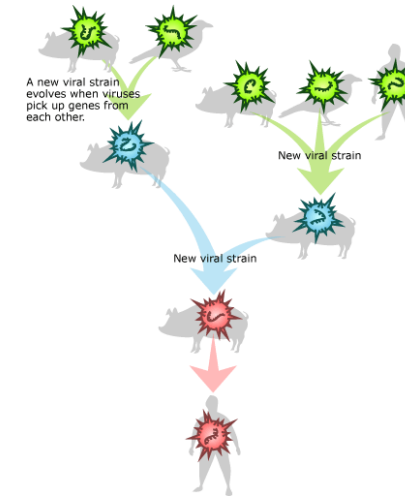


Molecular phylogeny - Using molecular sequences to infer evolutionary relationships

Tore Samuelsson Feb 2015

Molecular phylogeny is being used in
the identification and characterization of
new pathogens, like viruses and bacteria



Bird flu virus evolution

Molecular evidence of HIV-1 transmission in a criminal case

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Edited by Walter M. Fitch, University of California, Irvine, CA, and approved September 4, 2002 (received for review May 2, 2002)

A gastroenterologist was convicted of attempted second-degree murder by injecting his former girlfriend with blood or blood-products obtained from an HIV type 1 (HIV-1)-infected patient under his care. Phylogenetic analyses of HIV-1 sequences were admitted and used as evidence in this case, representing the first use of phylogenetic analyses in a criminal court case in the United States. Phylogenetic analyses of HIV-1 reverse transcriptase and *env* DNA sequences isolated from the victim, the patient, and a local population sample of HIV-1-positive individuals showed the victim's HIV-1 sequences to be most closely related to and nested within a lineage comprised of the patient's HIV-1 sequences. This finding of paraphyly for the patient's sequences was consistent with the direction of transmission from the patient to the victim.

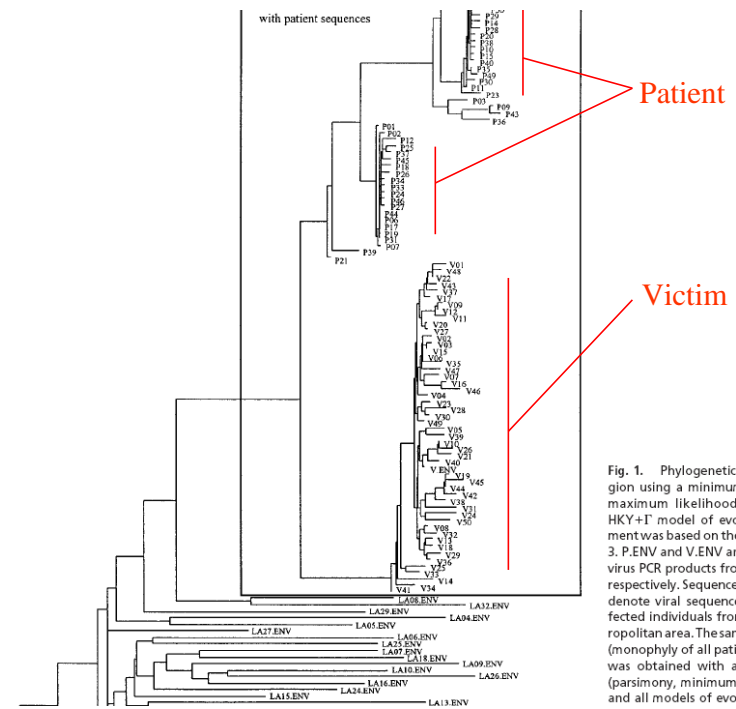
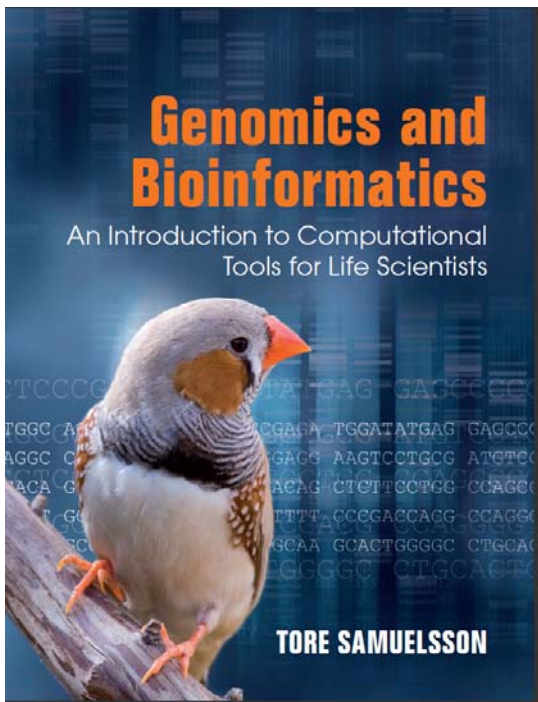
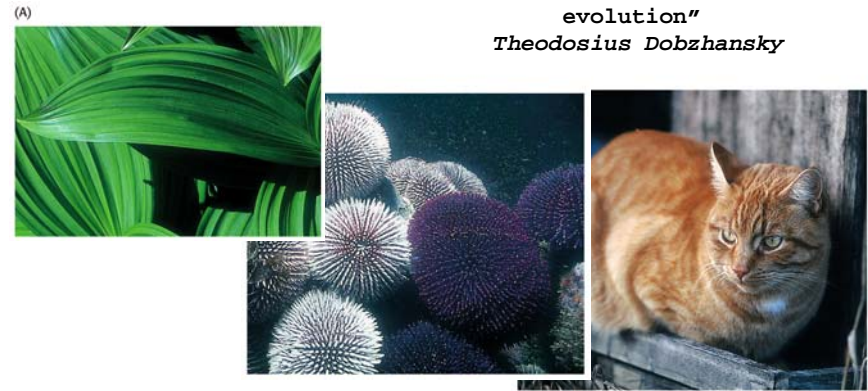


