# CRDT Sets: Theory & Practice

Russell Brown Basho Technologies

### What?

- Why we need CRDTs
- What's a CRDT, anyway?
- To a general CRDT set

### What?

- Riak Set Data Type
- Delta-Sets
- "Big"sets

This project is funded by the European Union, 7th Research Framework Programme, ICT call 10, grant agreement n°609551.



# Why CRDTs?

### Scale Up

\$\$\$Big Iron (still fails)



### Scale Out

Commodity Servers Distributed Systems Multi Datacenter



### **DISTRIBUTED DATABASE**

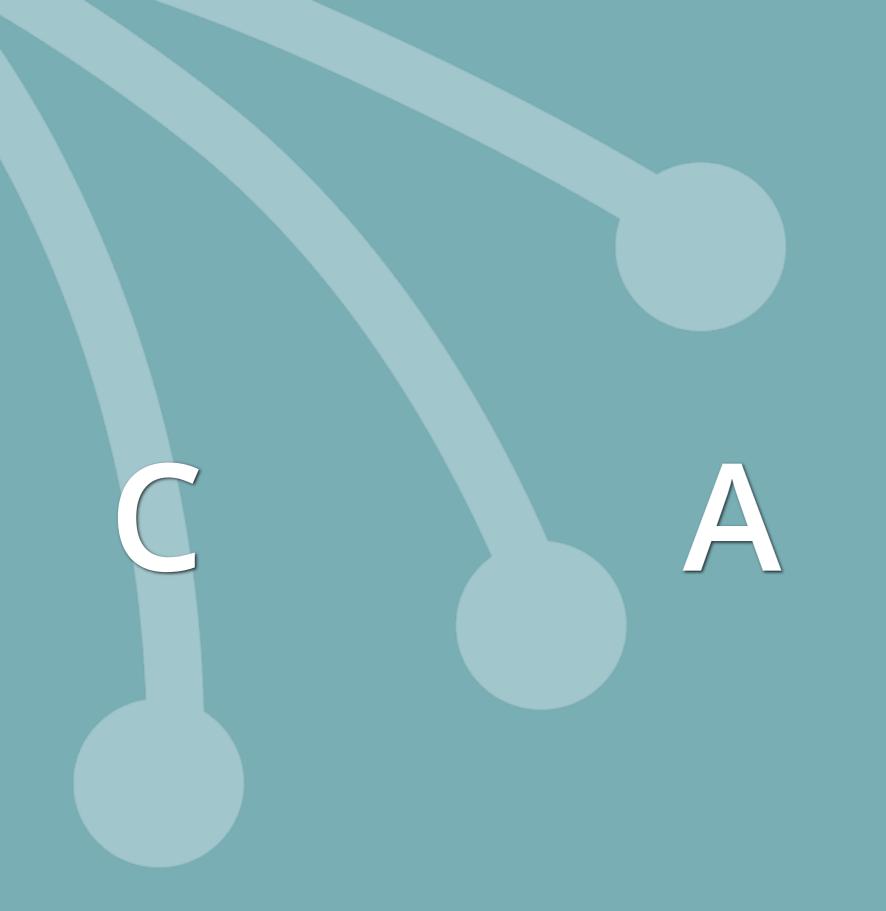


## Trade Off



#### http://aphyr.com/posts/288-the-network-is-reliable









### Consistency There must exist a total order on all operations such that each operation looks as if it were completed at a single instant. This is equivalent to requiring requests of the distributed shared memory to act as if they were executing on a single node, responding to operations one at a time.

### --Gilbert & Lynch

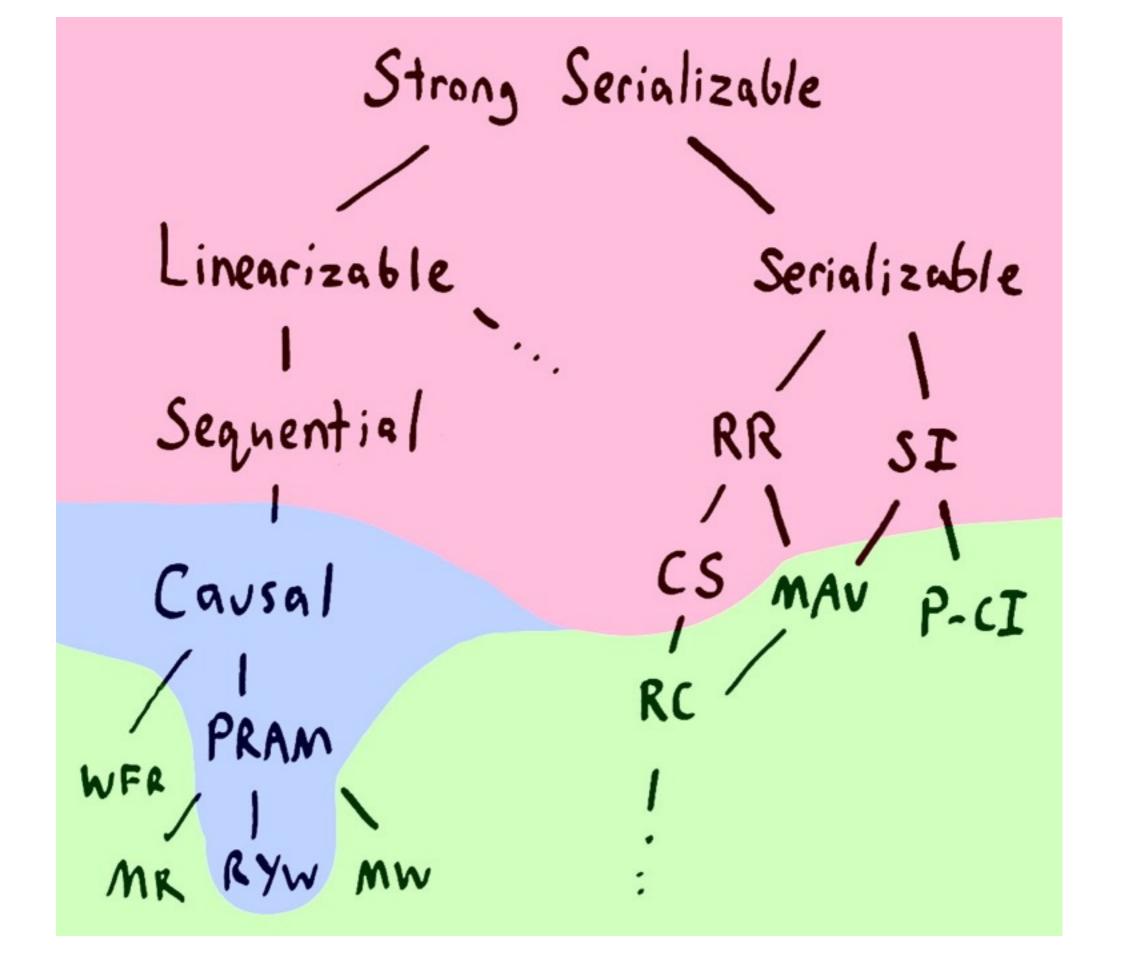
\*riak

## Consistency

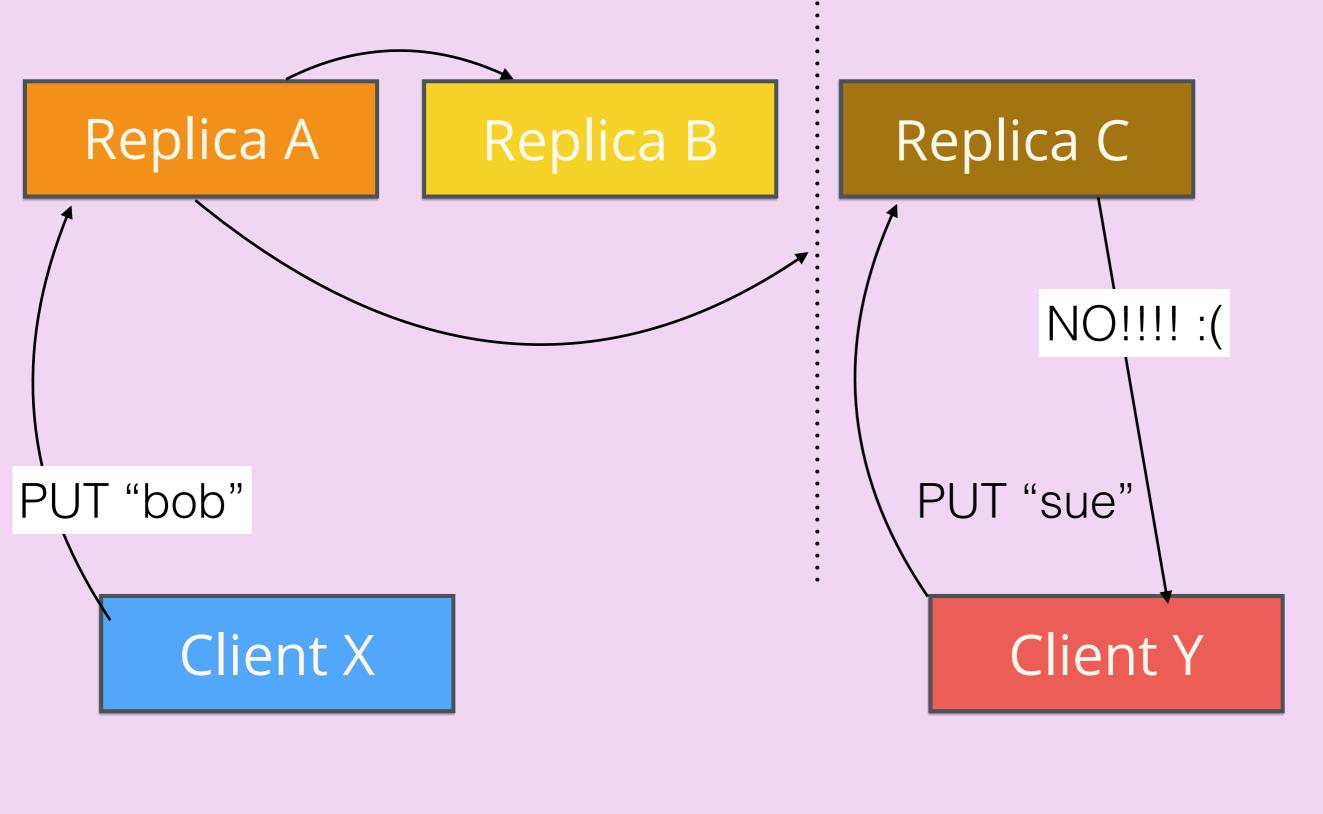
One important property of an atomic read/write shared memory is that any read operation that begins after a write operation completes must return that value, or the result of a later write **operation**. This is the consistency guarantee that generally provides the easiest model for users to understand, and is most convenient for those attempting to design a client application that uses the distributed service



--Gilbert & Lynch



https://aphyr.com/posts/313-strong-consistency-models



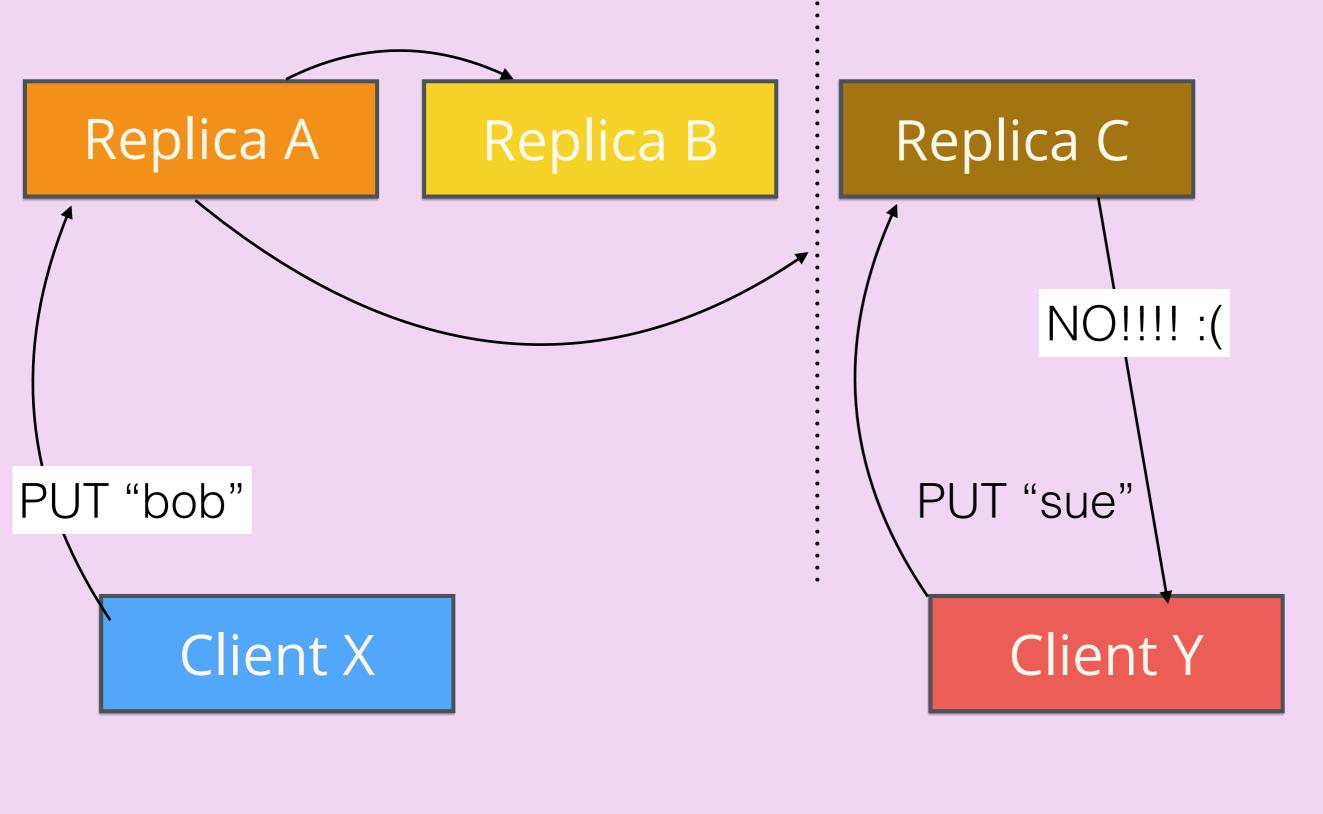
Consistent

## Availability

## Any non-failing node can respond to any request

#### --Gilbert & Lynch



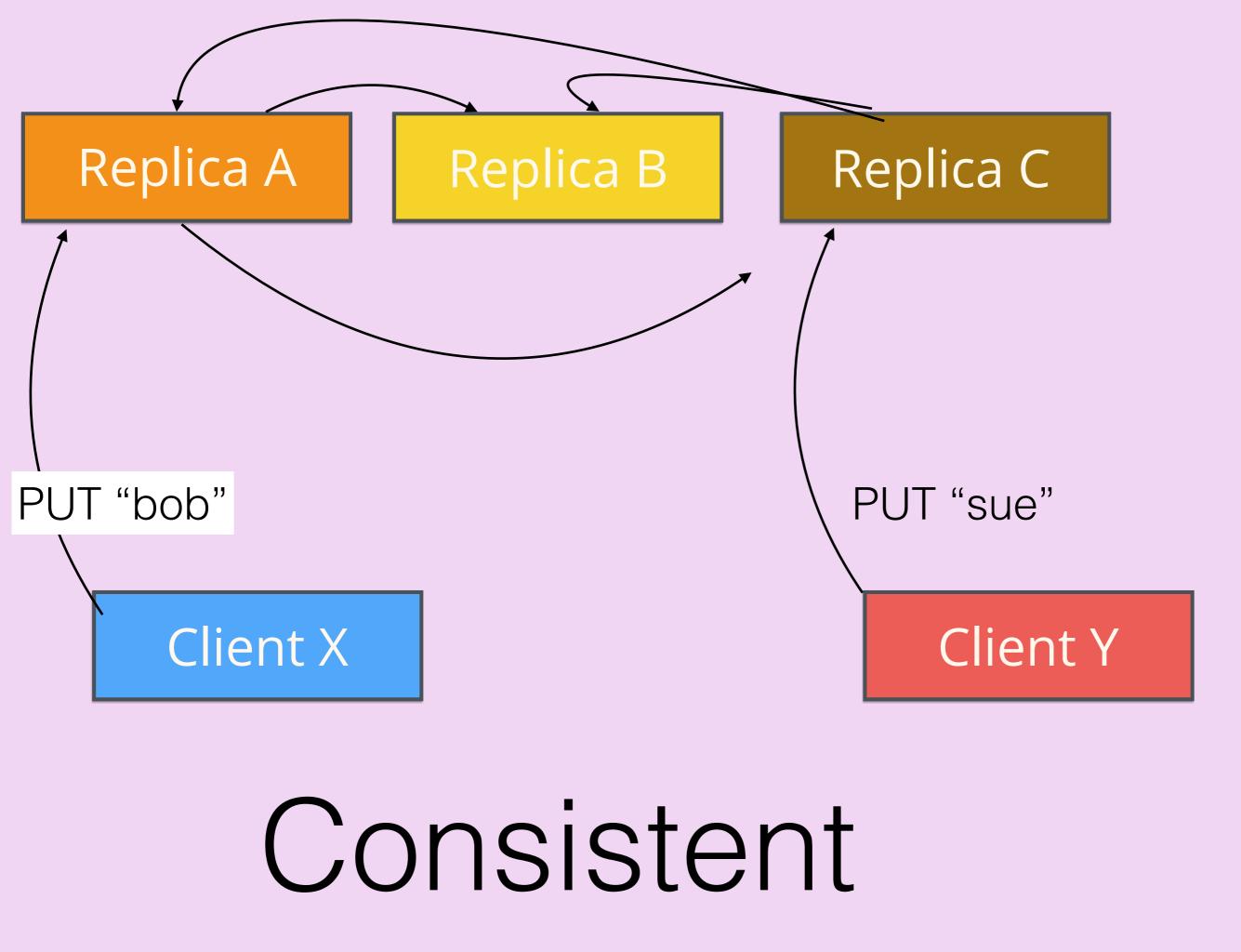


Consistent

# Consensus for a total order of events

### Requires a quorum

### Coordination waits



### Events put in a TOTAL ORDER

#### Client X put "BOB"

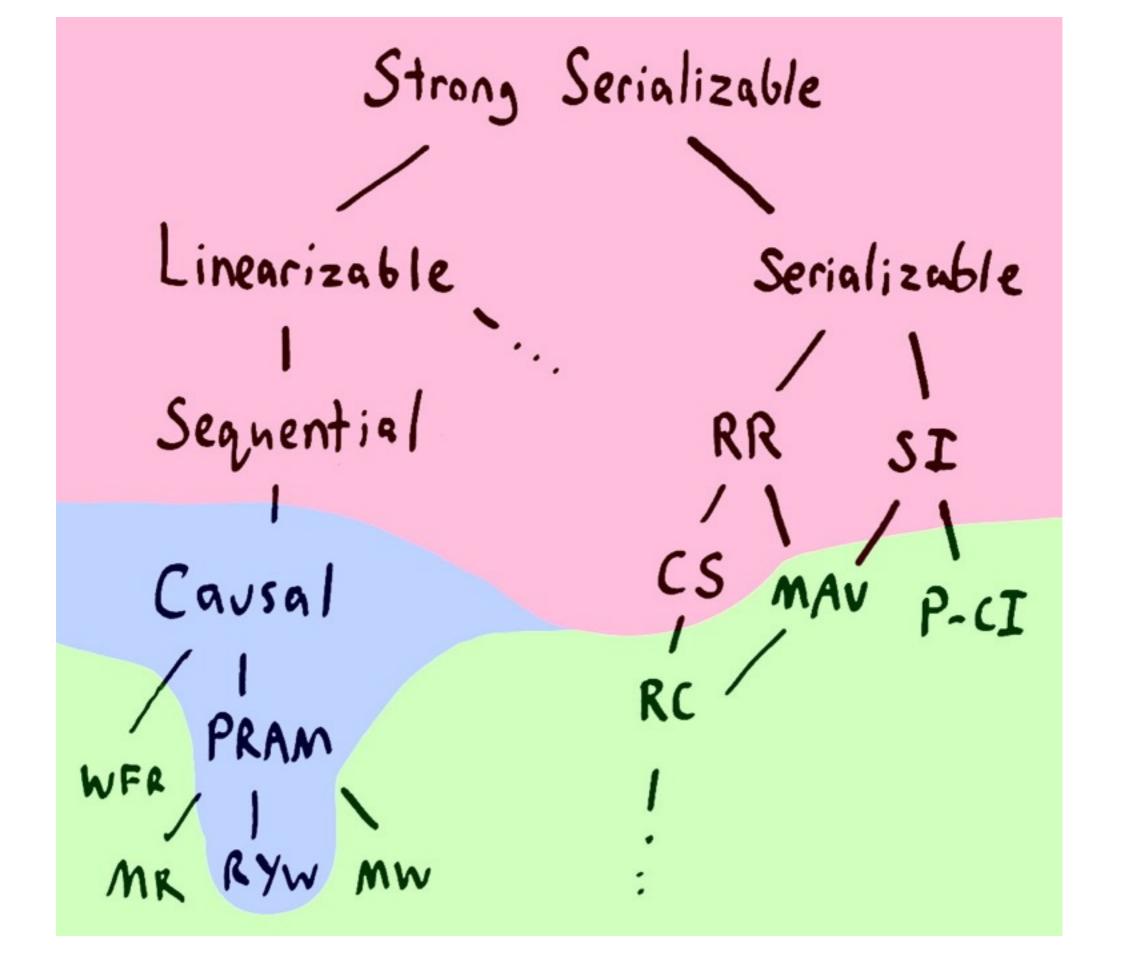
#### Client Y put "SUE"

### **Eventual Consistency**

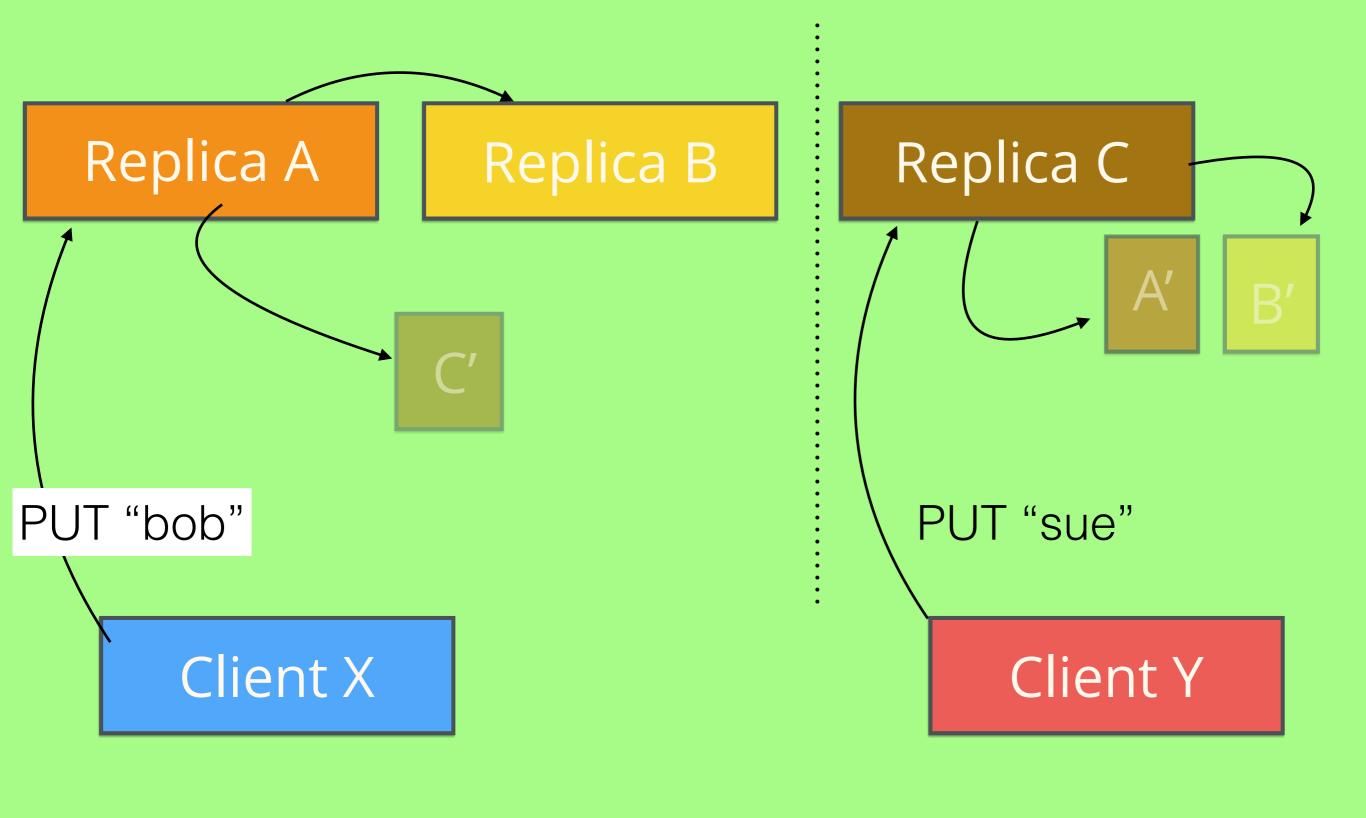
Eventual consistency is a consistency model used in distributed computing that informally guarantees that, if no new updates are made to a given data item, eventually all accesses to that item will return the last updated value.

