash \text{fix}^\mu s : \forall i. (\mu^i X.A) \rightarrow B(i)} \quad i \text{ } \cap\text{-cont } B(i)$$

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$$\frac{\Gamma, i \vdash s : A(i) \rightarrow A(i+1)}{\Gamma \vdash \text{fix}^\nu s : \forall i. A(i)} \quad i \text{ legal}^\nu A(i)$$

- Legal types for corecursion

$$\frac{}{i \text{ legal}^\nu \nu^i X.A} \quad (i \notin A) \quad \frac{i \text{ legal}^\nu A \quad i \text{ legal}^\nu B}{i \text{ legal}^\nu A \times B}$$

$$\frac{i \text{ only pos in } A \quad i \text{ legal}^\nu B}{i \text{ legal}^\nu A \rightarrow B}$$

Corecursion example: sequence of natural numbers

- Map for streams in sugared recursion syntax:

$$\text{s_map} : \forall X \forall Y. (X \rightarrow Y) \rightarrow \forall i. \text{Stream}^i(X) \rightarrow \text{Stream}^i(Y)$$

$$\text{s_map } f (x :: xs^i)^{i+1} = ((f x) :: \text{s_map } f xs^i)^{i+1}$$

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- Stream of natural numbers in original recursion syntax:

$$\text{nats} : \forall i. \text{Stream}^i(\text{Int})$$

$$\text{nats} = \text{fix}^\nu \lambda \text{nats}. (0 :: (\text{s_map } +1 \text{ nats}^i)^i)^{i+1}$$

Reduction

- Special evaluation contexts:

$$E ::= \bullet \mid E s \mid \text{fst } E \mid \text{snd } E$$

- Rules for (co)inductive data and (co)recursion:

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$$\begin{aligned} \text{out}(\text{in } t) &\longrightarrow_{\beta} t \\ \text{fix}^{\mu} s(\text{in } t) &\longrightarrow_{\beta} s(\text{fix}^{\mu} s)(\text{in } t) \\ \text{out}(E[\text{fix}^{\nu} s]) &\longrightarrow_{\beta} \text{out}(E[s(\text{fix}^{\nu} s)]) \end{aligned}$$

- Add β -rules for the lambda-calculus with sums and products plus congruence rules.
- For the resulting reduction relation we can show strong normalization.

Semantics of size expressions

Let θ be a mapping of size variables into ordinals $< \Omega + \omega$.

$$\begin{aligned} \llbracket i \rrbracket \theta &= \theta(i) \\ \llbracket a + 1 \rrbracket \theta &= \llbracket a \rrbracket \theta + 1 \\ \llbracket \infty \rrbracket \theta &= \Omega \end{aligned}$$

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Semantics of types

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- Let $\Gamma \vdash A : *$ and $\theta : \Gamma$ a valuation of the free type and size variables in A .
- Semantics $\llbracket A \rrbracket \theta \subseteq \text{SN}$ (saturated set):

$$\llbracket A \rightarrow B \rrbracket \theta = \{r \mid r s \in \llbracket B \rrbracket \theta \text{ for all } s \in \llbracket A \rrbracket \theta\}$$

$$\llbracket \text{List}^i(A) \rrbracket \theta = \Phi_{\text{List}(A), \theta}^\alpha(\emptyset)$$

$$\llbracket \text{Stream}^i(A) \rrbracket \theta = \Phi_{\text{Stream}(A), \theta}^\alpha(\text{SN})$$

with ordinal $\alpha = \llbracket i \rrbracket \theta$ and

$$\Phi_{\text{List}(A), \theta}(Q) = \{\text{nil}, \text{cons } s t \mid s \in \llbracket A \rrbracket \theta, t \in Q\}$$

$$\Phi_{\text{Stream}(A), \theta}(Q) = \{r \mid \text{s.head } r \in \llbracket A \rrbracket \theta, \text{s.tail } r \in Q\}$$

Uniform Operation Iteration

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- Iterates Φ^α can be defined uniformly for least and greatest fixed-points using limes inferior. Let $P : \text{On} \rightarrow \mathcal{P}(\text{SN})$.

$$\underline{\lim}_{\alpha \rightarrow \lambda} P(\alpha) = \bigcup_{\alpha_0 < \lambda} \bigcap_{\alpha_0 \leq \alpha < \lambda} P(\alpha)$$

$$\Phi^0(Q) = Q$$

$$\Phi^{\alpha+1}(Q) = \Phi(\Phi^\alpha(Q))$$

$$\Phi^\lambda(Q) = \underline{\lim}_{\alpha \rightarrow \lambda} \Phi^\alpha(Q)$$

- Lemma: Assume Φ increasing, i.e., $\Phi^\alpha(Q) \subseteq \Phi^\beta(Q)$ for $\alpha \leq \beta$.

$$\Phi^\lambda(Q) = \bigcup_{\alpha < \lambda} \Phi^\alpha(Q)$$

- Lemma: Assume Φ decreasing, i.e., $\Phi^\alpha(Q) \supseteq \Phi^\beta(Q)$ for $\alpha \leq \beta$.

