Lecture Models of computation (DIT311, TDA184)

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Inductive definitions:

- Functions defined by primitive recursion.
- Proofs by structural induction.
- Two models of computation:
 - ► PRF.
 - ▶ The recursive functions. (If we have time.)

Natural numbers

The set of natural numbers, \mathbb{N} , is defined inductively in the following way:

- zero $\in \mathbb{N}$.
- If $n \in \mathbb{N}$, then suc $n \in \mathbb{N}$.

We can construct natural numbers by using these rules a finite number of times. Examples:

•
$$0 =$$
zero.

•
$$1 = suc zero.$$

•
$$2 = suc (suc zero).$$

The value zero and the function suc are called *constructors*.

An alternative way to present the rules:

$$\frac{n \in \mathbb{N}}{\operatorname{zero} \in \mathbb{N}} \qquad \qquad \frac{n \in \mathbb{N}}{\operatorname{suc} n \in \mathbb{N}}$$

Propositions, predicates and relations

- A proposition is something that can (perhaps) be proved or disproved.
- ► A predicate on a set A is a function from A to propositions.
- ► A *binary relation* on two sets *A* and *B* is a function from *A* and *B* to propositions.
- Relations can also have more arguments.

Two natural numbers are equal if they are built up by the same constructors.

We can see this as an inductively defined relation:

$$rac{1}{zero = zero}$$
 $rac{1}{suc m = suc n}$

m = n

(The names of the constructors have been omitted.)

We can define a function from $\mathbb N$ to a set A in the following way:

- A value $z \in A$, the function's value for zero.
- A function s ∈ N → A → A, that given n ∈ N and the function's value for n gives the function's value for suc n.

A definition by primitive recursion can be given the following schematic form:

$$\begin{array}{l} f \in \mathbb{N} \to A \\ f \, {\sf zero} &= z \\ f \, ({\sf suc} \, \, n) = s \, n \, (f \, n) \end{array}$$

We can capture this scheme with a higher-order function:

 $rec \in A \to (\mathbb{N} \to A \to A) \to \mathbb{N} \to A$ $rec \ z \ s \ zero = z$ $rec \ z \ s \ (suc \ n) = s \ n \ (rec \ z \ s \ n)$

Example: Equality with zero

- Can we define *is*-zero ∈ N → Bool using primitive recursion?
- ▶ Let "A" be Bool.
- Scheme:

is-zero $\in \mathbb{N} \to Bool$ is-zero zero =? is-zero (suc n) = ?

Example: Equality with zero

- ► Can we define *is-zero* ∈ N → Bool using primitive recursion?
- ▶ Let "A" be Bool.
- Scheme:

is-zero $\in \mathbb{N} \to Bool$ is-zero zero = true is-zero (suc n) = false

Example: Equality with zero

- Can we define *is*-zero ∈ N → Bool using primitive recursion?
- ▶ Let "A" be Bool.
- With the higher-order function:

$$is\text{-}zero \in \mathbb{N} \to Bool$$

 $is\text{-}zero = rec \text{ true } (\lambda n r. \text{ false})$

- Can we define add ∈ N → N → N using primitive recursion?
- Let "A" be $\mathbb{N} \to \mathbb{N}$.
- Scheme:

 $\begin{array}{l} add \in \mathbb{N} \to (\mathbb{N} \to \mathbb{N}) \\ add \, \mathsf{zero} &= ? \\ add \, (\mathsf{suc} \, m) = ? \end{array}$

- Can we define add ∈ N → N → N using primitive recursion?
- Let "A" be $\mathbb{N} \to \mathbb{N}$.
- Scheme:

 $\begin{array}{l} add \in \mathbb{N} \rightarrow (\mathbb{N} \rightarrow \mathbb{N}) \\ add \, \mathsf{zero} &= \lambda \, n. \, n \\ add \, (\mathsf{suc} \, m) = ? \end{array}$

- Can we define add ∈ N → N → N using primitive recursion?
- Let "A" be $\mathbb{N} \to \mathbb{N}$.
- Scheme:

$$\begin{array}{l} add \in \mathbb{N} \to (\mathbb{N} \to \mathbb{N}) \\ add \; \mathsf{zero} &= \lambda \; n. \; n \\ add \; (\mathsf{suc} \; m) = \lambda \; n. \; ? \end{array}$$