Fig. 1. Phylogenetic analysis of the gp120 region using a minimum evolution criterion and maximum likelihood distances assuming an HKY+I model of evolution. Nucleotide alignment was based on the protein alignment in Fig. 3. P.ENV and V.ENV are DNA sequences for provirus PCR products from the patient and victim, respectively. Sequence names beginning with LA denote viral sequences from control HIV-1 infected individuals from the Lafayette, LA, metropolitan area. The same pattern of relationships (monophyly of all patient and victim sequences) was obtained with all phylogenetic methods (parsimony, minimum evolution, and Bayesian) and all models of evolution examined. In addi-



Evolution

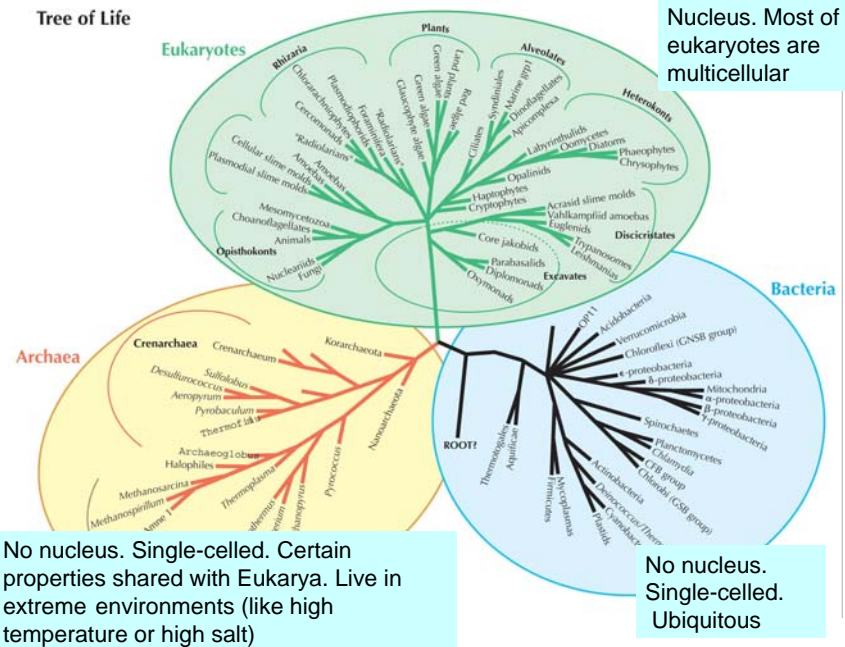
"Nothing in biology makes sense except in the light of evolution"
Theodosius Dobzhansky



Organisms are remarkably uniform at the molecular level

This uniformity reveals that organisms on Earth have arisen from a common ancestor

Tree of Life



Principles of evolution

At the molecular level evolution is a process of mutation with selection

- * Reproduction
- * Variation
- * Competition/selective pressure

Mutations : changes in base sequence of DNA

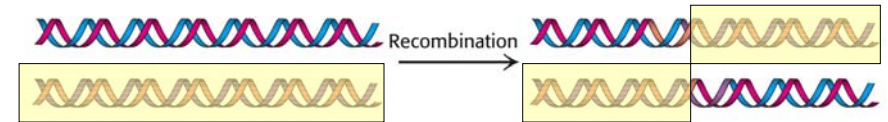
- 1) single nucleotide change (point mutation)
 - transition** (purine to purine or pyrimidine to pyrimidine
C->T , T->C, A->G, G->A)
 - transversion** (purine to pyrimidine or py to pu
A->T, T->A, C->G, G->C etc)

- 2) insertion / deletion of one or several nucleotides

Such mutations are the result of

- * **Replication errors**
- * **Chemicals & irradiation**

Mutations : Homologous recombination cause large rearrangements in the genome



New gene families arise by gene duplication and divergence

Molecular phylogeny

Phylogeny

Inference of evolutionary relationships

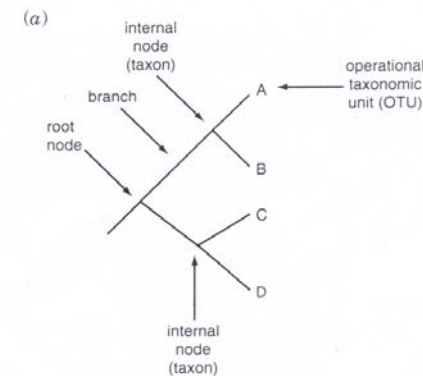
Molecular phylogeny

uses sequence information (as opposed to other characteristics frequently used in the past such as morphological features)

Goals

- * Deduce trees to show how species/populations/individuals/molecular sequences are related

Nomenclature of trees



nodes
external (OTUs)
internal
root

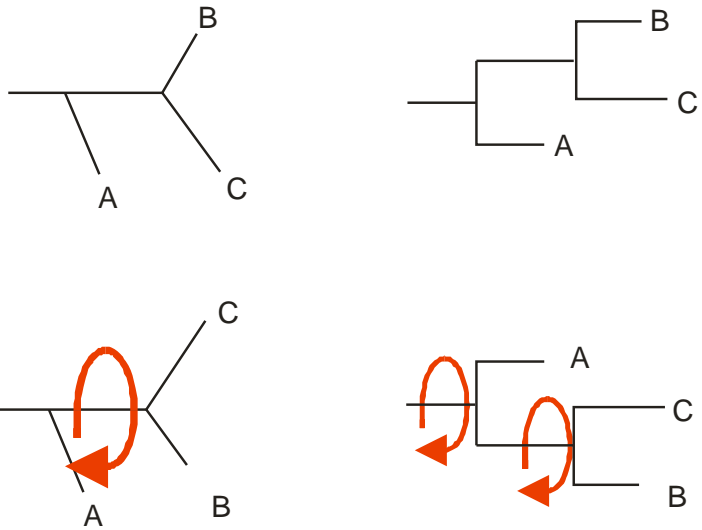
branch
connects 2 nodes

OTUs are existing (observable) sequences / species / populations / individuals

an internal node is an inferred ancestor (not observed)