$$\Phi^\lambda(Q) = \bigcap_{\alpha < \lambda} \Phi^\alpha(Q)$$

On the side condition on recursion

- Recall the recursion rule:

$$\frac{\Gamma, i \vdash s : ((\mu^i X.A) \rightarrow B(i)) \rightarrow (\mu^{i+1} X.A) \rightarrow B(i+1)}{\Gamma \vdash \text{fix}^\mu s : \forall i. (\mu^i X.A) \rightarrow B(i)} \quad i \cap\text{-cont } B(i)$$

- Soundness is proven by transfinite induction on the ordinal $\llbracket i \rrbracket$.
For the limit case to hold, B must admit

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$$\begin{aligned} \lim_{\alpha \rightarrow \lambda} \llbracket (\mu^i X.A) \rightarrow B(i) \rrbracket (i \mapsto \alpha) \\ \subseteq \llbracket (\mu^i X.A) \rightarrow B(i) \rrbracket (i \mapsto \lambda) \end{aligned}$$

- Thus, result type of this fix^μ -construction must be *continuous* in i .
- We distinguish two kinds of continuity.

U-Continuity

- A set-valued function $P : \text{On} \rightarrow \mathcal{P}(\text{SN})$ is called U-continuous if

$$P(\lambda) \subseteq \lim_{\alpha \rightarrow \lambda} P(\alpha)$$

Hughes, Pareto & Sabry (1996) call P *overshooting*.

- Grammar for U-continuous types i U-cont A :

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$$\frac{i \text{ only neg in } A}{i \text{ U-cont } A} \quad \frac{i \text{ U-cont } A, B}{i \text{ U-cont } A + B, A \times B} \quad \frac{i \text{ U-cont } A}{i \text{ U-cont } \text{List}^a(A)}$$

- Theorem: If i U-cont A then $\llbracket A \rrbracket (i)$ is U-continuous.

\cap -Continuity

- A set-valued function $P : \text{On} \rightarrow \mathcal{P}(\text{SN})$ is called \cap -continuous if

$$\lim_{\alpha \rightarrow \lambda} P(\alpha) \subseteq P(\lambda)$$

Hughes, Pareto & Sabry (1996) call P *undershooting*.

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- Grammar for \cap -continuous types i \cap -cont A :

$$\frac{i \text{ only pos in } A}{i \cap\text{-cont } A} \quad \frac{i \cap\text{-cont } A, B}{i \cap\text{-cont } A + B, A \times B} \quad \frac{i \cap\text{-cont } A}{i \cap\text{-cont } \text{List}^a(A)}$$

$$\frac{i \cup\text{-cont } A \quad i \cap\text{-cont } B}{i \cap\text{-cont } A \rightarrow B} \quad \frac{i \cap\text{-cont } A}{i \cap\text{-cont } \text{Stream}^a(A)}$$

- Theorem: If i \cap -cont A then $\llbracket A \rrbracket(i)$ is \cap -continuous.

Work in progress: F^ω with sized types

- Kinds.

κ	::=	*	types
		ord	ordinal sizes
		$\kappa \xrightarrow{+} \kappa'$	covariant type constructors
		$\kappa \xrightarrow{-} \kappa'$	contravariant type constructors
		$\kappa \xrightarrow{0} \kappa'$	invariant type constructors

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- “Subconstructors” $F \leq G : \kappa$. E.g.,

$$\frac{X \leq Y : \kappa \vdash F X \leq G Y : \kappa'}{F \leq G : \kappa \xrightarrow{+} \kappa'}$$

- Well-kindedness definable by $F : \kappa \iff F \leq F : \kappa$

Inductive constructors

- Inductive constructors.

$$\mu_{\kappa} : \text{ord} \xrightarrow{+} (\kappa \xrightarrow{+} \kappa) \xrightarrow{+} \kappa$$

- Example for an inductive type:

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$$\text{List} = \lambda i \lambda A. \mu_* i (\lambda X. 1 + A \times X)$$

- Inductive functors: μ_{κ} for $\kappa = * \rightarrow *$.
- E.g., Term A , de Bruijn terms with free variables in A :

$$\text{Term} = \mu_{* \rightarrow *} \infty \lambda T \lambda A. A + T(1 + A) + TA \times TA$$

Conclusions

Sized types:

- Conceptually *lean* way of ensuring termination.
- Well-typedness ensures termination.
- No external static analysis required.

Slide 34 System F^{ω} :

- Size expressions can be integrated into constructors.
- Sized types scale to higher-order polymorphism.

Goal: extend to dependent types.

Related Work

- Hughes, Pareto, Sabry (1996)
Proving the correctness of reactive system using sized types
- Barthe, Frade, Giménez, Pinto, Uustalu (2003?)
Type-based termination of recursive definitions
- Buchholz (2003?)
Recursion on nonwellfounded trees

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