Let's Face It: Faceted Values for Taint Tracking (Extended Version)

Daniel Schoepe¹, Musard Balliu¹, Frank Piessens², and Andrei Sabelfeld¹

¹ Chalmers University of Technology, Sweden ² iMinds-DistriNet, KU Leuven, Belgium

Abstract. Taint tracking has been successfully deployed in a range of security applications to track data dependencies in hardware and machine-, binary-, and high-level code. Precision of taint tracking is key for its success in practice: being a vulnerability analysis, false positives must be low for the analysis to be practical. This paper presents an approach to taint tracking, which does not involve tracking taints throughout computation. Instead, we include shadow memories in the execution context, so that a single run of a program has the effect of computing on both tainted and untainted data. This mechanism is inspired by the technique of secure multi-execution, while in contrast to the latter it does not require running the entire program multiple times. We present a general framework and establish its soundness with respect to explicit secrecy, a policy for preventing insecure data leaks, and its precision showing that runs of secure programs are never modified. We show that the technique can be used for attack detection with no false positives. To evaluate the mechanism in practice, we implement DroidFace, a source-to-source transform for an intermediate Java-like language and benchmark its precision and performance with respect to representative static and dynamic taint trackers for Android. The results indicate that the performance penalty is tolerable while achieving both soundness and no false positives on the tested benchmarks.

1 Introduction

Taint tracking has been successfully deployed in a range of security applications to track data dependencies in hardware [14,35] and binary [13,34] code, as well as high-level code, with popular usage in mobile [22,19,1,40,21,10] and web [28,36,26] applications.

Background Taint tracking is about tracking direct data dependencies, or *explicit flows* [16], when data is passed directly from one data container to another. Taint tracking typically ignores *implicit flows* [16], when the information flows through the control structure of the program, as in, e.g., branching on a secret and assigning to different publicly observable variables in the branches.

What makes taint tracking a popular security mechanism? Missing out on implicit flows is clearly a disadvantage from the security point of view. This makes taint tracking a vulnerability finding mechanism rather than a mechanism that provides comprehensive security assurance. This brings us to an important observation: *precision* of taint tracking is key for its success in practice: being a vulnerability analysis, false positives must be low for the analysis to be practical.

This observation is echoed by the state of the art on taint tracking for Android applications (detailed in Section 5). Static taint trackers (such as FlowDroid [1], Amandroid [40], DroidSafe [21], and HornDroid [10]) and dynamic taint trackers (such as TaintDroid [19] and AppFence [22]) incorporate increasingly sophisticated features to catch data leaks while reducing the false positives.

Problem Motivated by the above, we seek to devise a general technique for tracking data leaks with high precision. Our goal is to formally establish the soundness and precision as well as demonstrate them in practice, with taint tracking in Android applications as a target for case studies.

The general setting is a program that operates in an environment with information *sources* and *sinks*. The goal of taint tracking is to prevent information from sensitive sources to directly affect the information sent to insensitive sinks. For confidentiality, this corresponds to not directly propagating information from secret sources to public sinks. This is often a desirable goal in the context of Android apps, as in e.g. allowing an app to access the file system to choose an image for a user profile but ensuring that no other files are leaked. In the examples throughout the paper, we will stick to confidentiality policies, noting that taint tracking has also been used successfully for integrity checks, e.g., [14,35,13,34].

Facelifted values This paper presents an approach to taint tracking, which, somewhat surprisingly, does not involve tracking taints throughout computation. Instead, we include shadow memories in the execution context, so that a single run of a program has the effect of computing on both sensitive and non-sensitive data. We refer to such values that carry both secret data as well as a public shadow value as *facelifted values*, in reference to the *faceted value* approach [2] by Austin and Flanagan.

Consider a simple example program:

$$h \leftarrow \mathbf{in}(\mathbf{H}); l := h; \mathbf{out}(\mathbf{L}, l) \tag{1}$$

Secret, or high (**H**), input is stored in a variable h and is explicitly leaked into the variable l, which in turn is output on a public, or low (**L**), channel. In essence, our approach has the effect of running the program:

 $h \leftarrow in(\mathbf{H})$; h' := d; // secret input and shadow input with default value dl := h; l' := h'; // original assignment and shadow assignment $out(l', \mathbf{L})$ // public output from shadow memory

The shadow memory is represented by the shadow variables h' and l'. This represents the public view of the system. On a secret input, a default value d is stored in the shadow variable h'. On a public output, the value is retrieved from the shadow memory.

Soundness and precision This mechanism is inspired by the technique of *secure multi-execution* (SME) [11,17], where programs are executed as many

times as there are security levels, with outputs at each level computed by the respective runs. SME addresses both explicit and implicit flows, enforcing the policy of *noninterference* [15,20] that prescribes no leaks from sensitive inputs to insensitive outputs.

In contrast to SME, our mechanism does not re-run the entire program, focusing on secure-multi execution of the individual side-effectful commands that cause explicit flows. Moreover, it is independent of the choice of scheduling strategy for different runs. As such, this technique is similar to Austin and Flanagan's faceted values [2]. Re-purposing faceted values to track explicit flows results in a powerful mechanism for a policy that the original faceted values were not intended for: *explicit secrecy* [33], a policy that captures what it means to leak information explicitly. Further, facelifted values are different in that: i) Faceted values face challenges with tracking implicit flows, which results in propagating context labels through the computation. ii) Facelifted values are sound and precise for explicit secrecy, while faceted values are sound and not precise for noninterference [6]. iii) Facelifted values only require a single path through the program, while faceted values may execute both branches of a conditional [2]. iv) As a consequence, facelifted values can be implemented by means of a relatively simple program transformation whereas faceted values require modification of the runtime or explicit use of a library [32].

We present a general framework and establish its soundness with respect to explicit secrecy. Our results guarantee that the attacker learns nothing about secrets via explicit flows.

Similarly to SME, our mechanism may "repair" programs, i.e. force their security by modifying their original behavior. Yet, we show that the mechanism is precise in the sense that runs of secure programs are never modified. An example where classical taint trackers (e.g. [19]) are conservative is related to the handling of arrays. Modify the assignment in the simple example program above to be:

int[]
$$a := [0,0]; a[h\%2] := h; l := a[1-h\%2]$$

This is a secure program as the value assigned to the secretly-indexed element is never used. However, a typical taint tracker would taint the entire array and raise an alarm. In contrast, our approach will accept runs of this program.

Attack detection Further, our technique can be used for attack detection. We detect attacks by matching the outcomes of the insensitive outputs from the sensitive and insensitive runs. If the values mismatch it means that there is an influence from the sensitive data to insensitive data in the original run.

In the example above, assume the default value is 0 and the secret input is 1. The detection mechanism will compare l and l' before outputting on the public sink to find out that they mismatch, being 1 and 0, respectively.

Implementation Our technique can be deployed either by extending the runtime system with shadow memories or by a source-to-source inlining transformation that injects computation on shadow memories in the original code. We implement the approach by a source-to-source transformation for an intermediate Java-like language and benchmark its precision and performance with respect to static and dynamic taint tracking. Noteworthy, language constructs such as exceptions and multithreading require no special treatment. The practical evaluation of soundness and precision uses the DroidBench [18] test suite. The results demonstrate that performance penalty is tolerable while achieving both soundness and no false positives on the tested benchmarks.

Contributions The paper comprises these contributions: i) We present a framework of facelifted values. We illustrate the concepts for a simple imperative language with pointers and I/O (Section 2.1). ii) We establish precision results showing that runs of secure programs are never modified by the enforcement framework (Section 2.2). iii) We give a general, language-independent, view of the framework and show that our approach guarantees soundness with respect to explicit secrecy (Section B).iv) We leverage our approach to build an attack detection mechanism that is free of false positives: whenever an execution is flagged by the enforcement, there is an actual attack detected (Section 2.3). v) We present DroidFace, a tool that implements our approach as a source-tosource transformation for a core of Java (Section 3). vi) We evaluate the precision and performance of DroidFace with respect to the state-of-the-art static and dynamic tools for Android applications (Section 4).

2 Facelifted Values for Taint Tracking

We present the facelifted values technique and show that it enforces explicit secrecy. To illustrate the essence of facelifted values, we introduce a simple imperative language with pointers and I/O. We briefly review explicit secrecy and show that facelifted executions enforce the property. We elaborate on the use of the enforcement technique to detect potential attacks. Lastly, we present a sourceto-source transformation for statically inlining facelifted values. The proofs for lemmas and theorems can be found in Appendix C.

2.1 Language with Facelifted Values

At the heart of our mechanism is the intuition that every computation that is not related to control flow is executed multiple times, once for each security level, using default values for data from higher security levels. Consider a simple imperative language with pointers and I/O primitives:

 $\begin{array}{l} e ::= x \mid n \mid e_1 \oplus e_2 \mid \& x \mid *e \\ c ::= \mathbf{skip} \mid c_1; c_2 \mid x \ \leftarrow \mathbf{alloc} \mid x := e \mid *e_1 := e_2 \\ \mid x \leftarrow \mathbf{in}(\ell) \mid \mathbf{out}(\ell, e) \mid \mathbf{if} \ e \ \mathbf{then} \ c_1 \ \mathbf{else} \ c_2 \mid \mathbf{while} \ e \ \mathbf{do} \ c \end{array}$

The language expressions consist of global variables $x \in Var$, built-in values $n \in Val$, binary operators \oplus , variable references & x and dereferences *e. Addr is the set of memory addresses and, for simplicity, $Addr \subseteq Val$. The language constructs contain assignment, conditional, loops, input and output. In addition, the language includes dynamic memory allocation $x \leftarrow \text{alloc}$ and pointer assignment $*e_1 := e_2$. We use **nil** as a way to represent uninitialized memory. We remark on some of the interesting constructs: \oplus denotes built-in operators, such as addition and logical connectives, which apply both to built-in values and memory addresses. & x returns the address of global variable x. *e returns the value of the memory at the address denoted by expression $e. x \leftarrow \text{alloc}$

allocates memory for a value and stores the address in x. $*e_1 := e_2$ assigns the value of expression e_2 to the memory at the address denoted by expression e_1 . $x \leftarrow in(\ell)$ inputs a value from a user at security level ℓ and $out(\ell, e)$ outputs the value of expression e to a user at security level ℓ . We assume that programs are well typed. E.g. the arguments of all dereferencing operations return addresses.

We assume a bounded lattice of security levels $(\mathcal{L}, \sqsubseteq, \sqcup, \sqcap)$. We write \top and \bot to denote the top and the bottom element of the lattice, respectively (actually a partially ordered set suffices). Each I/O channel is annotated with a fixed security label $\ell \in \mathcal{L}$. In the examples, we use a two-level security lattice consisting of **H** for variables containing confidential information and **L** for variables containing public information and **L** \sqsubseteq **H**. Input to programs is modeled by environments mapping channels to streams of inputs values; we denote the set of environments by *Env*. Without loss of generality, we consider one stream for each level $\ell \in \mathcal{L}$. An environment $\mathcal{E} : \mathcal{L} \to Val^{\mathbb{N}}$ maps levels to infinite sequences of values. A facelifted value $v \in Val^{\mathcal{L}}$ maps levels to values; to distinguish streams and facelifted values from other functions, we write A^B for the function space $B \to A$.

We define equivalence at security level $\ell \in \mathcal{L}$ for environments, facelifted memories and traces. Intuitively, two environments, memories or traces are equivalent at level ℓ iff they look the same to an observer at level ℓ , i.e. one that can observe events at any level $\ell' \sqsubseteq \ell$, as defined by the lattice \mathcal{L} .

Definition 1. Two environments \mathcal{E}_1 and \mathcal{E}_2 are ℓ -equivalent, written $\mathcal{E}_1 \approx_{\ell} \mathcal{E}_2$, iff $\forall \ell' . \ell' \sqsubseteq \ell \Rightarrow \mathcal{E}_1(\ell') = \mathcal{E}_2(\ell')$.