--Wikipedia





https://aphyr.com/posts/313-strong-consistency-models

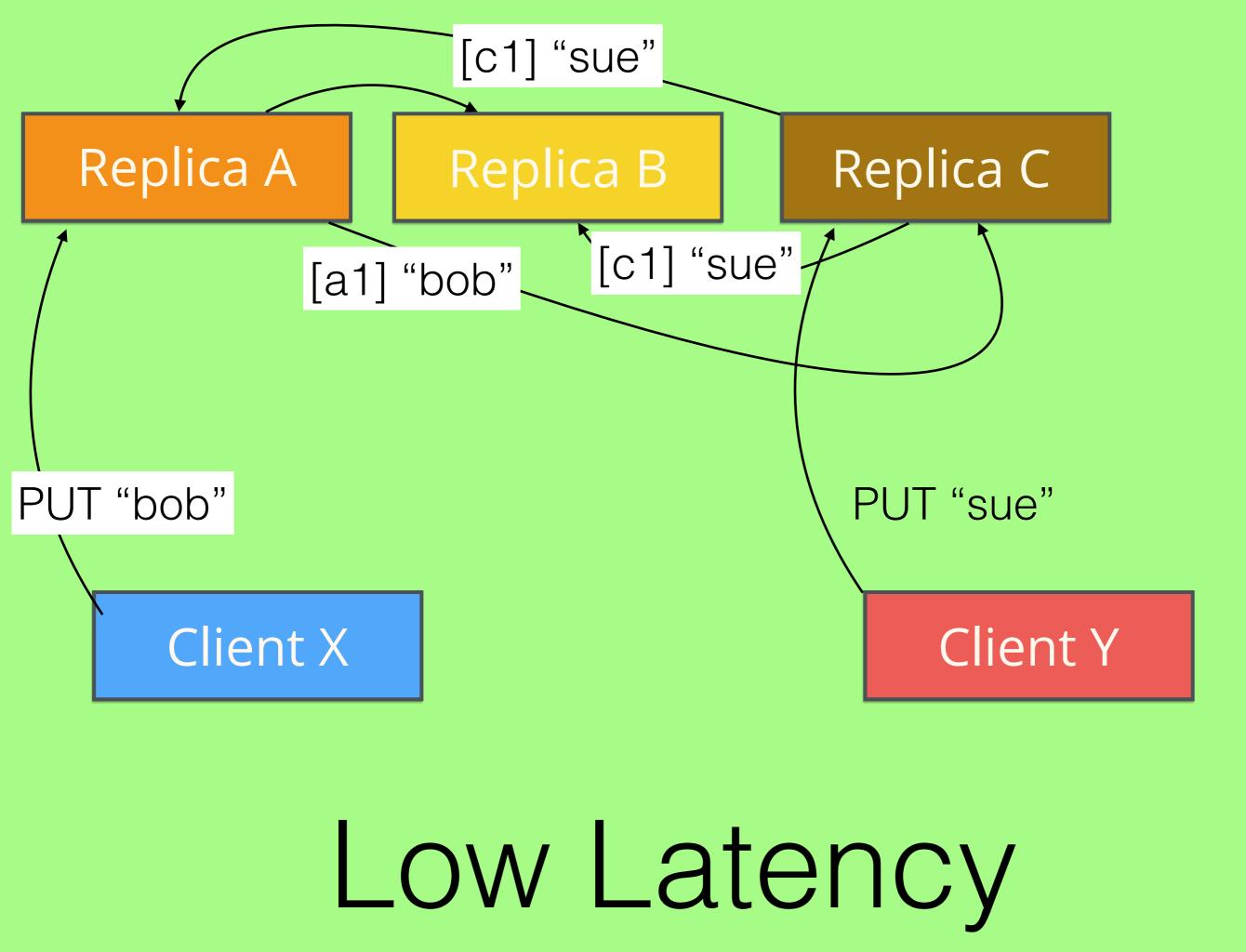


## Available

# Optimistic replication (and logical clocks)

### Reconcile concurrency on read

# No coordination for lower latency



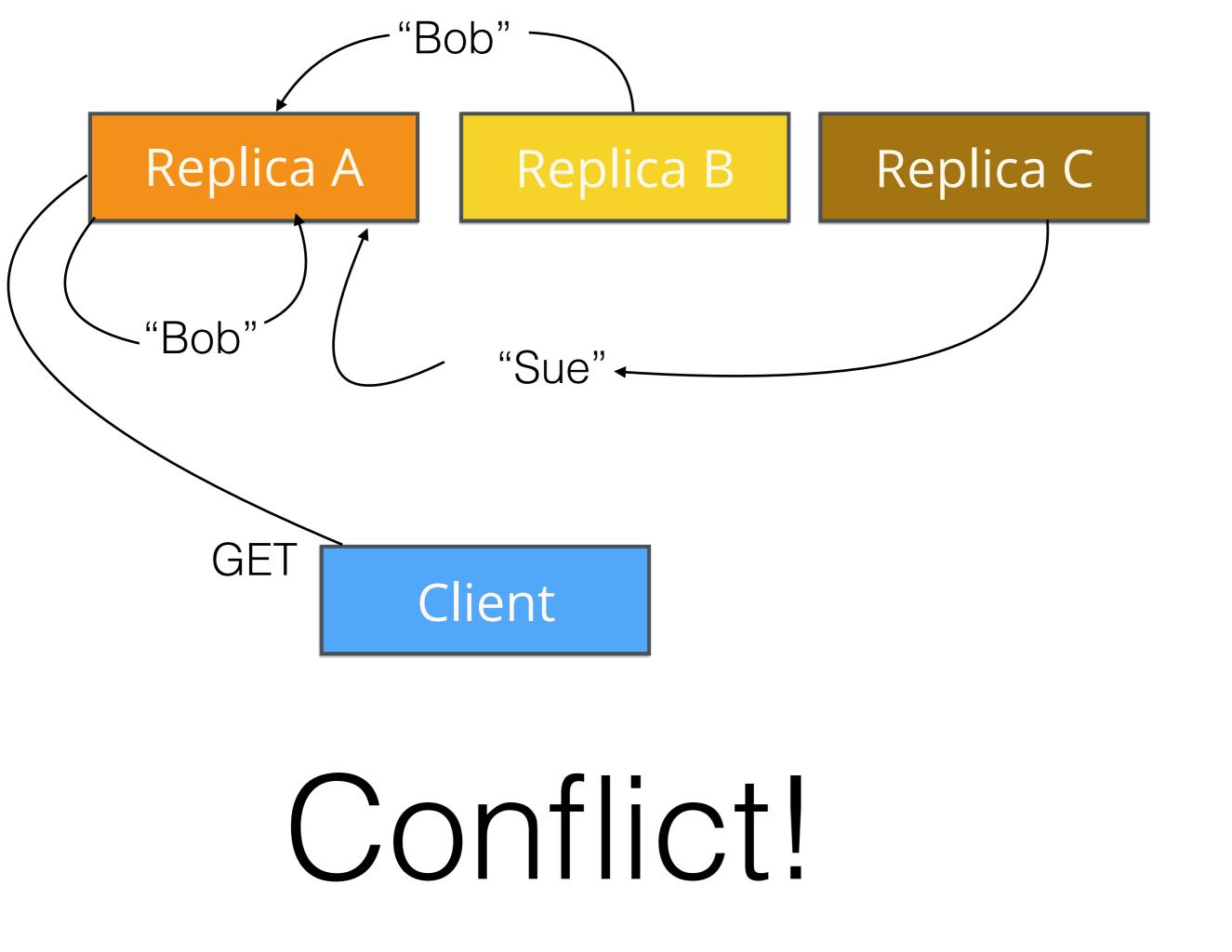
Problem?

# Consistency

This is the consistency guarantee that generally provides the easiest model for users to understand, and is most convenient for those attempting to design a client application that uses the distributed service

--Gilbert & Lynch





### **Eventual Consistency**

Eventual consistency is a consistency model used in distributed computing that informally guarantees that, if no new updates are made to a given data item, **eventually all accesses to that item will return the last updated value.** 

--Wikipedia

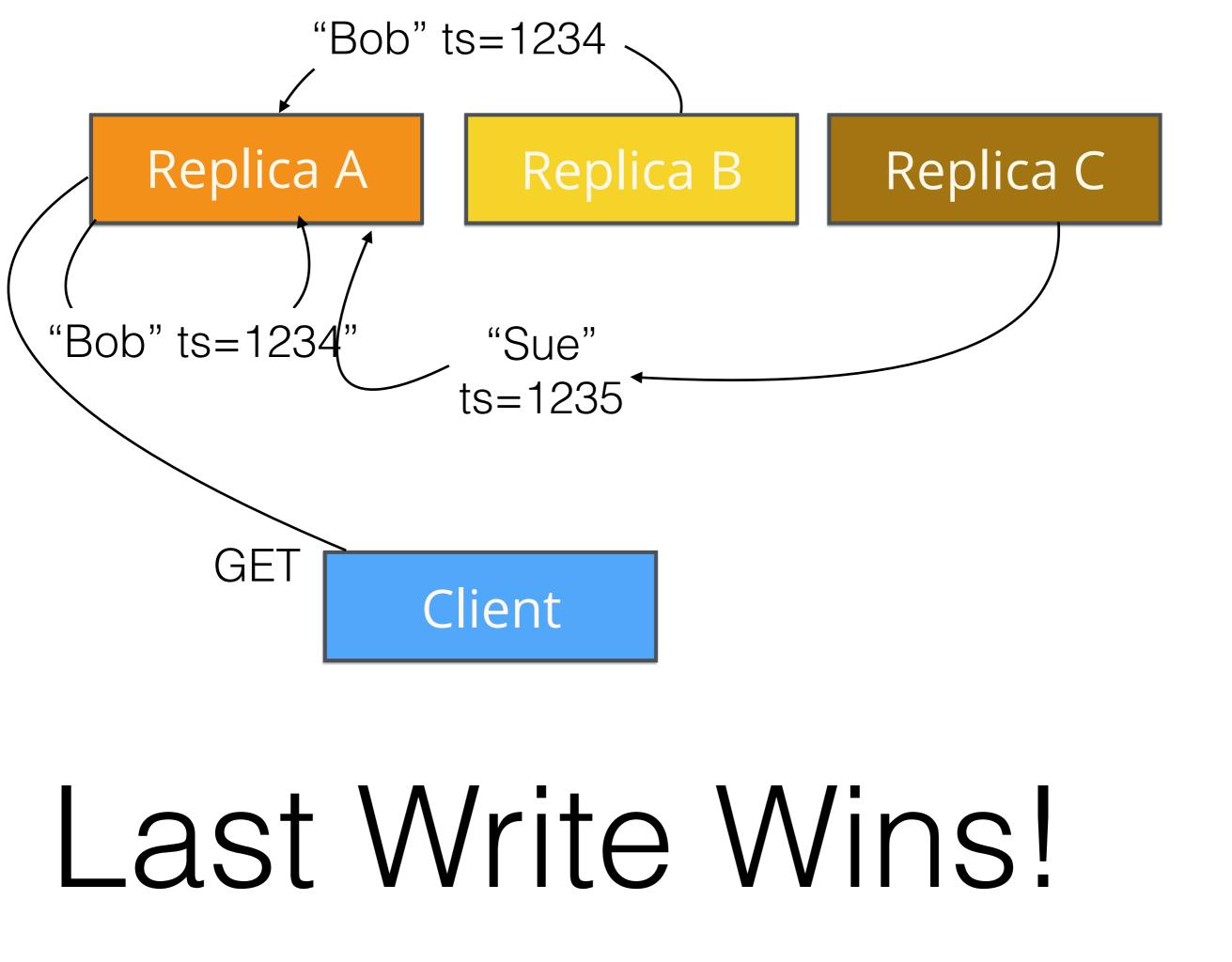


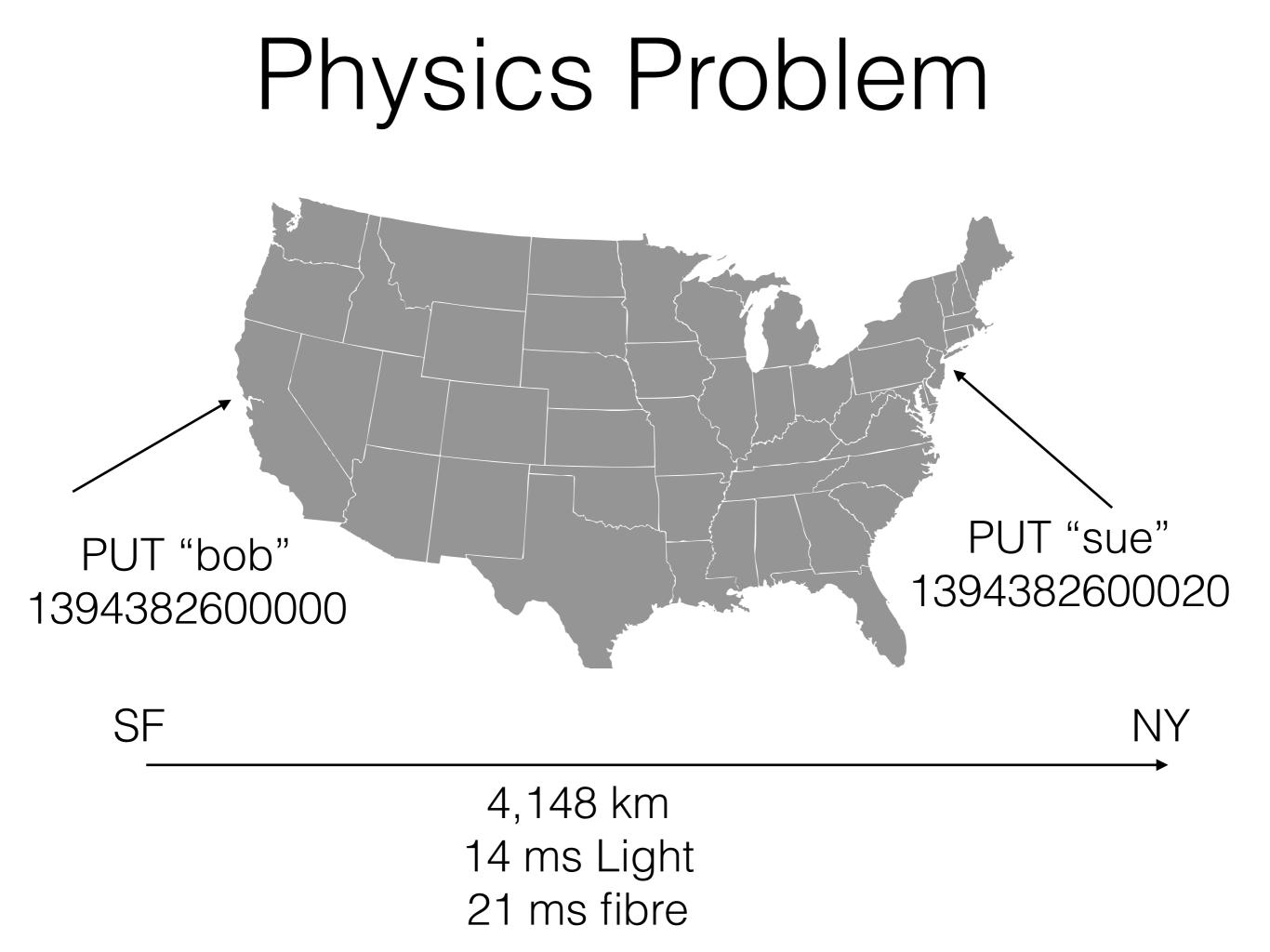
### Last Updated Value?

