# **Concurrent Programming**

K. V. S. Prasad

Dept of Computer Science

Chalmers University

August –October 2016

## Teaching Team

- K. V. S. Prasad
- Raul Pardo Jimenez
- Ann Lillieström
- Nicholas Smallbone
- John Camilleri (guest for two lectures on Erlang)

#### Website

- http://www.cse.chalmers.se/edu/course/TDA383
- Should be reachable from student portal
  - Search on "concurrent"
  - Go to their course plan
  - From there to our home page

#### Contact

- Use Ping-Pong (go to TDA383/DIT390)
- From you to us: mail discussion forum
  - Or via your course rep (next slide)
- From us to you
  - Via Ping-Pong if one person or small group
  - News section of Course web page otherwise

## Course representatives

- Randomly chosen by admin (email addresses on website)
  - Shamsi Abdullayev MPALG
  - Breunis Blaauwendraad Utbyte
  - Herman Hörnstein TKDAT
  - Olle Månsson TKTFY
  - Tove Svensson TKDAT
- Usually we get two more from GU
- Plan to meet after Monday lecture, weeks 2, 4, 6

#### **Practicalities**

- An average of two lectures per week: for schedule, see
  - http://www.cse.chalmers.se/edu/course/TDA383/time\_inf.html
- Rough guidelines (marks out of 100):
  - Pass = >40 points, Grade 4 = >60p, Grade 5 = >80p
  - To pass, must pass all labs and exam separately
- Written Exam 68 points (4 hours, closed book)
- Four programming labs 32 points
  - To be done in pairs
  - See schedule for submission deadlines and marks
  - Supervision available at announced times

#### **Textbook**

- M. Ben-Ari, "Principles of Concurrent and Distributed Programming", 2nd ed
   Addison-Wesley 2006
- Central to your study. Exam based entirely on Chaps 1 through 9 of book.
- See Ping-Pong for your classmates' solutions to problems from the book, and for past exams.

#### Other resources

- Old slides (both mine and Alejandro Russo's)
- Ben-Ari's slides with reference to the text
- Language resources Java, Erlang, Promela
- Gregory R. Andrews
  - Foundations of Multithreaded, Parallel, and Distributed Programming
    - Older text Recommended reading
- Joe Armstrong
  - Programming in Erlang
    - Recommended reading

## **Programming Languages**

- For labs
  - Java (labs 1 and 2), Erlang (labs 3 and 4)
  - Erlang untyped functional language with asynchronous channels
  - Tutorials on Erlang week 3
    - GET STARTED NOW WITH ERLANG TUTORIALS
- For lectures and exam
  - Ben-Ari's pseudo code
    - Can use Java+Erlang in exam, BUT WITH CARE
  - Spin/Promela as teaching aid (ignore if you wish)
- All but Erlang supported by Ben-Ari's textbook

## Course always in transition!

- We now use Java and Erlang
  - Only as implementation languages in the labs
- Orally graded labs
  - Worked well last term
- Good text book
  - Sadly, still no Promela/Spin officially in course
  - This year, using them informally
- For discussion
  - pseudo-code as in book

# Plan for today

- Ideas from other sciences, music and cinema
- Correctness, semantics, dangers, debugging ...
- State diagrams
- Example: Unit Record Equipment
- Radical concurrency

#### Parallelism in nature

- Everywhere!
  - The world is a parallel place
    - Physics, chemistry, biology, economics, medicine, history, football, tennis, ....
      - 10 million agents to simulate spread of infection
      - Simulate patient at various levels
        - » Cannot predict what will happen, but can show what might
  - And in art
    - Music, cinema
- Programming may be the only field where only one thing happens at a time
  - Was never really true (interrupts, etc.)
    - But education still 30 years out of date

#### Music

- Parallel
  - Time holds everything together ("real time" in CS)
    - What is held together?
      - Threads (themes, motifs)
        - » Can be logical or physical (which instrument, which hand)
        - » <a href="https://www.youtube.com/watch?v=A6s49OKp6aE">https://www.youtube.com/watch?v=A6s49OKp6aE</a>
        - » https://www.youtube.com/watch?v=Qqe0GdUpJHs
    - Things that happen in time are called "events" in CS
    - The themes and motifs are called "processes"
  - Synchronisation is everywhere
  - Harmony and counterpoint are music's version of "coordination"

#### Cinema

- Concurrent (potentially parallel)
  - There is only one screen
    - So stories go on (or pause) off screen
  - There are cuts
    - within a scene (punctuation in a story)
    - and intercuts between scenes ("meanwhile", ...)
  - The priest's voice provides a time-stamp.
    - Without it, the other scenes could be "meanwhile", but not necessarily at the same instant
  - With the trains, synchronisation is visual or audible (phone)