A facelifted memory $m : Addr \to Val^{\mathcal{L}}$ maps addresses to facelifted values, i.e. to functions mapping levels to values. We globally fix a mapping $A(\cdot) : Var \to Addr$ from variables to addresses. Note that environments are the only source of inputs. Programs start executing with the fixed memory m_0 where $m_0(a)(\ell) = \mathbf{nil}$ for all $a \in Addr$ and $\ell \in \mathcal{L}$. To support pointers, we assume that $Addr \subseteq Val$. We write $m(\cdot)(\ell)$ to denote the facelifted memory projected at level ℓ , i.e. the function $a \mapsto m(a)(\ell)$. In the following, this is called ℓ -facelifted memory or non-facelifted memory (whenever the level ℓ is unimportant). We use m, m_1, \ldots to range over facelifted memories and $\widetilde{m}, \widetilde{m_1}, \ldots$ to range over non-facelifted memories.

Definition 2. Two facelifted memories m_1 and m_2 are ℓ -equivalent, written $m_1 \approx_{\ell} m_2$, iff $\forall \ell' . \ell' \sqsubseteq \ell \Rightarrow m_1(\cdot)(\ell') = m_2(\cdot)(\ell')$.

An observation is a pair of a value and a security level, i.e. $Obs = Val \times \mathcal{L}$, or empty. We write π_1 (resp. π_2) for the first (resp. second) projection of a tuple. A trace τ is a finite sequence of observations. We write ε for the empty trace/observation. We write $\tau \upharpoonright_{\ell}$ for the projection of trace τ at security level ℓ .

Definition 3. Two traces τ_1 and τ_2 are ℓ -equivalent, written $\tau_1 \approx_{\ell} \tau_2$, iff $\forall \ell' . \ell' \sqsubseteq \ell \Rightarrow \tau_1 \upharpoonright_{\ell'} = \tau_2 \upharpoonright_{\ell'}$.

We now present the semantics of the language in terms of facelifted memories and environments. We evaluate expressions in the context of a facelifted memory and, for each security level, use the memory facet of that level. **Definition 4.** The evaluation of an expression e in a facelifted memory m, written $\llbracket e \rrbracket_m \in Val^{\mathcal{L}}$, is defined by:

$$\begin{split} \llbracket x \rrbracket_{m}(\ell) &= m(A(x))(\ell) \\ \llbracket n \rrbracket_{m}(\ell) &= n \\ \llbracket e_{1} \oplus e_{2} \rrbracket_{m}(\ell) &= f_{\oplus}(\llbracket e_{1} \rrbracket_{m}(\ell), \llbracket e_{2} \rrbracket_{m}(\ell)) \\ \llbracket \& x \rrbracket_{m}(\ell) &= A(x) \\ \llbracket * e \rrbracket_{m}(\ell) &= m(\llbracket e \rrbracket_{m}(\ell))(\ell) \end{split}$$

where f_{\oplus} denotes the semantics of the operator \oplus .

Figure 1 gives the operational semantics of facelifted evaluation. A state (\mathcal{E}, m) is a pair of an environment \mathcal{E} and a memory m. A configuration $\mathcal{E} \vdash \langle c, m \rangle$ consists of an environment \mathcal{E} , a command c and a memory m. We write $\mathcal{E} \vdash \langle c, m \rangle \xrightarrow{\tau} \mathcal{E}' \vdash \langle c', m' \rangle$ to denote that a configuration $\mathcal{E} \vdash \langle c, m \rangle$ evaluates in one step to configuration $\mathcal{E}' \vdash \langle c', m' \rangle$, producing observations $\tau \in Obs^*$. We use ε and ℓ to denote normal and abnormal termination of a program, respectively.

We comment on some of the interesting rules in Figure 1. Rule F-ASSIGN evaluates an expression e in the context of a facelifted memory m, as in Def. 4, and yields a new facelifted memory m' where variable x is mapped to the resulting facelifted value. Similarly, rule F-ASSIGNPTR evaluates expressions e_1 and e_2 to obtain a facelifted address and a facelifted value, respectively, and uses the function update to assign the latter to the former. Rule F-ALLOC allocates a fresh global variable, assigns the address to x, and initializes all its facets with the default value 0. Rule F-IN reads the next (non-facelifted) input value from the environment stream \mathcal{E} at security level ℓ and uses the function \mathcal{F}_{in} to create a facelifted value which is then assigned to global variable x. In particular, \mathcal{F}_{in} enforces the invariant: given a security level ℓ , the ℓ' -facelifted value equals the actual input value $\mathcal{E}(\ell)(0)$ only if $\ell \subseteq \ell'$, otherwise it is the default value d.Rule F-OUT evaluates an expression e in the context of the ℓ -facelifted memory and outputs the resulting (ℓ -facelifted) value to an observer at security level ℓ . Rules F-IFTRUE and F-IFFALSE evaluate the branch condition e only in the context of the \top -facelifted memory and executes the command in the corresponding branch. By the definition of \mathcal{F}_{in} and Lemma 1, default input values never affect any computation that uses the \top -facelifted memory, hence the boolean expression e always evaluates in contexts that use the real input values. Moreover, we only evaluate the expression e once, using the \top -facelifted memory. We discuss this further in Example 2. We denote the standard evaluation relation with non-facelifted memories by \rightarrow ; associated functions are defined analogously and reported in the Appendix A. The following lemma shows that the sequence of configurations of the standard evaluation \rightarrow and the sequence of configurations of the \top -facelifted evaluation is the same.

Lemma 1 (Equivalence). $\mathcal{E} \vdash \langle c, m_0 \rangle \twoheadrightarrow^* \mathcal{E}' \vdash \langle c', m' \rangle$ iff $\mathcal{E}(\top) \vdash \langle c, m_0(\cdot)(\top) \rangle \rightarrow^* \widetilde{\mathcal{E}'} \vdash \langle c', \widetilde{m'} \rangle$ and $\mathcal{E}'(\top) = \widetilde{\mathcal{E}'}$ and $m'(\top) = \widetilde{m'}$.

To illustrate the facelifted semantics, consider program 1 from the introduction. Assume the domain of variables l and h is $\{0, 1\}$ and the default value

$$\begin{split} \mathcal{E} \vdash \langle \mathbf{skip}, m \rangle \twoheadrightarrow \mathcal{E} \vdash \langle \varepsilon, m \rangle \\ \\ \overline{\mathcal{E} \vdash \langle x := e, m \rangle} \twoheadrightarrow \mathcal{E} \vdash \langle \varepsilon, m[A(x) \mapsto \llbracket e \rrbracket_m] \rangle \end{split} \qquad \begin{split} & \overline{\mathcal{E}} \vdash \langle \mathbf{if} \ e \ \mathbf{then} \ c_1 \ \mathbf{else} \ c_2, m \rangle \longrightarrow \mathcal{E} \vdash \langle c_1, m \rangle \end{split}$$

F-Out

$$\begin{array}{c} \mbox{F-OUT} & \mbox{F-ASSIGNPTR} \\ \hline \mbox{$\mathcal{E} \vdash \langle {\rm out}(\ell,e),m\rangle \xrightarrow{[([[e]]_m(\ell),\ell)]}{} \mbox{$\mathcal{E} \vdash \langle \varepsilon,m\rangle\rangle$}} \mbox{$\mathcal{E} \vdash \langle \varepsilon,m\rangle$} & \mbox{$\frac{[[e_1]]_m = l \quad l \in Addr^{\mathcal{L}} \quad m' = update(m,l,e_2)}{\mathcal{E} \vdash \langle *e_1 := e_2,m\rangle \twoheadrightarrow \mathcal{E} \vdash \langle \varepsilon,m'\rangle} \\ \\ & \mbox{$\frac{F-{\rm SEQ}}{\mathcal{E} \vdash \langle c_1,m\rangle \twoheadrightarrow \mathcal{E} \vdash \langle c_1',m'\rangle \\ \hline \mathcal{E} \vdash \langle c_1;c_2,m\rangle \twoheadrightarrow \mathcal{E} \vdash \langle c_1';c_2,m'\rangle \\ \\ \hline \mbox{$\frac{F-{\rm IN}}{\mathcal{E} \vdash \langle c_1;c_2,m\rangle \twoheadrightarrow \mathcal{E} \vdash \langle c_1',m\rangle \longrightarrow \mathcal{E} \vdash \langle c_1';c_2,m'\rangle$} \\ \\ \\ \hline \mbox{$\frac{F-{\rm SEQEMPTY}}{\mathcal{E} \vdash \langle \varepsilon;c_2,m\rangle \longrightarrow \mathcal{E} \vdash \langle c_2,m\rangle } & \mbox{$\frac{F-{\rm IFFALSE}}{\mathcal{E} \vdash \langle {\rm if}\ e\ {\rm then}\ c_1\ {\rm else}\ c_2,m\rangle \twoheadrightarrow \mathcal{E} \vdash \langle c_2,m\rangle } \end{array}$$

F-WHILE

$$\overline{\mathcal{E} \vdash \langle \mathbf{while} \ e \ \mathbf{do} \ c, m \rangle \twoheadrightarrow \mathcal{E} \vdash \langle \mathbf{if} \ e \ \mathbf{then} \ (c \ ; \mathbf{while} \ e \ \mathbf{do} \ c) \ \mathbf{else} \ \varepsilon, m \rangle}$$

$$\frac{\mathbf{F}\text{-ALLOC}}{\underline{m}' = \underline{m}[A(x) \mapsto \ell \mapsto a, a \mapsto \ell \mapsto 0]} \quad a = \min\{a | a \notin \operatorname{rng}(A) \land \forall \ell. \ \underline{m}(a)(\ell) = \mathbf{nil}\} \\
\mathcal{E} \vdash \langle x \leftarrow \mathbf{alloc}, m \rangle \twoheadrightarrow \mathcal{E} \vdash \langle \varepsilon, m' \rangle \\
\mathcal{F}_{in}(\mathcal{E}, \ell, \ell') = \begin{cases} \mathcal{E}(\ell)(0) & \ell \sqsubseteq \ell' \\ d & \ell \nvDash \ell' \end{cases} \quad update(m, l, e)(a')(\ell) = \begin{cases} \llbracket e \rrbracket_m(\ell) & a' = l(\ell) \\ \underline{m}(a')(\ell) & \text{otherwise} \end{cases}$$

Fig. 1. Operational Semantics of Facelifted Evaluation

d is 0. The program starts executing with facelifted memory m_0 such that $m_0(A(l))(\ell) = m_0(A(h))(\ell) =$ nil for all $\ell \in \mathcal{L}$. If the secret input is 1, the facelifted semantics will apply the rules F-IN, F-ASSIGN and F-OUT, and output the default value 0 on the low channel. Similarly, if the secret input is 0, the output will again be 0, thus closing the leak of the insecure program. On the other hand, if we replace the output instruction in program 1 with $\mathbf{out}(\mathbf{H}, l)$, the program is secure. In fact, the variable l will be evaluated in the context of the H-facelifted memory and yield the correct result for an observer with high security level.

Note that declassification policies can be naturally enforced by pumping high values into low memories since the runtime has access to both during the execution (cf. Figure 1).

2.2 Explicit Secrecy

Explicit secrecy [33] is a knowledge-based security condition that formalizes the idea of "security with respect to explicit flows only". To achieve this, explicit secrecy distinguishes between changes to the state of the program and changes to the control flow, and demands security of information flows for the state part only. Concretely, it leverages the (small-step) operational semantics to extract a function that captures the state modification and the possible output for each execution step.

Definition 5. Whenever $\mathcal{E} \vdash \langle c, m \rangle \xrightarrow{\alpha} \mathcal{E}' \vdash \langle c', m' \rangle$, we define a function $f : Env \times Mem \rightarrow ((Env \times Mem) \times Obs)$ associated with the step. For every $\mathcal{E} \in Env$ and $m \in Mem$, we define $f((\mathcal{E}, m)) = ((\mathcal{E}', m'), \alpha)$ where \mathcal{E}', m' and α are unique and $\mathcal{E} \vdash \langle c, m \rangle \xrightarrow{\alpha} \mathcal{E}' \vdash \langle c', m' \rangle$. We write $\mathcal{E} \vdash \langle c, m \rangle \xrightarrow{\alpha}_{f} \mathcal{E}' \vdash \langle c', m' \rangle$

to denote this function.