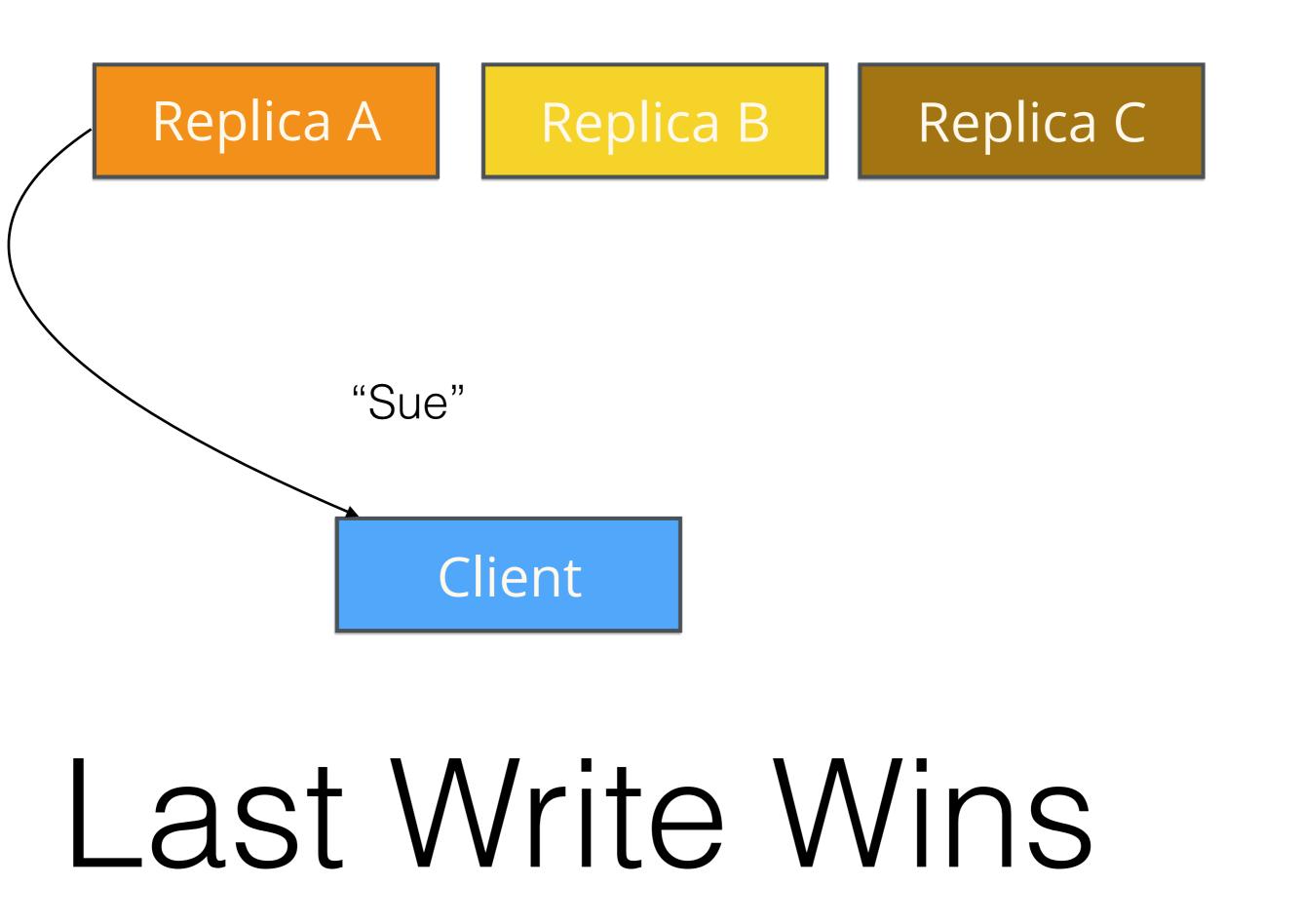
Convergence

# Availability is great - what's my data?

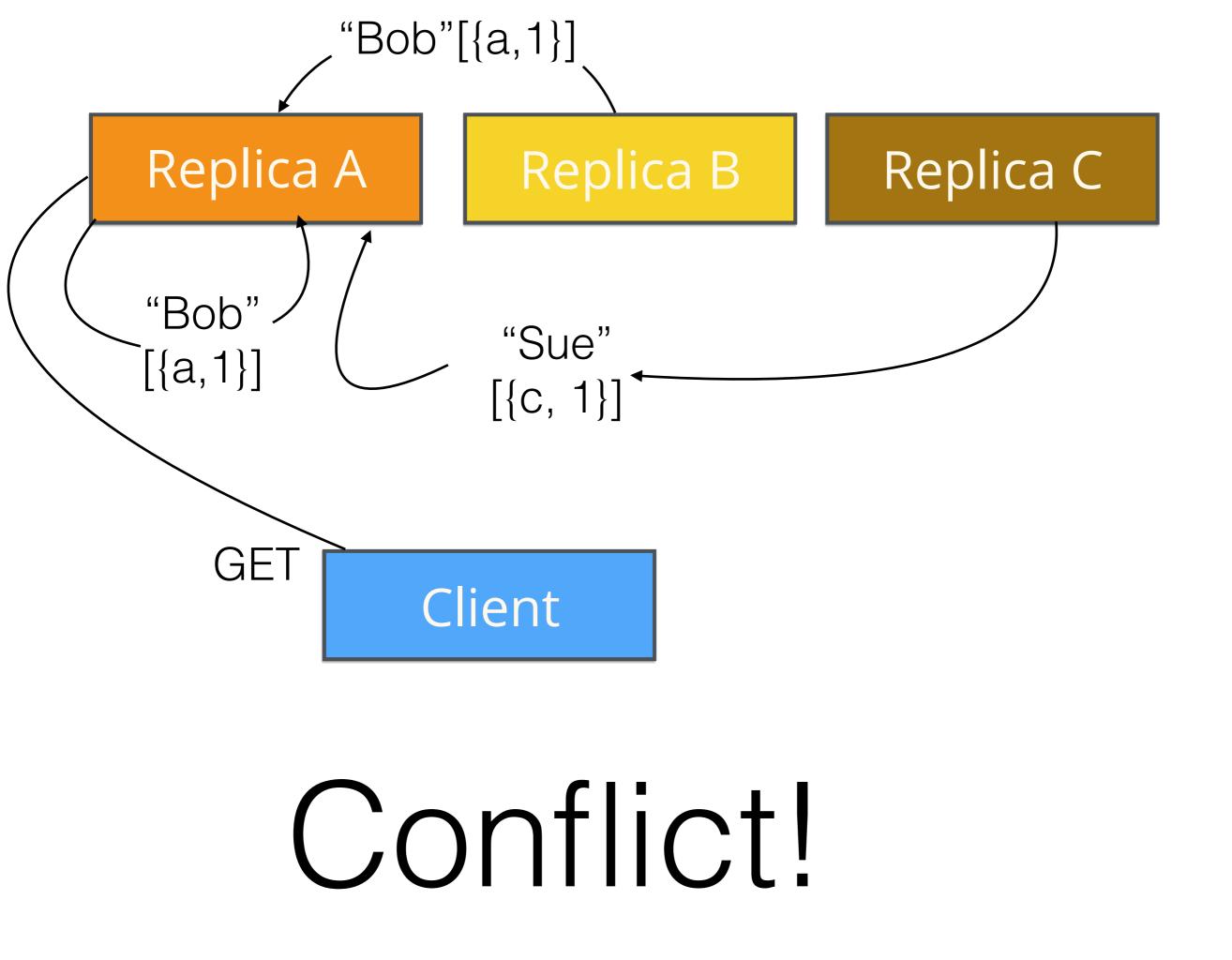
It depends

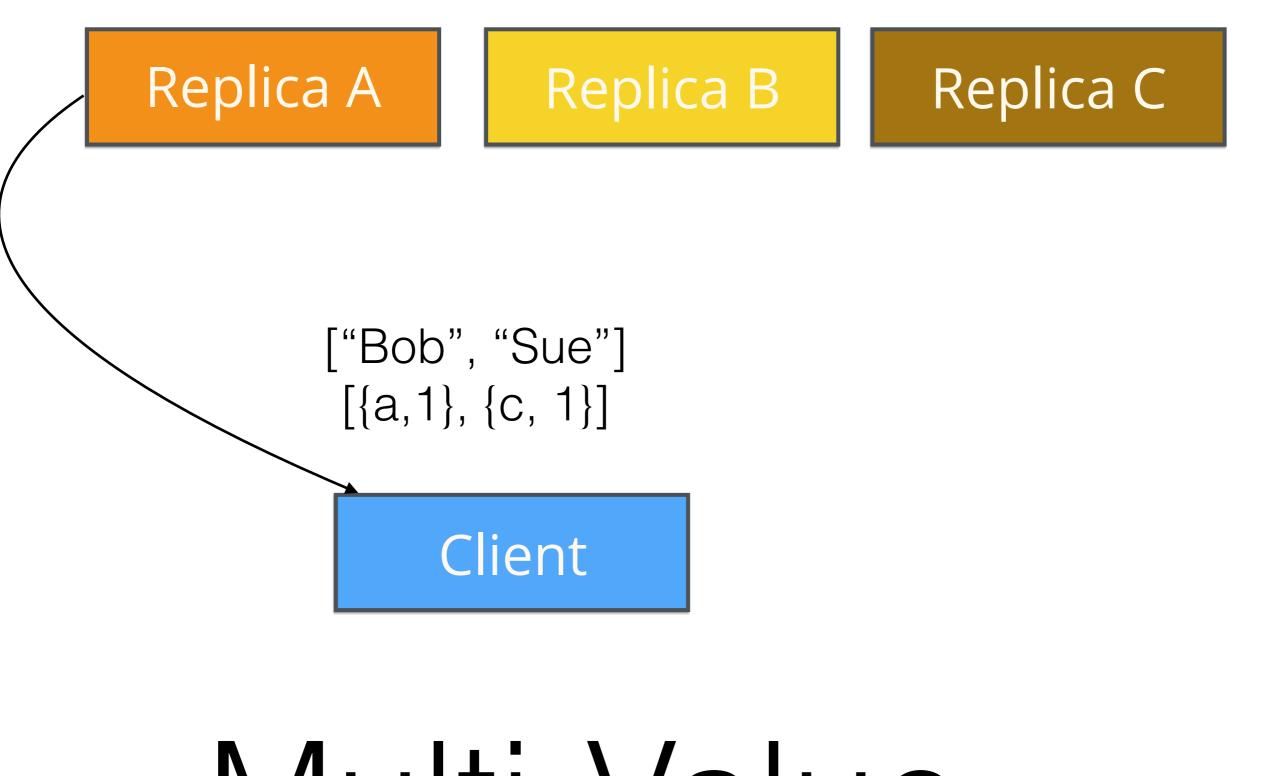






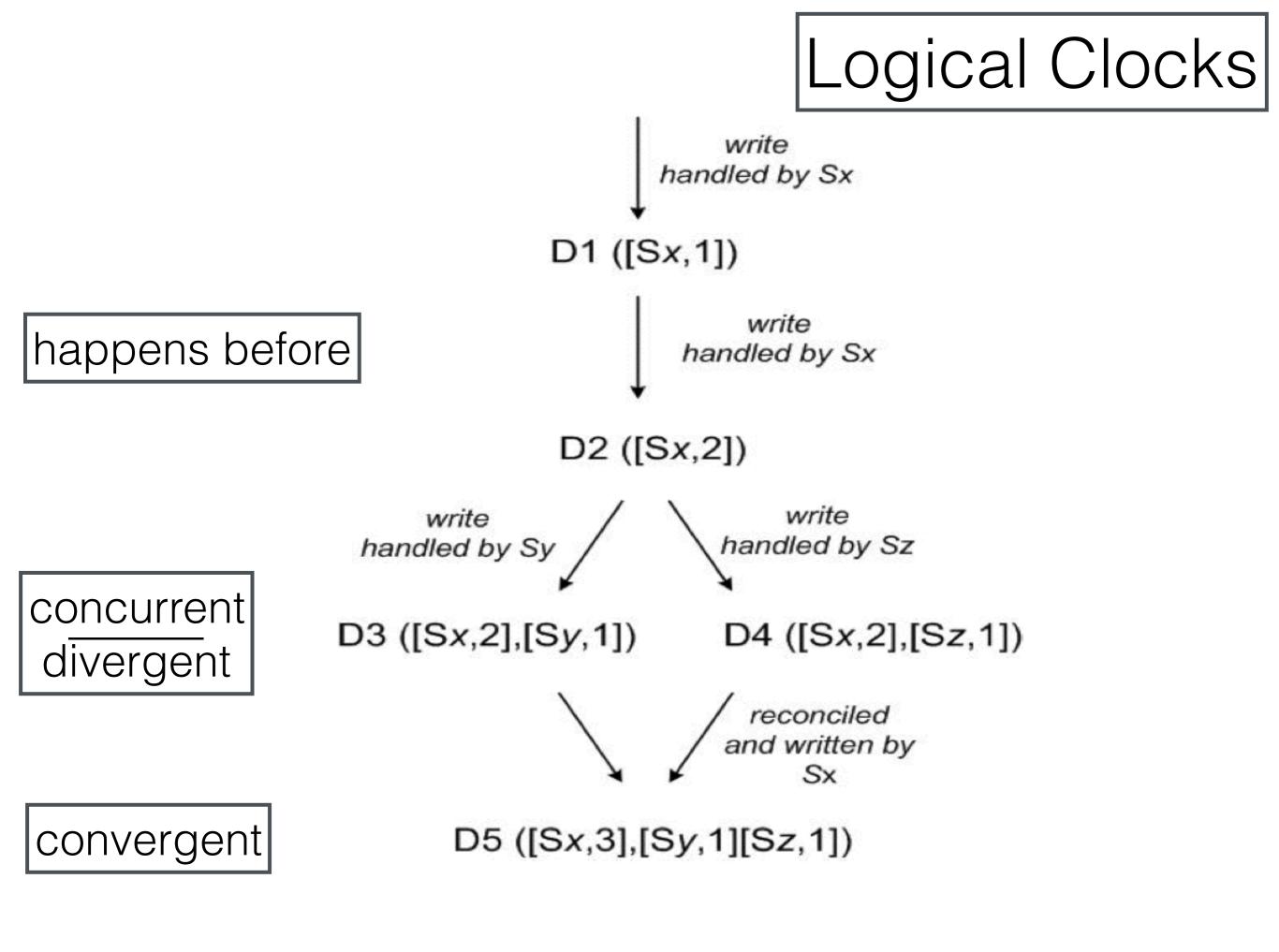
### LWW - A Lossy Total Order





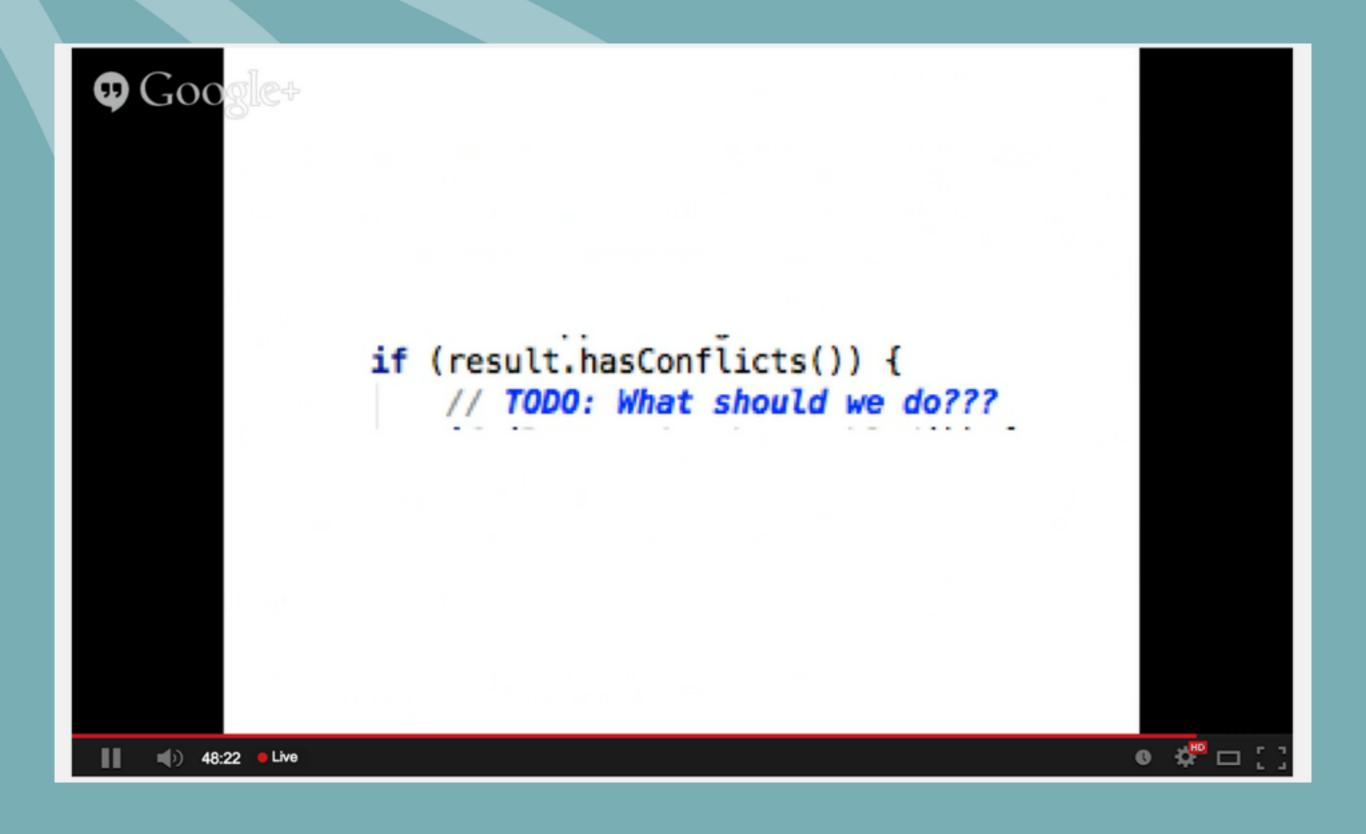
## Multi-Value

### MVR - A Partial Order



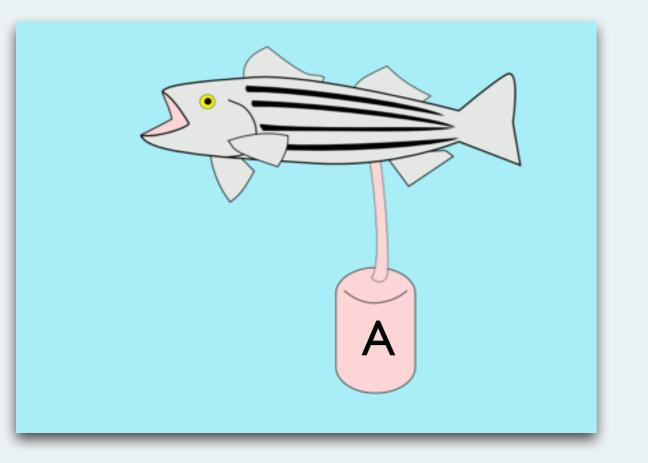
### Summary

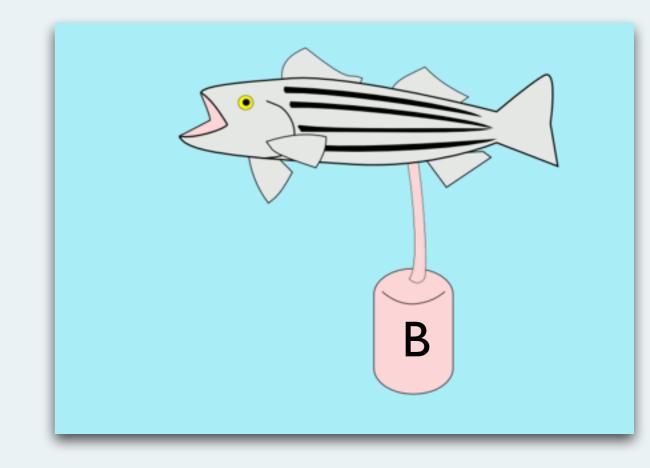
- Distributed systems for scale/fault tolerance/perf
- CAP trade-off
- Eventual consistency concurrent writes



# Semantic Resolution

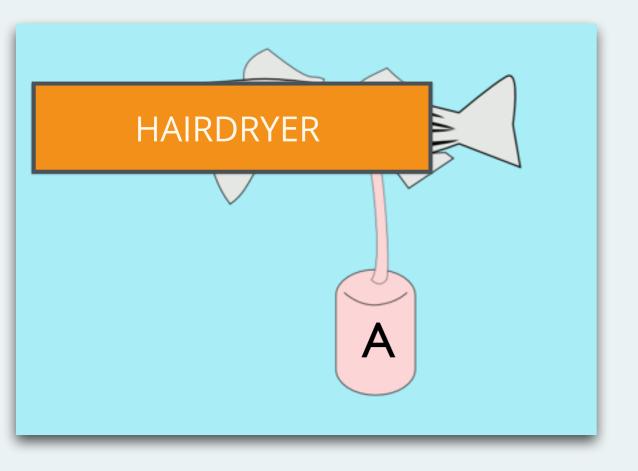
Dynamo The Shopping Cart

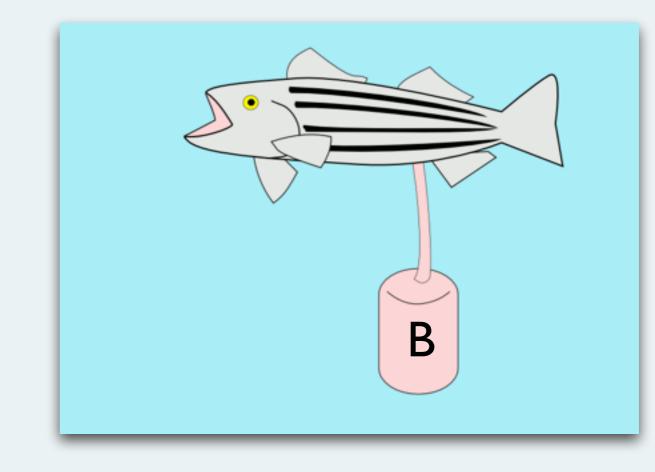




#### HAIRDRYER

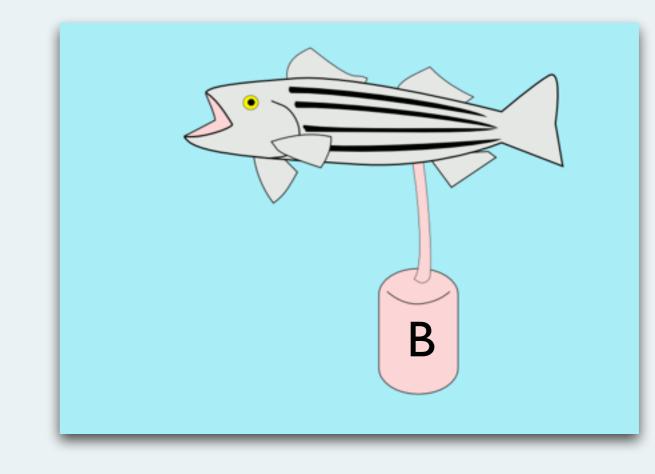






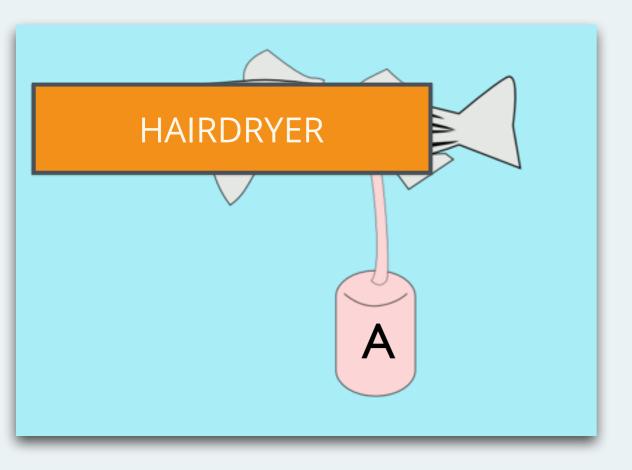


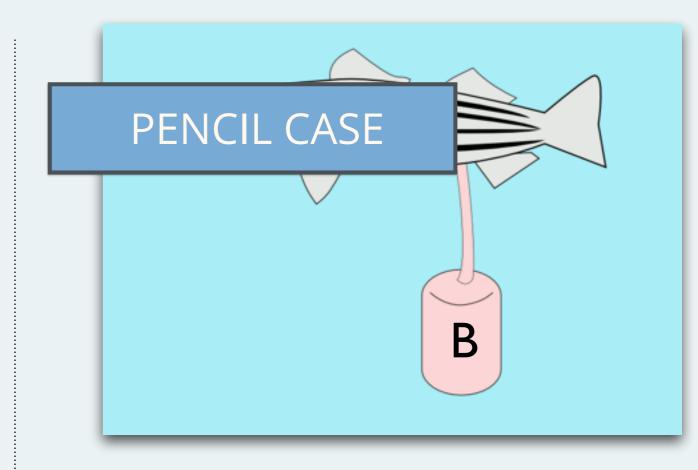




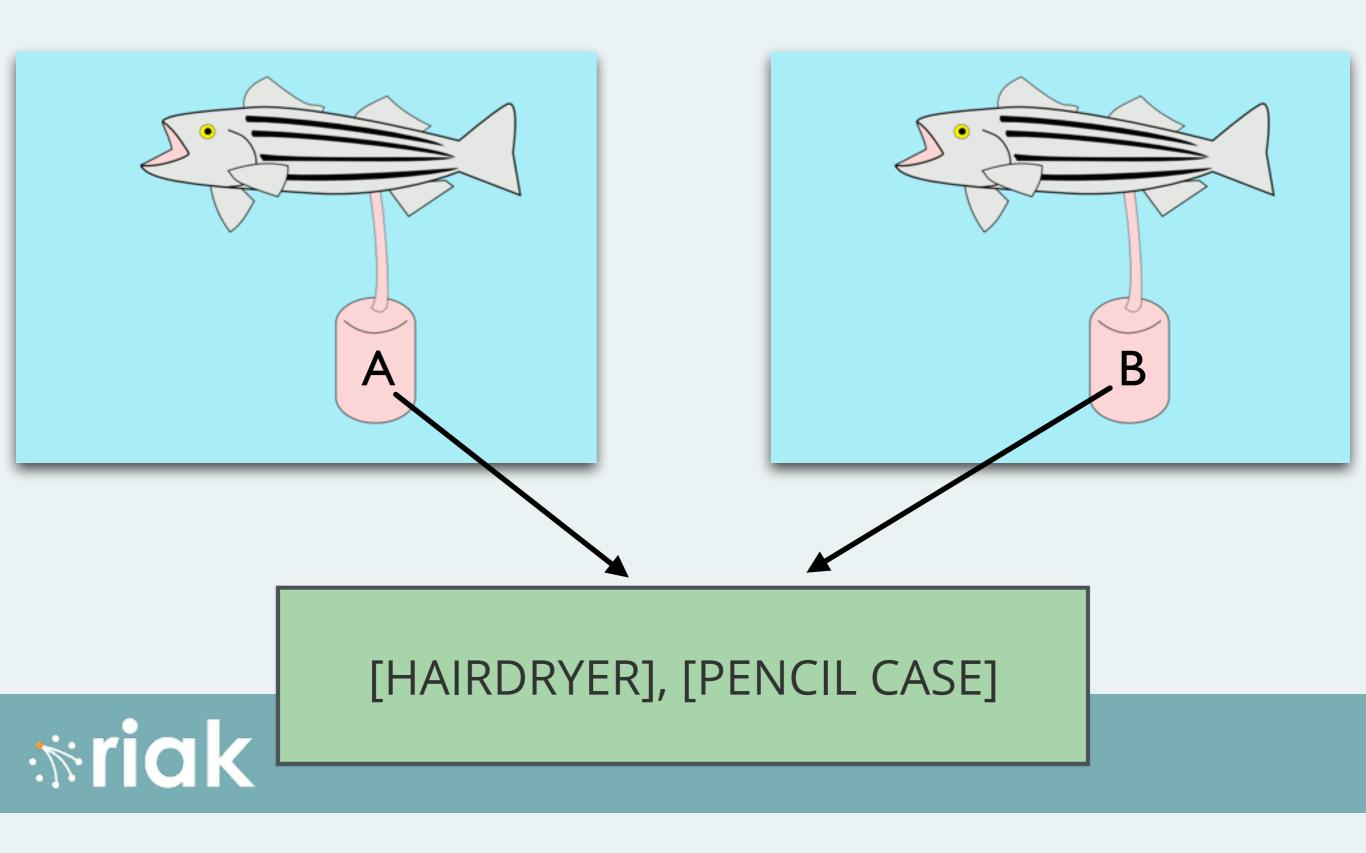


#### PENCIL CASE









## **Converge** Set Union of Values Simples, right?

# Removes?

Set Union? "Anomaly" Reappear

#### Google F1

"We have a lot of experience with eventual consistency systems at Google."

"We find developers spend a significant fraction of their time building extremely complex and errorprone mechanisms to cope with eventual consistency"



## Google F1

"Designing applications to cope with concurrency anomalies in their data is very error-prone, timeconsuming, and ultimately not worth the performance gains."

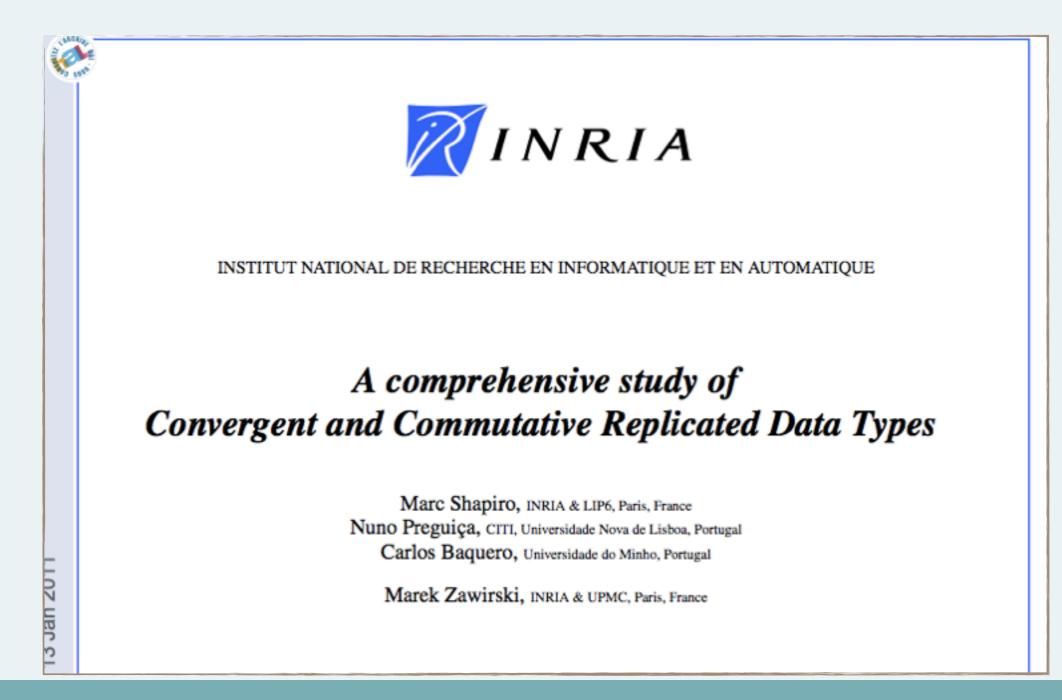


"...writing merge functions was likely to confuse the hell out of all our developers and slow down development..."



http://www.infoq.com/articles/key-lessons-learned-fromtransition-to-nosql







### What's a CRDT?

### State Based CRDT Convergent

#### Join Semi-lattice



### Join Semi-lattice

#### 



#### **Join Semi-lattice** Associativity: $(X \sqcup Y) \sqcup Z = X \sqcup (Y \sqcup Z)$



#### Join Semi-lattice Commutativity: XUY = YUX



### Join Semi-lattice

Idempotent: X UX = X



### Join Semi-lattice

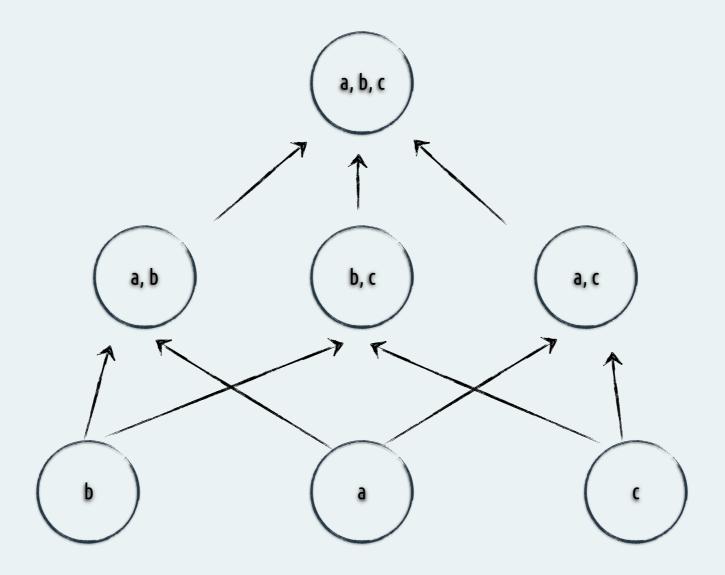
#### Objects grow over time; merge computes LUB



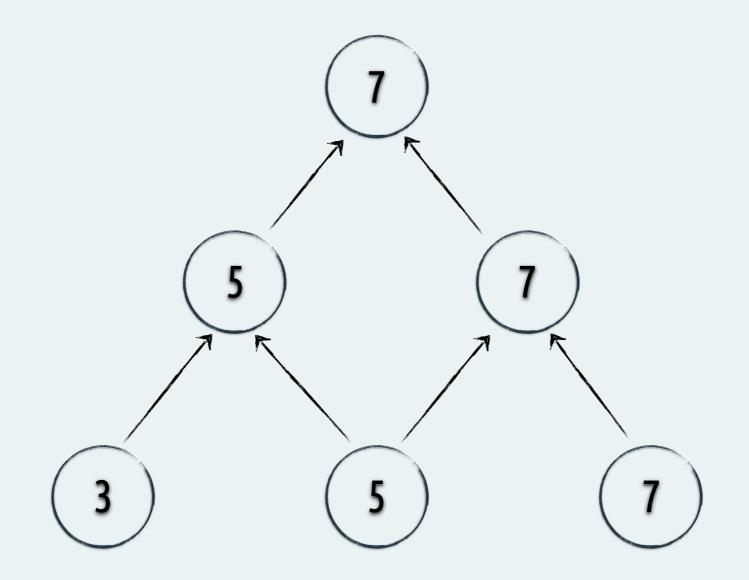
### Join Semi-lattice

**Examples** 

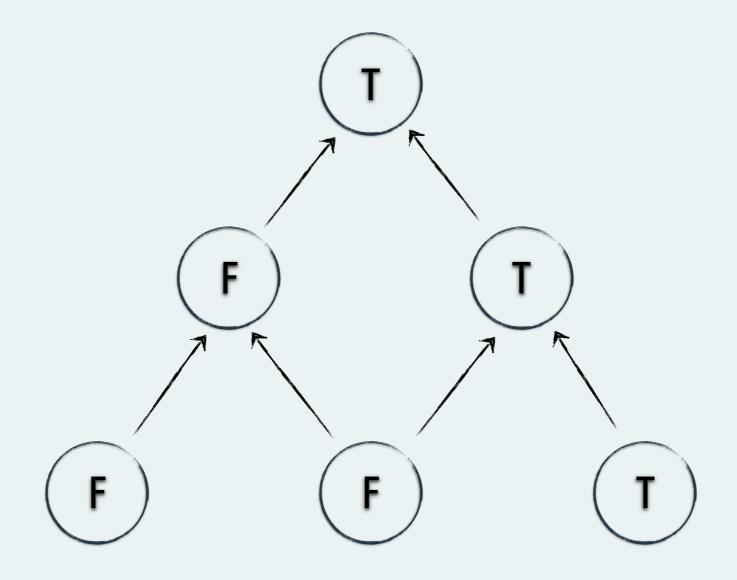




Set; merge function: union.



### Increasing natural; merge function: max.



Booleans; merge function: or.