- Can we define add ∈ N → N → N using primitive recursion?
- Let "A" be $\mathbb{N} \to \mathbb{N}$.
- Scheme:

$$\begin{array}{l} add \in \mathbb{N} \rightarrow (\mathbb{N} \rightarrow \mathbb{N}) \\ add \; \mathsf{zero} &= \lambda \, n. \, n \\ add \; (\mathsf{suc} \; m) = \lambda \, n. \, \mathsf{suc} \; (add \; m \; n) \end{array}$$

Which of the following terms define addition?

►
$$rec (\lambda n. n) (\lambda m r. \lambda n. suc (r m n))$$

- $\blacktriangleright \ rec \ (\lambda \ n. \ n) \ (\lambda \ m \ r. \ \lambda \ n. \ \mathsf{suc} \ (r \ n))$
- $rec (\lambda n. n) (\lambda m r. \lambda n. suc (r m))$

Structural induction

Let us assume that we have a predicate P on \mathbb{N} . If we can prove the following two statements, then we have proved $\forall n. P n$:

- ► P zero.
- $\forall n. P n \text{ implies } P (\text{suc } n).$

Theorem: $\forall m \in \mathbb{N}$. add m zero = m. Proof:

- Let us use structural induction, with the predicate $P = \lambda m$. add m zero = m.
- There are two cases:

 $P \text{ zero } \leftarrow \{ \text{By definition.} \}$ add zero zero = zero $\leftarrow \{ \text{By definition.} \}$ zero = zero

Theorem: $\forall m \in \mathbb{N}$. add m zero = m. Proof:

- Let us use structural induction, with the predicate P = λ m. add m zero = m.
- There are two cases:

 $\begin{array}{rcl} P (\mathsf{suc} \ m) & \Leftarrow \\ add (\mathsf{suc} \ m) \ \mathsf{zero} = \mathsf{suc} \ m & \Leftarrow \\ \mathsf{suc} \ (add \ m \ \mathsf{zero}) = \mathsf{suc} \ m & \Leftarrow \\ add \ m \ \mathsf{zero} = m & \Leftarrow \\ P \ m \end{array}$

More inductively defined sets

The cartesian product of two sets A and B is defined inductively in the following way:

$$\frac{x \in A \qquad y \in B}{\text{pair } x \ y \in A \times B}$$

Notice that this definition is "non-recursive".

Scheme for primitive recursion for pairs:

$$f \in A \times B \to C f (pair x y) = p x y$$

The corresponding higher-order function:

 $uncurry \in (A \to B \to C) \to A \times B \to C$ uncurry p (pair x y) = p x y

Structural induction

Let us assume that we have a predicate P on $A \times B$. If we can prove the following statement, then we have proved $\forall p. P p$:

• $\forall x \ y. \ P \ (pair \ x \ y).$

The set of finite lists containing natural numbers is defined inductively in the following way:

	$x \in \mathbb{N}$	xs	\in Nat-list
$\overline{nil \in \mathit{Nat-list}}$	cons x :	$rs \in$	Nat-list

Primitive recursion

Scheme for primitive recursion for natural number lists:

$$f \in Nat\text{-}list \to A$$

f nil = n
f (cons x xs) = c x xs (f xs)

The corresponding higher-order function:

$$\begin{array}{ll} listrec \in A \rightarrow (\mathbb{N} \rightarrow \textit{Nat-list} \rightarrow A \rightarrow A) \rightarrow \\ & \textit{Nat-list} \rightarrow A \\ listrec \ n \ c \ \mathsf{nil} & = n \end{array}$$

 $listrec \ n \ c \ (cons \ x \ xs) = c \ x \ xs \ (listrec \ n \ c \ xs)$

Structural induction

Let us assume that we have a predicate P on *Nat-list.* If we can prove the following statements, then we have proved $\forall xs. P xs:$

- \blacktriangleright *P* nil.
- $\forall x xs. P xs \text{ implies } P (\text{cons } x xs).$

Pattern

- Given an inductive definition of the kind presented here, we can derive:
 - The structural induction principle.
 - The primitive recursion scheme.
- Pattern:
 - One case per constructor.
 - One argument per constructor argument, plus an extra argument (for induction: an inductive hypothesis) per *recursive* constructor argument.