Intuitively, for each step $\mathcal{E} \vdash \langle c, m \rangle \xrightarrow{\alpha} \mathcal{E}' \vdash \langle c', m' \rangle$, $f((\mathcal{E}_1, m_1))$ simulates how the input state (\mathcal{E}_1, m_1) would change by executing the same step that $\mathcal{E} \vdash \langle c, m \rangle$ performs. In general, it is the language designer who defines which parts of a configuration hold state and which hold the control flow of a program.

Lemma 2. If $\mathcal{E} \vdash \langle c, m \rangle \xrightarrow{\alpha}_{f} \mathcal{E}' \vdash \langle c', m' \rangle$, then f is well-defined and total.

Example 1. Given a state (\mathcal{E}, m) and command c, the state transformer for our language (cf. Def. 5) is $f((\mathcal{E}, m))$:

$$\begin{array}{ll} ((\mathcal{E}, m[A(x) \mapsto \llbracket e \rrbracket_m]), \varepsilon) & \text{if } c = x := e \\ ((\mathcal{E}, update(m, \llbracket e_1 \rrbracket_m, e_2)), \varepsilon) & \text{if } c = *e_1 := e_2 \\ ((\mathcal{E}, m[A(x) \mapsto \ell \mapsto a]), \varepsilon) & \text{if } c = x \leftarrow \text{alloc} \\ & \text{where } a \notin \operatorname{rng}(A) \land \forall \ell. \ m(a)(\ell) = \text{nil} \\ ((\mathcal{E}, m), \llbracket \llbracket e \rrbracket_m(\ell), \ell \rrbracket]) & \text{if } c = \operatorname{out}(\ell, e) \\ ((\mathcal{E}', m[A(x) \mapsto \ell' \mapsto \mathcal{F}_{in}(\mathcal{E}, \ell, \ell')]), \varepsilon) & \text{if } c = x \leftarrow \operatorname{in}(\ell) \\ & \text{and } \mathcal{E}' = \mathcal{E}[\ell \mapsto n \mapsto \mathcal{E}(\ell)(n+1)] \\ ((\mathcal{E}, m), \varepsilon) & \text{otherwise} \end{array}$$

The state transformer f acts on the memory part of the configuration (assignments, memory allocation, input and output), and leaves the control-flow statements unchanged.

We extend the construction of state transformers to multiple steps by composing the state modifications and concatenating the output. **Definition 6.** We lift function extraction to multiple steps.

$$\frac{\mathcal{E} \vdash \langle c, m \rangle \xrightarrow{\tau}_{\text{id}} * \mathcal{E} \vdash \langle c, m \rangle}{\mathcal{E} \vdash \langle c, m \rangle} \\ \frac{\mathcal{E} \vdash \langle c, m \rangle \xrightarrow{\tau}_{\text{id}} * \mathcal{E}' \vdash \langle c', m' \rangle}{\mathcal{E} \vdash \langle c, m \rangle \xrightarrow{\tau, \tau'}_{g \circ f} * \mathcal{E}'' \vdash \langle c'', m'' \rangle}$$

where, by slightly abusing notation, $id((\mathcal{E}, m)) = ((\mathcal{E}, m), [])$ and $(g \circ f)((\mathcal{E}, m)) = ((\mathcal{E}'', m''), \tau \cdot \tau')$ with $((\mathcal{E}'', m''), \tau') = g((\mathcal{E}', m'))$ and $((\mathcal{E}', m'), \tau) = f((\mathcal{E}, m))$.

We can now define the knowledge an attacker at security level ℓ obtains from observing only outputs from a sequence of changes to the state. We capture this by the set of initial environments that the attacker considers possible based on their observations. Concretely, for a given initial state (\mathcal{E}_0, m_0) and a state transformer f, an environment \mathcal{E} is considered possible if $\mathcal{E}_0 \approx_{\ell} \mathcal{E}$ and it matches the trace produced by $f((\mathcal{E}_0, m_0))$, i.e. $\pi_2(f((\mathcal{E}_0, m_0))) \approx_{\ell} \pi_2(f((\mathcal{E}, m_0)))$.

Definition 7 (Explicit knowledge). The explicit knowledge at level ℓ for an environment \mathcal{E}_0 , memory m_0 and function f, written $k_e(\ell, \mathcal{E}_0, f) \subseteq Env$, is defined by $k_e(\ell, \mathcal{E}_0, f) = \{\mathcal{E} | \mathcal{E}_0 \approx_{\ell} \mathcal{E} \land (\pi_2 \circ f)((\mathcal{E}_0, m_0)) \approx_{\ell} (\pi_2 \circ f)((\mathcal{E}, m_0)) \}$.

Intuitively, the attacker considers as possible all environments \mathcal{E} from the set $k_e(\ell, \mathcal{E}_0, f)$. Then, given an initial state (\mathcal{E}, m_0) , a program satisfies explicit secrecy iff no indistinguishable initial states can be ruled out from observing the output generated by the extracted state transformer f.

Definition 8 (Explicit secrecy). A program c satisfies explicit secrecy for security level ℓ and evaluation relation \hookrightarrow , written $ES(\hookrightarrow, \ell) \vDash c$, iff whenever $\mathcal{E} \vdash \langle c, m_0 \rangle \xrightarrow{f} \mathcal{E}' \vdash \langle c', m' \rangle$, then $\forall \mathcal{E}_0$. $k_e(\ell, \mathcal{E}_0, f) = k_e(\ell, \mathcal{E}_0, \mathrm{id})$. We write $ES(\hookrightarrow) \vDash c$ iff $\forall \ell$. $ES(\hookrightarrow, \ell) \vDash c$.

Let us consider again program 1 with the initial conditions defined as above. The program satisfies explicit secrecy for the facelifted semantics in Figure 1, i.e. $ES(\rightarrow, \ell) \models c_1$. Following Example 1 and Def. 5, we sequentially compose the state transformers for the input, assignment and output statements and obtain the state transformer $f((\mathcal{E}, m_0)) = ((\mathcal{E}', m'), [(0, \mathbf{L})])$, for some \mathcal{E}' and m' such that $\mathcal{E} \vdash \langle c_1, m_0 \rangle \twoheadrightarrow^* \mathcal{E}' \vdash \langle \varepsilon, m' \rangle$. Independently of the initial environment, f will always produce the output trace 0 for an observer at level \mathbf{L} . Therefore, $\forall \mathcal{E}_0. k_e(\mathbf{L}, \mathcal{E}_0, f) = k_e(\mathbf{L}, \mathcal{E}_0, \mathrm{id}).$

Program 1 does not satisfy explicit secrecy with respect to the standard evaluation relation. In this case, the state transformer is extracted as $f((\mathcal{E}, m_0)) = ((\mathcal{E}', m'), [(\mathcal{E}(\mathbf{H})(0), \mathbf{L})])$, capturing that the program explicitly sends the input from a high channel to a low one, thus increasing the knowledge of the observer. Example 2. Consider a program P_1 with $h \in \{0, 1\}$.

 $h \leftarrow in(\mathbf{H}); if h then out(\mathbf{L}, 1) else out(\mathbf{L}, 0)$

 P_1 has no explicit flows from **H** channels to **L** channels. In particular, P_1 satisfies explicit secrecy for standard semantics (\rightarrow) . We show that facelifted semantics (\rightarrow) enforces explicit secrecy and produces the same outputs as the standard semantics. Assume the default value for the high input is 0 and consider a concrete run with high input 1. From Figure 1, the facelifted execution will use the concrete value of h to evaluate the branch condition (cf. rule F-IFTRUE) and produce the correct output. Then the corresponding state transformer is $f_1((\mathcal{E}, m_0)) = ((\mathcal{E}', m'), [(1, \mathbf{L})])$, for some \mathcal{E}' and m'. Otherwise, if the input is 0, the state transformer is $f_2((\mathcal{E}, m_0)) = ((\mathcal{E}'', m''), [(0, \mathbf{L})])$, for some \mathcal{E}'' and m''. In both cases, the output on **L** is independent of the initial environment, thereby explicit secrecy follows.

These examples show that a program is always secure under the facelifted semantics (soundness) and that, for secure programs, facelifted evaluation does not modify the standard semantics (precision).

The following theorems prove that facelifted execution ensures soundness and precision for any program.

Theorem 1 (Soundness). For any program $c, ES(\rightarrow) \models c$.

Theorem 2 (Precision). If $ES(\rightarrow) \models c$, then $\mathcal{E} \vdash \langle c, \widetilde{m}_0 \rangle \xrightarrow{\tau}^*$ if and only if $\mathcal{E} \vdash \langle c, m_0 \rangle \xrightarrow{\tau}^*$.

Explicit secrecy assumes totality on the transition relation and on the corresponding state transformers. As a result, it does not account for information leaks due to abnormal termination of a program, e.g. by applying a partial function such as division by zero. Arguably, we can consider the program $h \leftarrow in(\mathbf{H})$; x := 1/h; $out(\mathbf{L}, 1)$ insecure since it may or may not execute the output statement depending on the input value of h, and thus leak information. We call this *crash-sensitive* explicit secrecy. Crash sensitivity can be captured by making abnormal termination visible to a low observer, e.g. by adding a special observation $\mathbf{\ell}$. On the other hand, leaks due to program crashes generally trigger exceptions, which can be seen as control flows, hence the program above should then be secure. We call this *crash-insensitive* explicit secrecy. Crash insensitivity can be formalized by constructing partial state transformers.

The proposed enforcement mechanism is fully precise with respect to crashsensitive explicit secrecy, however, as shown by the next example, it may lose precision when enforcing the crash-insensitive version. We further discuss these issues in the full version [5].

Example 3. Consider a program P_2 with $h \in \mathbb{Z}$.

 $h \leftarrow in(\mathbf{H}); if h \neq 0$ then $x := 1/h; out(\mathbf{L}, 1)$ else skip

Note that P_2 never crashes, however, the extracted state transformer ignores branch conditions and therefore considers the crash as possible. As a result,

 P_2 is not crash-sensitively secure, however it is crash-insensitively secure. Now suppose the default value for h is 0; then the facelifted execution will stop the execution and correctly enforce crash-sensitivity.

In order to enforce crash-insensitive explicit secrecy, the facelifted semantics should ignore leaks caused by crashes that are due to the default value. We could modify the facelifted semantics to handle the exceptions triggered by partial operations by putting the default value back in the affected low facet. This solution would correctly accept program P_2 as secure at the expense of losing precision, as demonstrated by the following example.

Example 4. Consider a program P_3 with $h, h_1, h_2 \in Z$. $h \leftarrow in(\mathbf{H})$; if h > 0 then $h_1 := 100/h; h_2 := (100 + h)/h;$ $out(\mathbf{L}, h_2 - h_1)$ else skip

 P_3 is crash-insensitively secure and it always outputs 1. Now suppose the default value for h is 0; then the facelifted semantics will stop the execution incorrectly. Moreover, if we handle the exceptional behavior by restoring the default value after the partial operations, i.e. the two assignments, the final output value will be 0. This modifies the semantics of a secure program and thus loses precision.

To solve the precision issue with crash-insensitive explicit secrecy, we need to choose a default value that does not cause the program to crash. In general, this can be a complex task, however, as shown by the case studies on Android apps, the problem appears rarely in practice.

2.3 Attack Detection

Theorem 2 shows that if a program is already secure, the facelifted execution produces the same outputs as the standard execution. Otherwise, the standard semantics is intentionally changed for the sake of security. Thus, a user can not tell whether or not the outcome of the computation is correct, let alone decide whether an unexpected result is due to a software bug or a security attack.