Merge Deterministic Idempotent Associative Commutative

## Principled Merge

### Reusable Data Types

Defined Semantics

## Evolution of a CRDT Set

"...after some analysis we found that much of our data could be modelled within sets so by leveraging CRDT's our developers don't have to worry about writing bespoke merge functions for 95% of carefully selected use cases..."



http://www.infoq.com/articles/key-lessons-learned-fromtransition-to-nosql

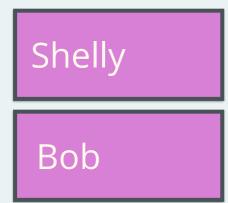
## Evolution of a CRDT Set

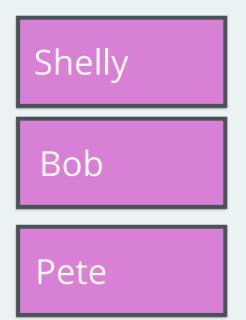
### **Evolution of a Set**

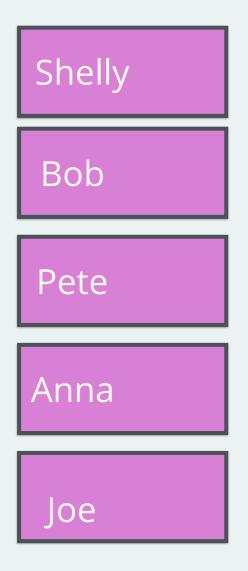


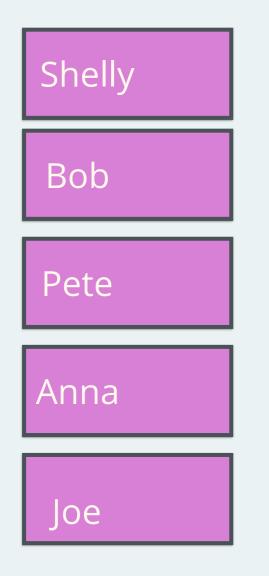
### **Evolution of a Set**



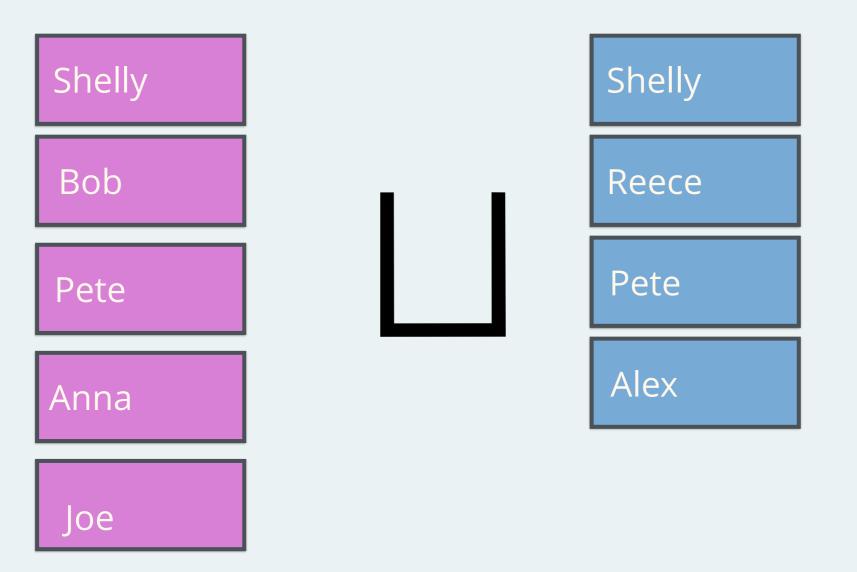


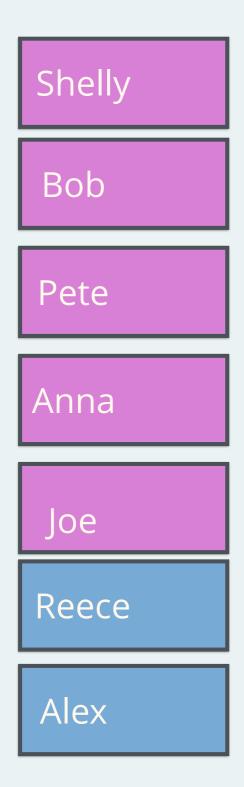






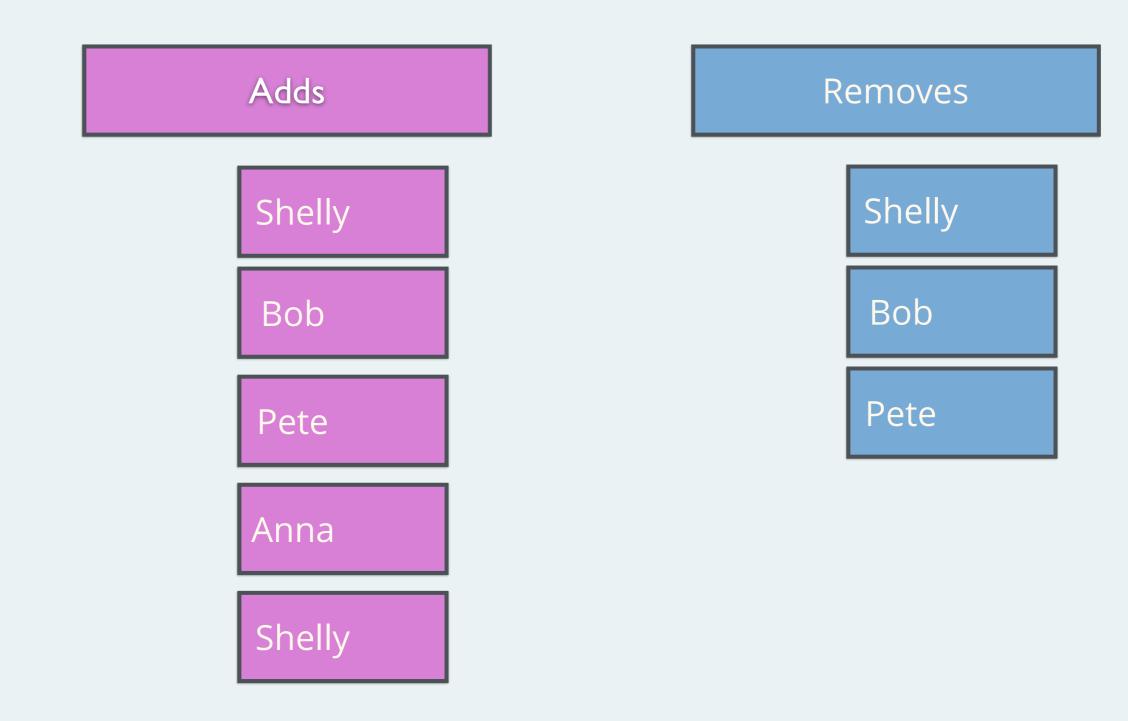
Shelly
Reece
Pete
Alex





## Removes?

# **Evolution of a Set** GIS EI 2 P-SET



Adds	Removes
Shelly	Shelly
Bob	Bob
Pete	Pete
Anna	

Anna

### Value /= Structure

Adds	Removes
Shelly	Shelly
Bob	Bob
Pete	Pete
Anna	

Anna

# Ichanged my mind!

### CRDT Sets

answers the question of "what is in the set?" when presented with siblings:

### $[X, Y, Z] \mid [W, X, Y]$

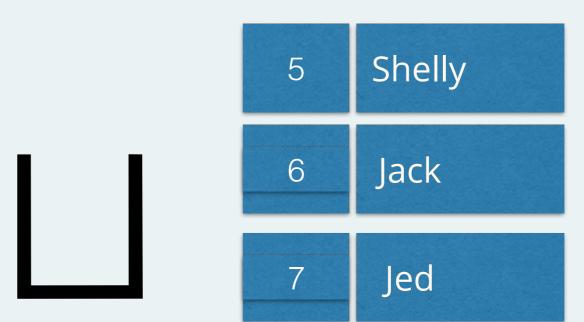
### CRDT Sets

is w not added by A or removed by A? is z not added be B or removed by B?

## [X, Y, Z] | [W, X, Y]

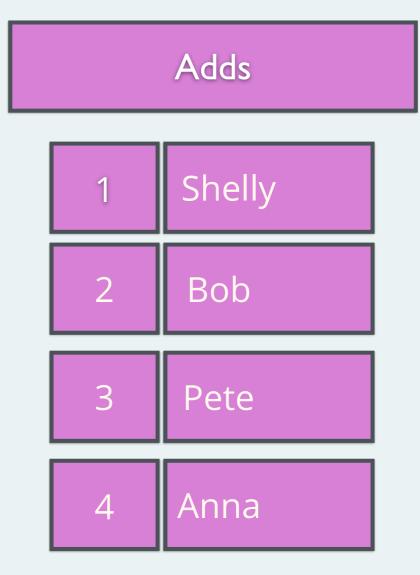
# **Evolution of a Set**

1	Shelly
2	Bob
3	Pete
4	Anna

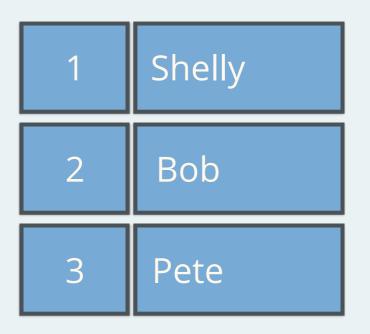


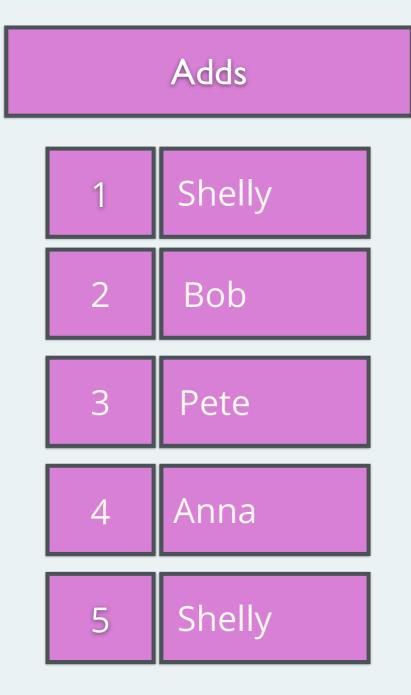
1,5	Shelly
2	Bob
3	Pete
4	Anna
6	Jack
7	Jed

# **Evolution of a Set**

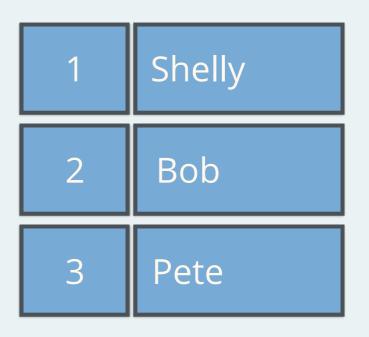


#### Removes

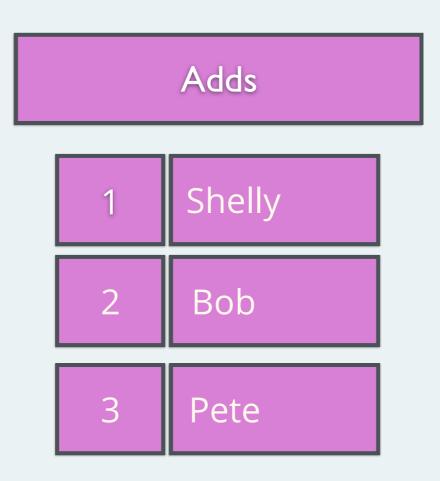




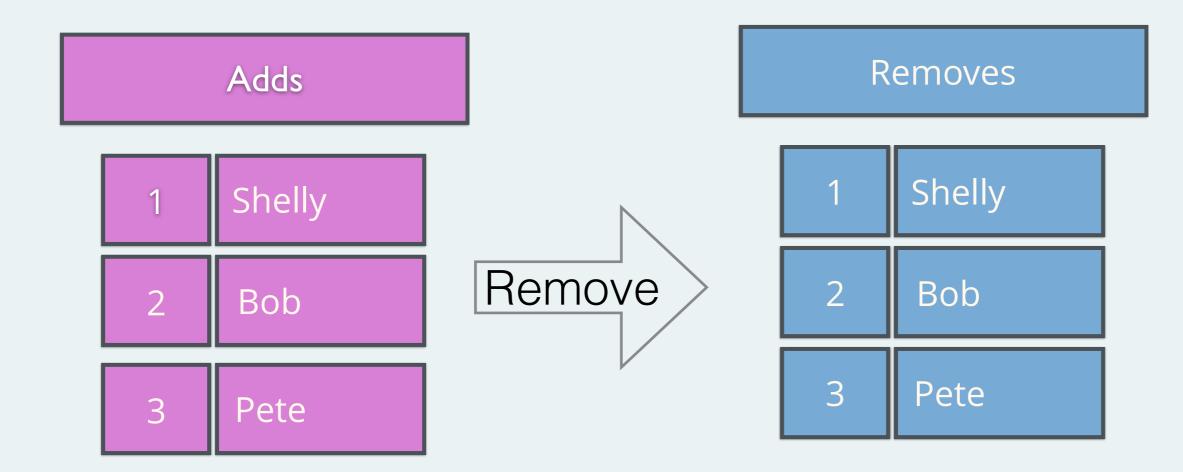
#### Removes







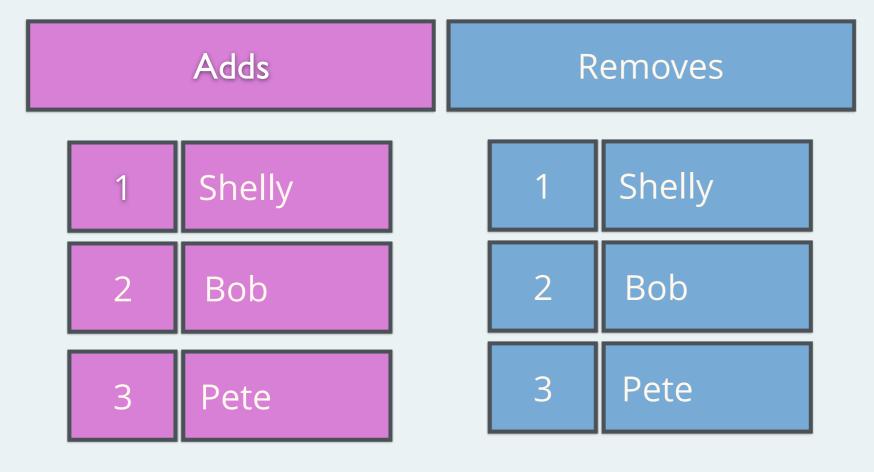




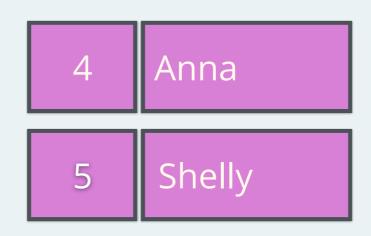


### Adds

4	Anna
5	Shelly



Adds



Adds			R	emoves
1	Shelly		1	Shelly
2	Bob		2	Bob
3	Pete		3	Pete
4	Anna			
5	Shelly			



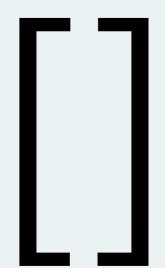
# Observed Remove

Semantics ACC Wins

## **Evolution of a Set**

Adds			Removes		
1	Shelly		1	Shelly	
2	Bob		2	Bob	
3	Pete		3	Pete	
4	Anna		4	Anna	
5	Shelly		5	Shelly	
				Anna	

Shelly







#### **Dotted Version Vectors: Logical Clocks for Optimistic Replication**

Nuno Preguiça CITI/DI FCT, Universidade Nova de Lisboa Monte da Caparica, Portugal nmp@di.fct.unl.pt Carlos Baquero, Paulo Sérgio Almeida, Victor Fonte, Ricardo Gonçalves CCTC/DI Universidade do Minho Braga, Portugal {cbm,psa,vff}@di.uminho.pt, rtg@lsd.di.uminho.pt

#### Abstract

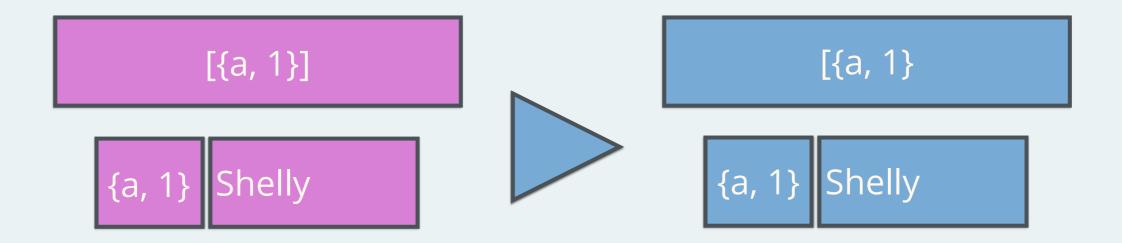
In cloud computing environments, a large number of users access data stored in highly available storage systems. To provide good performance to geographically disperse users and allow operation even in the presence of failures or network partitions, these systems often rely on optimistic replication solutions that guarantee only eventual consistency. In this scenario, it is important to be able to accurately and efficiently The mentioned systems follow a design where the data store is always writable. A consequence is that replicas of the same data item are allowed to diverge, and this divergence should later be repaired. Accurate tracking of concurrent data updates can be achieved by a careful use of well established causality tracking mechanisms [5], [6], [7], [8]. In particular, for data storage systems, version vectors [6] enables the system to compare any pair of replica versions and detect if

\*riak

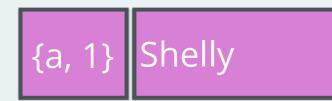
## **Evolution of a Set** U-SET OR-SET OR-SWOT

#### [{a, 1}]

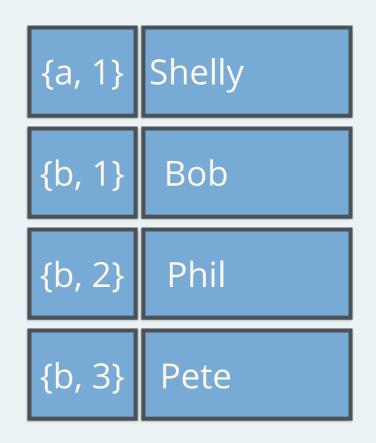


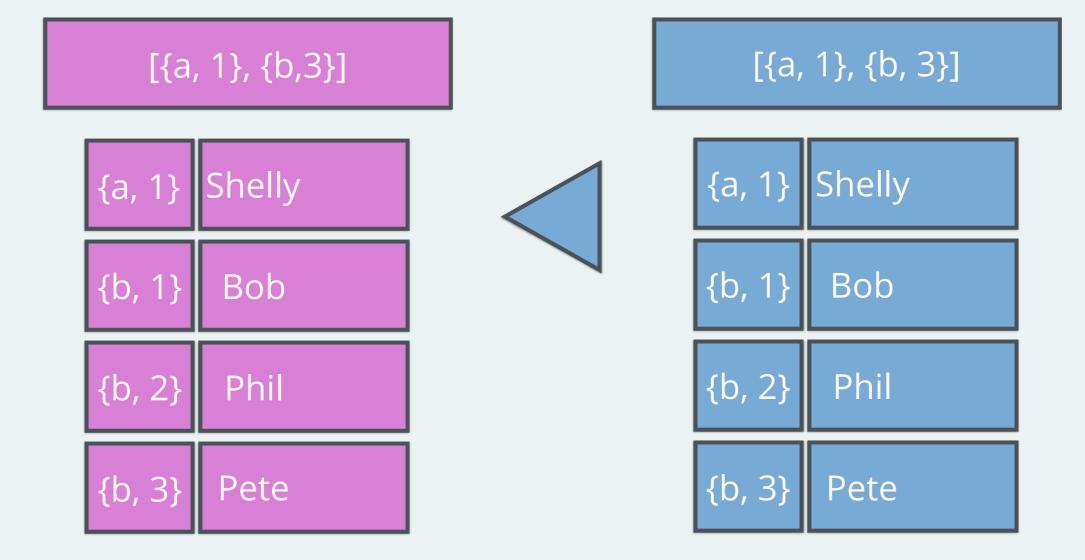


#### [{a, 1}]



#### [{a, 1}, {b, 3}]





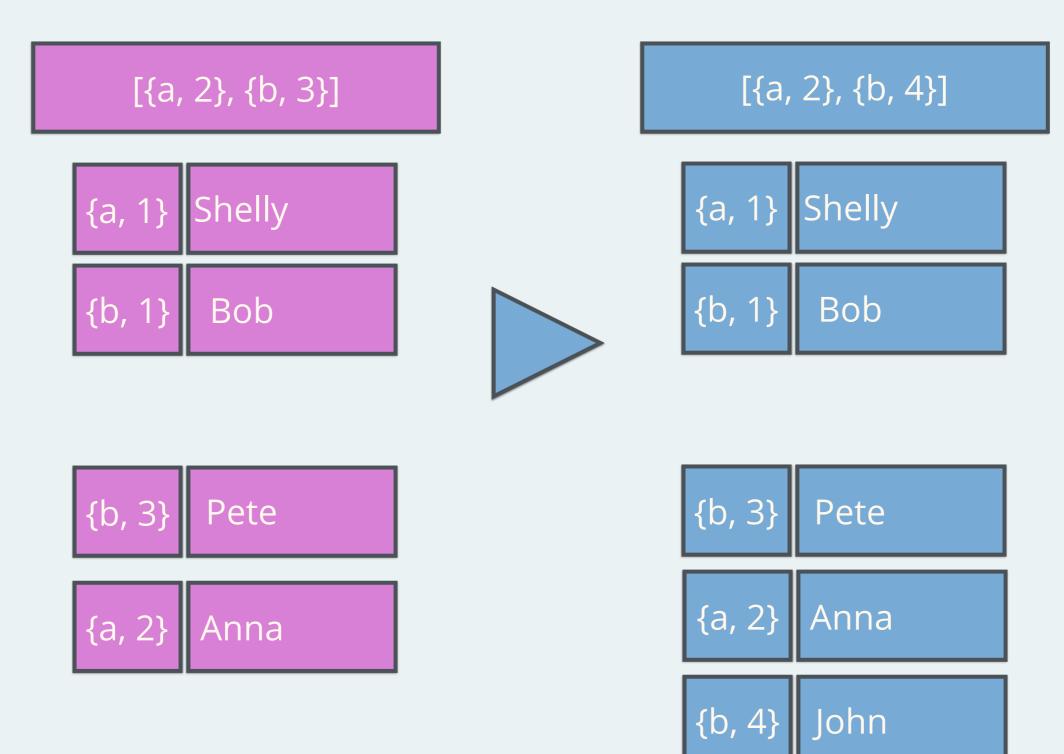


{a, 1}	Shelly
{b, 1}	Bob

{b, 3}	Pete
{a, 2}	Anna

#### [{a, 1}, {b, 4}]

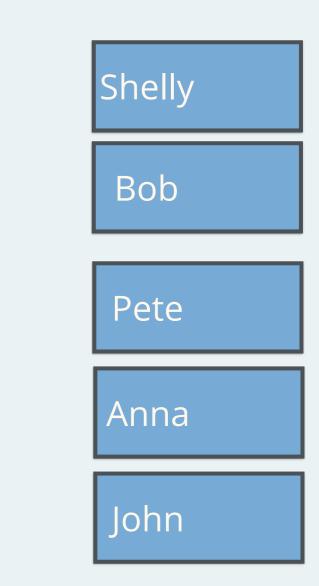
{a, 1}	Shelly
{b, 1}	Bob
{b, 2}	Phil
{b, 3}	Pete
{b, 4}	John