## Death by concurrency

- The presence of death in those film clips was not incidental – it was intended
- Concurrent systems are often embedded (in cars, planes, medical equipment, train signals)
  - Get them wrong and you too can kill
    - Not just in your video games, but for real
- Train crash in NE India (see website)
- Therac radiation therapy machine (see website)

## Debugging doesn't work

- Concurrent systems are non-deterministic
  - Don't know who speaks first
  - Don't know who arrives first at a meeting
- So cannot re-run
  - So cannot set break points, backup and find bugs
- Then what do we do?
  - Use model checkers or proof checkers
  - They check spec versus implementation

#### Semantics

- What do you want the system to do?
- How do you know it does it?
- How do you even say these things?
  - Various kinds of logic
- Build the right system (Validate the spec)
- Build it right (verify that system meets spec)

#### Course material

- Shared memory from 1965 1975 (semaphores, critical sections, monitors)
  - Ada got these right 1980 and 1995
  - And Java got these wrong in the 1990's!
- Message passing from 1978 1995
  - Erlang is from the 1990's
- Blackboard style (Linda) 1980's
- Good, stable stuff. What's new?
  - Machine-aided proofs since the 1980's
  - Have become easy-to-do since 2000 or so

## To get started:

- What is computation?
  - States and transitions
  - Moore/Mealy/Turing machines
  - Discrete states, transitions depend on current state and input
- What is "ordinary" computation?
  - Sequential. Why? Historical accident?

## Example: the Frogs

- Slides 39 42 of Ben-Ari (2.35 onwards)
- Pages 37 39 in book

## History

- 1950's onwards
  - Read-compute-print records in parallel
  - Needs timing
- 1960's onward
  - slow i/o devices in parallel with fast and expensive
     CPU
  - Interrupts, synchronisation, shared memory
- Late 1960's: timesharing expensive CPU between users
- Modern laptop: background computation from which the foreground process steals time

# How to structure all this? Answers from the 60's

- Each I/O device can be a process
- What about the CPU?
  - Each device at least has a "virtual process" in the CPU
- Context switching
  - move next process data into CPU
  - When? On time signal or "interrupt"
  - How? CPU checks before each instruction
- What does each process need to know?
- What does the system need to know about each process?

# Operating Systems (60's thru 70's)

- Divided into kernel and other services
  - which run as processes
- The kernel provides
  - Handles the actual hardware
  - Implements abstractions
    - Processes, with priorities and communication
  - Schedules the processes (using time-slicing or other interrupts)
- A 90's terminology footnote
  - When a single OS process structures itself as several processes, these are called "threads"

## Example: Unit Record Equipment

- 1900's 1950's 1970's
  Look up Wikipedia, etc.
- Typical application: payroll
  - One card per employee input (200 cpm)
  - Process info (100 records per min, avg)
  - Print salary info or cheque (300 lpm)
  - loop

```
read card;
process info;
print
```

But this is sequential. CDR waits while processing+printing How to speed up?

- We said the CDR waits. Do cards wait?
  - Active passive distinction
    - Where does action come from?
      - Agents in nature. Why we see agents when there aren't any.
      - Animals vs plants+things
    - Are "objects" in CS active? No O-O in this course.
  - CDR, LPR and CPU act. How does the info move?
  - "Communication and Concurrency", Robin Milner.
    - Earlier version also from CTH library, but online.

- CDR puts contents in shared memory
  - How does CPU know contents have arrived?
    - By interrupt, or by timing
      - Interrupt = check between instructions
  - What does CDR do meanwhile?
    - whole card is read and transferred as one?
    - If column by column, re-visit questions.

- This is how we show parallel processes
  - But we need coordination/synchronisation/timing
  - CDR needs another c to read the next card into?
    - Is this an internal matter for CDR, and c is all we look at?
- CDR c CPU p LPR
  - So CPU can miss a card or re-read the same one.

- We try to work with signals (taps on shoulder)
  - Assume that reading a card and processing it take much longer than assigning to and from c, and sending and receiving signals

```
CPU
                                     LPR
CDR
loop
                                       loop
                     loop
  CR?
                       CF?
                                          I F?
                       LR?
                       p := f(c)
 c := card
                                         paper := p
                       LF!
  CF!
                                         LR!
                       CR!
```

- Assuming signals are quick, and access to c and p are unguarded (why the post office sends you a small note to say a big parcel has arrived).
- All waiting to start with deadlock. Kick start.