We show that facelifted semantics can be extended to detect changes to the standard program semantics and thus unveil potential attacks. Concretely, attack detection can be performed by using the following rules for output statements:

F-OutT	F-OutFail
$[\![e]\!]_m(\ell)=[\![e]\!]_m(\top)$	$[\![e]\!]_m(\ell) \neq [\![e]\!]_m(\top)$
$\overline{\mathcal{E} \vdash \langle \mathbf{out}(\ell, e), m \rangle} \xrightarrow{[(\llbracket e \rrbracket_m(\ell), \ell)]} \mathcal{E} \vdash \langle \varepsilon, m \rangle$	$\mathcal{E} \vdash \langle \mathbf{out}(\ell, e), m \rangle \twoheadrightarrow \mathcal{F}$

For each output statement at some security level ℓ , we evaluate the output expression both in the context of the ℓ -facelifted memory and in the context of the \top -facelifted memory, and compare the results. Since the \top -facelifted memory is never affected by the default values, it will always contain the result of evaluation under the standard semantics. Therefore, if the two values differ, we have detected an attack and thus terminate the execution abnormally. The following theorem shows that the abnormal termination implies real attacks (only true positives).

Theorem 3 (Attack Detection). If $\mathcal{E} \vdash \langle c, m_0 \rangle \twoheadrightarrow^* \mathfrak{I}$, then $ES(\rightarrow) \not\vDash c$.

Like SME [17] and faceted execution [2], the mechanism can fail to detect insecurities, i.e. $ES(\rightarrow) \not\models c \not\Rightarrow (\forall \mathcal{E}. \mathcal{E} \vdash \langle c, m_0 \rangle \twoheadrightarrow^* \mathcal{I})$. This happens if the the chosen default values produce the same outputs as the real execution, for example if the default values are equal to the real inputs. Even if the program is run with multiple different default values, this may not be detected (consider, for example the program $h \leftarrow \mathbf{in}(\mathbf{H})$; $\mathbf{out}(\mathbf{L}, (h-d_1) \times (h-d_2))$ where d_1 and d_2 are possible default values. For an environment \mathcal{E} where $\mathcal{E}(\mathbf{H})(0) \in \{d_1, d_2\}$, the above detection will never see the attack, despite the program being insecure. More generally, trying to detect an attack using a finite set \mathcal{D} of default values; then the following program will hide an insecurity: $h \leftarrow \mathbf{in}(\mathbf{H})$; $\mathbf{out}(\mathbf{L}, \Pi_{d \in \mathcal{D}}(h-d))$. In practice, random defaults or multiple defaults for a single location take us a long way. We obtain no false negatives on the DroidBench suite, as reported in Section 4.

This also entails that despite the precision and soundness results, this mechanism does not give rise to a decision procedure for (per-run) explicit secrecy. 2.4 Inlining Facelifted Values through Static Program

Transformation

Facelifted evaluation enforces explicit secrecy dynamically by means of unconventional semantics, as described in Figure 1. This requires modification of the underlying language runtime which makes it difficult to deploy for many settings. We present a program transformation that statically inlines facelifted values into the source code and uses standard semantics to achieve the same result as facelifted evaluation. We transform a program $c \in Com$ by applying a transformation function $\mathcal{T}(\cdot) : Com \to Com$. For each security level $\ell \in \mathcal{L}$ and for each variable x, we introduce a shadow variable x_{ℓ} to carry the ℓ -facelifted value for an observer at level ℓ . We write $[e]_{\ell}$ to denote the renaming of all variables x in e with x_{ℓ} and ; S to denote the sequential composition of commands from a set S.

$$\begin{array}{lll} \mathcal{T}(\mathbf{skip}) &= \mathbf{skip} \\ \mathcal{T}(x \leftarrow \mathbf{alloc}) &= ; \{[x]_{\ell} \leftarrow \mathbf{alloc} \mid \ell \in \mathcal{L}\} \\ \mathcal{T}(x := e) &= ; \{x_{\ell} := [e]_{\ell} \mid \ell \in \mathcal{L}\} \\ \mathcal{T}(\mathbf{se}_1 := e_2) &= ; \{x[e_1]_{\ell} := [e_2]_{\ell} \mid \ell \in \mathcal{L}\} \\ \mathcal{T}(\mathbf{out}(\ell, e)) &= \mathbf{out}(\ell, [e]_{\ell}) \\ \mathcal{T}(x \leftarrow \mathbf{in}(\ell)) &= x_{\ell} \leftarrow \mathbf{in}(\ell); \; ; \{\mathcal{T}_{in}(\ell, \ell') \mid \ell' \in \mathcal{L} \text{ and } \ell \neq \ell'\} \\ \mathcal{T}(c_1 ; c_2) &= \mathcal{T}(c_1) ; \mathcal{T}(c_2) \\ \mathcal{T}(\mathbf{if} \; e \; \mathbf{then} \; c_1 \; \mathbf{else} \; c_2) = \mathbf{if} \; [e]_{\top} \; \mathbf{then} \; \mathcal{T}(c_1) \; \mathbf{else} \; \mathcal{T}(c_2) \\ \mathcal{T}(\mathbf{while} \; e \; \mathbf{do} \; c) &= \mathbf{while} \; [e]_{\top} \; \mathbf{do} \; \mathcal{T}(c) \end{array}$$

where $\mathcal{T}_{in}(\ell, \ell')$ equals $x_{\ell'} := x_{\ell}$ if $\ell \subseteq \ell'$, otherwise $x_{\ell'} := d$.

Note that faceted values and SME can not be implemented as easily with a program transformation [4]. We then show the correctness of the transformation.

Theorem 4 (Correctness). $\mathcal{E} \vdash \langle c, m_0 \rangle \xrightarrow{\tau} * iff \mathcal{E} \vdash \langle \mathcal{T}(c), \widetilde{m}_0 \rangle \xrightarrow{\tau} *.$

Corollary 1 (Soundness and Precision). $\mathcal{T}(c)$ is sound and precise.

2.5 General Framework

The presented approach is not specific to a concrete language. Appendix B presents a general version of this technique applicable to a wide range of languages under fairly unrestrictive assumptions: The number and level of outputs performed by an evaluation step may not depend on the memory; moreover, the semantics is assumed to be total and deterministic. Under these assumptions, satisfied by many realistic languages, the framework provides soundness and precision guarantees. Moreover, we also sketch an approach to lift the totality assumption on the semantics.

3 Implementation

This section presents DroidFace, a dynamic analysis tool for taint tracking in Android applications, based on the facelifted values from Section 2. The tool is a prototype built on top of the *Soot* framework [37]. DroidFace leverages an intermediate bytecode language, *Jimple* [37], to implement the static source-to-source transformation for facelifted evaluation. As a result, the implementation works with both ordinary Java *class* files as well as APK files for the Android platform. We further discuss Jimple in the full version of the paper [5].

DroidFace We give a general overview of the architecture, features and limitations of DroidFace. We emphasize that the main contribution is the development of a fundamentally new approach to taint tracking applicable in many settings. Our main goal is to demonstrate feasibility of our approach in terms of precision, performance and flexibility, *not* to fully cover the Android platform.

DroidFace takes as input an Android APK file (a compressed archive) and uses Soot to convert it to a set of Jimple programs. Next, it applies the sourceto-source transformation (as outlined in Section 2.4) to inline facelifted values and therefore produce a secure version of the input program. Finally, DroidFace converts the program back to an APK file that can be run on the Android platform. The source code of DroidFace is available online [5].

DroidFace is implemented in *Scala* [29] and supports an arbitrary lattice, represented as a Scala class. Noteworthy, many language constructs such as exceptions and multithreading require no special treatment and are transformed correctly by DroidFace. Control-flow statements like **if** e **goto** pc are transformed to refer to the variable copies at level \top . Similarly, method invocations with **virtualinvoke** may select an object based on secret data, resulting in a control-flow leak; as an example, consider a program allocating two objects of type A and calling a method that sends the first constructor argument:

 $A[] x = [\mathbf{new} \ A(1), \mathbf{new} \ A(2)]; x[h\%2].send()$

This is a leak through the program's control-flow (execution jumps to a different object's method depending on h), hence we use the values at level \top .

Since secret input usually consists of primitive data, such as numbers or strings, the transformation only replicates variables and fields of primitive types. Moreover, this is needed to avoid duplicating calls to constructors and other methods, as they may have side effects that should only be performed once. Since bodies of built-in methods are not affected by the program transformation, such calls need to be handled specially. Calls to side-effect free methods, e.g. java.lang.Math.sin(), can be duplicated for each level. However, other methods, e.g. sending data over the network, must only be performed once. A whitelist is used to determine which methods are side-effect free.

The implementation makes a number of simplifications. For example, file access is not handled in a precise way: While an implementation could duplicate file contents to maintain both soundness and precision, doing so in an efficient manner would require deduplication to manage the storage space overhead. As a result, the implementation writes either the *low* or *high* data to a file, depending on a configuration parameter. Inter-application communication (IAC) has not been modified to propagate facelifted values. However, the approach extends naturally to IAC by adding data for all levels to objects passed between apps.

Facelifted values are passed between methods by creating objects that contain one field for each level in the lattice. These objects are constructed for each primitive argument at a call site and returned by each non-entry method with a primitive return type. This creates additional overhead due to object creation; however, this can be avoided if facelifted values are implemented by modifying the runtime. More details on the performance impact can be found in Section 4.

Since source and sink detection is covered in related work [21,1], we use an incomplete set of known sources and sinks for the purposes of this evaluation.

Alternative strategies While implementing facelifted values via program transformation as presented here provides a reasonable proof-of-concept implementation, there are a number of alternative techniques that can be explored. A minor optimization is to avoid creating a new object when passing facelifted values to methods; however, this is still necessary when passing facelifted return values. One possible approach is to simply run the program twice, once with real values while recording control-flow decisions and once with default values making use of the recorded control flow. However, this requires careful suppression of publicly observable side effects and outputs in the run with real values and vice versa. Moreover, this technique requires synchronization of the two runs of the program, leading to similar issues as SME [17].

4 Benchmarks

This section evaluates our prototype. Soundness and precision are evaluated using the *DroidBench* [1] benchmark suite. DroidBench is a set of small Android apps to evaluate static analysis tools for the Android platform. The main goal is to test sensitivity of a static analysis with respect to complex language features. To obtain better coverage for dynamic analysis, we have developed additional micro-applications that exercise other features such as path sensitivity and complex expression evaluation. As described in Section 3, the implementation does not support the full range of Android features; as a result we only provide partial benchmark results. However, the current results indicate that the presented approach prevents information leaks while not producing false positives. For performance evaluation, we use the *CaffeineMark* [8] benchmark suite to compare our implementation to both, unmodified Android, as well as *TaintDroid* [19].

Precision We run DroidFace on a number of examples from DroidBench. Due to constraints outlined in Section 3, not all examples are used for this evaluation. Moreover, a number of examples, such as emulator detection, are not relevant to

a dynamic enforcement technique. Furthermore, some examples produced errors (e.g. missing permissions) when executed and could not be tested.

For the tested examples, DroidFace remains both sound and precise. Appendix D.2 contains a more detailed table comparing DroidFace to the other taint-tracking systems for Android. Note that TaintDroid also maintains soundness and precision for all tested APKs (with the exception of PublicAPIField1.apk for which TaintDroid is unsound). However, TaintDroid does not remain fully precise in the presence of arrays. Consider the following secure program similar to the example from Section 1:

$$int[] a := [0,0]; a[h\%2] \leftarrow in(H); out(L, a[1-h\%2])$$

Since a secret value h is assigned to a position in an array that depends on a secret, TaintDroid taints the entire array a and hence yields a false positive. DroidFace, however, produces the unmodified trace.

Performance We compare the performance of DroidFace to TaintDroid and unmodified Android using the CaffeineMark benchmark suite. For running the benchmark on Android, we used a CaffeineMark app [9] from Google Play. Figure 2 shows a comparative performance evaluation using an ARM-based Android emulator running Android 4.3. The emulator was run on a Dell Latitude E7440 laptop with a i7-4600U CPU. Performance benchmarks on an emulator are indicative at best, but we did find that the results reported by CaffeineMark stabilize after running the benchmarks a few times to allow for startup effects to die out. The figure shows the scores of the fifth run of the benchmark.