{a, 1}	Shelly
{b, 1}	Bob
{b, 3}	Pete
(a. 2)	Anna

{a, 2}	Anna
{b, 4}	John



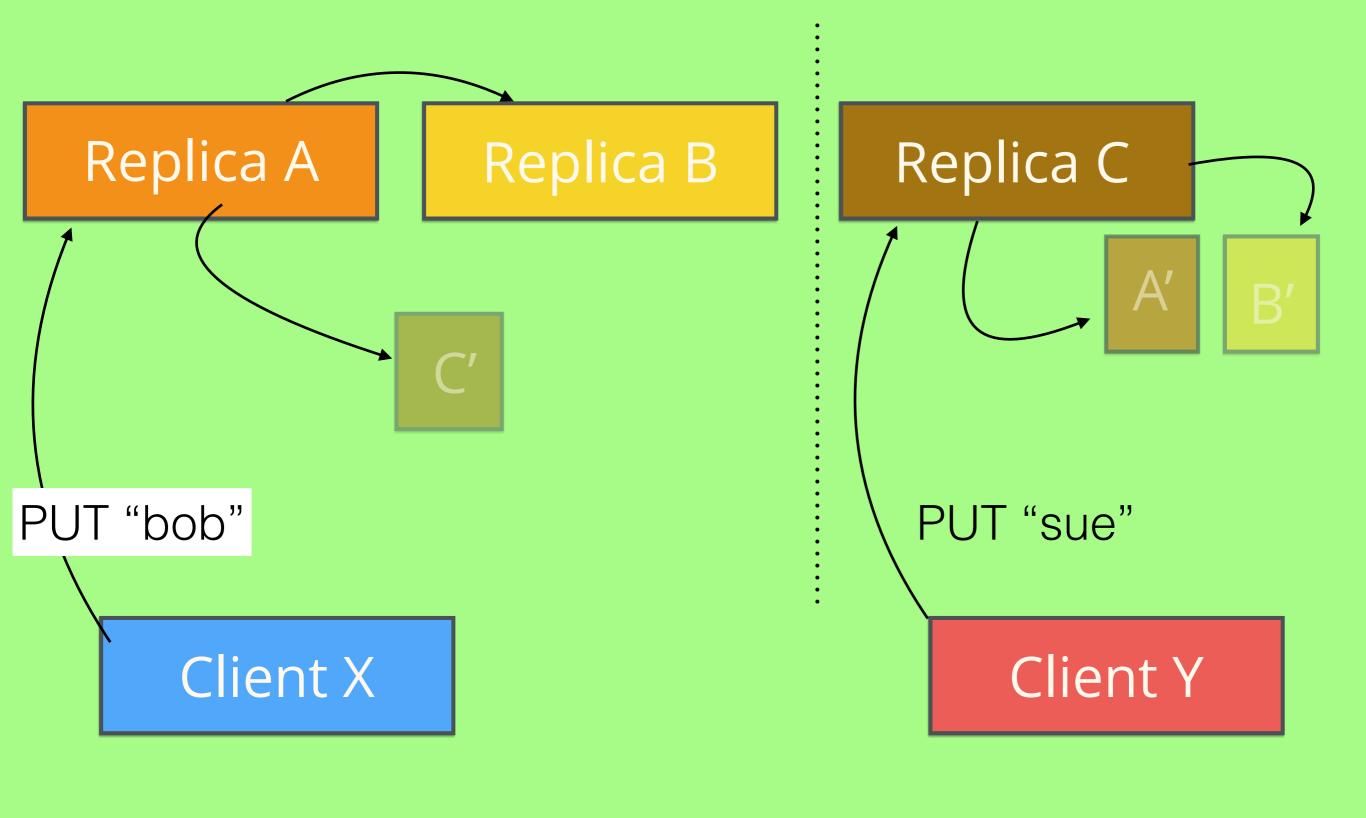
#### CRDT Sets

### a semantic of "Add-Wins" via "Observed Remove"

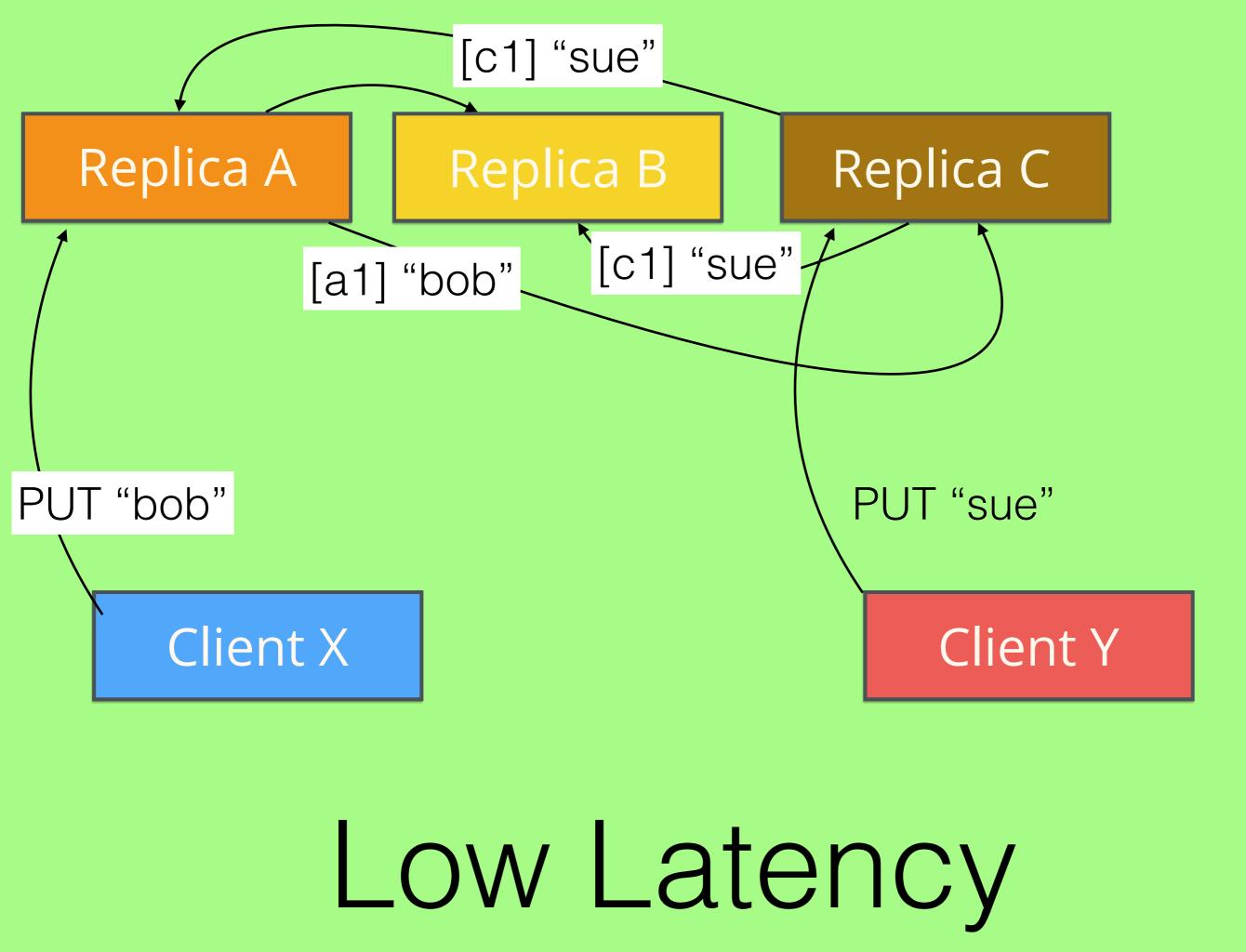
#### CRDTs

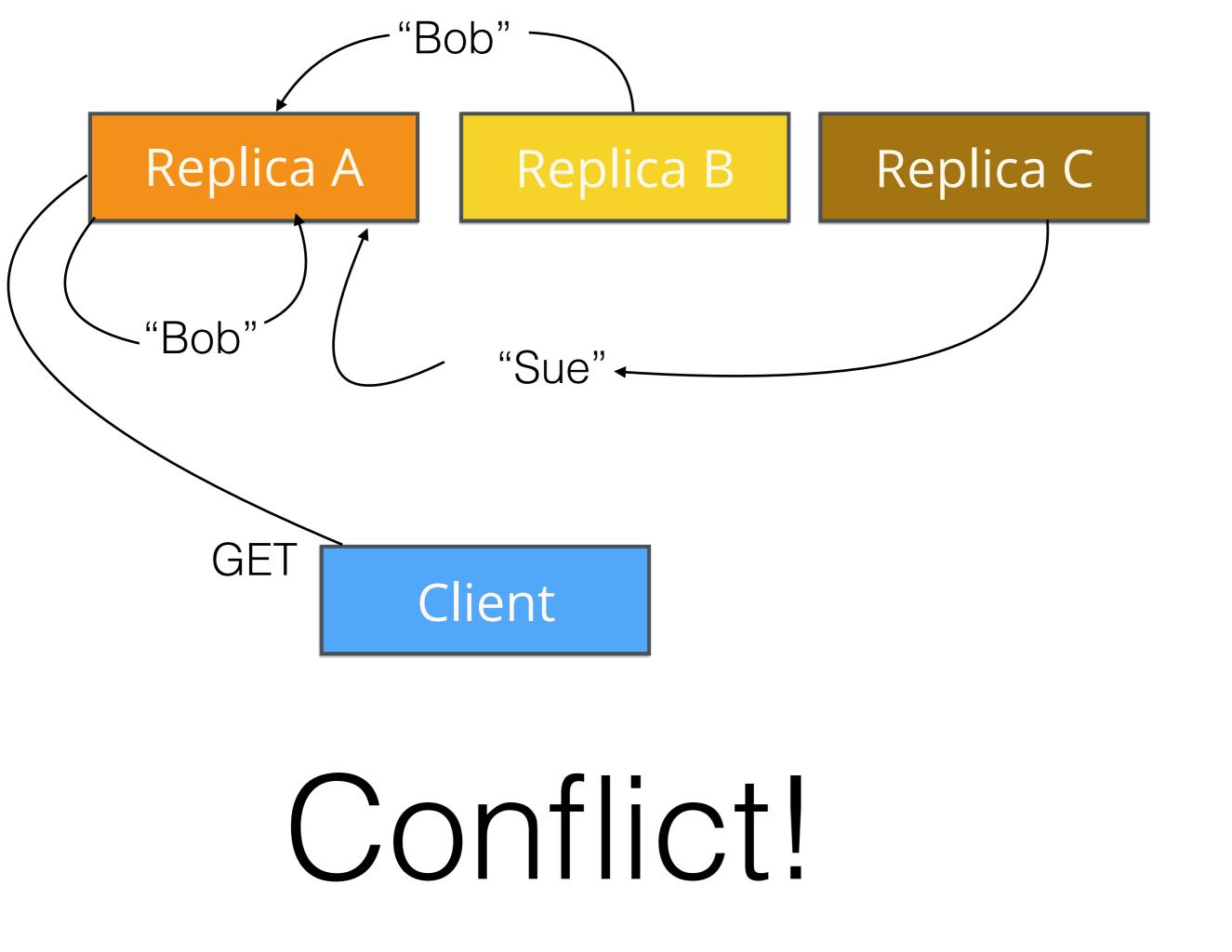
- Principled Merge
- Data Types with Defined Semantic
- Fine Grained Causality
  - minimal representation

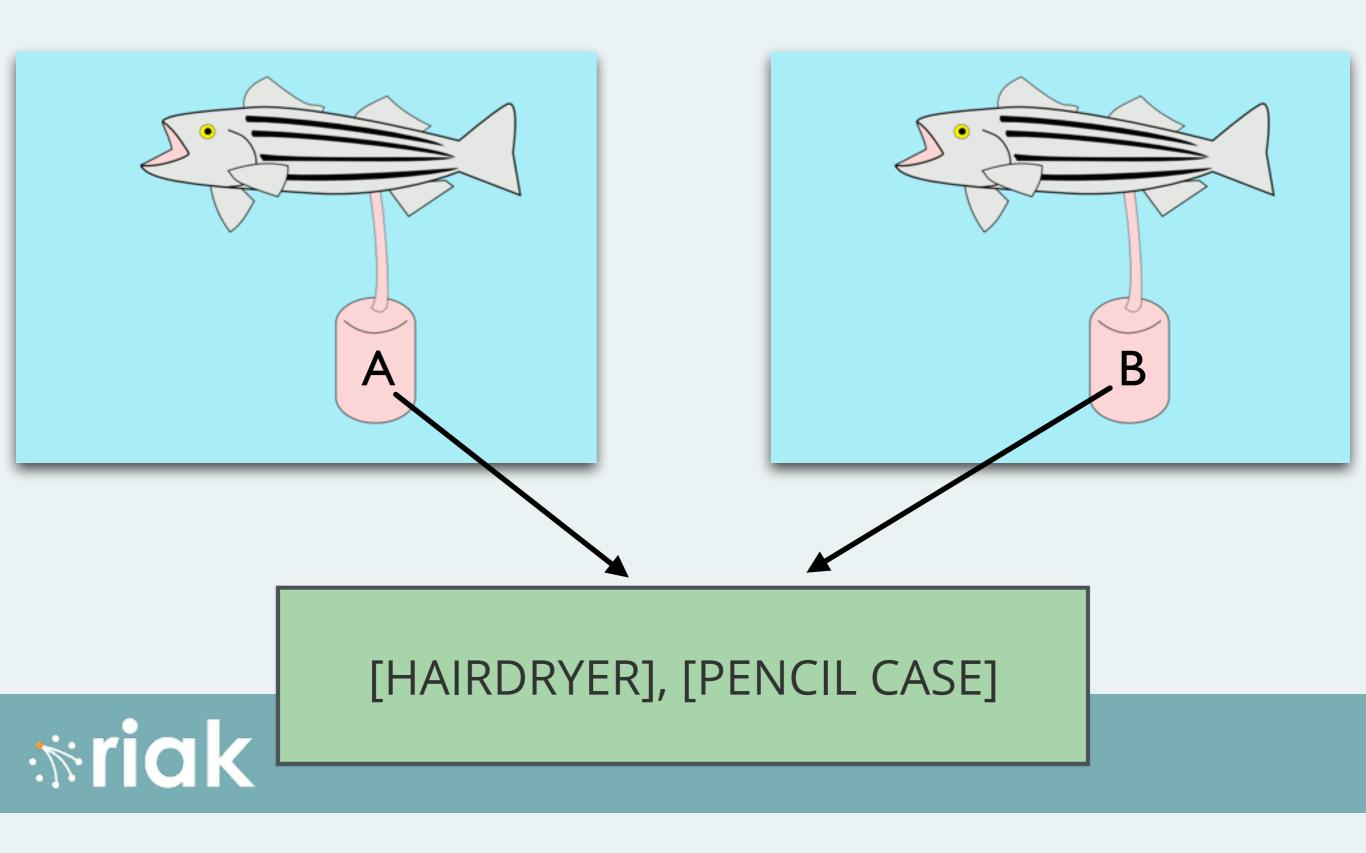
### CRDTs IRL



### Available

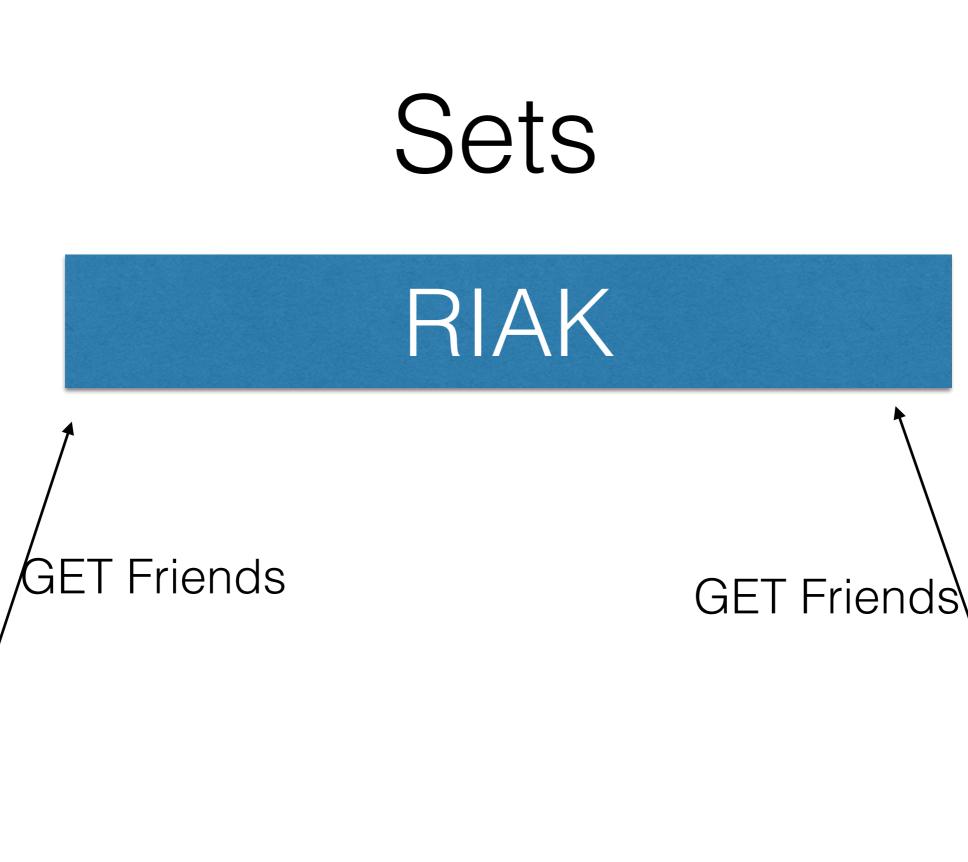




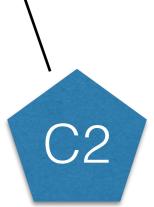




### {"key": "value"}

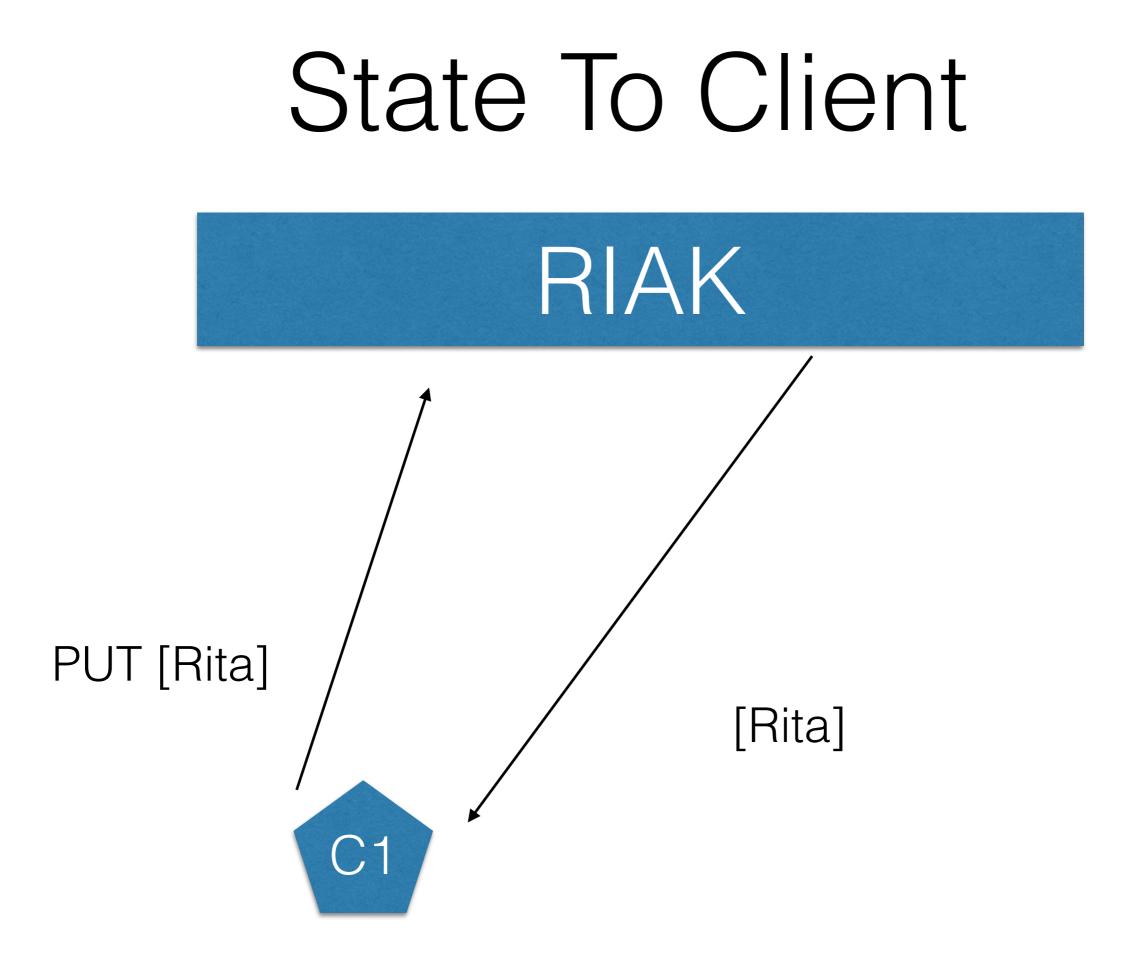


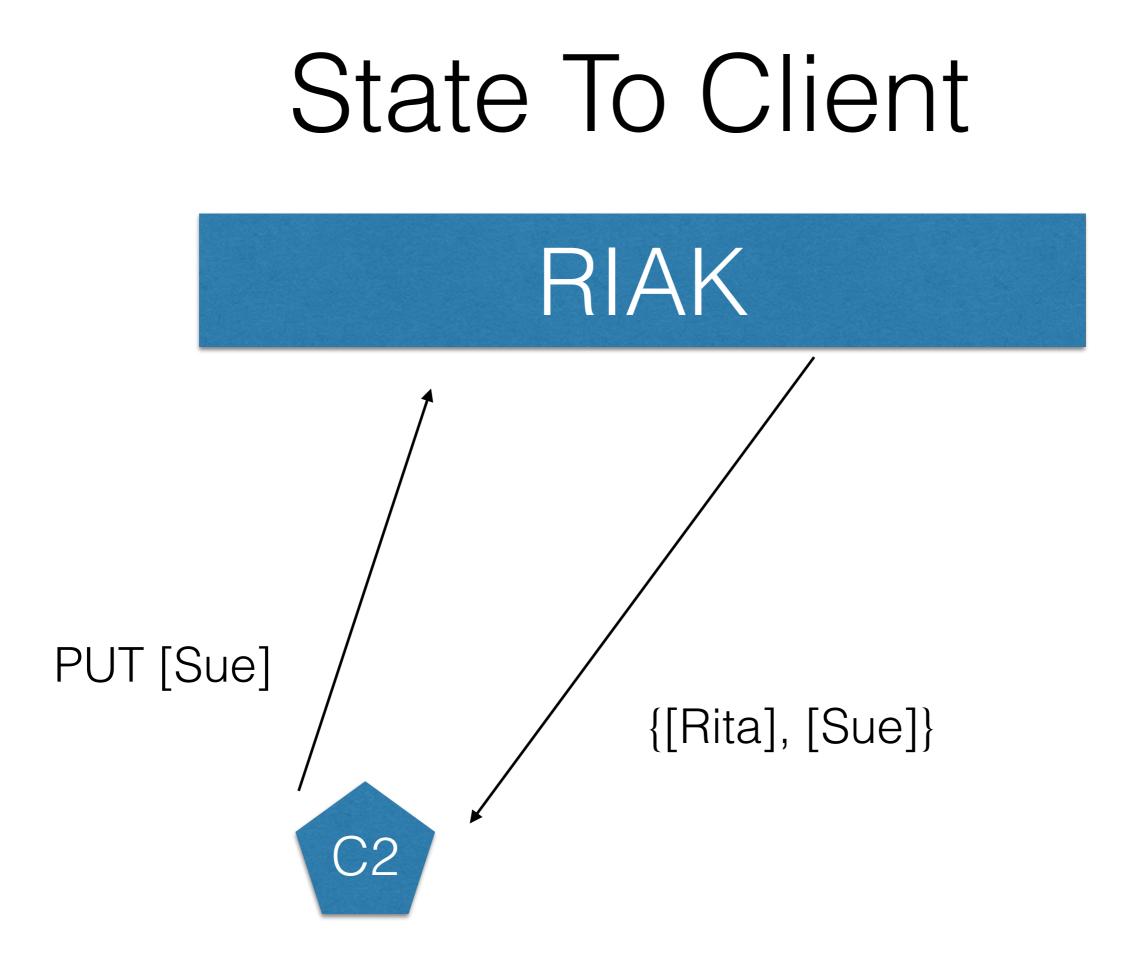


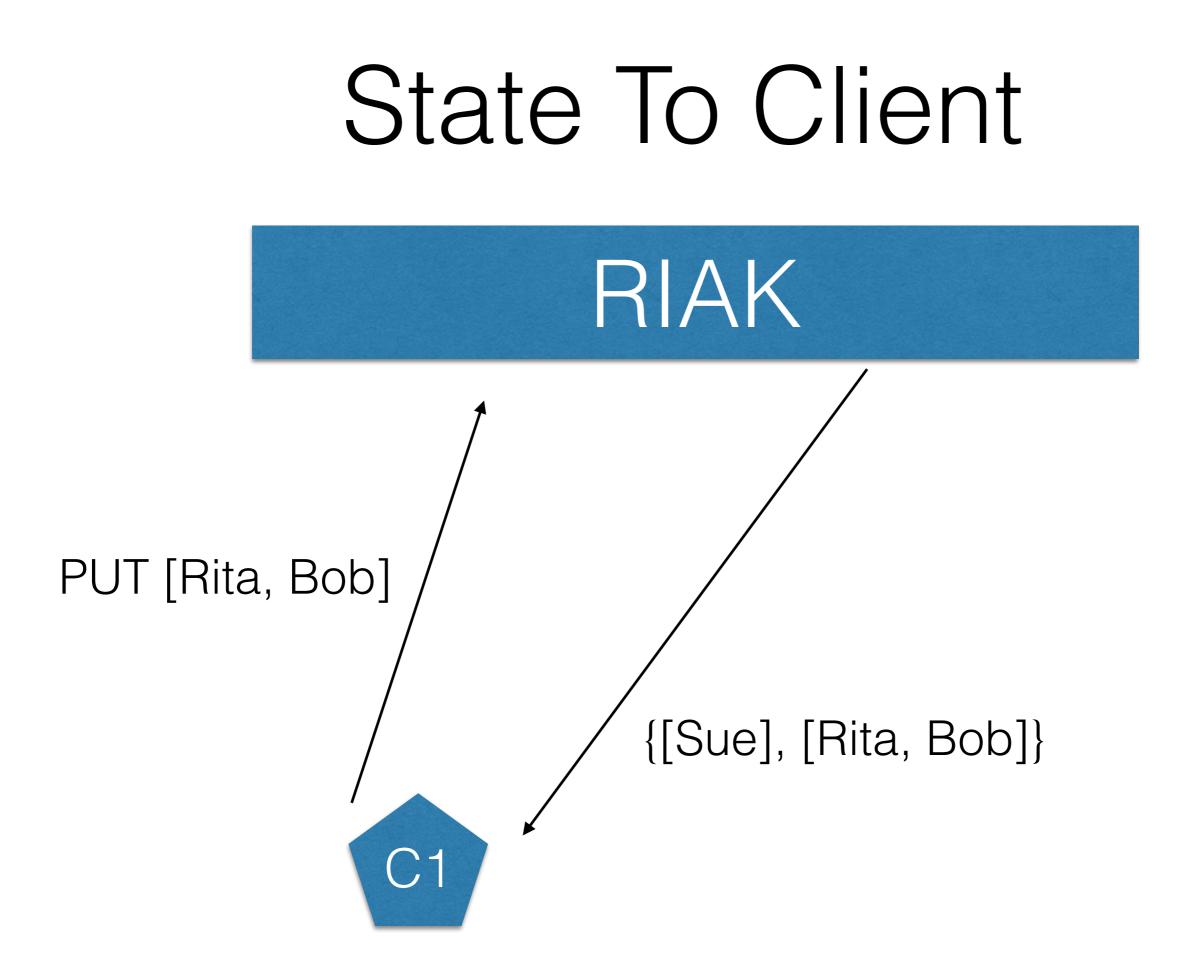


### State To Client State









### State To Client



/ PUT [Rita, Sue, Bob]



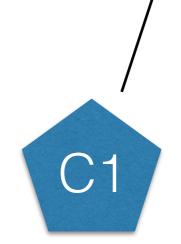
### Problem?

- Requires Read Your Own Writes consistency
- Client must manage Actors in set's logical clock
  - Client ensures invariants
    - Serial actor, total order of events
- Read and Send all Data to Add/Remove an Element??

#### Operations!



Add "Bob"



#### Operations!



Remove "Sue"



#### Operations! Observed Remove

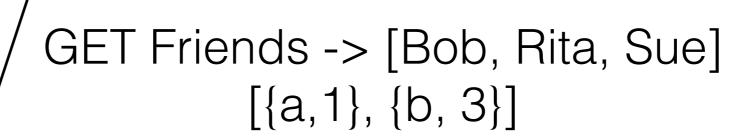


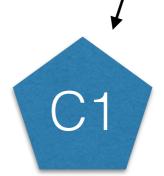
Remove "Sue" with Context



Operations!