(More ancient) → (More recent)

Different ways of showing the same tree



Nomenclature of trees

Rooted tree

Root - Common ancestor of all sequences in the tree

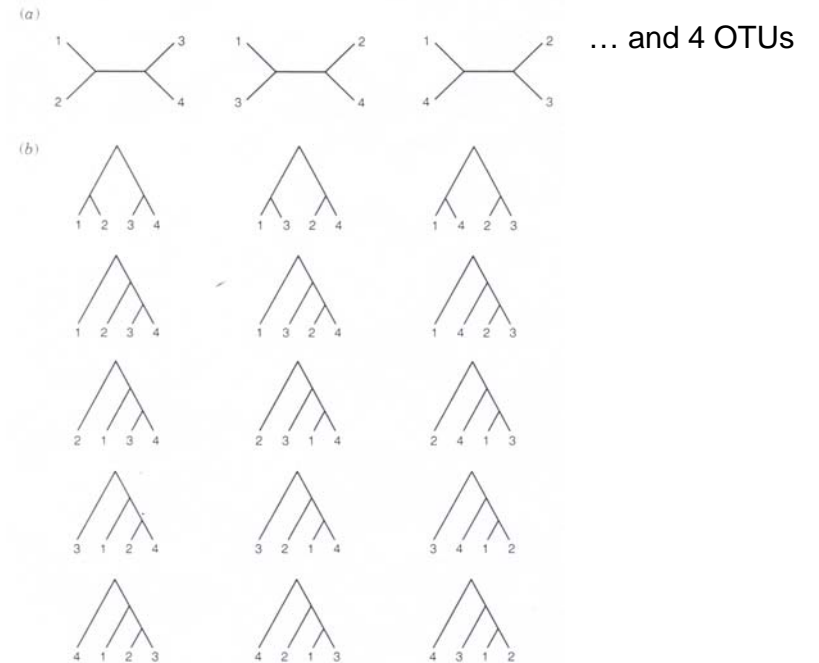
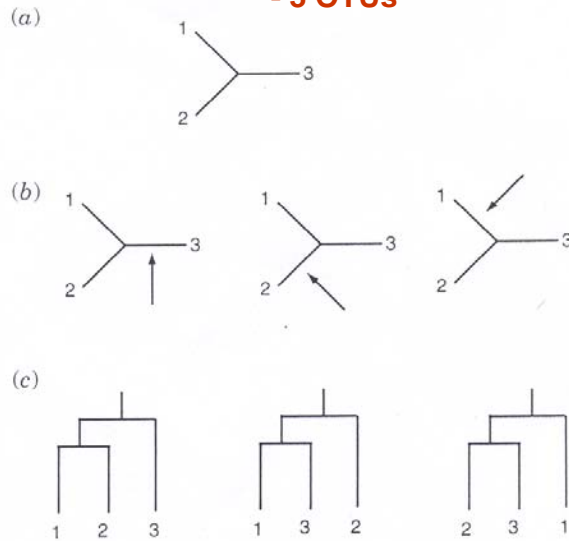
Unique path from the root to each of the other nodes
Direction of each path corresponds to evolutionary time

Unrooted tree

No root

No complete definition of evolutionary path
Direction of time is not determined

Comparing the numbers of rooted and unrooted trees - 3 OTUs



Goals of molecular phylogeny

Deduce the correct trees

- * *Topology*
- * *Branch lengths*

Phylogenetic analysis

- *Selection of sequences for analysis*

DNA?
RNA?
protein?

- Multiple sequence alignment
- Construction of tree

Slowly changing sequences

- * Protein
- * ribosomal RNA, for instance 16S rRNA

Useful for comparing widely divergent species.
Ribosomal RNA database (rdp.cme.msu.edu)
> 50,000 aligned sequences

More rapidly changing sequences

- * DNA
- * Mitochondrial DNA

Useful for comparing more closely related species or populations within a species.

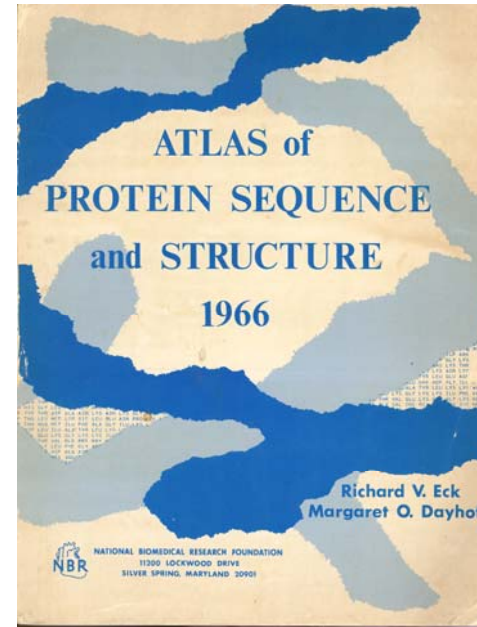
DNA sequences evolve more rapidly than protein sequences. This is to a large extent a result of the genetic code degeneracy.