Fig. 2. Performance Comparison using *CaffeineMark*

CaffeineMark reports a custom score value useful for relative comparison only. The scores for individual categories are proportional to the number of times a test is run divided by the average time of a test execution. The *Overall* score is the geometric mean of the scores in the individual categories.

The *Sieve* category uses a number sieve to evaluate performance of integer arithmetic. As expected, performance is roughly halved due to duplicated assignments. The *Loop* category tests sorting and other sequence-related computations; similarly, performance is impacted significantly due to duplicated statements. The *Logic* category consists mainly of control-flow tests and correspondingly DroidFace does not cause a significant performance impact; in our experiments, DroidFace performs insignificantly better than the unmodified test results, potentially caused by compiler optimizations applied during the transformation process. The String category tests the performance of string operations; since strings are also duplicated by our approach, performance is roughly halved. The Float category simulates 3D rotations around a point to benchmark floatingpoint computations. Lastly, the Method category measures the performance of method calls; this is where DroidFace incurs the biggest performance penalty, since each method call involving primitive types as argument types or the return type results in additional object creation in order to propagate facelifted values. We conjecture that this could be mitigated by implementing the facelifted values approach through a modified Dalvik VM instead of program transformation; in this case, no additional objects would need to be created for facelifted value propagation.

The performance overhead is not prohibitively high given that DroidFace, being a proof-of-concept, produces unoptimized code. Note that due to different experimental setup and different versions of TaintDroid and CaffeineMark, our measurements for TaintDroid differ from the previously reported results [19]. Also note that many popular applications are not bound by CPU performance, but by user interaction[19]; hence, the real-world impact of these performance results may be negligible. Similarly, preliminary experiments show the increase in memory usage to be insignificant as well, as the memory required for duplicates of primitive values is overshadowed by other resources loaded by the application.

Since DroidFace performs program transformation, an interesting question is the increase in code size. Figure 3 shows the size increase for a number of tested examples from DroidBench measured by comparing the file size of the unmodified APK file to the APK file produced by DroidFace. The overall size increase is minor, since the program code only makes up a small portion of an APK in the DroidBench examples. However, as these examples contain almost no additional resources, we expect the situation to be similar in end-user apps.

5 Related Work

This section compares our work with closely related works for Android security. Table 1 gives a comparative overview of the state-of-the-art (static and dynamic) approaches to enforcing confidentiality for Android apps. We elaborate on the data from Table 1 (on a scale from \bigstar , 1, 2 to \checkmark) and other related work.

Reasoning about Taint Tracking. Taint tracking has been widely adopted as an ad-hoc technique in many security settings both for confidentiality and integrity. As a result, the majority of existing works either propose taint tracking as a bug-finding tool or justify its correctness using informal and custom-tailored soundness criteria [12,26,1,19,21,10]. Recently, Schoepe et al. [33] have proposed *explicit secrecy*, a semantic characterization of policies for taint tracking that captures the intuition of tracking direct data dependencies and generalizes Volpano's *weak secrecy* [39].

Our work presents a general technique for precise enforcement of explicit secrecy through facelifted execution and establishes soundness and precision. As



Fig. 3. Size Comparison

 Table 1. Comparison of State-of-the-art Taint Trackers

Tool	Value	Flow	Context	Object	Path	Arrays	Native	Enforcement	Sound	Precise
FlowDroid	X	\checkmark	\checkmark	\checkmark	X	X	1	Static	X	X
Amandroid	\checkmark	\checkmark	\checkmark	\checkmark	X	X	1	Static	X	X
DroidSafe	X	X	\checkmark	\checkmark	X	X	1	Static	X	X
HornDroid	\checkmark	1	\checkmark	\checkmark	X	1	1	Static	1	X
TaintDroid	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	1	1	Dynamic	X	X
AppFence	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	×	1	Dynamic	X	X
DroidFace	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	2	Dynamic	\checkmark	\checkmark

reported in Table 1 (SOUND), the majority of existing approaches use tainttracking in an ad-hoc manner without providing formal security justifications (\boldsymbol{X}). It is noteworthy that HornDroid [10] presents a correctness proof for their abstract data-flow analysis with respect to an instrumented semantics of Android activities. Instrumented semantics allow to approximate non-safety security properties such as explicit secrecy in terms of safety properties, thus not capturing the precise semantics of taint tracking. Chaudhuri et al. [12] and Livshits and Chong [26] propose similar conditions. Our enforcement is the first fully precise mechanism with respect to explicit secrecy (no false positives). As discussed before, existing approaches differ in precision, yet none of them is fully precise.

Static Taint Analysis. Static analysis has been proposed to tracking explicit flows in the Android domain. As shown in Table 1, static approaches differ on the features of analysis they implement. The complexity of the Android stack makes static analysis cumbersome and often leads to unsoundness or false positives [21].

Bodden et al. [1] present FlowDroid, a static taint analysis tool for Android applications. The analysis is path- and value- insensitive and it overapproximates arrays in a coarse manner. Due to event-driven nature of Android apps (multiple entry point, asynchronous components, callbacks, \cdots), flow sensitivity often leads to incompleteness and false negatives [21]. Li et al. [25] present IccTA, an extension of FlowDroid analysis with inter-component communication. Gordon et al. present DroidSafe [21], a static taint analysis tool that offers a high degree of precision and soundness. DroidSafe is a significant engineering effort to model the Android runtime behavior for non-Java code (native methods, event callbacks, component lifecycle, hidden state) using analysis stubs. The analysis is flow insensitive since interactions between apps and the Android environment are mediated by asynchronous callbacks, hence all event orderings are to be considered. Points-to and information flow analysis are also flow insensitive, which improves scalability at the expense of losing precision. DroidSafe may raise false positives due to the coarse modeling of arrays, maps, lists, flow insensitivity or event ordering. It is worth noting that although DroidSafe is more precise than FlowDroid, yet the number of false positives is too high for unknown applications. Wei et al. [40] present Amandroid, a general framework for determining points-to information for all objects in Android apps in a flow and contextsensitive way across Android apps components. Amandroid can be specialized to solve security problems including taint tracking with high precision.

Calzavara et al. [10] present HornDroid, an approach that uses Horn clauses for soundly abstracting the semantics of Android applications. HornDroid expresses security properties as a set of proof obligations that are automatically discharged by an off-the-shelf SMT solver. The analysis is value- and contextsensitive. The authors argue that these features in combination are important. The analysis is flow sensitive on registers and flow insensitive on heap locations and callback methods, which increases precision without compromising soundness. Moreover, the static analysis is field-insensitive on arrays, although, being value-sensitive, HornDroid supports a more precise treatment of array indexes.

Static analysis approaches have the advantage of no-runtime overhead, however, especially for Android, they are fated to be imprecise. This is not only due to the complexity of the language and the execution lifecycle, but also to theoretical and practical limitations of current verification technologies. For instance, DroidSafe uses analysis stubs for native methods to approximate the data flow, object instantiation and aliasing of the missing native code. As recognized by the authors, this approach is not always sound. DroidFace faces the same problems with respect to native code with side effects, yet being fully precise for side-effect free native code. Our results from Table 6 show that DroidFace is fully precise and sound as compared to the static analysis approaches. On the downside, DroidFace introduces runtime overhead which may deteriorate the performance.

Dynamic Taint Analysis. Dynamic taint analysis has been proposed for tracking privacy leaks at runtime in Android applications. Enck et al. present Taint-Droid [19] a system-wide integration of dynamic taint tracking into Android. TaintDroid simultaneously tracks sensitive data from multiple sources by extending and modifying the Android environment with taint tags. Tracking is done at different levels: (i) variable-level: by instrumenting the Dalvik VM; (ii) message-level for IPC communication: by assigning one taint per serialized object (parcel); (iii) method-level: by providing method summaries for native methods; (iv) file-level: by assigning one taint per file. TaintDroid has different sources of false positives: for instance, by assigning one taint per file or one taint per parcel. Surprisingly, we found out that although the paper claims to assign one taint per array [19], the TaintDroid tool appears to assign one taint per array cell in our experiments. Native methods take the union of arguments' taints as resulting taint for the method return, which may cause false negatives due to possible side effects. TaintDroid requires modification of the JIT compiler in order to implement the taint propagation logic for applications using JIT. By contrast, our source-to source transformation requires no modification of the Android runtime and it is more precise. Hornyack et al. present AppFence [22], an extension of TaintDroid with shadow data for sensitive information that a user does not want to share. As for TaintDroid, AppFence implements modifications at the Android OS level. In addition to the precision issues inherited by TaintDroid, AppFence modifies the semantics of secure apps that never leak sensitive information.

Beyond Taint Tracking. DroidFace does not prevent insecure information flows through *covert channels*. For instance, applications can still leak sensitive information through implicit flows [16], where the information may flow through the control structure of the program. Information flow control [31] comprises methods and techniques that, in addition to explicit flows, also prevent implicit flows. Soundness is typically shown with respect to the semantic property of noninterference [20]. Information flow security has been explored in the context of Android apps by, e.g., Lortz et al. [27] and Jia et al. [23].

Our work draws inspiration from SME [17]. SME provides a precise enforcement of noninterference by running a program in a controlled manner, once for each security level. Kashyap et al. [24] study different scheduling strategies for SME and address the subtleties of timing- and termination-sensitive noninterference. Rafnsson and Sabelfeld [30], and Zanarini et al. [42] explore scheduling strategies with the goal to leverage SME for attack detection. By contrast to SME, our enforcement does not require scheduling different program copies. Austin and Flanagan [2,32] enforce noninterference by runtime manipulation of faceted values. A challenging aspect for this line of work is dealing with nonlocal control flow and I/O, as the facets must record what can happen when the program takes different control-flow paths. Having explicit secrecy as the goal, our approach is free of these challenges because under our enforcement the program takes the same control-flow path as the original run. Section 1 offers further points of contrast to facelifted values.

Barthe et al. [4] implement SME for noninterference through static program transformation. This approach inherits the scheduling issues from SME and requires the buffering of inputs from lower security levels so that these inputs can be reused by executions running at higher security levels. These issues are not present in our work at the expense of enforcing the more liberal security policy.

Jeeves [41] is a programming language that uses symbolic evaluation and constraint-solving for enforcing information-flow policies. Austin et al. [3] show how to extend Jeeves with faceted values to propagate multiple views of sensitive information in a single faceted execution.

Boloşteanu and Garg propose asymmetric SME with declassification [7], focusing on robustness of SME wrt. modified inputs. This is achieved by producing a *low slice*, a program to compute the public results of the original program.

6 Conclusion

We have presented a dynamic mechanism for taint tracking. Its distinguishing feature is that it does not track taint propagation. Instead, it duplicates the state, representing its tainted and untainted views. We have showed that the mechanism is sound with respect to the policy of explicit secrecy and that it is precise in the sense that runs of secure programs are never modified. Further, we have leveraged the mechanism to detect attacks with zero false positives: whenever a mismatch between tainted and untainted views is detected, it must be due to an attack. Finally, we have implemented DroidFace, a source-to-source transformation for an intermediate Java-like language and benchmarked its precision and performance with respect to typical static and dynamic taint trackers for Android apps. The results show that performance penalty is tolerable while achieving both soundness and no false positives on the tested benchmarks.

Future work includes support for declassification policies. Recent progress on declassification for SME [2,30,38,7] gives us an encouraging start. Exploring facelifted values for machine code integrity is another promising line of future work. We are also interested in extending and optimizing the DroidFace tool as to make it suitable for a large-scale study of Android apps from Google Play. Finally, we will also explore memory optimizations in cases of large numbers of security levels, avoiding duplication.

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A Standard Operational Semantics

Figure 4 presents the standard operational semantics for the language in Section 2.

B General Framework

This section generalizes the approach presented in Section 2 to a more flexible setting. Instead of defining a facelifted semantics for a concrete language, requiring special treatment of all language constructs, we give a general account of constructing a facelifted semantics for an arbitrary language, under reasonable assumptions. We also prove the result sound and precise with respect to explicit secrecy.