RIAK





#### Operations! Observed-Remove



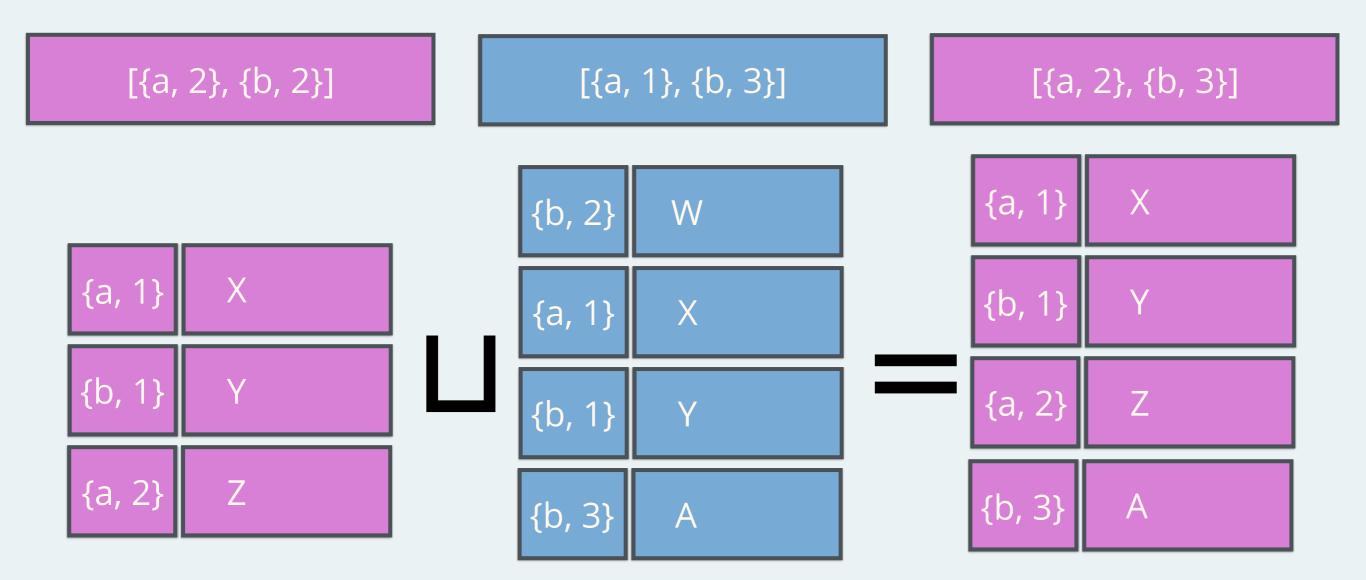
Remove "Sue" with Context [{a,1}, {b, 3}]

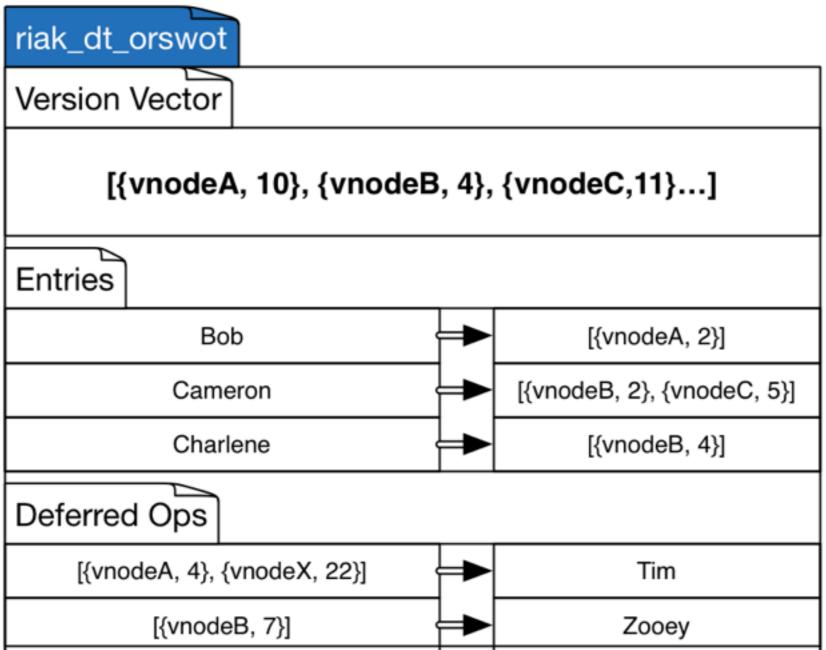


### Riak 2.0

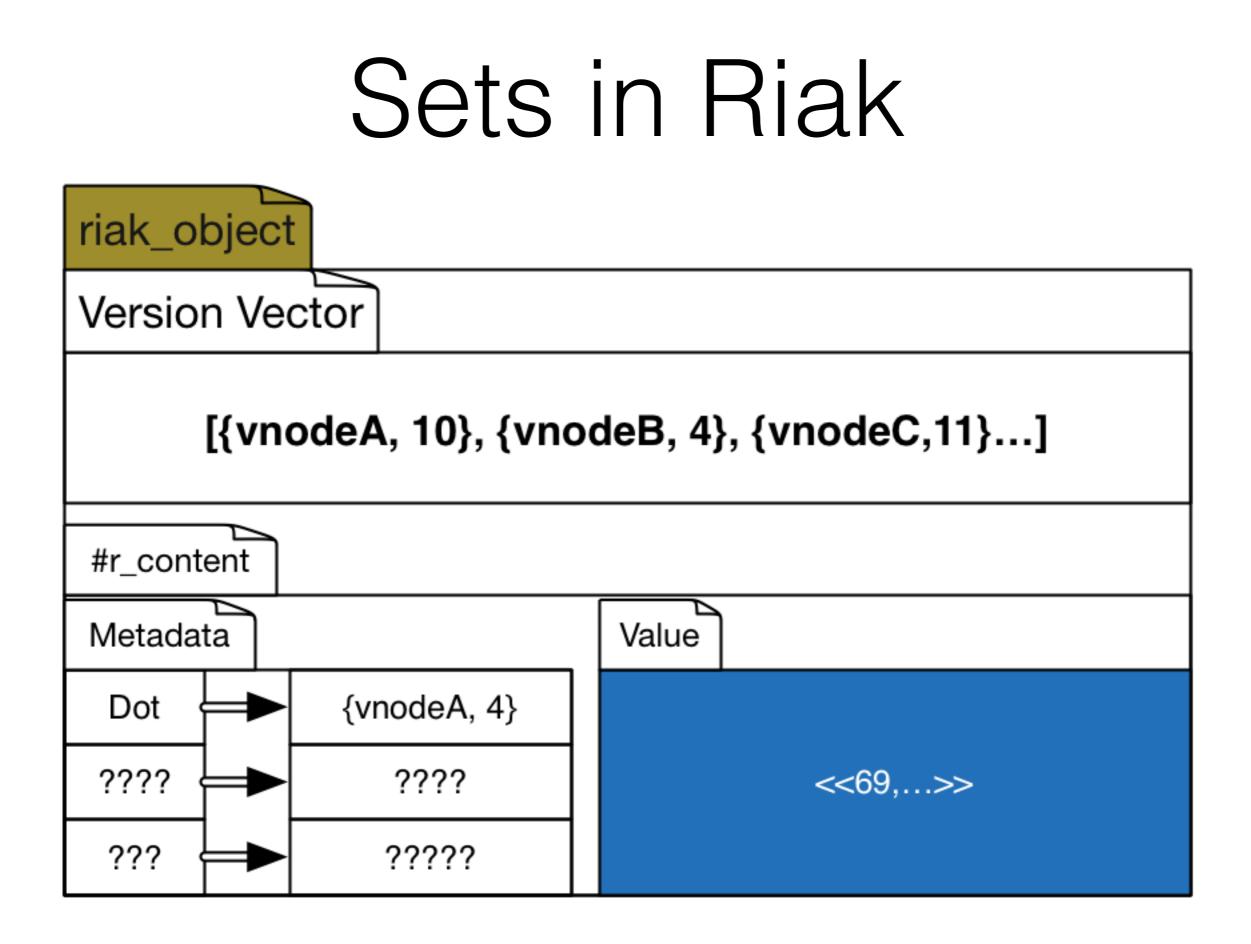
#### Riak Data Types Riak\_DT CRDTs

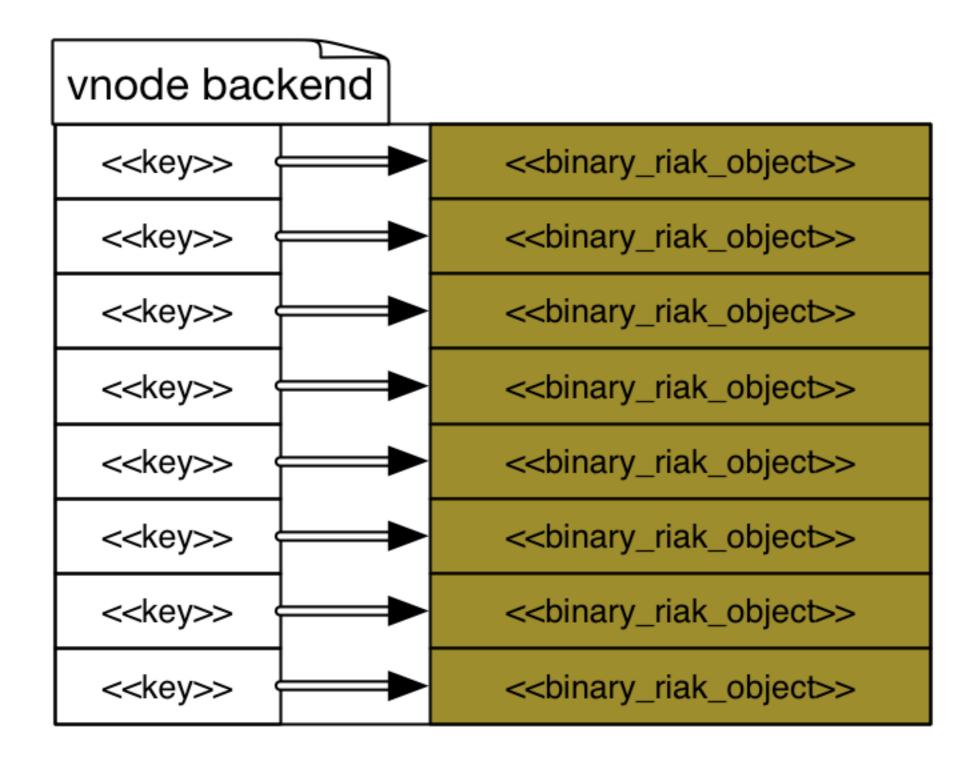
nak_ut_orswot	
Version Vector	
[{vnodeA, 10}, {vnodeB, 4}, {vnodeC,11}]	
Entries	
Bob	[{vnodeA, 2}]
Cameron	[{vnodeB, 2}, {vnodeC, 5}]
Charlene	[{vnodeB, 4}]
Deferred Ops	
[{vnodeA, 4}, {vnodeX, 22}]	➡ Tim
[{vnodeB, 7}]	Zooey





An optimized conflict-free replicated set Annette Bieniusa et al <u>http://arxiv.org/abs/1210.3368</u>





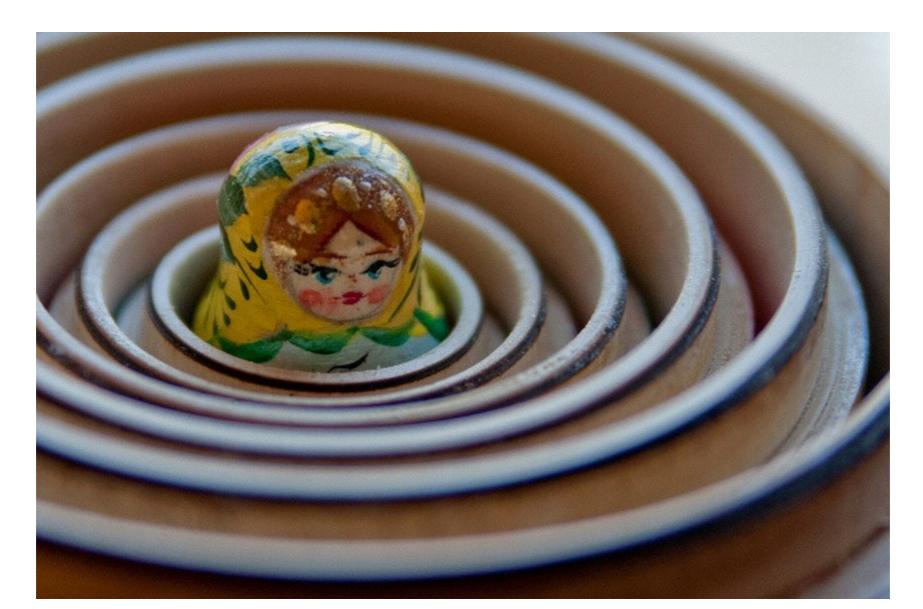


PHOTO © 2011 J. RONALD LEE, CC ATTRIBUTION 3.0. https://www.flickr.com/photos/jronaldlee/5566380424

### Teach Riak about CRDTs

- API Boundary
- Syntactic merge riak\_object:merge
  - Version Vector merge and sibling storage
  - CRDT == no siblings

Problem?

### Use Case

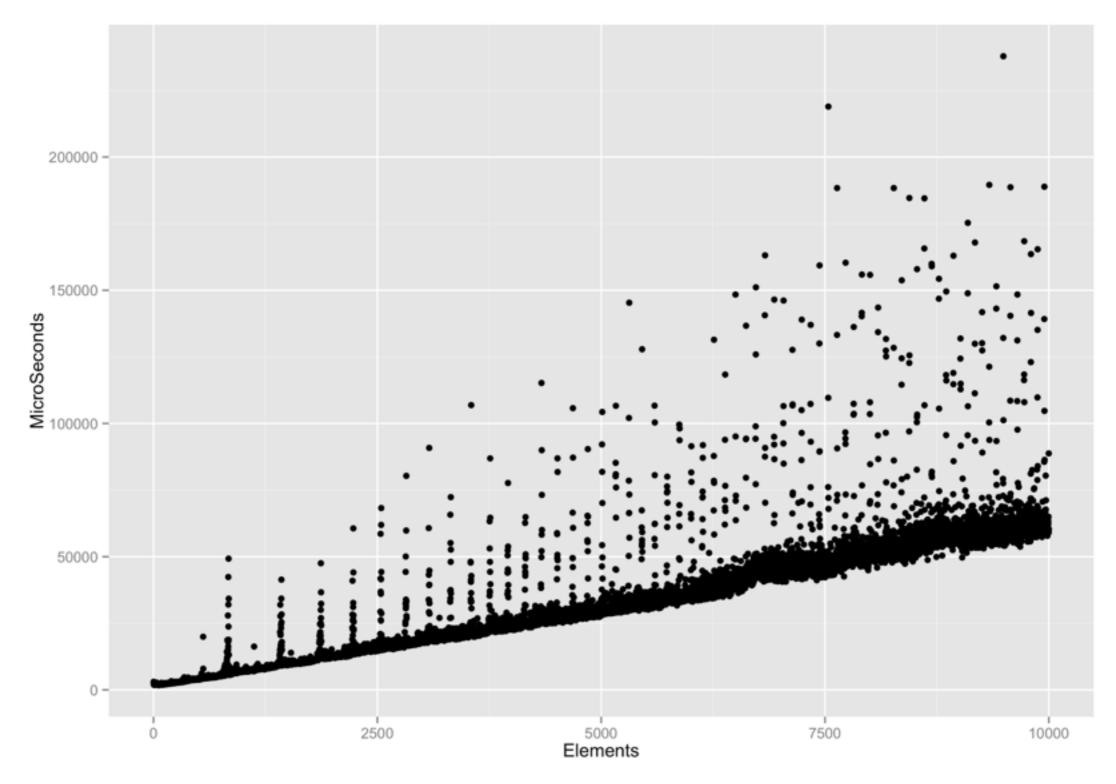
- bet365 million pound customer
- Use CRDT sets for open bet tracking
- Partition Riak Sets
  - Performance write speed
  - Size cardinality

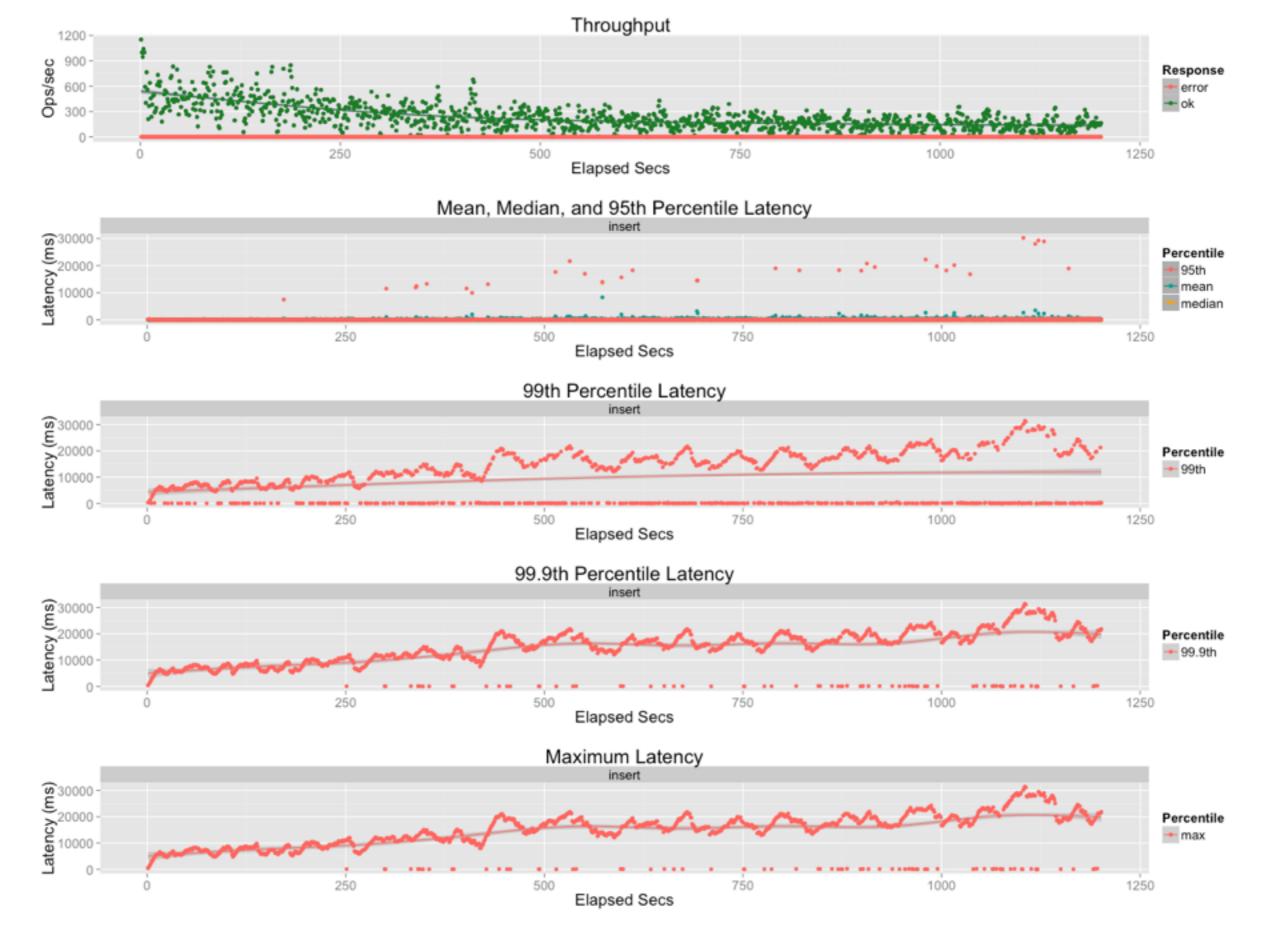
### Use Case

- NHS England
- Use CRDT sets for mailbox app
- Truncate/archive older messages
  - Performance write speed
  - Size cardinality

### Problem?

- Poor Write speed
- Can't have "big" sets





10k sets, 100k elements, 50 workers - write

- read at replica
  - deserialise
  - mutate
  - serialise
  - write

- replicate FULL STATE
  - (read, deserialise? merge? serialise?, write?)
- ? riak\_object.vv
  - Accidental Optimisation

Every time we change the set we read and write the whole set!

### Delta-Sets

- Only replicate the Delta the change
  - The delta is element + causal tag
  - Can be "Joined" like full state
    - Idempotent/Associate/Commutative
- Efficient State-based CRDTs by Delta-Mutation -Paulo Sérgio Almeida et al

### Delta-Sets in Riak

- Still read whole set to generate delta
- Still read whole set to merge delta in fact MUST
  - (read, deserialise! merge! serialise! write!)
    - Database disk i/o is THE thing
- Delta is always concurrent/sibling
  - Save on the network, pay on the disk

## Small : riak object 1MB limit

### Disconnect

- Paper minimal model to express innovation
  - A set Actors, each a replica
  - A single CRDT in memory
  - Reads are R=1

### Disconnect

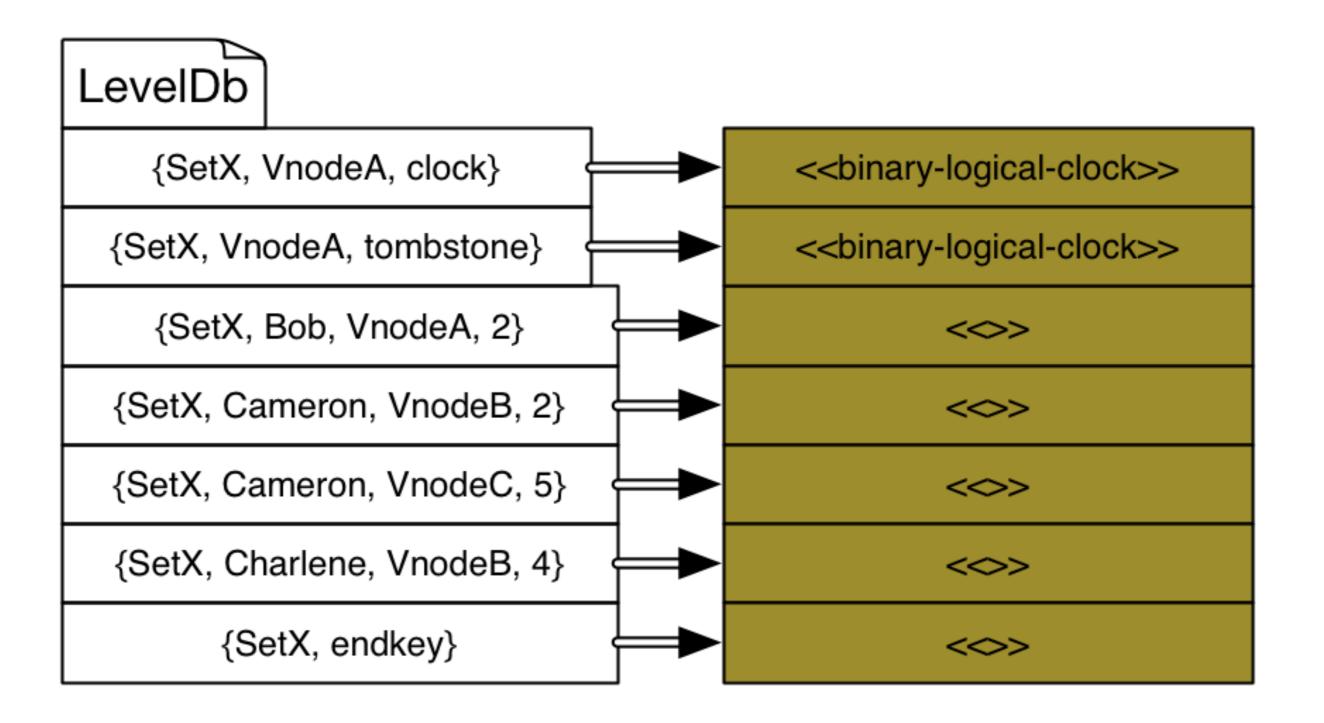
- Riak A real world industrial database product
  - Many Keys, many CRDTs
  - Durably stored on Disk serialisation
  - Clients act remotely on State
  - One Key, One Set O(n)

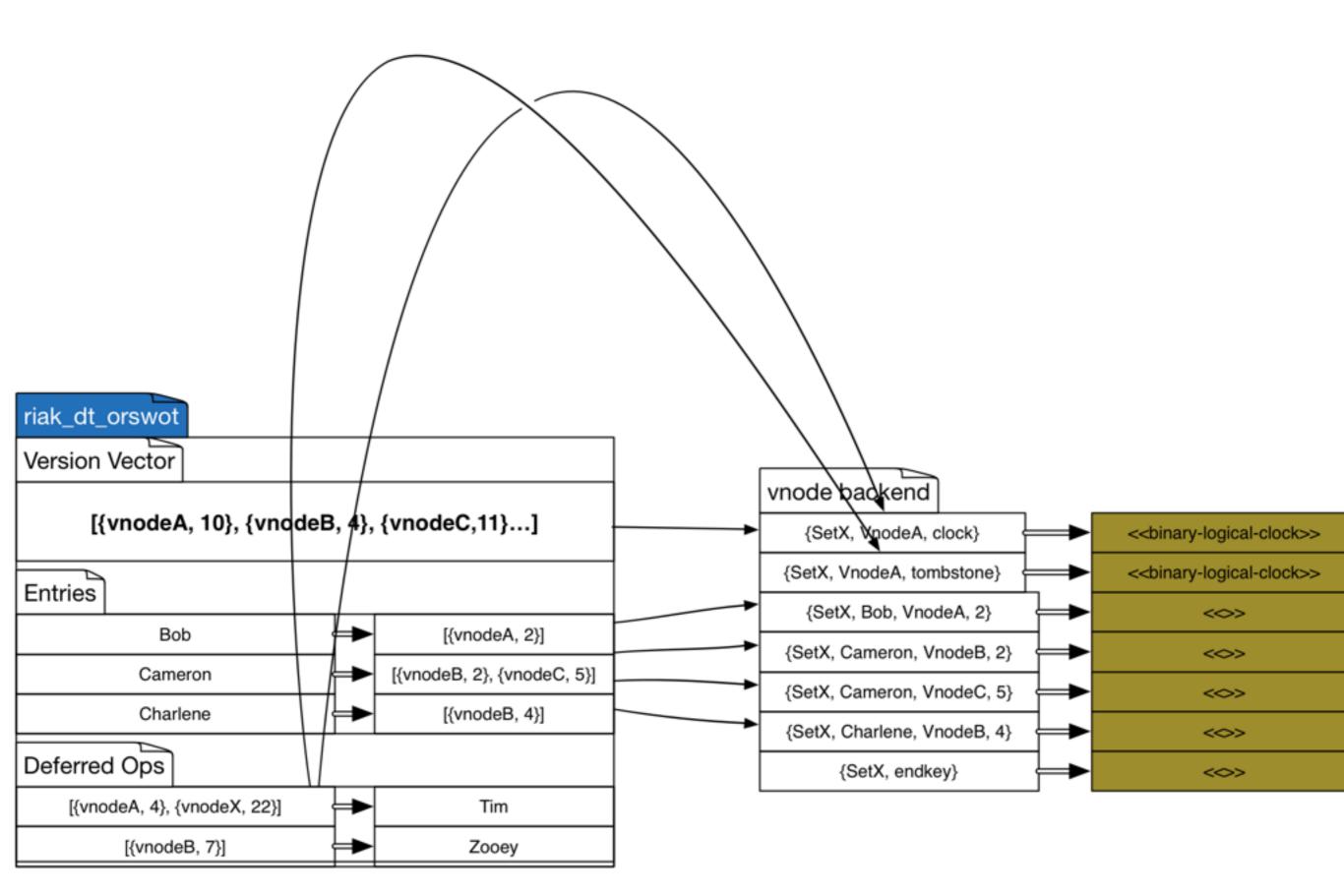
# Problem Summary

- Join is expensive
- Serialisation/Deserialisation dance wasteful
- Disk i/o matters to a database!