### Why not use time/speed throughout?

- Remember train crash (mix speed/messages)
  - use speed and time throughout to design
  - everyday planning is often like this
    - Particularly in older, simpler machines without sensors
    - For people, we also add explicit synchronisation
- For our programs, the input can come from the keyboard or broadband
  - And the broadband gets faster every few months
- So allow arbitrary speeds

# Obey the rules you make!

- 1 For almost all of this course, we assume single processor without real-time (so parallelism is only potential).
- 2 Real life example where it is dangerous to make time assumptions when the system is designed on explicit synchronisation the train
- 3 And at least know the rules! (Therac).

#### Goals of the course

- covers parallel programming too but it will not be the focus of this course
- Understanding of a range of programming language constructs for concurrent programming
- Ability to apply these in practice to synchronisation problems in concurrent programming
- Practical knowledge of the programming techniques of modern concurrent programming languages

# Theoretical component

- Introduction to the problems common to many computing disciplines:
  - Operating systems
  - Distributed systems
  - Real-time systems
- Appreciation of the problems of concurrent programming
  - Classic synchronisation problems

# The standard Concurrency model

- 1. What world are we living in, or choose to?
  - a. Synchronous or asynchronous?
  - b. Real-time?
  - c. Distributed?
- 2. We choose an abstraction that
  - a. Mimics enough of the real world to be useful
  - b. Has nice properties (can build useful and good programs)
  - c. Can be implemented correctly, preferably easily

#### Concurrent? Parallel?

- Examples:
  - Max
    - Using handshake, broadcast
  - Sort
    - Using broadcast
  - Eight queens
- Crossing a door, sharing a printer

# Examples (make your own notes)

- 1. Natural examples we use (why don't we program like this?)
  - 1. Largest of multiset by handshake
  - 2. Largest of multiset by broadcast
  - 3. Sorting children by height
- 2. Occurring in nature (wow!)
  - 1. Repressilator
- 3. Actual programmed systems (boring)
  - 1. Shared bank account

#### Some observations

- 1. Concurrency is simpler!
  - a. Don't need explicit ordering
  - b. The real world is not sequential
  - c. Trying to make it so is unnatural and hard
    - a. Try controlling a vehicle!
- 2. Concurrency is harder!
  - 1. many paths of computation (bank example)
  - 2. Cannot debug because non-deterministic so proofs needed
- 3. Time, concurrency, communication are issues

## Terminology

- A "process" is a sequential component that may interact or communicate with other processes.
- A (concurrent) "program" is built out of component processes
- The components can potentially run in parallel, or may be interleaved on a single processor. Multiple processors may allow actual parallelism.

# Interleaving

- Each process executes a sequence of atomic commands (usually called "statements", though I don't like that term).
- Each process has its own control pointer, see
   2.1 of Ben-Ari
- For 2.2, see what interleavings are impossible

## State diagrams

- In slides 2.4 and 2.5, note that the state describes variable values before the current command is executed.
- In 2.6, note that the "statement" part is a pair, one statement for each of the processes
- Not all thinkable states are reachable from the start state

#### Scenarios

- A scenario is a sequence of states
  - A path through the state diagram
  - See 2.7 for an example
  - Each row is a state
    - The statement to be executed is in bold

# Why arbitrary interleaving?

- Multitasking (2.8 is a picture of a context switch)
  - Context switches are quite expensive
  - Take place on time slice or I/O interrupt
  - Thousands of process instructions between switches
  - But where the cut falls depends on the run
- Runs of concurrent programs
  - Depend on exact timing of external events
  - Non-deterministic! Can't debug the usual way!
  - Does different things each time!

# Arbitrary interleaving (contd.)

- Multiprocessors (see 2.9)
  - If no contention between CPU's
    - True parallelism (looks like arbitrary interleaving)
  - Contention resolved arbitrarily
    - Again, arbitrary interleaving is the safest assumption

## The counting example

- See algorithm 2.9 on slide 2.24
  - What are the min and max possible values of n?
- How to say it in C-BACI, Ada and Java
  - 2.27 to 2.32

## But what is being interleaved?

- Unit of interleaving can be
  - Whole function calls?
  - High level statements?
  - Machine instructions?
- Larger units lead to easier proofs but make other processes wait unnecessarily
- We might want to change the units as we maintain the program
- Hence best to leave things unspecified

#### **Atomic statements**

- The thing that happens without interruption
  - Can be implemented as high priority
- Compare algorithms 2.3 and 2.4
  - Slides 2.12 to 2.17
  - 2.3 can guarantee n=2 at the end
  - 2.4 cannot
    - hardware folk say there is a "race condition"
- We must say what the atomic statements are
  - In the book, assignments and boolean conditions
  - How to implement these as atomic?

#### What are hardware atomic actions?

- Setting a register
- Testing a register
- Is that enough?
- Think about it (or cheat, and read Chap. 3)