# Logic in Computer Science

For a given language  $\mathcal{F}, \mathcal{P}$ , a first-order theory is a set T of sentences (closed formulae) in this given language. The elements of T are also called axioms of T.

A model of T is a model  $\mathcal{M}$  of the given language such that  $\mathcal{M} \models \psi$  for all sentences  $\psi$  in T.

 $T \vdash \varphi$  means that we can find  $\psi_1, \ldots, \psi_n$  in T such that  $\psi_1, \ldots, \psi_n \vdash \varphi$ .

 $T \models \varphi$  means that  $\mathcal{M} \models \varphi$  for all models  $\mathcal{M}$  of T.

The generalized form of soundness is that  $T \vdash \varphi$  implies  $T \models \varphi$  and completness is that  $T \models \varphi$  implies  $T \vdash \varphi$ .

If T is a finite set  $\psi_1, \ldots, \psi_n$  this follows from the usual statement of soundness  $(\vdash \delta \text{ implies } \models \delta)$  and completness  $(\models \delta \text{ implies } \vdash \delta)$ . Indeed, in this case, we have  $T \vdash \varphi \text{ iff } \vdash (\psi_1 \land \cdots \land \psi_n) \rightarrow \varphi$  and  $T \models \varphi \text{ iff } \models (\psi_1 \land \cdots \land \psi_n) \rightarrow \varphi$ .

### Theory of equivalence relations

The language is  $\mathcal{P} = \{E\}$ , binary relation, and  $\mathcal{F} = \emptyset$ . The axioms are

$$\forall x. \ E(x,x)$$
  $\forall x \ y \ z. \ (E(x,z) \land E(y,z)) \rightarrow E(x,y)$ 

We can then show  $T \vdash \forall x \ y. E(x,y) \rightarrow E(y,x)$  and  $T \vdash \forall x \ y \ z. \ (E(x,y) \land E(y,z)) \rightarrow E(x,z)$ .

# Theory about orders

The theory of strict order. The language is  $\mathcal{P} = \{R\}$ , binary relation, and  $\mathcal{F} = \emptyset$ . The axioms are

$$\forall x. \neg R(x, x)$$
  $\forall x \ y \ z. \ (R(x, y) \land R(y, z)) \rightarrow R(x, z)$ 

We can add equality and get the theory  $T_{lin}$  of linear orders

$$\forall x \ y. \ (x \neq y) \rightarrow (R(x,y) \lor R(y,x))$$

Models are given by the usual order on  $\mathbb{N}, \mathbb{Q}, \mathbb{R}$ . The model of rationals  $(\mathbb{Q}, <)$  also satisfies

$$\psi_1 = \forall x . \exists y. \ R(x,y)$$
  $\psi_2 = \forall x . \exists y. \ R(y,x)$   $\psi_3 = \forall x \ y. \ R(x,y) \rightarrow \exists z. \ R(x,z) \land R(z,y)$ 

It can be shown that we have  $(\mathbb{Q}, <) \models \varphi$  iff  $(\mathbb{R}, <) \models \varphi$  iff  $T_{lin}, \psi_1, \psi_2, \psi_3 \vdash \varphi$  and furthermore, there is an algorithm to decide whether  $(\mathbb{Q}, <) \models \varphi$  holds or not.

The theory of *preorder* has for axioms

$$\forall x. R(x,x)$$
  $\forall x \ y \ z. \ (R(x,y) \land R(y,z)) \rightarrow R(x,z)$ 

and for the theory of poset is this theory together with the antisymmetry

$$\forall x \ y. \ (R(x,y) \land R(y,x)) \to x = y$$

A poset is *linear* if it also satisfies the axiom

$$\forall x \ y. \ R(x,y) \lor R(y,x)$$

 $(\mathbb{Q}, \leqslant)$  and  $(\mathbb{R}, \leqslant)$  are two linear posets that are not isomorphic but they satisfy the same first-order formula. Furthermore we can decide whether  $(\mathbb{Q}, \leqslant) \vdash \varphi$  holds or not.

# Theory about arithmetic

The language is  $\mathcal{F} = \{\text{zero}, S\}$  and  $\mathcal{P} = \emptyset$ , but we have equality. The first theory  $T_0$  is

$$\forall x. \mathsf{zero} \neq \mathsf{S}(x) \qquad \forall x \ y. \mathsf{S}(x) = \mathsf{S}(y) \to x = y$$

A model of this theory is a set A with a constant  $a \in A$  and a function  $f \in A \to A$  such that f is injective and a is not in the image of f.