Seq 1	GGC	AAG	CGA	AGT
Seq 2	GGA	AGA	CGT	TCA
Seq 1	G	R	R	S
Seq 2	G	K	R	S

Approximate rates of substitution
(number of substitutions per site & billion years)

- rRNA ~ 0.1
- protein 0.01 - 10
- Hypervariable regions in mitochondria 10
- HIV (RNA virus) >1000

Early days of molecular phylogeny



Margaret Dayhoff

TABLE 2
CYTOCHROME C

NUMBER OF AMINO ACID DIFFERENCES BETWEEN SEQUENCES.

	Human	Monkey	Pig, Bovine, Sheep	Horse	Dog	Rabbit	Kangaroo	Chicken, Turkey	Duck	Rattlesnake	Turtle	Tuna Fish	Moth	Neurospora	Candida	Yeast
Human	C	1	10	12	11	4	10	13	11	14	15	21	31	48	51	45
Monkey		0	9	11	10	8	11	12	10	15	14	21	30	47	51	45
Pig, Bovine, Sheep			0	3	3	4	6	9	8	20	9	17	27	46	50	45
Horse				0	6	6	7	11	10	22	11	19	29	46	51	46
Dog					0	5	7	10	8	21	9	18	25	46	49	45
Rabbit						0	6	6	6	18	9	17	26	46	50	45
Kangaroo							0	12	10	21	11	18	28	49	51	46
Chicken, Turkey								0	3	19	8	17	28	47	51	46
Duck									0	17	7	17	27	46	51	46
Rattlesnake										0	22	26	31	47	51	47
Turtle											0	18	28	49	53	49
Tuna Fish												0	32	48	48	47
Moth													0	47	47	41
Neurospora														0	42	41
Candida															0	27
Yeast																0

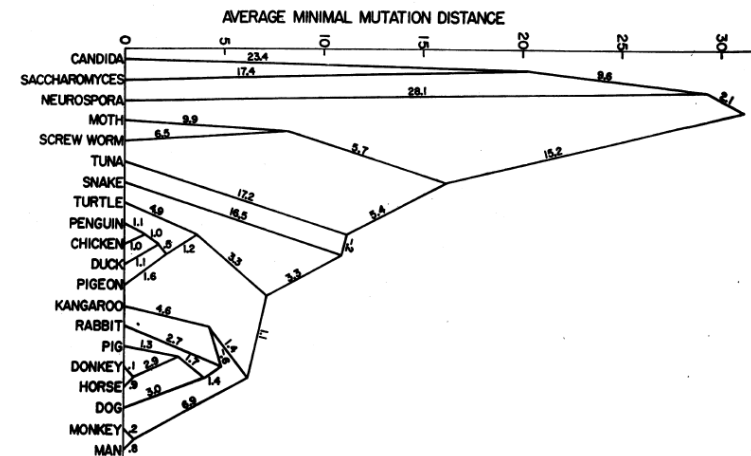


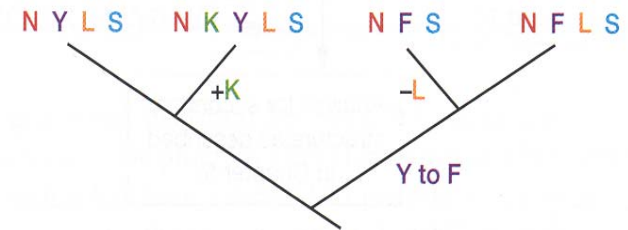
Figure 4. Sequences of Cytochrome c from 19 species. The amino acids common to all sequences and the allele groups at each position are shown. The sequences of the inferred common ancestors at each divergence point in the diagram are displayed below. Sites for which no single amino acid was most likely are left blank. The topology of the phylogenetic tree has been inferred from the sequences as explained in the text. The number of amino acid changes inferred between observed sequences and inferred ancestors are shown on the tree. The point of earliest time cannot be inferred directly from the sequences. We have placed it by assuming that on the average, species change at the same rate.

Phylogenetic analysis

- Selection of sequences for analysis
- **Multiple sequence alignment**
Alignment may be produced using methods such as CLUSTALW
- Construction of tree

Close relationship between multiple alignment and phylogenetic analysis

seqA	N	•	F	L	S
seqB	N	•	F	-	S
seqC	N	K	Y	L	S
seqD	N	•	Y	L	S



Inspecting the multiple alignment

Alignment should contain only homologous sequences.
Overall identity should ideally be significant ensuring that the alignment is correct.

```

GGGCGGCCGAGGCATTTATCGGGGGTTGCAAAT
GGGCGGTGAGGCATTTATCGGGGGTTGCAAAT
GGGCGGCCGAGGCATAAATCGGGGAGTTGCAAAT
GGGCGGCCGAGGCATTTATCGGGGGTTGCGAAAT
GGGCGGCCGAGGCATTTATCGGGGGCTGCAAAT
    
```

Phylogenetic analysis

- Selection of sequences for analysis
- Multiple sequence alignment
- **Construction of tree**
 - * **Distance methods**
 - * **Character methods**
 - Maximum parsimony**
 - Maximum likelihood**

Distance methods

Simplest distance measure:

Consider every pair of sequences in the multiple alignment and count the number of differences.