## Bigsets: Make writes faster and sets bigger

## Bigset Design: Overview

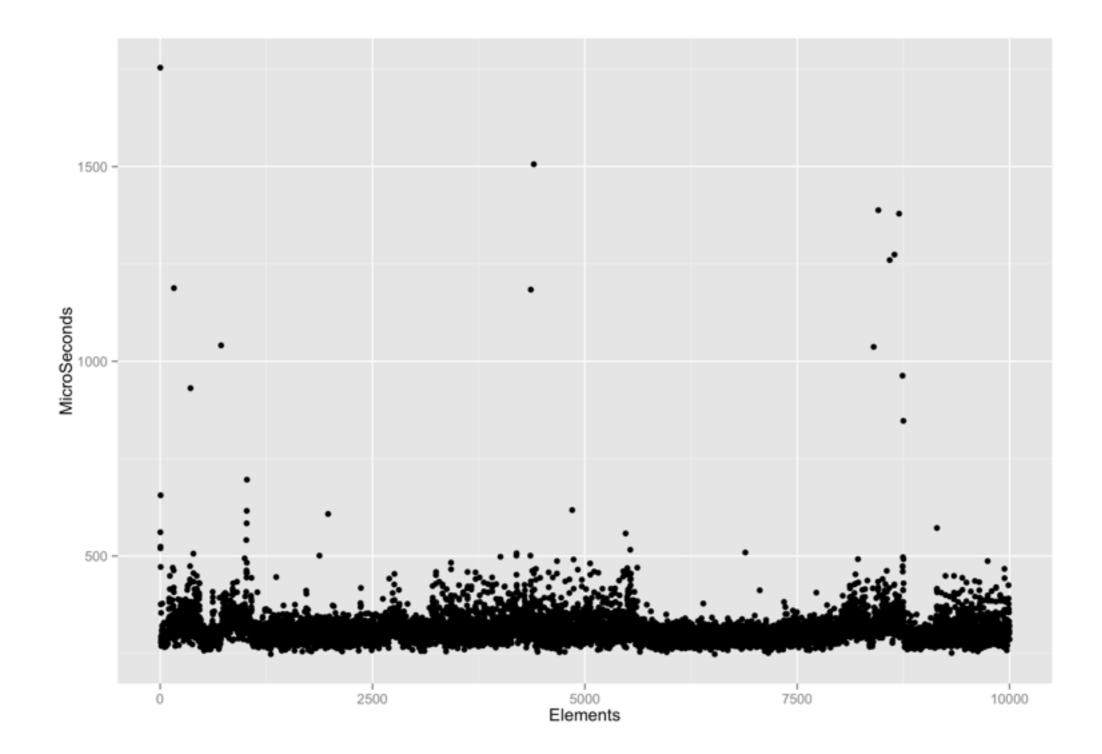


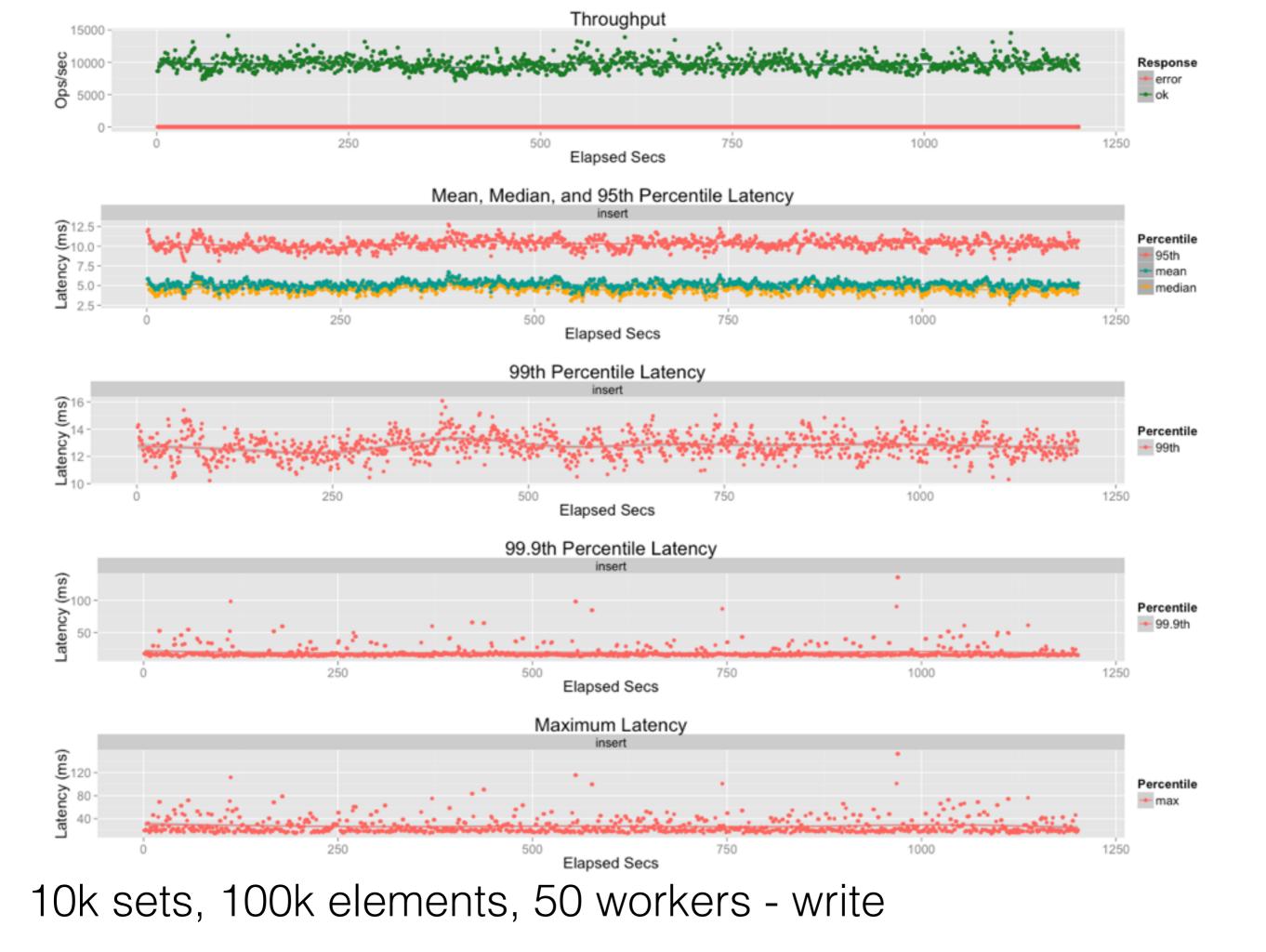


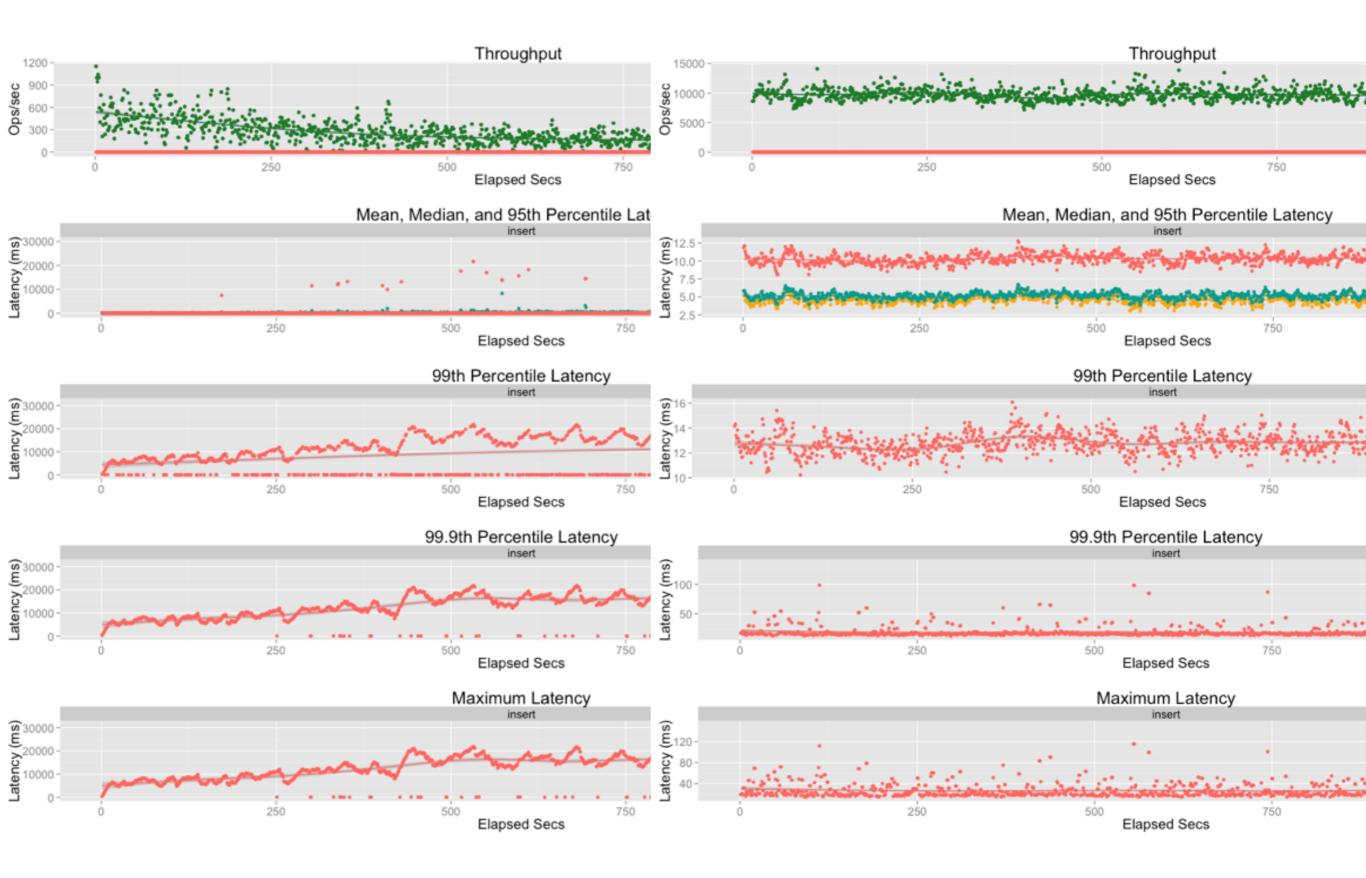
## Bigset Design: Overview

- Decomposed
- A clock, and some elements
  - each gets a key in leveldb

### Initial Results







10k sets, 100k elements, 50 workers - write

### One small change

- Thinking from the bottom up
- Thinking about the disk and the database
- NOT a theoretical model

## Bigset Design: write

- read clock
- increment
- assign dot to element
  - store clock+element
  - replicate delta

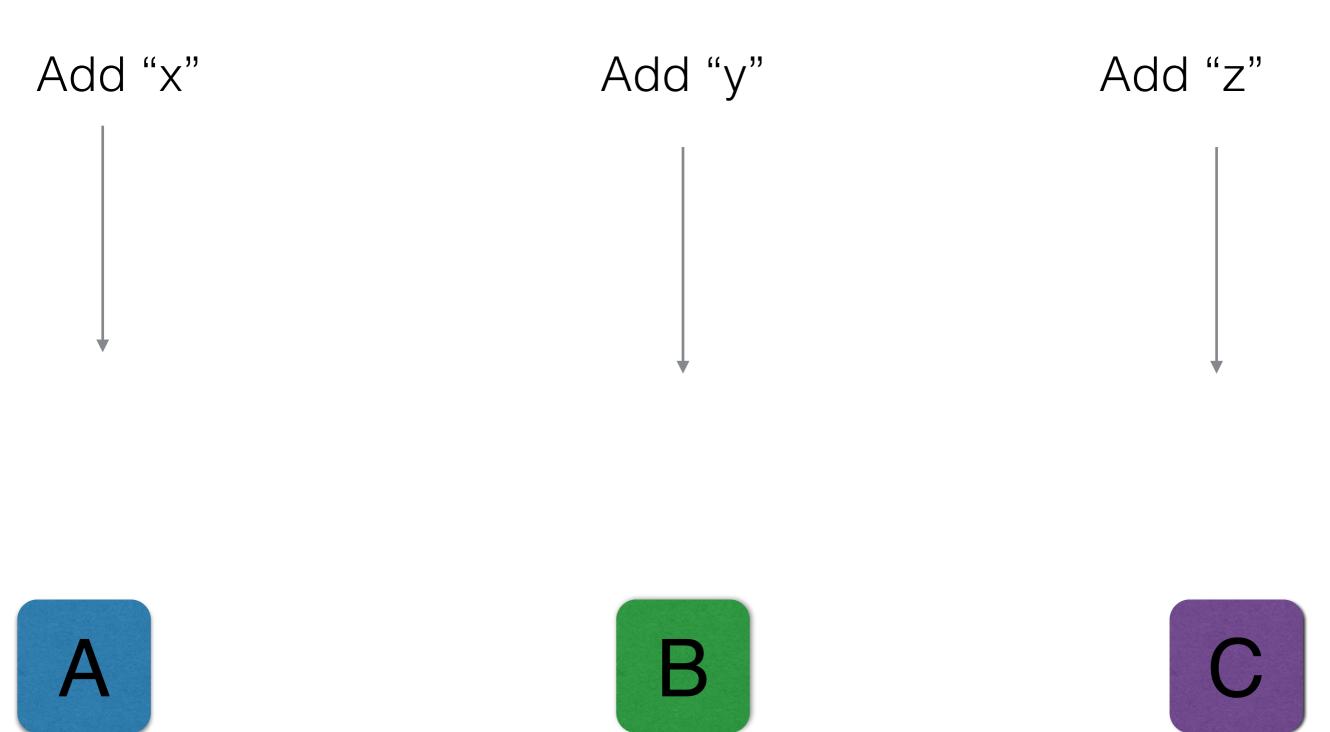
## Bigset Design: write

- read clock
- if seen dot, ignore
- else add dot to clock
  - store clock+element

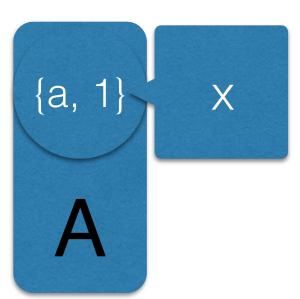
## Bigset Design: Clock

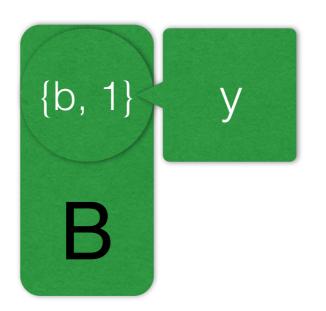
- Base VV [{actor, counter}]
- "dot-cloud" [{actor, [counter]}]

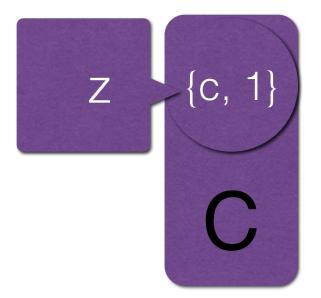
### Bigset Design: Clock Gaps?

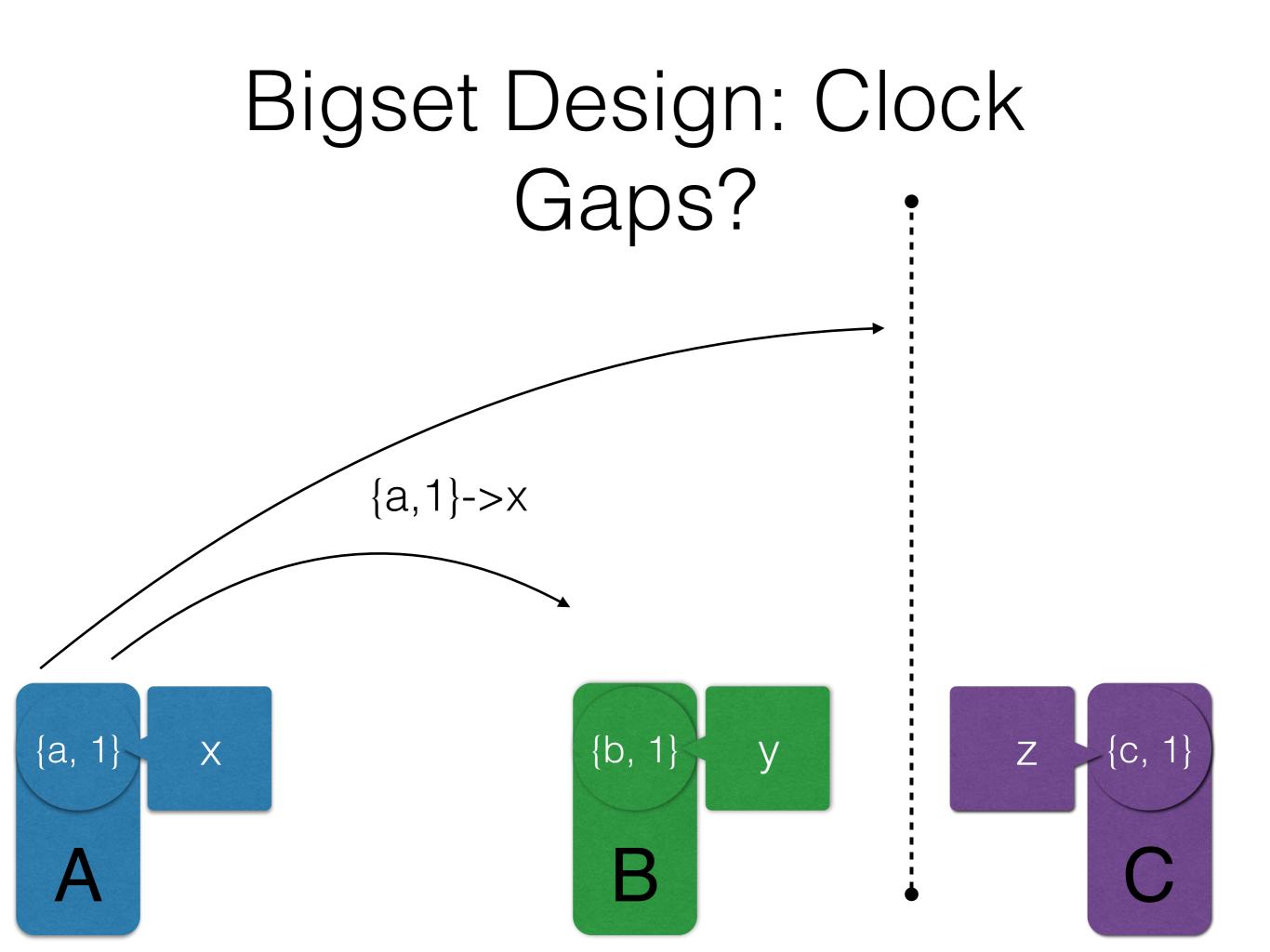


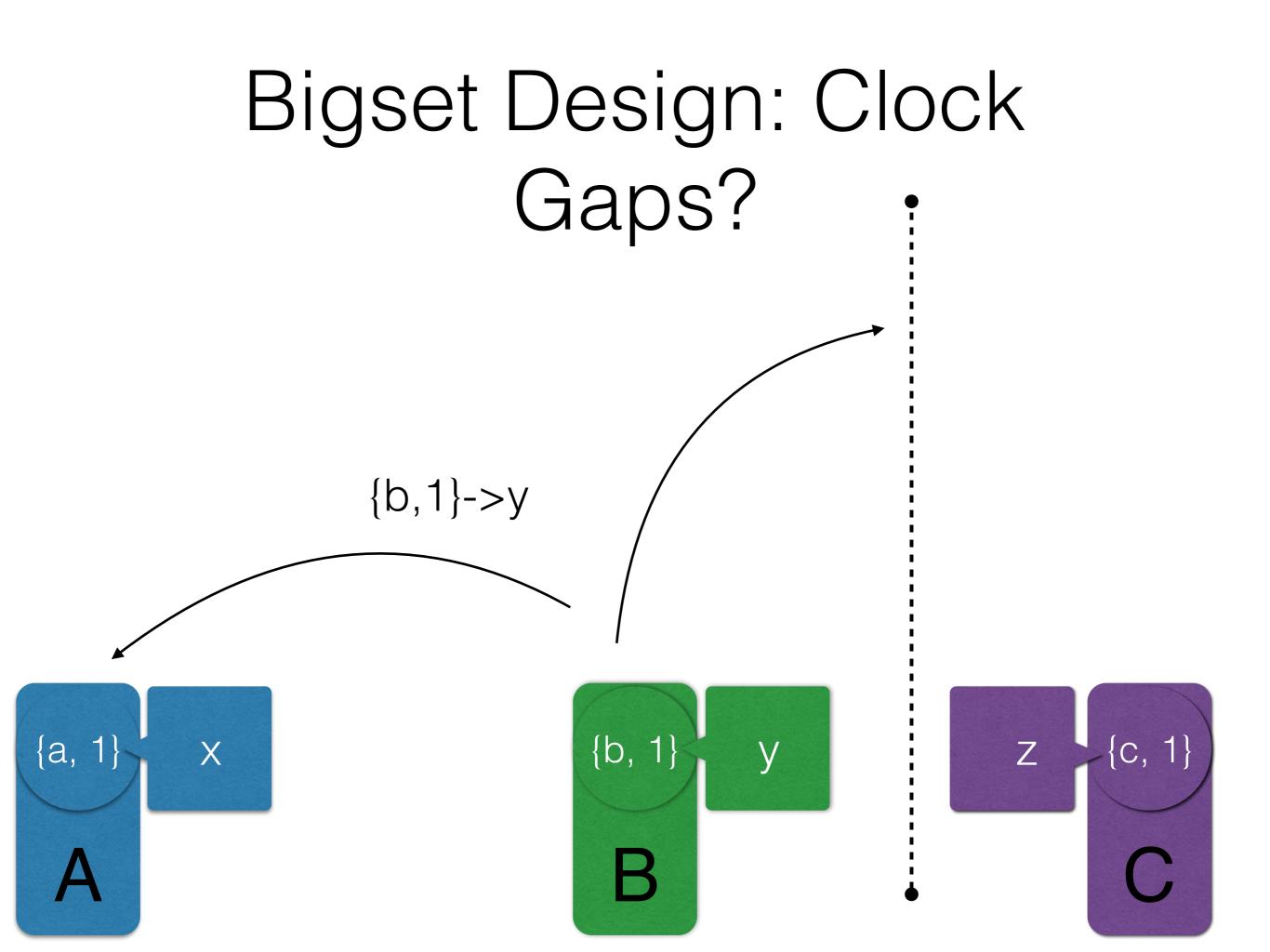
#### Bigset Design: Clock Gaps?