Define the booleans inductively. How many cases does the structural induction principle have?

- ► 1
- ▶ 2▶ 3
- ▶ 4

Bonus question: Can you think of an inductive definition for which the answer would be 0?

PRF

The primitive recursive functions

- A model of computation.
- Programs taking tuples of natural numbers to natural numbers.
- Every program is terminating.

The primitive recursive functions can be constructed in the following ways:

$$\begin{split} f\left(\right) &= 0 \\ f\left(x\right) &= 1 + x \\ f\left(x_{1}, ..., x_{k}, ..., x_{n}\right) &= x_{k} \\ f\left(x_{1}, ..., x_{n}\right) &= g\left(h_{1}\left(x_{1}, ..., x_{n}\right), ..., h_{k}\left(x_{1}, ..., x_{n}\right)\right) \\ f\left(x_{1}, ..., x_{n}, 0\right) &= g\left(x_{1}, ..., x_{n}\right) \\ f\left(x_{1}, ..., x_{n}, 1 + x\right) &= \\ h\left(x_{1}, ..., x_{n}, f\left(x_{1}, ..., x_{n}, x\right), x\right) \end{split}$$



Vectors, lists of a fixed length: $\frac{xs \in A^n \quad x \in A}{\mathsf{nil} \in A^0} \qquad \frac{xs \in A^n \quad x \in A}{xs, x \in A^{1+n}}$ Beed sile a second (sile s) solution

Read nil, x, y, z as ((nil, x), y), z.

An indexing operation can be defined by (a slight variant of) primitive recursion:

 $index \in A^n \to \{i \in \mathbb{N} \mid 0 \le i < n\} \to A$ index (xs, x) zero = xindex (xs, x) (suc n) = index xs n

 PRF_n : Functions that take n arguments.

$$\overline{\operatorname{zero} \in PRF_0} \qquad \overline{\operatorname{suc} \in PRF_1} \qquad \frac{0 \le i < n}{\operatorname{proj} i \in PRF_n}$$

$$\frac{f \in PRF_m \quad gs \in (PRF_n)^m}{\operatorname{comp} f \, gs \in PRF_n}$$

$$\frac{f \in PRF_n \quad g \in PRF_{2+n}}{\operatorname{rec} f \, g \in PRF_{1+n}}$$

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\llbracket \_ \rrbracket \in PRF_n \to (\mathbb{N}^n \to \mathbb{N})
\| zero \| nil = 0
[suc ](nil, n) = 1 + n
[ proj i ] \rho = index \rho i
\llbracket \operatorname{comp} f gs \rrbracket \rho \qquad = \llbracket f \rrbracket (\llbracket gs \rrbracket \star \rho)
\llbracket \operatorname{rec} f g \quad \llbracket (\rho, \operatorname{zero}) = \llbracket f \rrbracket \rho
\llbracket \operatorname{rec} f g \quad \llbracket (\rho, \operatorname{suc} n) = \llbracket g \rrbracket (\rho, \llbracket \operatorname{rec} f g \rrbracket (\rho, n), n)
\llbracket \quad ]\!] \star \in (PRF_m)^n \to (\mathbb{N}^m \to \mathbb{N}^n)
 [nil] \star \rho = nil 
\llbracket fs, f \rrbracket \star \rho = \llbracket fs \rrbracket \star \rho, \llbracket f \rrbracket \rho
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$$\begin{array}{ll} \boxed{} & \in PRF_n \to (\mathbb{N}^n \to \mathbb{N}) \\ \boxed{} & \text{zero} & \boxed{} & \text{nil} & = 0 \\ \boxed{} & \text{suc} & \boxed{} & (\text{nil}, n) = 1 + n \\ \boxed{} & \text{proj } i & \boxed{} & \rho & = index \ \rho \ i \\ \boxed{} & \text{comp } f \ gs \\ \boxed{} & \rho & = \boxed{} f \\ \boxed{} & (\boxed{} gs \\ \boxed{} & \rho & = \boxed{} f \\ \boxed{} & (\boxed{} gs \\ \boxed{} & \rho \\ \boxed{} & \text{rec } f \ g \\ \hline{} & (\rho, n) & = rec \ (\boxed{} f \\ \boxed{} & \rho \\ & (\lambda n \ r. \ \boxed{} g \\ \boxed{} & (\rho, r, n)) \\ n \end{array}$$