To obtain a well-defined facelifted semantics, we make a number of assumptions about the underlying language:

- A configuration $\langle c, \sigma \rangle \in Conf$ is a tuple of a command $c \in Com$ and a state $\sigma \in State$.
- Each observation $\alpha \in Obs$ consists of a value and a level; i.e. $Obs = Val \times \mathcal{L}$. We write $\mathcal{L}(\alpha)$ (resp. $\mathcal{V}(\alpha)$) to extract the level (resp. the value) of α .



 $\frac{m' = m[A(x) \mapsto a]}{\mathcal{E} \vdash \langle x \ \leftarrow \mathbf{alloc}, m \rangle \to \mathcal{E} \vdash \langle \mathbf{skip}, m' \rangle} a = \min\{a | a \not\in \operatorname{rng}(A) \land m(a) = \mathbf{nil}\}$



- There exists an evaluation relation relating a configuration to a sequence of observations and a successor configuration: $\rightarrow \subseteq Conf \times Obs^* \times Conf$. We assume that \rightarrow is total and deterministic in its first component.
- Each command produces a constant number of observations in one step, i.e. $\forall c. \exists n \in \mathbb{N}. \forall \sigma, \sigma', c', \tau. \langle c, \sigma \rangle \xrightarrow{\tau} \langle c', \sigma' \rangle \Rightarrow |\tau| = n.$
- The level of observations produced by a command do not depend on the state. Formally: $\forall c. \exists \ell_1, \ldots, \ell_n. \forall \tau, \sigma. \xrightarrow{\tau} \Rightarrow \forall 1 \leq i \leq n. \tau(i) = \ell_i.$
- There is a \mathcal{L} -indexed family of equivalence relations $\approx_{\ell} \subseteq State \times State$. Moreover, \approx_{\top} is assumed to be equality and whenever $\ell' \sqsubseteq \ell$ and $\sigma_1 \approx_{\ell} \sigma_2$, we have $\sigma_1 \approx_{\ell'} \sigma_2$.

These assumptions are not overly restrictive. For example, the language in Section 2 is an instance of this framework.

The set of facelifted configurations $Conf_{\mathcal{L}}$ is defined by $Conf_{\mathcal{L}} = Com \times State^{\mathcal{L}}$. We refer to the set of functions $State^{\mathcal{L}}$ as the set of facelifted states. Two facelifted states σ_1 and σ_2 are ℓ -equivalent, written $\sigma_1 \approx_{\ell} \sigma_2$, iff $\forall \ell'$. $\ell' \sqsubseteq \ell \Rightarrow$

 $\sigma_1(\ell') = \sigma_2(\ell')$. We use $\sigma, \sigma_0, \sigma_1, \ldots$ to refer to both states and facelifted states; which kind of state is meant should be clear from the context.

We extend a state to a facelifted state by $\mathcal{F}(\sigma) = \ell \mapsto [\sigma]_{\approx_{\ell}}$, where $[a]_{\sim}$ denotes a representative from the equivalence class of a wrt. relation \sim . Note that if $\sigma_1 \approx_{\ell} \sigma_2$, then $\mathcal{F}(\sigma_1) \approx_{\ell} \mathcal{F}(\sigma_2)$.

To illustrate the approach, we now map the language in Section 2 to the framework introduced here. States consist of environments and memories $State = Env \times (Addr \rightarrow Val)$. Default values are generalized to representatives of equivalence classes of states: For example, consider a two-point lattice $\{\mathbf{L}, \mathbf{H}\}$ and an environment \mathcal{E}_1 where $\mathcal{E}_1(\mathbf{L}) = n \mapsto 5$. The equivalence class of \mathcal{E}_1 wrt. $\approx_{\mathbf{L}}$ is $\{\mathcal{E}|\mathcal{E}(\mathbf{L}) = n \mapsto 5\}$. $\mathcal{F}((\mathcal{E}_1, m_0))(\mathbf{L})$ then denotes the representative of this class, for example \mathcal{E} defined by $\mathcal{E}(\mathbf{L}) = n \mapsto 5$ and $\mathcal{E}(\mathbf{H}) = n \mapsto d$ where d is a default value. Since all \mathcal{E}_2 such that $\mathcal{E}_1 \approx_{\mathbf{L}} \mathcal{E}_2$ will result in the same equivalence class, we have that $\mathcal{F}((\mathcal{E}_2, m_0)) = \mathcal{E}$; in other words, \mathcal{E} contains no information about $\mathcal{E}_1(\mathbf{H})$.

We now extend the given evaluation relation \rightarrow to facelifted configurations by constructing a facelifted evaluation relation \rightarrow . Intuitively, \rightarrow simulates the computation on each level $\ell \in \mathcal{L}$. The command part of the resulting configuration is taken from the evaluation at level \top . This mirrors the decision to ignore implicit flows, since the next command may depend on secret inputs.

Definition 9. The facelifted transition relation $\rightarrow \subseteq Conf_{\mathcal{L}} \times Obs^* \times Conf_{\mathcal{L}}$ is defined by the following rule:

$$\frac{\forall l. \langle c, \sigma(\ell) \rangle \xrightarrow{\tau_{\ell}} \langle c'_{\ell}, \sigma'_{\ell} \rangle}{\langle l \leq n \leq |\tau_{\top}|. \tau(n) = (v_n, \ell_n) \text{ where } \ell_n = \mathcal{L}(\tau_{\top}(n)) \text{ and } v_n = \mathcal{V}(\tau_{\ell_n}(n))}{\langle c, \sigma \rangle \xrightarrow{\tau_n} \langle c'_{\top}, \ell \mapsto \sigma'_{\ell} \rangle}$$

where $\tau(n)$ refers to the nth element of a sequence τ .

The next facelifted state is determined by the next (non-facelifted) state at each level of the evaluation. The resulting trace τ is described in a point-wise fashion. The *n*th observation in τ consists of a value v_n and a level ℓ_n . Since the level of an observation, by assumption, cannot depend on the state, we compute ℓ_n based on the data available at level \top . This is always well-defined, as the number of events generated by one evaluation step is constant for a fixed command. Since the output will then occur at level ℓ_n , we must ensure that no information of a level $\ell \not\sqsubseteq \ell_n$ affects v_n ; this is done by computing v_n at level ℓ_n .

Given a program c and initial (non-facelifted) state σ , we can perform facelifted evaluation of the same program by picking $\langle c, \mathcal{F}(\sigma) \rangle$ as the starting configuration and using \twoheadrightarrow to determine the successor configurations.

We now generalize the notion of explicit secrecy to this setting, based on Def. 8 in Section 2.

Each configuration $\langle c, \sigma_0 \rangle \in Conf_{\mathcal{L}}$ has an associated state transformation function $f: State^{\mathcal{L}} \to State^{\mathcal{L}} \times Obs^*$ defined by $f(\sigma) = (\sigma', \tau)$ where σ' and τ are the unique values such that $\langle c, \sigma \rangle \xrightarrow{\tau} \langle c', \sigma' \rangle$. We write $\langle c, \sigma \rangle \xrightarrow{\tau} \langle c', \sigma' \rangle$ where f denotes the function induced in this way

where f denotes the function induced in this way.

Definition 10. Facelifted evaluation in multiple steps is defined by the following rules:

The relation \rightarrow^* for non-facelifted execution is analogous.

Similar to the definitions in Section 2, we extend explicit secrecy to this more general framework. We define the attackers knowledge in terms of extracted state transformers:

Definition 11 (Explicit knowledge). For a level ℓ , state σ_0 , and state transformer f, the explicit knowledge $k_e(\ell, \sigma_0, f)$ is defined as $k_e(\ell, \sigma_0, f) = \{\sigma | \sigma \approx_{\ell} \sigma_0 \land \pi_2(f(\sigma)) \approx_{\ell} \pi_2(f(\sigma_0)) \}$.

In order to define security with respect to explicit flows only, we require no increase in knowledge when observing the effects of a state transformation function.

Definition 12 (Explicit secrecy). A command c satisfies explicit secrecy for level ℓ and relation \hookrightarrow , written $ES(\ell, \hookrightarrow) \vDash c$, iff whenever $\langle c, \sigma \rangle \underset{f}{\hookrightarrow}^*$, we have $k_e(\ell, \sigma, f) = k_e(\ell, \sigma, \text{id})$. We write $ES(\hookrightarrow) \vDash c$ iff $\forall \ell$. $ES(\ell, \hookrightarrow) \vDash c$.

Soundness and precision of facelifted evaluation is guaranteed by the following two theorems. To show that the approach is sound, i.e. that it prevents information leaks, we prove that evaluating a program using facelifted semantics never leaks information from higher levels to lower levels, as captured by explicit secrecy:

Theorem 5 (Soundness). For each command c, we have $ES(\rightarrow) \vDash c$.

To show that our approach is precise, we prove that if a program is secure and produces trace τ in initial state σ under standard evaluation, then this trace is also produced by facelifted evaluation starting in state $\mathcal{F}(\sigma)$. Moreover, facelifted evaluation does not add any spurious possible traces: If c produces a trace τ when executed with initial state $\mathcal{F}(\sigma)$, then that trace is also produced under standard evaluation starting in state σ .

Theorem 6 (Precision). If $ES(\rightarrow) \models c$, then $\langle c, \mathcal{F}(\sigma) \rangle \xrightarrow{\tau} \Leftrightarrow \langle c, \sigma \rangle \xrightarrow{\tau}^{*}$.

Theorems 1 and 2 follow from these results, since the language presented in Section 2 is an instance of this framework.

Attack detection. The general mechanism can also be used to detect attacks, similar to the approach described in Section 2. To that end, the following two

evaluation rules can be used instead Definition 9:

$$\begin{array}{l} \forall \ell. \ \langle c, \sigma(\ell) \rangle \xrightarrow{\tau_{\ell}} \langle c'_{\ell}, \sigma'_{\ell} \rangle & \forall n < |\tau_{\perp}|. \ \tau(n) = (\ell_n, v_n) \text{ where } \ell_n = \mathcal{L}(\tau_{\perp}(n)) \\ \\ \hline \\ & \frac{1}{1 + 1 + 1} \frac{1}{1 + 1}$$

The rules match a real trace (computed at level \top) with the corresponding trace computed under facelifted evaluation. An attack is detected iff the evaluation reaches the state \pounds . As a consequence of Theorem 6, this yields no false positives; however, the output of facelifted execution can coincide with the output of the unmodified execution despite an explicit information leak in the program.

Theorem 7 (Attack Detection). If $\langle c, \mathcal{F}(\sigma) \rangle \twoheadrightarrow^* \mathscr{I}$, then $ES(\to) \not\vDash c$.

C Proofs

Theorems in Section 2:

Proof (Proof of Lemma 1). Follows from evaluation rules.

Proof (Proof of Lemma 2). Follows immediately from the evaluation rules.

Proof (Proof of Theorem 1). Follows from the general framework.

Proof (Proof of Theorem 2). Follows from the general framework.

Proof (Proof of Theorem 3). Follows from Theorem 7.

Proof (Proof sketch for Theorem 4). Let \widetilde{m}_0 denote a mapping from addresses to values such that $m_0(x)(\ell) = \widetilde{m}_0(x_\ell)$ as determined by transformation \mathcal{T} .

 (\Rightarrow) : We show that if $m_0(x)(\ell) = \widetilde{m}_0(x_\ell)$ and $\langle \mathcal{E}, c \rangle m_0 \xrightarrow{\tau} \langle \mathcal{E}, c' \rangle m'$, there exists $\widetilde{c'}, \widetilde{m'}$, such that $\langle \mathcal{E}, \mathcal{T}(c) \rangle \widetilde{m}_0 \xrightarrow{\tau} \langle \mathcal{E}, \widetilde{c'} \rangle \widetilde{m'}$ and $m'(x)(\ell) = \widetilde{m'}(x_\ell)$.