A particular model  $\mathbb{N}$  is given by the set of natural numbers and  $0 \in \mathbb{N}$  and the successor function s on  $\mathbb{N}$ .

The formulae  $\delta_1 = \forall x.x \neq S(x)$ ,  $\delta_2 = \forall x.x \neq S(S(x)), \ldots$  are not provable in  $T_0$  but are valid in the model  $(\mathbb{N}, 0, s)$ . The formula  $\psi = \forall x.x = 0 \lor \exists y.(x = S(y))$  is not provable in  $T_0, \delta_1, \delta_2, \ldots$  but is also valid in the model  $(\mathbb{N}, 0, s)$ . We can look at the possible shape of the models of  $T_0, \delta_1, \delta_2, \ldots$  Such a model is a disjoint union of copies of  $\mathbb{N}$  and  $\mathbb{Z}$  and it there are several copies of  $\mathbb{N}$  the formula  $\psi$  will not be satisfied.

It can be shown that we have  $(\mathbb{N}, 0, s) \models \varphi$  iff  $T_0, \delta_1, \delta_2, \dots, \psi \vdash \varphi$  and furthermore, there is an algorithm to decide  $(\mathbb{N}, 0, s) \models \varphi$ . The models of  $T_0, \delta_1, \delta_2, \dots, \psi$  consist of *one* copy of  $\mathbb{N}$  and zero or several copies of  $\mathbb{Z}$ 

### Presburger arithmetic

We add the binary function symbol (+) and add to  $T_0$  the axioms

$$\forall x. \ x + \mathsf{zero} = x$$
  $\forall x \ y. \ x + \mathsf{S}(y) = \mathsf{S}(x + y)$ 

and the induction schema

$$\forall y_1 \dots y_m \cdot \varphi(y_1, \dots, y_m, \mathsf{zero}) \land \forall x \cdot (\varphi(y_1, \dots, y_m, x) \to \varphi(y_1, \dots, y_m, \mathsf{S}(x))) \to \forall z \cdot \varphi(y_1, \dots, y_m, z)$$

The resulting theory PrA is called  $Presburger\ arithmetic$ . It can be shown that  $(\mathbb{N}, 0, \mathbf{s}, +) \models \varphi$  iff  $PrA \vdash \varphi$  and there is an algorithm to decide  $(\mathbb{N}, 0, \mathbf{s}, +) \models \varphi$ .

#### Peano arithmetic

We add the binary function symbol  $(\cdot)$  and add to PrA the axioms for multiplication

$$\forall x. \ x \cdot \mathsf{zero} = \mathsf{zero}$$
  $\forall x \ y. \ x \cdot \mathsf{S}(y) = x \cdot y + x$ 

with the induction schema, where the formula  $\varphi(y_1, \ldots, y_m, x)$  can also used multiplication. The resulting theory PA is called *Peano arithmetic*. It has been shown by Gödel that PA is *incomplete*: there is a formula  $\varphi$  such that  $(\mathbb{N}, 0, \mathbf{s}, +, \cdot) \models \varphi$  but we don't have  $PA \vdash \varphi$ .

Furthermore  $(\mathbb{N}, 0, \mathbf{s}, +, \cdot) \models \varphi$  is undecidable (there is no algorithm to decide  $\mathbb{N} \models \varphi$ ) and there is no effective way to enumerate all sentences  $\varphi$  valid in the model  $(\mathbb{N}, 0, \mathbf{s}, +, \cdot)$ .

# The decision problem

The decision problem (Hilbert-Ackermann 1928) is the problem of deciding if a sentence in a given language is provable or not.

More generally the problem is to decide if we have  $\psi_1, \ldots, \psi_n \vdash \varphi$  or not.

There are special cases where this problem has a positive answer.

A general method is to apply the following remark: we have  $\psi_1, \ldots, \psi_n \vdash \varphi$  iff the following theory  $\psi_1, \ldots, \psi_n, \neg \varphi$  has no models. This follows from soundness and completeness.