$$\underbrace{\llbracket _ \rrbracket} \star \in (PRF_m)^n \to (\mathbb{N}^m \to \mathbb{N}^n)$$
$$\begin{bmatrix} \mathsf{nil} & \rrbracket \star \rho = \mathsf{nil} \\ \llbracket fs, f \rrbracket \star \rho = \llbracket fs \rrbracket \star \rho, \llbracket f \rrbracket \rho$$

Which of the following terms, all in PRF_2 , define addition?

- ▶ rec (proj 0) (proj 0)
- ▶ rec (proj 0) (proj 1)
- ▶ rec (proj 0) (comp suc (nil, proj 0))
- ▶ rec (proj 0) (comp suc (nil, proj 1))

Hint: Examine $\llbracket p \rrbracket$ (nil, m, n) for each program p.

Goal: Define add satisfying the following equations:

$$\begin{array}{l} \forall \ m. \quad \llbracket add \rrbracket \ (\mathsf{nil}, m, \mathsf{zero}) \ = \ m \\ \forall \ m \ n. \quad \llbracket add \rrbracket \ (\mathsf{nil}, m, \mathsf{suc} \ n) = \\ \quad \mathsf{suc} \ (\llbracket add \rrbracket \ (\mathsf{nil}, m, n)) \end{array}$$

If we can find a definition of add satisfying these equations, then we can prove using structural induction that add is an implementation of addition.

Perhaps we can use rec:

Perhaps we can use rec:

$$\begin{array}{l} \forall \ m. \quad \llbracket f \rrbracket \ (\mathsf{nil}, m) &= m \\ \forall \ m \ n. \ \llbracket \mathsf{rec} \ f \ g \rrbracket \ (\mathsf{nil}, m, \mathsf{suc} \ n) = \\ \quad \mathsf{suc} \ (\llbracket \mathsf{rec} \ f \ g \rrbracket \ (\mathsf{nil}, m, n)) \end{array}$$

Perhaps we can use rec:

The zero case:

$$\forall m. \llbracket f \rrbracket (\mathsf{nil}, m) = m$$

The zero case:

$\forall \ m. \llbracket \mathsf{proj} \ 0 \rrbracket \ (\mathsf{nil}, m) = m$

The suc case:

$\begin{array}{l} \forall \ m \ n. \llbracket g \rrbracket \ (\mathsf{nil}, m, \llbracket \mathsf{rec} \ f \ g \rrbracket \ (\mathsf{nil}, m, n), n) = \\ & \mathsf{suc} \ (\llbracket \mathsf{rec} \ f \ g \rrbracket \ (\mathsf{nil}, m, n)) \end{array}$

The suc case:

$\forall \ m \ n \ r. \llbracket g \rrbracket \ (\mathsf{nil}, m, r, n) = \mathsf{suc} \ r$

The suc case:

$\forall \ m \ n \ r. \llbracket \mathsf{comp} \ h \ hs \rrbracket \ (\mathsf{nil}, m, r, n) = \mathsf{suc} \ r$

The suc case:

$\forall \ m \ n \ r. \llbracket h \rrbracket \ (\llbracket hs \rrbracket \star \ (\mathsf{nil}, m, r, n)) = \mathsf{suc} \ r$

The suc case:

$\forall \ m \ n \ r. \llbracket \mathsf{suc} \rrbracket \ (\llbracket \mathsf{nil}, k \rrbracket \star \ (\mathsf{nil}, m, r, n)) = \mathsf{suc} \ r$

The suc case:

$\forall \ m \ n \ r. \llbracket \mathsf{suc} \rrbracket \ (\mathsf{nil}, \llbracket k \rrbracket \ (\mathsf{nil}, m, r, n)) = \mathsf{suc} \ r$

The suc case:

$\forall \ m \ n \ r. \ \mathsf{suc} \ (\llbracket k \rrbracket \ (\mathsf{nil}, m, r, n)) = \mathsf{suc} \ r$

The suc case:

 $\forall \ m \ n \ r. \llbracket k \rrbracket \ (\mathsf{nil}, m, r, n) = r$

The suc case:

 $\forall \ m \ n \ r. \llbracket \mathsf{proj} \ 1 \rrbracket \ (\mathsf{nil}, m, r, n) = r$

We end up with the following definition:

 $\mathsf{rec}\;(\mathsf{proj}\;0)\;(\mathsf{comp}\;\mathsf{suc}\;(\mathsf{nil},\mathsf{proj}\;1))$

- *f*[ρ] ↓ *n* means that the result of evaluating *f* with input ρ is *n*.
- $f[\rho] \Downarrow n$ is well-formed ("type-correct") if

 $\exists \ m \in \mathbb{N}. f \in PRF_m \land \rho \in \mathbb{N}^m \land n \in \mathbb{N}.$

•
$$fs[\rho] \Downarrow^{\star} \rho'$$
 is well-formed if

$$\exists m, n \in \mathbb{N}. \\ f \in (PRF_m)^n \land \rho \in \mathbb{N}^m \land \rho' \in \mathbb{N}^n.$$

 Note that well-formed statements do not need to be true.

$$\mathsf{zero}\left[\mathsf{nil}\right] \Downarrow 0$$

 $\mathsf{suc}\,[\mathsf{nil},n]\,\Downarrow\,1+n$

proj
$$i[\rho] \Downarrow index \rho i$$

 $\frac{f[\rho] \Downarrow n}{\operatorname{rec} f g[\rho, \operatorname{zero}] \Downarrow n}$

$$\begin{array}{c} \operatorname{rec} f g \left[\rho, m \right] \Downarrow n \\ g \left[\rho, n, m \right] \Downarrow o \\ \hline \operatorname{rec} f g \left[\rho, \operatorname{suc} m \right] \Downarrow o \end{array}$$

$$\frac{gs\left[\rho\right] \Downarrow^{\star} \rho' \quad f[\rho'] \Downarrow n}{\operatorname{comp} f \, gs\left[\rho\right] \Downarrow n}$$
$$\frac{fs\left[\rho\right] \Downarrow^{\star} ns \quad f\left[\rho\right] \Downarrow n}{fs, f\left[\rho\right] \Downarrow^{\star} ns, n}$$

$$\begin{array}{l} f\left[\rho\right] \Downarrow n \text{ iff } \llbracket f \rrbracket \rho = n, \\ fs\left[\rho\right] \Downarrow^{\star} \rho' \text{ iff } \llbracket fs \rrbracket \star \rho = \rho'. \end{array}$$

This can be proved by induction on the structure of the semantics in one direction, and f/fs in the other.

Thus the operational semantics is total and deterministic:

∀f ρ. ∃ n. f [ρ] ↓ n.
∀f ρ m n. f [ρ] ↓ m and f [ρ] ↓ n implies m = n.

Which of the following propositions are true?

- comp zero nil [nil, 5, 7] $\Downarrow 0$
- ▶ comp suc (nil, proj 0) [nil, 5, 7] \Downarrow 6
- $\blacktriangleright \ \operatorname{rec} \ \operatorname{zero} \ (\operatorname{proj} \ 1) \ [\operatorname{nil}, 2] \ \Downarrow \ 0$

- Not every (Turing-) computable function is primitive recursive.
- Exercise: Define a function $code \in PRF_1 \rightarrow \mathbb{N}$ with a computable left inverse.
- There is no program $eval \in PRF_1$ satisfying

$$\begin{array}{l} \forall \ f \in \ PRF_1, n \in \mathbb{N}. \\ \llbracket eval \rrbracket \ (\mathsf{nil}, \ulcorner \ (f, n) \urcorner) = \llbracket f \rrbracket \ (\mathsf{nil}, n), \end{array}$$

where $\lceil (f, n) \rceil = 2^{\operatorname{code} f} 3^n$.

No self-interpreter

Proof sketch:

▶ Define
$$g \in PRF_1$$
 by

 $\operatorname{comp \ suc \ (nil, comp \ eval \ (nil, f))},$

where $[\![f]\!]$ (nil, n) = $2^n 3^n$.