Degree of divergence = Hamming distance (D)

$$D = n/N$$

where N = alignment length

n = number of sites with differences

Example:

```
AGGCTTTTCA
AGCCTTCTCA
```

$$D = 2/10 = 0.2$$

Generating a distance matrix

```
>A
GGACCACTACGAGCGCCTACGACGTA
>B
GGACCCTACGAGCCCTACGACGTA
>C
GGACCGCTGCGAGCTTCTACGACGTA
>D
GGACCTCTCCGGGCAGCTAGGACGTA
```

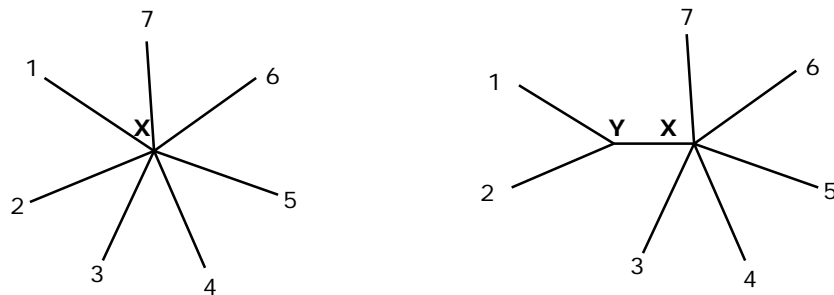


	A	B	C	D
A	0	2	4	6
B	2	0	4	6
C	4	4	0	6
D	6	6	6	0

OR

	B	C	D	
A	-	2	4	6
B	-	-	4	6
C	-	-	-	6

Distance methods - Neighbor joining (1987)



Uses **star decomposition** method

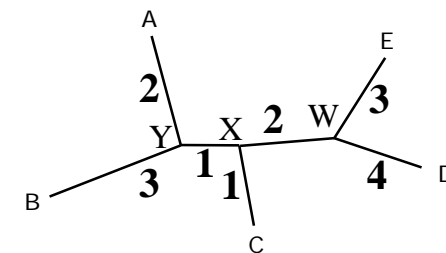
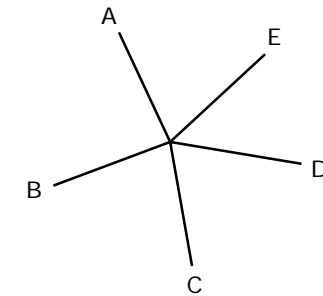
Neighbors: pair of nodes separated by one single node

Minimal evolution: minimizing total branch length.

Generates **unrooted tree**

Advantage: computationally **fast**

	B	C	D	E
A	5	4	9	8
B		5	10	9
C			7	6
D				7



Character-based methods

- * ***Maximum parsimony***
- * Maximum likelihood
- * Bayesian statistics

Maximum parsimony

parsimony - principle in science where the simplest answer is the preferred.

In phylogeny: The preferred phylogenetic tree is the one that requires the fewest evolutionary steps.

Maximum parsimony

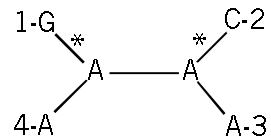
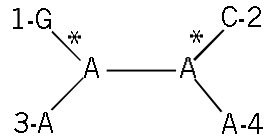
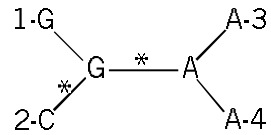
1. Identify all *informative sites* in the multiple alignment
2. For each possible tree, calculate the number of changes at each informative site.
3. Sum the number of changes for each possible tree.
4. Tree with the smallest number of changes is selected as the most likely tree.

Maximum parsimony

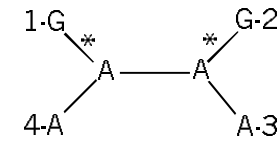
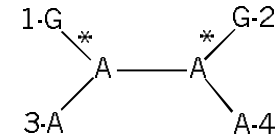
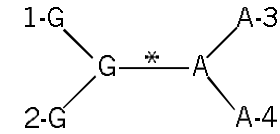
Identify informative sites

	Site								
	1	2	3	4	5	6	7	8	9
Sequence	-----								
1	A	A	G	A	G	T	G	C	A
2	A	G	C	C	G	T	G	C	G
3	A	G	A	T	A	T	C	C	A
4	A	G	A	G	A	T	C	C	G
					*	*	*		

Site 3 - non - informative



Site 5 - informative



Summing changes:

	site 5	site 7	site 9	Sum
Tree I	1	1	2	4
Tree II	2	2	1	5
Tree III	2	2	2	6

⇒Tree I most likely.

(In this case we are not considering branch lengths, only topology of tree is predicted)

Character-based methods

* Maximum parsimony

* **Maximum likelihood**

What is the probability that a

particular tree generated the

observed data under a specific model?

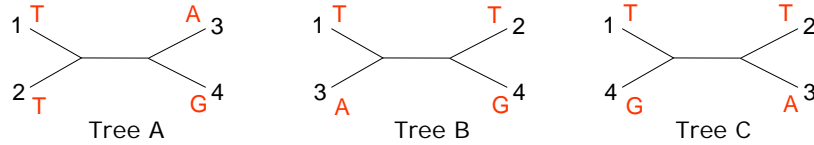
* Bayesian statistics

Maximum likelihood

Consider the following multiple alignment

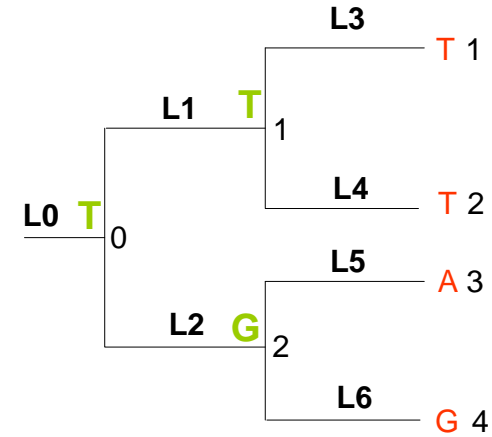
1	A	C	T	T
2	A	C	T	T
3	A	T	A	T
4	A	T	G	C

First, consider position 3 above (TTAG)
There are three possible unrooted trees for the OTUs 1-4:



Maximum likelihood

A rooted version of Tree A:



$$L(\text{Tree1}) = L_0 * L_1 * L_2 * L_3 * L_4 * L_5 * L_6$$

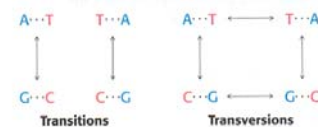
Maximum likelihood

Example of probability matrix for nucleotide substitutions

	A	C	T	G
A	~ 1	k	k	2k
C	k	~1	2k	k
T	k	2k	~1	k
G	2k	k	k	~1

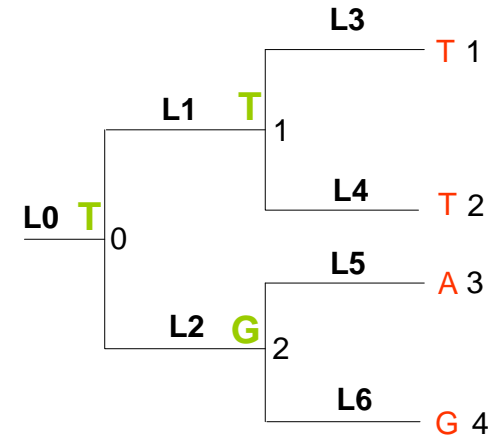
where we here set k = 1E-6.

Transitions are more likely than transversions



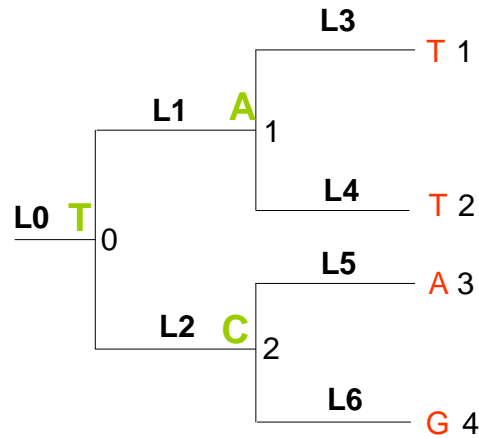
Maximum likelihood

A rooted version of Tree A:



$$L(\text{Tree1}) = L_0 * L_1 * L_2 * L_3 * L_4 * L_5 * L_6 = 0.25 * 1 * 1E-6 * 1 * 1 * 2E-6 * 1 = 5E-13$$

A rooted version of Tree A:



$$L(\text{Tree2}) = L_0 * L_1 * L_2 * L_3 * L_4 * L_5 * L_6 = 0.25 * 1E-6 * 2E-6 * 1E-6 * 1E-6 * 1E-6 * 1E-6 = 5E-37$$

$$L(\text{Tree}) = L(\text{Tree1}) + L(\text{Tree2}) + L(\text{Tree3}) \dots L(\text{Tree64})$$

Then we examine all positions of the alignment in the same way. Probability of tree is the product of probabilities for the different positions.

$$L = L(\text{Tree pos1}) * L(\text{Tree pos2}) * L(\text{Tree pos3}) * L(\text{Tree pos4})$$

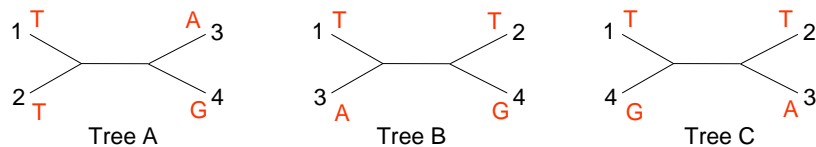
$$\ln L = \ln L(\text{Tree pos1}) + \ln L(\text{Tree pos2}) + \ln L(\text{Tree pos3}) + \ln L(\text{Tree pos4})$$

Finally, the Trees B and C are handled the same way. Tree with highest probability is preferred.

Consider the following multiple alignment

1	A	C	T	T
2	A	C	T	T
3	A	T	A	T
4	A	T	G	C

First, consider position 3 above (TTAG)
There are three possible unrooted trees for the OTUs 1-4:



Character-based methods

- * Maximum parsimony
- * *Maximum likelihood*
What is the probability of the data given the model?
- * **Bayesian statistics**
What is the probability of the model given the data?

Software for phylogenetic analysis

PHYLIP (Phylogenetic Inference Package)

Joe Felsenstein

<http://evolution.genetics.washington.edu/phylip.html>

DNADIST = create a distance matrix

NEIGHBOR = neighbor joining / UPGMA

DNAPARS = maximum parsimony

DNAML = maximum likelihood

PAUP (Phylogenetic Analysis Using Parsimony)

MrBayes

Applications of phylogenetic methods

- Reconstruction of evolutionary history / Resolving taxonomy issues
- Estimating divergence times
- Identification of gene duplication events
- Reconstructing ancient proteins
- Identification of horizontal gene transfer

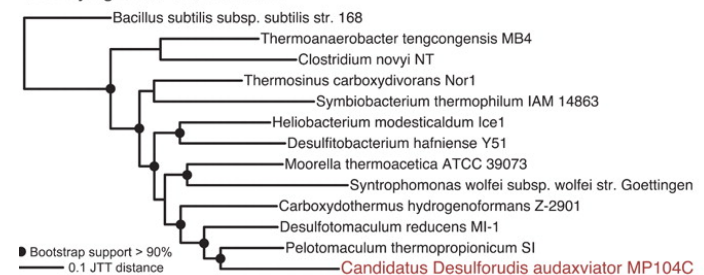


Candidatus Desulforudis audaxviator

Life is Lonely at the Center of the Earth

Environmental genomics reveals a single-species ecosystem deep within earth. Chivian et al. Science 2008.