### Bernays-Schönfinkel decidable case

This is the particular case where  $\mathcal{F}$  has only *constant* symbols and all formulae  $\psi_1, \ldots, \psi_n, \varphi$  are of the form  $\forall y_1 \ldots y_m.\delta$  or  $\exists y_1 \ldots y_m.\delta$  where  $\delta$  is quantifier-free.

In this case the following algorithm, that I illustrate on some examples, gives a way to decide whether  $\psi_1, \ldots, \psi_n, \neg \varphi$  has a model or not. (If it has a model, it always has a *finite* model.) In this way, we decide whether  $\psi_1, \ldots, \psi_n \vdash \varphi$  holds or not.

We take the example

$$T_1 = \exists x. (P(x) \land \neg M(x)), \exists y. (M(y) \land \neg S(y)), \forall z. (\neg P(z) \lor S(z))$$

The first step is to eliminate the existential quantifiers by introducing constants

$$T_2 = P(a) \land \neg M(a), \ M(b) \land \neg S(b), \forall z.(\neg P(z) \lor S(z))$$

It should be clear that  $T_1$  has a model iff  $T_2$  has a model.

The second step is to eliminate the universal quantifiers by instantiating on all constants

$$T_3 = P(a) \land \neg M(a), \ M(b) \land \neg S(b), \ \neg P(a) \lor S(a), \ \neg P(b) \lor S(b)$$

In this way we find a model with two elements P(a),  $\neg M(a)$ , S(a), M(b),  $\neg S(b)$ ,  $\neg P(b)$ .

This implies that  $\exists x.(P(x) \land \neg M(x)), \exists y.(M(y) \land \neg S(y)) \vdash \exists z.(P(z) \land \neg S(z))$  is not valid.

#### Other examples

 $\forall x. \neg R(x,x) \vdash \forall x \ y. (R(x,y) \rightarrow \neg R(y,x))$  is not valid since we find a model of

$$T_1 = \forall x. \neg R(x, x), \exists x \ y. \ R(x, y) \land R(y, x)$$

by eliminating existentials

$$T_2 = \forall x. \neg R(x, x), R(a, b) \land R(b, a)$$

and then universals

$$T_3 = \neg R(a, a), \ \neg R(b, b), \ R(a, b) \land R(b, a)$$

and we get a counter-model with two elements.

On the other hand  $\forall x \ y.(R(x,y) \to \neg R(y,x) \vdash \neg R(x,x))$  is valid, since if we try to find a model of

$$T_1 = \forall x \ y.(R(x,y) \rightarrow \neg R(y,x)), \ \exists x.R(x,x)$$

by eliminating existentials

$$T_2 = \forall x \ y.(R(x,y) \rightarrow \neg R(y,x)), \ R(a,a)$$

and then universals

$$T_3 = R(a, a) \rightarrow \neg R(a, a), R(a, a)$$

we should have R(a, a) and  $\neg R(a, a)$  and we cannot find a counter-model.

### Theory of cyclic order

(Not covered in the lecture, but a nice example of a theory and of the use of the Bernays-Schönfinkel algorithm.)

A cyclic order is a way to arrange a set of objects in a circle (examples: seven days in a week, twelve notes in the chromatic scale, ...). The language is  $\mathcal{P} = \{S\}$  which is a ternary predicate symbol and the first 3 axioms are

$$\psi_1 = \forall x \ y \ z.S(x, y, z) \to S(y, z, x) \qquad \psi_2 = \forall x \ y \ z.S(x, y, z) \to \neg S(x, z, y)$$
$$\psi_3 = \forall x \ y \ z \ t.(S(x, y, z) \land S(x, z, t)) \to S(x, y, t)$$

One can then use the Bernays-Schönfinkel algorithm to show automatically that these axioms are *independent*: we don't have  $\psi_1, \psi_2 \vdash \psi_3$  or  $\psi_2, \psi_3 \vdash \psi_1$  or  $\psi_3, \psi_1 \vdash \psi_2$ .

The last axiom of the theory of cyclic order uses equality

$$\psi_4 = \forall x \ y \ z.(x \neq y \land y \neq z \land z \neq x) \rightarrow S(x, y, z) \lor S(x, z, y)$$

The extension of the Bernays-Schönfinkel algorithm to equality is possible by axiomatising the equality relation. (This was first done by Ramsey, 1928, by another method.)