We get

 $\begin{array}{l} \llbracket g \rrbracket \; (\mathsf{nil}, \, code \, g) = \\ 1 + \llbracket eval \rrbracket \; (\mathsf{nil}, \llbracket f \rrbracket \; (\mathsf{nil}, \, code \, g)) = \\ 1 + \llbracket eval \rrbracket \; (\mathsf{nil}, 2^{code \, g} \; 3^{code \, g}) = \\ 1 + \llbracket eval \rrbracket \; (\mathsf{nil}, \ulcorner (g, \, code \, g) \urcorner) = \\ 1 + \llbracket g \rrbracket \; (\mathsf{nil}, \, code \, g). \end{array}$

The Ackermann function

- Another example of a computable function that is not primitive recursive.
- One variant:

 $\begin{array}{l} ack \in \mathbb{N} \times \mathbb{N} \to \mathbb{N} \\ ack \; (\texttt{zero}, \quad n) &= \texttt{suc} \; n \\ ack \; (\texttt{suc} \; m, \texttt{zero}) &= ack \; (m, \texttt{suc} \; \texttt{zero}) \\ ack \; (\texttt{suc} \; m, \texttt{suc} \; n) &= ack \; (m, ack \; (\texttt{suc} \; m, n)) \end{array}$

 For more details, see Nordström, The primitive recursive functions.

The recursive functions

- A model of computation.
- Programs taking tuples of natural numbers to natural numbers.
- Not every program is terminating.

- Extends PRF with one additional constructor.
- RF_n : Functions that take n arguments.
- Minimisation:

$$\frac{f \in RF_{1+n}}{\min f \in RF_n}$$

- ► Rough idea: min f [ρ] is the smallest n for which f [ρ, n] is 0.
- Note that there may not be such a number.

The operational semantics is extended:

$$\frac{f[\rho, n] \Downarrow 0 \qquad \forall m < n. \ \exists \ k \in \mathbb{N}. \ f[\rho, m] \Downarrow 1 + k}{\min f[\rho] \Downarrow n}$$

The operational semantics is extended:

$$\frac{f[\rho, n] \Downarrow 0 \qquad \forall m < n. \exists k \in \mathbb{N}. f[\rho, m] \Downarrow 1 + k}{\min f[\rho] \Downarrow n}$$

The semantics is deterministic, but not total:

- $f[\rho] \Downarrow m$ and $f[\rho] \Downarrow n$ implies m = n.
- $\blacktriangleright \ \forall m. \ \exists f \in RF_m. \ \forall \rho. \not\exists n. f[\rho] \Downarrow n.$



• Construct $f \in RF_0$ in such a way that $\nexists n. f[\mathsf{nil}] \Downarrow n.$

We can try to extend the denotational semantics:

$$\begin{split} \llbracket - \rrbracket \in RF_n \to (\mathbb{N}^n \to \mathbb{N}) \\ \vdots \\ \llbracket \min f \rrbracket \rho = search \, f \, \rho \; 0 \end{split}$$

$$\begin{array}{l} search \in RF_{1+n} \to \mathbb{N}^n \to \mathbb{N} \to \mathbb{N} \\ search f \rho \ n = \\ \quad \mathbf{if} \quad \llbracket f \rrbracket \ (\rho, n) = 0 \\ \mathbf{then} \ n \\ \mathbf{else} \ \ search f \rho \ (1+n) \end{array}$$

- This "definition" does not give rise to (total) functions.
- We can instead define a semantics as a function to partial functions:

$$\begin{split} \llbracket - \rrbracket \in RF_n &\to (\mathbb{N}^n \rightharpoonup \mathbb{N}) \\ \llbracket f \rrbracket \rho = \\ & \text{if} \quad f[\rho] \Downarrow n \text{ for some } n \\ & \text{then } n \\ & \text{else undefined} \end{split}$$



• Equivalent to Turing machines, λ -calculus, ...



- Inductive definitions:
 - Functions defined by primitive recursion.
 - Proofs by structural induction.
- Two models of computation:
 - ► PRF.
 - The recursive functions.