 $(\Leftarrow): \text{We show that if } m_0(x)(\ell) = \widetilde{m}_0(x_\ell) \text{ and } \langle \mathcal{E}, \mathcal{T}(c) \rangle \widetilde{m}_0 \xrightarrow{\tau}^* \langle \mathcal{E}, \widetilde{c'} \rangle \widetilde{m'},$ there exists c', m', such that $\langle \mathcal{E}, c \rangle m_0 \xrightarrow{\tau} * \langle \mathcal{E}, c' \rangle m'$ and $m'(x)(\ell) = \widetilde{m'}(x_\ell).$

Proof (Proof of Corollary 1). Soundness follows Theorem 1 and Theorem 4. Precision follows from Theorem 2 and Theorem 4.

Theorems in Section B: To simplify the presentation of the proofs, we represent evaluation in one step using an evaluation function δ : Conf \rightarrow Conf \times Obs^{*} instead of the evaluation relation \rightarrow . Since we assume \rightarrow to be deterministic and total, this is an equivalent representation. Concretely, we write $\delta(\langle c, \sigma \rangle) = (\sigma', \tau)$ for the unique σ', τ such that $\langle c, \sigma \rangle \xrightarrow{\tau} \langle c', \sigma' \rangle$. Since evaluation is total, such values always exist.

Similarly, we represent facelifted evaluation by an evaluation function Δ : $Conf_{\mathcal{L}} \to Conf_{\mathcal{L}} \times Obs^*$ defined by $\Delta(\langle c, \sigma \rangle) = (\langle c', \sigma' \rangle, \tau)$ where $c' = (\pi_1 \circ \tau)$ $\pi_1 \circ \delta)(\langle c, \sigma(\top) \rangle), \, \sigma'(\ell) = (\pi_2 \circ \pi_1 \circ \delta)(\langle c, \sigma(\ell) \rangle), \text{ and } \tau(n) = (v_n, \ell_n) \text{ with } \ell_n = 0$ $\pi_2((\pi_2\circ\delta)(\langle c,\sigma(\perp)\rangle)(n))$ and $v_n = \mathcal{V}((\pi_2\circ\delta)(\langle c,\sigma(\ell_n)\rangle)(n))$. One sees easily that $\Delta(\langle c, \sigma \rangle) = (\langle c', \sigma' \rangle, \tau) \text{ and iff } \langle c, \sigma \rangle \xrightarrow{\tau}_{f} \langle c', \sigma' \rangle \text{ for some } f. \text{ We write } \chi(\langle c, \sigma \rangle) \text{ to}$ refer to this f when using δ instead of \rightarrow .

Theorem 5 follows directly from the following generalization:

Lemma 3 (Soundness). If $\langle c, \sigma_1 \rangle \xrightarrow{f} (c', \sigma'_1)$, then $\forall \ell, \sigma_0$. $k'_e(\ell, \sigma_0, f) =$ $k_e'(\ell,\sigma_0,\mathrm{id}). \text{ where } k_e'(\ell,\sigma_0,f) = \{\sigma | \sigma \approx_\ell \sigma_0 \wedge f(\sigma) \approx_\ell f(\sigma_0) \}.$

Proof. Assume $\langle c, \sigma_1 \rangle \xrightarrow{\tau_1}{f} * \langle c', \sigma_1' \rangle$. We proceed by induction on this. In the

reflexive case, we have $\stackrel{J}{f}$ = id and the statement follows trivially. For the transitive case, assume $\langle c, \sigma_1 \rangle \xrightarrow{\tau_1}_{f} * \langle c', \sigma_1' \rangle$, $\Delta(\langle c', \sigma_1' \rangle) = (\langle c'', \sigma_1'' \rangle, \tau_1')$, and $g = \chi(\langle c', \sigma_1' \rangle)$. Let $\sigma_0 \in State$ and $\ell \in \mathcal{L}$. We have to show that $k'_e(\ell, \sigma_0, g \circ \ell)$ $f) = k'_e(\ell, \sigma_0, \mathrm{id})$. Let $\sigma \in k'_e(\ell, \sigma_0, \mathrm{id})$. Since (explicit) knowledge is monotonic, it suffices to show that $\sigma \in k'_e(\ell, \sigma_0, g \circ f)$. From the induction hypothesis we obtain that $\sigma \in k'_e(\ell, \sigma_0, f)$. This entails that $\sigma \approx_{\ell} \sigma_0$ and $f(\sigma) \approx_{\ell} f(\sigma_0)$. Let $(\sigma',\tau) = f(\sigma), (\sigma'_0,\tau_0) = f(\sigma_0), (\sigma'',\tau') = g(\sigma'), \text{ and } (\sigma''_0,\tau'_0) = g(\sigma'_0).$ We have to show that $\sigma'' \approx_{\ell} \sigma''_0$ and $\tau \cdot \tau' \approx_{\ell} \tau_0 \cdot \tau'_0$.

We first show $\sigma'' \approx_{\ell} \sigma''_{0}$. Let $\ell' \sqsubseteq \ell$. From the induction hypothesis we obtain $\sigma' \approx_{\ell} \sigma'_{0}$ and hence $\sigma'(\ell') = \sigma'_{0}(\ell')$ (†). By definition of χ , we have that $\forall \sigma'. g(\sigma') = (\sigma'', \tau')$ where $(\langle c'', \sigma'' \rangle, \tau') = \Delta(\langle c', \sigma' \rangle)$ for some c''. By definition of Δ , we have that $\sigma''(\ell') = (\pi_2 \circ \pi_1 \circ \delta)(\langle c', \sigma'(\ell') \rangle) \stackrel{\dagger}{=} (\pi_2 \circ \pi_1 \circ \delta)(\langle c', \sigma'_0(\ell') \rangle) =$ $\sigma_0''(\ell').$

We now show that $\tau.\tau' \approx_{\ell} \tau_0.\tau'_0$ From the induction hypothesis, we get that $\tau \approx_{\ell} \tau_0$. Hence it suffices to show that $\tau' \approx_{\ell} \tau'_0$. By the definition of Δ , we have that $\tau'(j) = ((\pi_2 \circ \delta)(\langle c', \sigma'(\ell_j) \rangle))(j)$ where $\ell_j = \mathcal{L}((\pi_2 \circ \delta)(\langle c', \sigma'(\perp) \rangle)(j))$ and $\tau_0'(j) = ((\pi_2 \circ \delta)(\langle c', \sigma_0'(\ell_j^0) \rangle))(j) \text{ where } \ell_j^0 = \mathcal{L}((\pi_2 \circ \delta)(\langle c', \sigma_0'(\bot) \rangle)(j)).$

Let n be such that $\forall \sigma$. $|(\pi_2 \circ \delta)(\langle c', \sigma \rangle)| = n$, as guaranteed to exist by the framework assumptions. This also yield $|\tau'| = |\tau'_0| = n$.

Let $1 \leq j \leq n$. Due to the framework assumptions, we have $\ell_j^0 = \ell_j$. We proceed by induction on τ' . If $\tau' = []$, then $\tau'_0 = []$ and hence $\tau' \approx_{\ell} \tau'_0$.

If $\tau' = e \cdot \tau''$ and $\tau'_0 = e_0 \cdot \tau''_0$ with $e, e_0 \in Obs$, then by induction hypothesis $\tau'' \approx_{\ell} \tau''_{0}$ and it suffices to show that $[e] \approx_{\ell} [e_0]$. From the previous result we have $\mathcal{L}(e) = \ell_j = \ell_j^0 = \mathcal{L}(e_0)$ (for some $1 \leq j \leq n$). We proceed by case distinction on $\ell_j \subseteq \ell$. If $\ell_j \not\subseteq \ell$, then $[e] \upharpoonright_{\ell} = [] = [e_0] \upharpoonright_{\ell}$ and thus $[e] \approx_{\ell} [e_0]$. If $\ell_j \subseteq \ell$, then $\sigma' \approx_{\ell} \sigma'_0$ yields $\sigma'(\ell_j) = \sigma'_0(\ell_j)$ and hence $e = e_0$, entailing $[e] \approx_{\ell} [e_0]$.

Hence $(g \circ f)(\sigma) \approx_{\ell} (g \circ f)(\sigma_0)$ and thus $\sigma \in k'_e(\ell, \sigma_0, g \circ f)$. Therefore, we obtain the conclusion $k'_e(\ell, \sigma_0, g \circ f) = k'_e(\ell, \sigma_0, \mathrm{id}).$

Lemma 4 (Precision). If
$$\forall \ell$$
. $ES(\ell, \rightarrow) \vDash c$ and $\langle c, \widetilde{\sigma} \rangle \xrightarrow{\tau}{\widetilde{f}}^* \langle c', \widetilde{\sigma'} \rangle$, then $\exists \sigma', f. \langle c, \mathcal{F}(\widetilde{\sigma}) \rangle \xrightarrow{\tau}{\widetilde{f}}^* \langle c', \sigma' \rangle \wedge (\forall \ell, \sigma_0. (\pi_1 \circ \widetilde{f})(\sigma_0(\ell)) = (\pi_1 \circ f)(\sigma_0)(\ell)).$

Proof. By induction on $\langle c, \tilde{\sigma} \rangle \xrightarrow{\tau}_{\tilde{f}}^* \langle c', \tilde{\sigma'} \rangle$. The reflexive case is clear, as $f = \mathrm{id}$, $\tau = []$.

For the transitive case, assume $\langle c, \widetilde{\sigma} \rangle \xrightarrow{\tau}_{\widetilde{f}}^{*} \langle c', \widetilde{\sigma}' \rangle, g = \chi_{\delta}(\langle c', \widetilde{\sigma}' \rangle), \delta(\langle c', \widetilde{\sigma}' \rangle) = (\langle c'', \widetilde{\sigma}'' \rangle, \tau')$. From the induction hypothesis we obtain σ' and f such that $\langle c, \mathcal{F}(\widetilde{\sigma}) \rangle \xrightarrow{\tau}_{\widetilde{f}}^{*} \langle c', \sigma' \rangle$ and $\forall \ell, \sigma_0. \ (\pi_1 \circ \widetilde{f})(\sigma_0(\ell)) = (\pi_1 \circ f)(\sigma_0)(\ell)$.

We need to show that $\exists g, \sigma''. \langle c, \sigma \rangle \xrightarrow{\tau.\tau'}{g \circ f} \langle c'', \sigma'' \rangle \land (\forall \ell, \sigma_0. (\pi_1 \circ \widetilde{g} \circ \widetilde{f})(\sigma_0(\ell)) = (\pi_1 \circ g)(\sigma_0)(\ell))$. For that, let $g = \chi_\Delta(\langle c', \sigma' \rangle)$ and $(\langle c''_0, \sigma'' \rangle, \tau'_0) = \Delta(\langle c', \sigma' \rangle)$. We first prove that it suffices to show that $\tau'_0 = \tau', c''_0 = c''$ and $\forall \ell, \sigma_0. (\pi_1 \circ \widetilde{g})(\sigma_0(\ell)) = (\pi_1 \circ g)(\sigma_0)(\ell)$ (†):

The fact $\langle c, \sigma \rangle \xrightarrow[g \circ f]{\pi, \tau'} * \langle c'', \sigma'' \rangle$ follows directly. Let $\sigma_0 \in State^{\mathcal{L}}$ and $\ell \in \mathcal{L}$.

$$\begin{aligned} (\pi_1 \circ \widetilde{g} \circ \widetilde{f})(\sigma_0(\ell)) &= (\pi_1 \circ \widetilde{g})((\pi_1 \circ \widetilde{f})(\sigma_0(\ell))) & \text{def. of } \circ \\ &= (\pi_1 \circ \widetilde{g})((\pi_1 \circ f)(\sigma_0)(\ell)) & \text{IH} \\ &= (\pi_1 \circ g)((\pi_1 \circ f)(\sigma_0))(\ell) & \text{assumption} \\ &= (\pi_1 \circ g \circ f)(\sigma_0)(\ell) & \text{def. of } \circ \end{aligned}$$

We begin by showing that $c''_0 = c''$: By the definition of Δ , we get $c''_0 = (\pi_1 \circ \pi_1 \circ \delta)(\langle c', \sigma'(\top) \rangle)$. First note that $\mathcal{F}(\tilde{\sigma})(\top) = [\tilde{\sigma}]_{\approx_{\top}} = \tilde{\sigma}$. Hence the induction hypothesis yields $\tilde{\sigma'} = (\pi_1 \circ \tilde{f})(\tilde{\sigma}) = (\pi_1 \circ \tilde{f})(\mathcal{F}(\tilde{\sigma})(\top)) \stackrel{IH}{=} (\pi_1 \circ f)(\mathcal{F}(\tilde{\sigma}))(\top) = \sigma'(\top)$, using the correctness of f and \tilde{f} . Hence $c''_0 = (\pi_1 \circ \pi_1 \circ \delta)(\langle c', \sigma'(\top) \rangle) = (\pi_1 \circ \pi_1 \circ \delta)(\langle c', \tilde{\sigma'} \rangle) = c''$.