A Phylogenetic classification

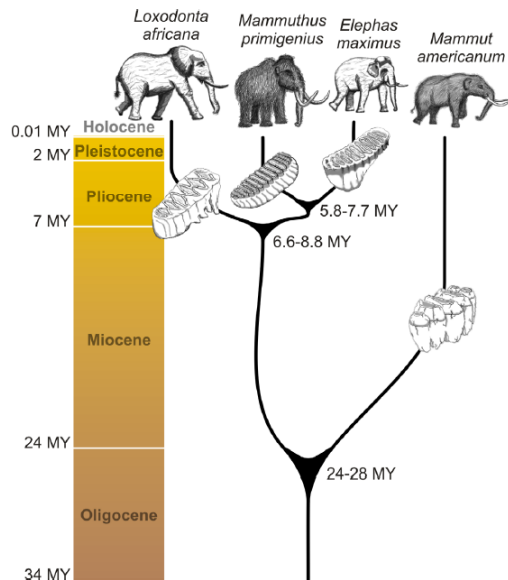
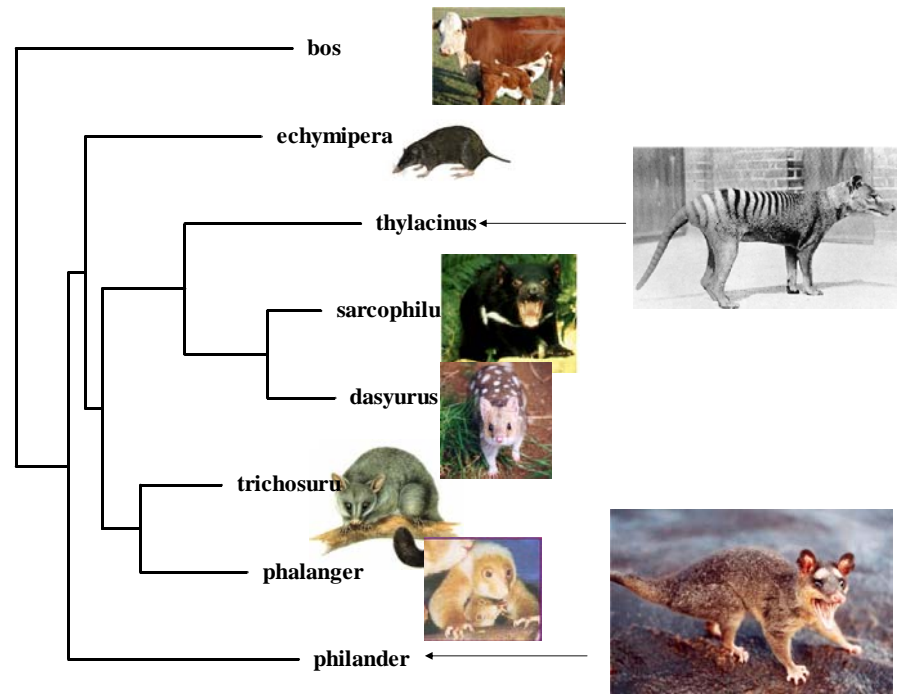
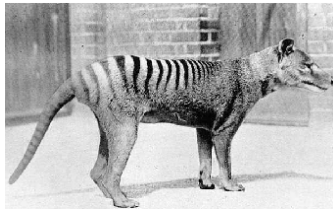


Molecular phylogeny to examine extinct species - I

Is the south american opossum



evolutionary related to the Australian 'marsupial wolf' ?



Molecular phylogeny to examine extinct species - II

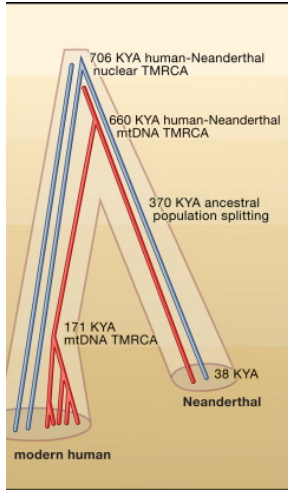
"Sequencing the nuclear genome of the extinct woolly mammoth". Miller et al. Nature Nov. 2008

Molecular phylogeny to examine extinct species - III

Phylogeny of Neanderthal individuals



Svante Pääbo



A Complete Neanderthal Mitochondrial Genome Sequence Determined by High-Throughput Sequencing

Richard E. Green,^{1,*} Anna-Sapfo Malaspinas,² Johannes Krause,¹ Adrian W. Briggs,¹ Philip L.F. Johnson,³ Caroline Uhler,⁴ Matthias Meyer,⁵ Jeffrey M. Good,¹ Tomislav Maricic,¹ Udo Stenzel,¹ Kay Prüfer,¹ Michael Siebauer,¹ Hernán A. Burbano,⁶ Michael Ronan,⁶ Jonathan M. Rothberg,⁶ Michael Egholm,⁶ Pawo Rudan,⁷ Dejana Brajković,⁸ Željko Kucan,⁷ Ivan Gusić,⁷ Mårten Wikström,⁹ Liisa Laakkonen,¹⁰ Janet Kelso,³ Montgomery Slatkin,² and Svante Pääbo¹

¹Max-Planck Institute for Evolutionary Anthropology, D-04103 Leipzig, Germany



Mitochondrial genome variation and the origin of modern humans

Max Ingman¹, Henrik Kaessmann¹, Svante Pääbo² & Ulf Gyllenstein³

¹ Department of Genetics and Pathology, Section of Medical Genetics, Rudbeck Laboratory, University of Uppsala, S-751 85 Uppsala, Sweden
² Max Planck Institute for Evolutionary Anthropology, Inselstrasse 22, D-04103 Leipzig, Germany



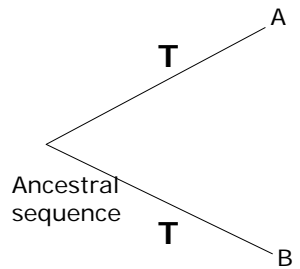
"Out of Africa" hypothesis

Modern humans evolved from archaic forms only in Africa. Archaic humans living in Asia and Europe (like the Neanderthal) were replaced by modern humans migrating out of Africa.

Applications of phylogenetic methods

- Reconstruction of evolutionary history / Resolving taxonomy issues
- Estimating divergence times
- Identification of gene duplication events
- Reconstructing ancient proteins
- Identification of horizontal gene transfer

A molecular clock may be used in the estimation of *time of divergence* between two species



$$r = K / 2T \text{ or } T = K/2r$$

where

r = rate of nucleotide substitution (estimated from fossil records)

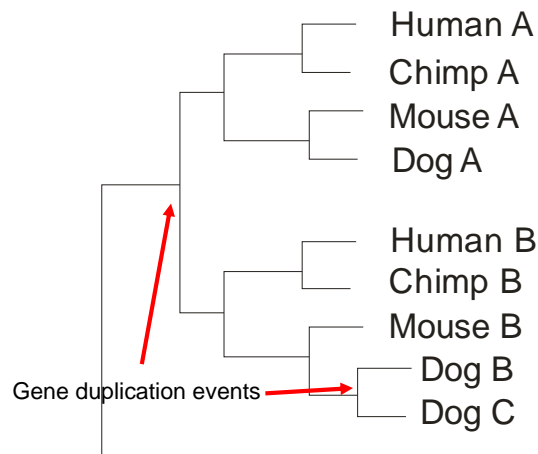
K = number of substitutions K between the two homologous sequences

T = *Time of divergence between the two species*

Applications of phylogenetic methods

- Reconstruction of evolutionary history / Resolving taxonomy issues
- Estimating divergence times
- Identification of gene duplication events
- Reconstructing ancient proteins
- Identification of horizontal gene transfer

Analysis of gene and protein evolution



Applications of phylogenetic methods

- Reconstruction of evolutionary history / Resolving taxonomy issues
- Estimating divergence times
- Identification of gene duplication events
- Reconstructing ancient proteins
- Identification of horizontal gene transfer

Resurrecting ancestral proteins responsible for ethanol digestion

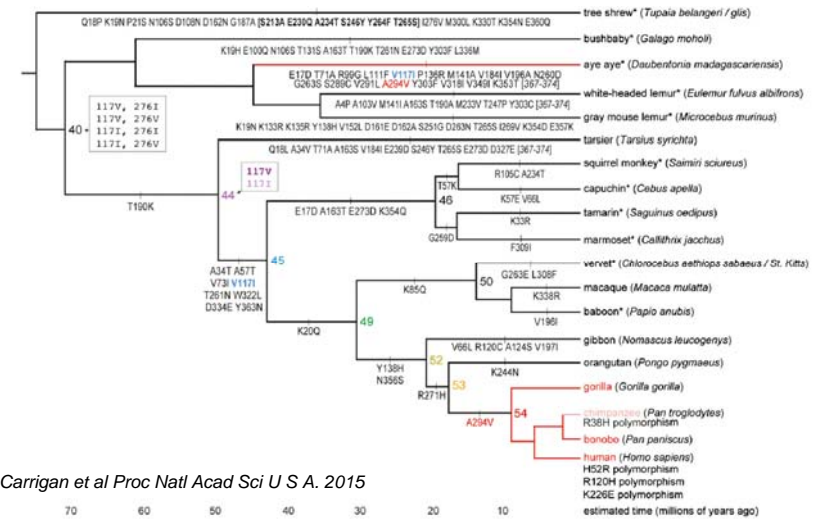
alcohol dehydrogenase

Ethanol => acetaldehyde

Modern humans can metabolize ethanol.
 Many monkeys such as gibbon and orangutang cannot.
 Was the ability to metabolize ethanol developed when humans started intentional fermentation of food?

Resurrecting ancestral proteins responsible for ethanol digestion

Ancestral sequences are inferred from present sequences and proteins are then produced in the lab to examine their properties.

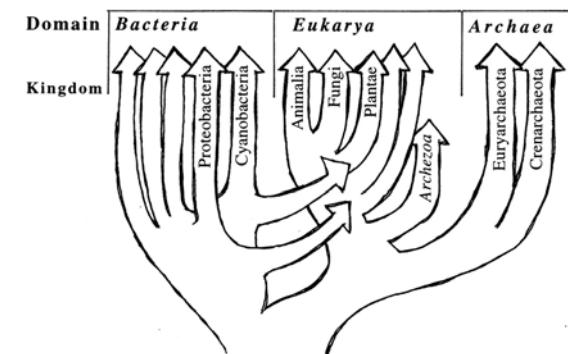


EVOLUTION

Applications of phylogenetic methods

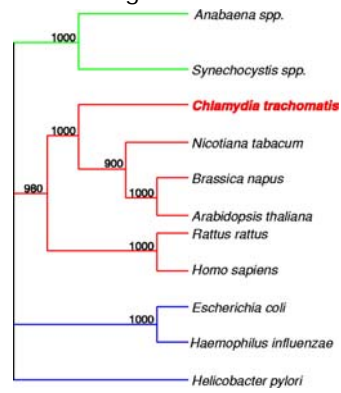
- Reconstruction of evolutionary history / Resolving taxonomy issues
- Estimating divergence times
- Identification of gene duplication events
- Reconstructing ancient proteins
- Identification of horizontal gene transfer

Horizontal gene transfer - transfer of genes between species



Phylogenetic analysis may be used to identify horizontal gene transfer.

Some Chlamydia (Eubacteria kingdom) proteins group with plant homologs



Phylogeny of chlamydial enoyl-acyl carrier protein reductase as an example of horizontal transfer.

From: Stephens RS, et al Genome sequence of an obligate intracellular pathogen of humans: Chlamydia trachomatis. Science. 1998 Oct 23;282(5389):754-9.

Mitochondria and chloroplasts resulted from bacteria that lived in symbiosis with a primitive eukaryote. Eventually many genes were lost or transferred to the nuclear genome. Therefore, some nuclear encoded proteins resemble bacterial proteins.