We now show that $\forall \ell, \sigma_0$. $(\pi_1 \circ \tilde{g})(\sigma_0(\ell)) = (\pi_1 \circ g)(\sigma_0)(\ell)$. Let $\ell \in \mathcal{L}$ and $\sigma_0 \in State^{\mathcal{L}}$. Then the goal follows directly:

$$\begin{aligned} (\pi_1 \circ \widetilde{g})(\sigma_0(\ell)) &= (\pi_2 \circ \pi_1 \circ \delta)(\langle c', \sigma_0(\ell) \rangle & \text{def. of } \widetilde{g} \\ &= (\pi_1 \circ g)(\sigma_0)(\ell) & \text{def. of } g \end{aligned}$$

We proceed by showing $\tau'_0 = \tau'$ by contradiction; assume $\tau'_0 \neq \tau'$. From the assumptions of the framework, it follows that $|\tau'_0| = |\tau'| =: n$. Hence there must exist a $i \in \{1, \ldots, n\}$ such that $(v_i^0, \ell_i^0) := \tau'_0(i) \neq \tau'(i) =: (v_i, \ell_i)$. Then $\ell_i^0 \neq \ell_i \lor v_i^0 \neq v_i$; we proceed by case distinction:

Note that $\ell_i^0 = \ell_i$ follows from the framework assumptions; hence assume that $v_i \neq v_i^0$. By comparing the state at ℓ_i and \top , we get:

$$\begin{aligned} v_i^0 &= \pi_2((\pi_2 \circ \delta)(\langle c', \sigma'(\ell_i) \rangle)(i)) & \text{def. of } \Delta \\ &= \pi_2((\pi_2 \circ \delta)(\langle c', (\pi_1 \circ f)(\sigma)(\ell_i) \rangle)(i)) & \text{correctness of } f \\ &= \pi_2((\pi_2 \circ \delta)(\langle c', (\pi_1 \circ \widetilde{f})(\sigma(\ell_i)) \rangle)(i)) & \text{IH} \\ &= \pi_2((\pi_2 \circ \widetilde{g})((\pi_1 \circ \widetilde{f})(\sigma(\ell_i)))(i)) & \text{def. of } \widetilde{g} \\ &= \pi_2((\pi_2 \circ \widetilde{g} \circ \widetilde{f})(\sigma(\ell_i))(i)) & \text{def. of } \circ \\ &\neq \pi_2((\pi_2 \circ \widetilde{g} \circ \widetilde{f})(\sigma(\top))(i)) & \text{asm., correct } \widetilde{f}, \widetilde{g} \\ &= v_i \end{aligned}$$

Hence we have that $(\pi_2 \circ \tilde{g} \circ \tilde{f})(\sigma(\ell_i)) \neq (\pi_2 \circ \tilde{g} \circ \tilde{f})(\sigma(\top))$ and therefore $\sigma(\ell_i) \notin k_e(\ell_i, \sigma(\top), \tilde{g} \circ \tilde{f})$, but $\sigma(\ell_i) \in k_e(\ell_i, \sigma(\top), \mathrm{id})$, since $\sigma(\ell_i) \approx_{\ell_i} \sigma(\top)$. This contradicts that $ES(\ell_i, \rightarrow) \models c$.

Hence it must hold that $\tau' = \tau'_0$ as desired.

Lemma 5 (Precision - reverse). If
$$\forall \ell$$
. $ES(\ell, \rightarrow) \models c$ and $\langle c, \mathcal{F}(\widetilde{\sigma}) \rangle \xrightarrow{\tau}_{f} * \langle c', \sigma' \rangle$, then $\exists f. \langle c, \widetilde{\sigma} \rangle \xrightarrow{\tau}_{\widetilde{f}} * \langle c', \sigma'(\top) \rangle \land (\forall \ell, \sigma_0. (\pi_1 \circ \widetilde{f})(\sigma_0(\ell)) = (\pi_1 \circ f)(\sigma_0)(\ell)).$

Proof. Analogous to proof for Lemma 4.

Proof (Proof of Theorem 6). Simple corollary of Lemmas 4 and 5.

Proof (Proof sketch of Theorem 7). Follows from Theorem 5 and 6 by noting that the evaluation is unchanged until the first use of rule producing ℓ .

D DroidBench Evaluation Results



 $\operatorname{F-While}$

 $\langle \mathcal{E}, \mathbf{while} \ e \ \mathbf{do} \ c \rangle m \delta \langle \mathcal{E}, \mathbf{if} \ e \ \mathbf{then} \ (c \ ; \mathbf{while} \ e \ \mathbf{do} \ c) \ \mathbf{else} \ \varepsilon \rangle m$

Fig. 5. Remaining Rules for Facelifted Evaluation

D.1 Jimple

Jimple is a typed and stackless 3-address intermediate representation language for Java bytecode. We present the syntax for the key constructs of Jimple.

$$e ::= n \mid x \mid e \oplus e \mid \mathbf{new} \ C \mid e.f \mid \mathbf{newarray} \ (T) \ [e] \\ \mid e[e] \mid \mathbf{length} \ x \mid \mathbf{specialinvoke} \ x.m(\overline{e}) \\ \mid \mathbf{virtualinvoke} \ x.m(\overline{e}) \\ c ::= \mathbf{nop} \mid x := e \mid x.f := e \mid x[e] := e \mid \mathbf{out}(\ell, e) \mid \mathbf{goto} \ pc \\ \text{ if } e \ \mathbf{goto} \ pc \mid \mathbf{invoke} \ e \mid \mathbf{return} \ e \mid \mathbf{throw} \ e \\ M ::= A \ m(\overline{x}) \ \{ \ \overline{c} \ \} \\ C ::= \mathbf{class} \ A \ \{\overline{A \ f}; \ \overline{M} \} \\ P ::= \overline{C}$$

Jimple programs consist of a list of class declarations, and a main method that serves as unique entry point to the program. Classes are lists of instance fields and methods. Constructors are also represented as methods. Static fields and static methods are similar (not reported here). Each method consists of a signature and a list of instructions. Formal parameters and reference this are represented at the beginning of each method as assignments to local variables for all the formal parameters and for the current class instance, respectively. Moreover, methods end with a **return** *e* instruction to denote a possible return value. Jimple contains assignments to local variables, fields and array locations. It uses a 3-address code representation, meaning that at most two operands can occur on the right-hand side of an assignment. Conditional and unconditional jumps are used to transfer the control flow to a given code location. Jimple generalizes conditional jumps to several branches using a *switch* statement (not shown here). Invocation statements use **invoke** to call a constructor (via **specialinvoke** expression) or a method (via **virtualinvoke** expression). **invoke** ignores the possible result of a method call. **throw** *e* throws an exception specified by the class of local variable e. We represent API sinks by output statements $\mathbf{out}(\ell, e)$. Jimple expressions are defined as expected; n denotes a value which is an integer, a reference, **null** or **void**. x denotes a local variable of either primitive or object type. \oplus denotes a binary operation (e.g. +, -) or a binary relation (e.g. <, &). new and newarray denote, respectively, the creation of an object reference and of an array of respective type and size, while length e denotes the length of the array referenced by e. We refer the reader to [37] for the full syntax and semantics of Jimple.

D.2 DroidBench Results

Figure 6 presents the evaluation results using the DroidBench benchmark suite.

Category	Test case	Insecure	FD	DS	AD	HD	TD	DF
Aliasing	Merge1	No	Yes	Yes	No	Yes	No	No
Android Specific	PublicAPIField1	Yes	No	Yes	No	Yes	No	Yes
	PublicAPIField2	Yes	No	Yes	No	Yes	Yes	Yes
Arrays and Lists	ArrayAccess1	No	Yes	Yes	Yes	No	No	No
-	ArrayAccess2	No	Yes	Yes	Yes	No	No	No
	ArrayCopy1	Yes	Yes	Yes	No	Yes	Yes	Yes
	ArrayToString1	Yes	No	Yes	Yes	Yes	Yes	Yes
	HashMapAccess1	No	Yes	Yes	No	No	No	No
	ListAccess1	No	Yes	Yes	Yes	No	No	No
	MultidimensionalArray1	Yes	Yes	No	Yes	Yes	Yes	Yes
Callbacks	Ordering1	No	No	Yes	Yes	Yes	No	No
	RegisterGlobal1	Yes	Yes	Yes	No	Yes	Yes	Yes
	RegisterGlobal2	Yes	Yes	Yes	No	Yes	Yes	Yes
	Unregister1	No	Yes	Yes	Yes	Yes	No	No
Field and Object Sensitivity	FieldSensitivity4	No	No	Yes	No	Yes	No	No
	ObjectSensitivity2	No	No	Yes	No	Yes	No	No
General Java	Exceptions3	No	Yes	Yes	Yes	Yes	No	No
	Serialization1	Yes	No	No	No	No	Yes	Yes
	StaticInitialization1	Yes	No	Yes	Yes	Yes	Yes	Yes
	StaticInitialization3	Yes	No	Yes	Yes	Yes	Yes	Yes
	StringFormatter1	Yes	No	Yes	No	Yes	Yes	Yes
	StringPatternMatching1	Yes	No	Yes	Yes	Yes	Yes	Yes
	StringToCharArray1	Yes	Yes	Yes	No	Yes	Yes	Yes
	StringToOutputStream1	Yes	No	Yes	Yes	Yes	Yes	Yes
	VirtualDispatch3	No	Yes	No	No	No	No	No
Lifecycle	ApplicationLifecycle1	Yes	Yes	Yes	No	Yes	Yes	Yes
-	ApplicationLifecycle3	Yes	Yes	Yes	No	Yes	Yes	Yes
	FragmentLifecycle1	Yes	No	Yes	Yes	Yes	Yes	Yes
Reflection	Reflection1	Yes	Yes	No	Yes	Yes	Yes	Yes
	Reflection2	Yes	No	No	No	Yes	Yes	Yes
	Reflection4	Yes	No	No	Yes	Yes	Yes	Yes
Threading	Executor1	Yes	Yes	Yes	No	Yes	Yes	Yes
5	JavaThread1	Yes	Yes	Yes	No	Yes	Yes	Yes
	JavaThread2	Yes	No	Yes	No	Yes	Yes	Yes
	Looper1	Yes	No	Yes	No	Yes	Yes	Yes
 Category: classification from DroidBench Test Case: app name from DroidBench 								
- Inserve: Ves iff the test case does not satisfy avalicit								

- Insecure: Yes iff the test case does not satisfy explicit secrecy
- FD: Yes iff FlowDroid classifies the test case as insecure
- DS: Yes iff DroidSafe classifies the test case as insecure
 AD: Yes iff Amandroid classifies the test case as insecure
 HD: Yes iff HornDroid classifies the test case as insecure
 TD: Yes iff TaintDroid classifies the test case as insecure

- DF: Yes iff $\tt DroidFace$ classifies the test case as insecure
- $-\,$ False positives and false negatives are highlighted in ${\bf bold}$

Fig. 6. DroidBench Evaluation Results