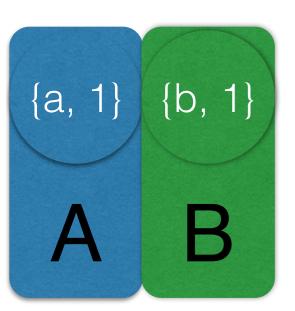


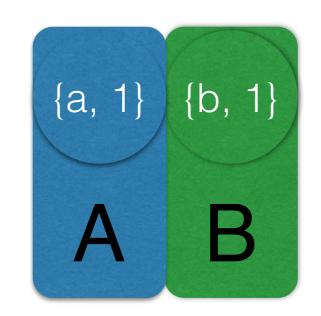


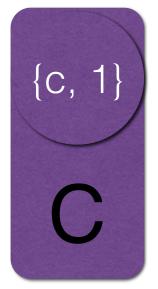


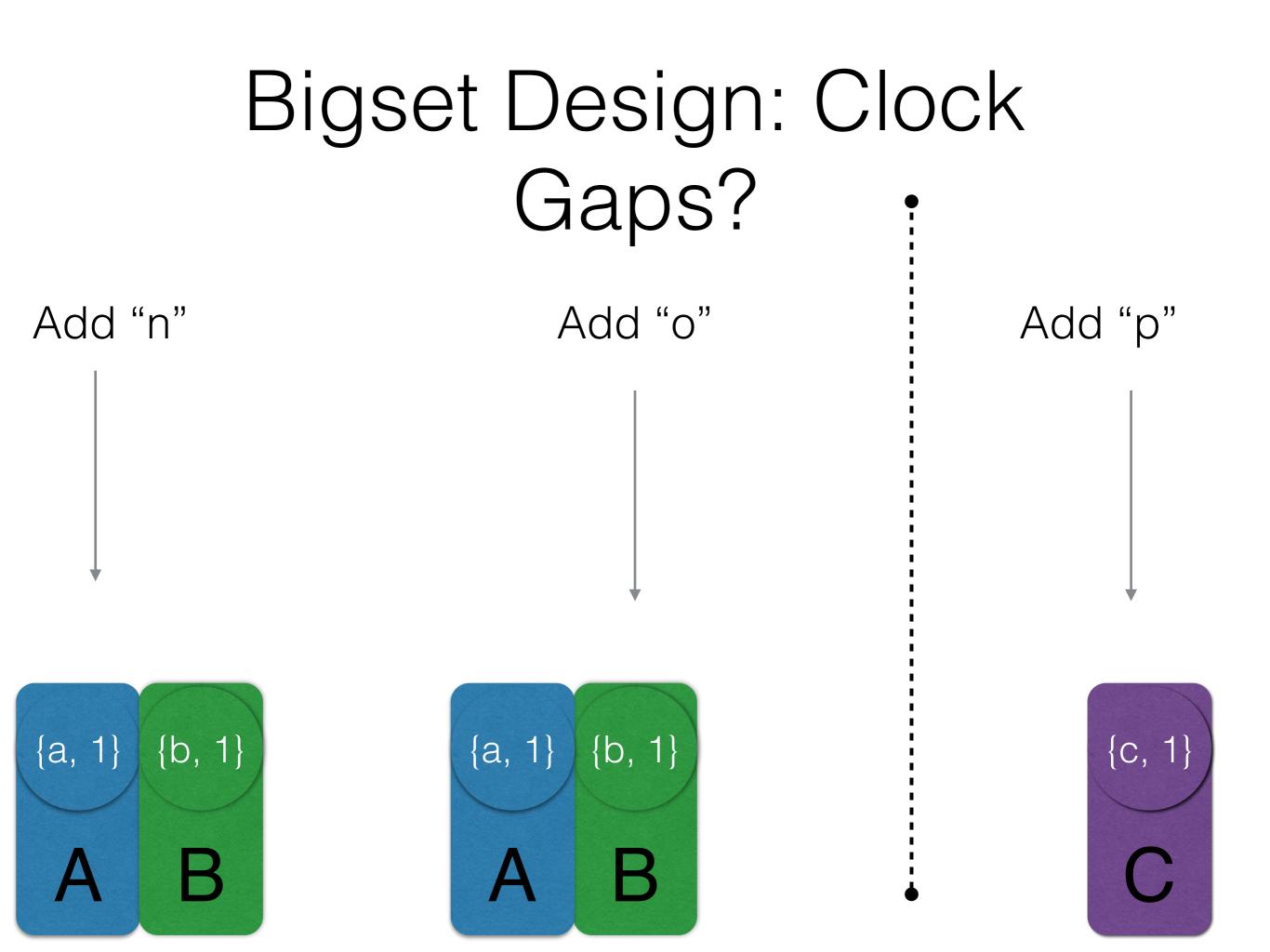


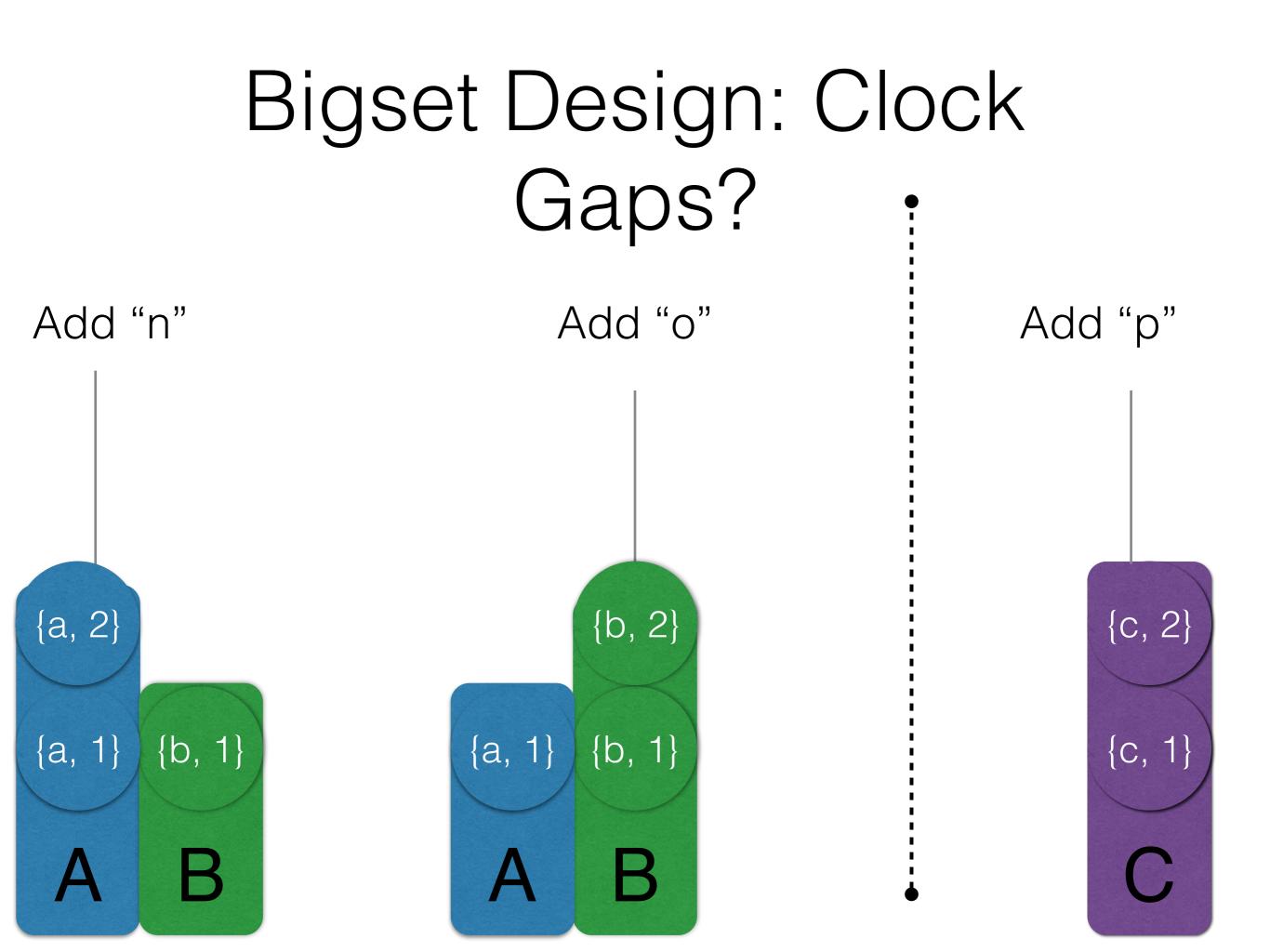
# Bigset Design: Clock Gaps?



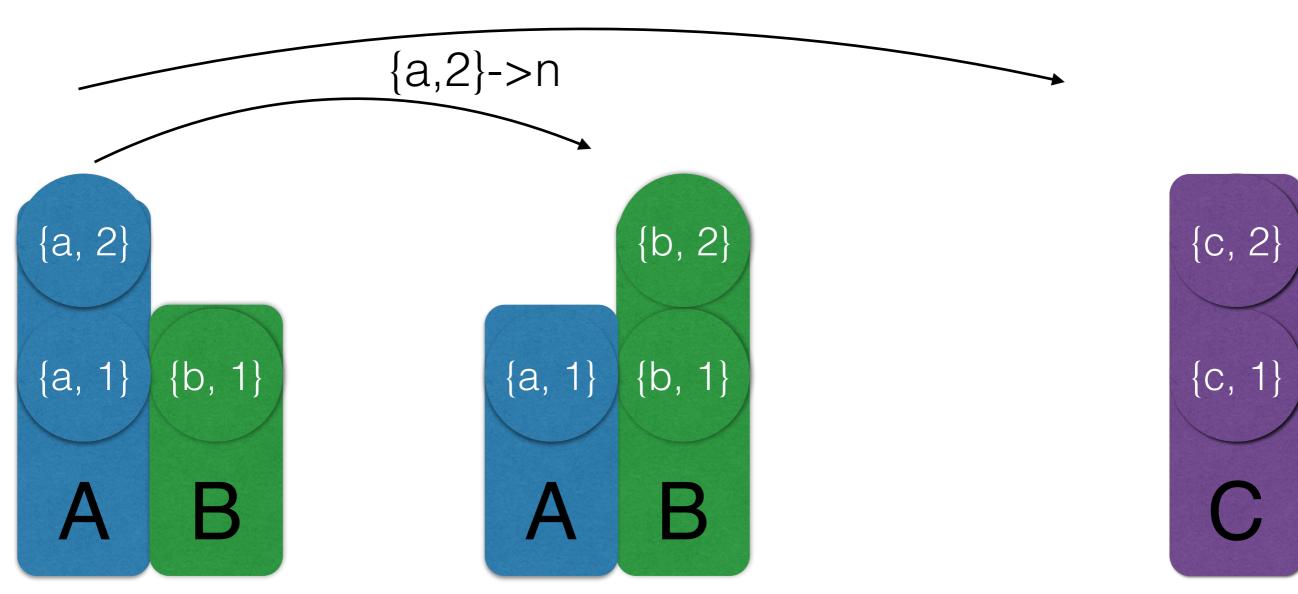


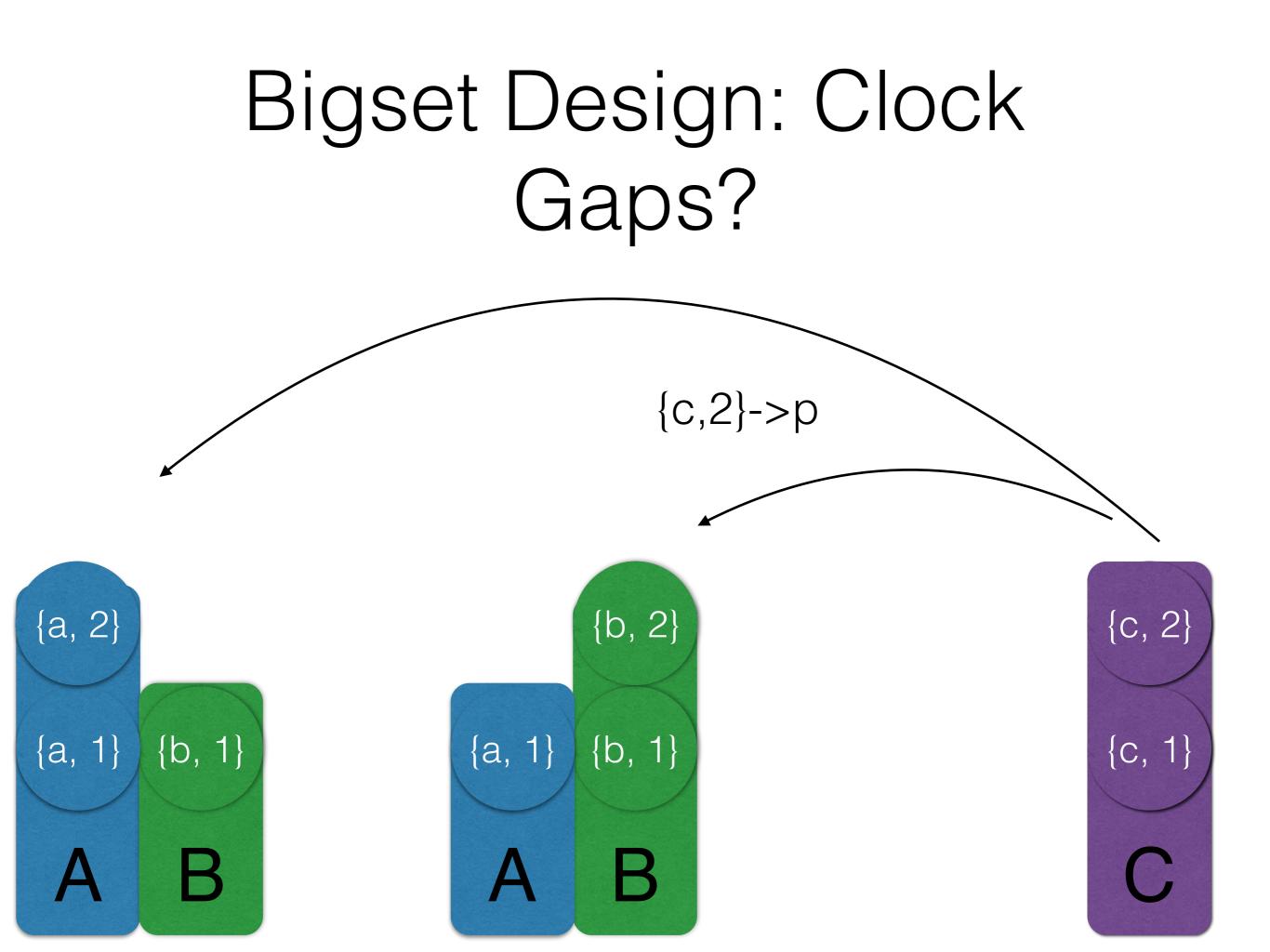




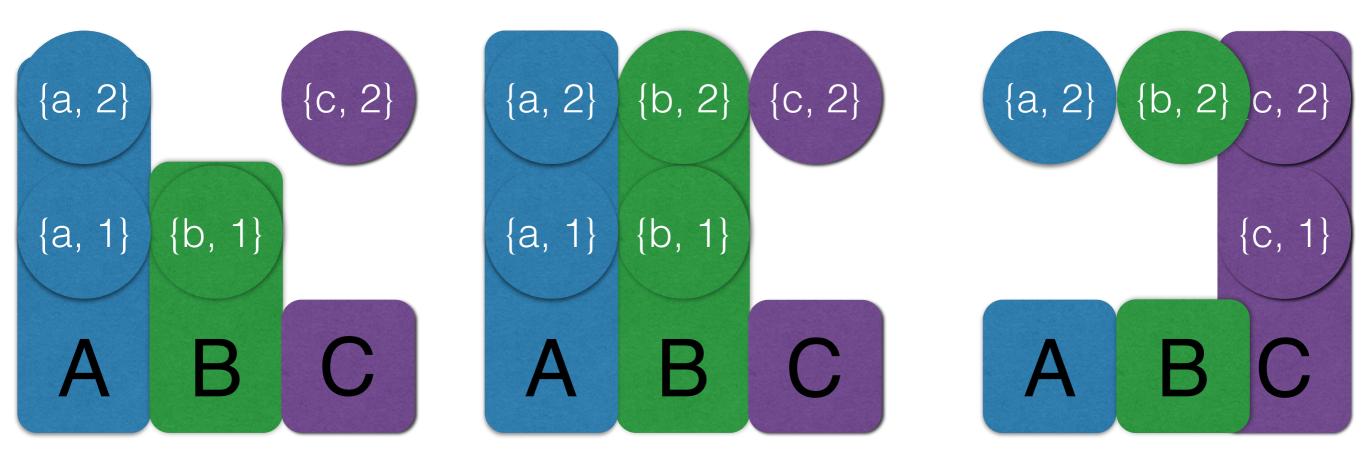


### Bigset Design: Clock Gaps?





### Bigset Design: Clock Gaps?



### {b, 200} Bigset Design: Clock Gaps? {a, 7} {b, 3} {a, 2} {b, 2} c, 2} $\{c, 1\}$

С

B

## Bigset Design: elements

- <<Set, Element, Actor, Cnt>> so Actor, Cnt make a dot
  - Times/Space trade off for concurrent elements
- Ordered by Set, Element, Actor, Cnt
  - c++ key comparator for leveldb
  - No serialisation fast writes

### Bigset Design: End Key

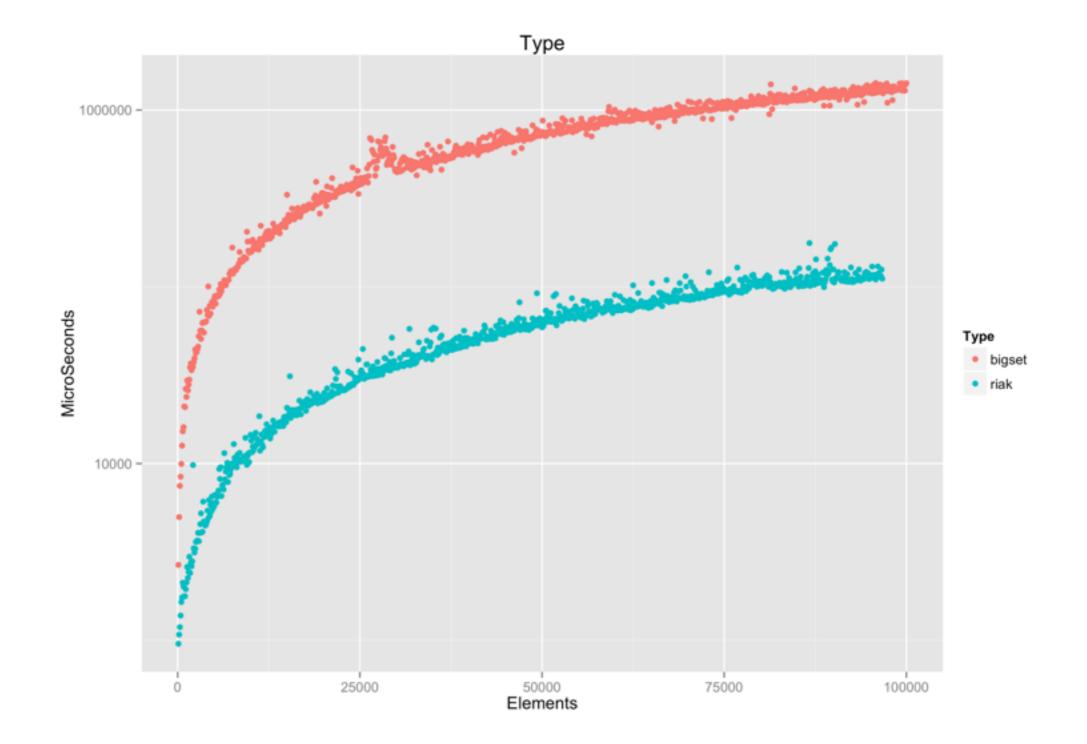
- <<Set, \$z>>
- Sorts last

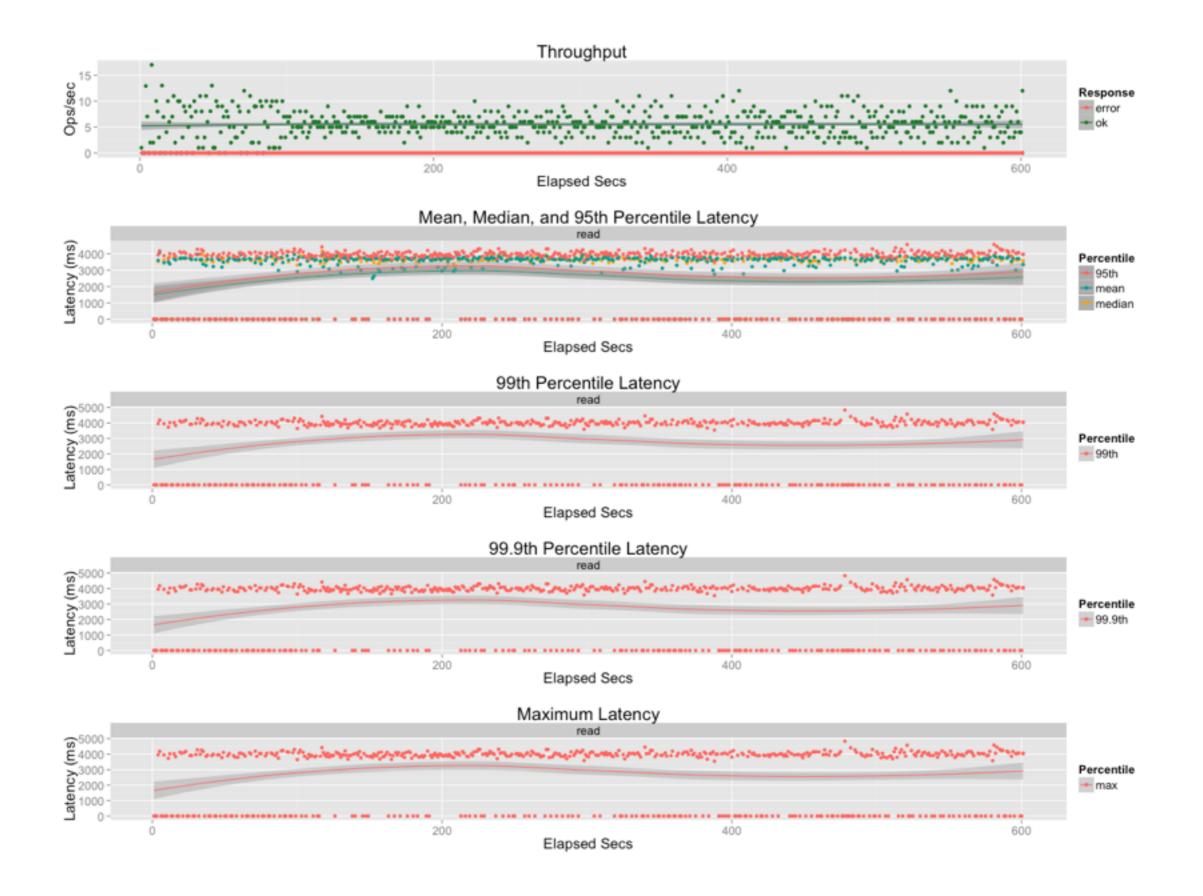
# Bigset Design:Sorting

- Clock first, then elements, the end key
- For each set the keys are contiguous

Reads?

### Initial Read Results





10k sets, 100k elements, 20 workers - read

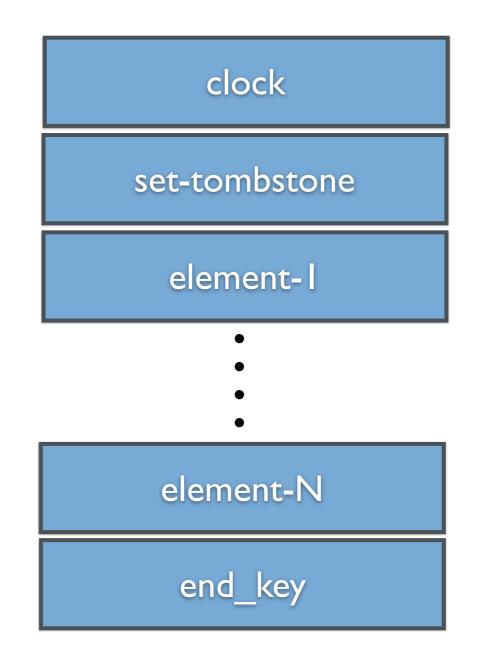
## Bigset Design: Read

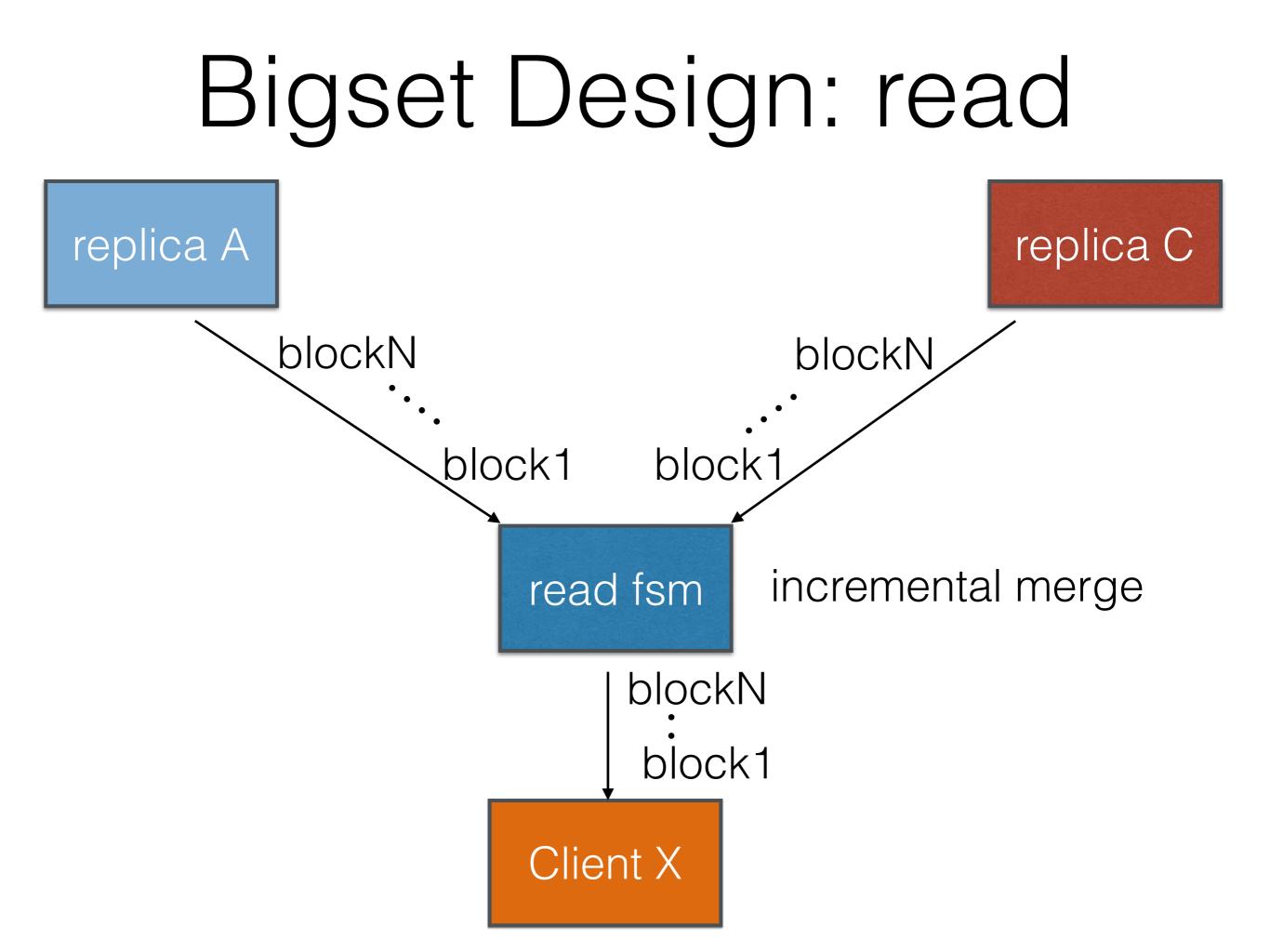
- Iterate over many keys
- Leveldb iterate -> erlang fold

# Bigset Design: Read

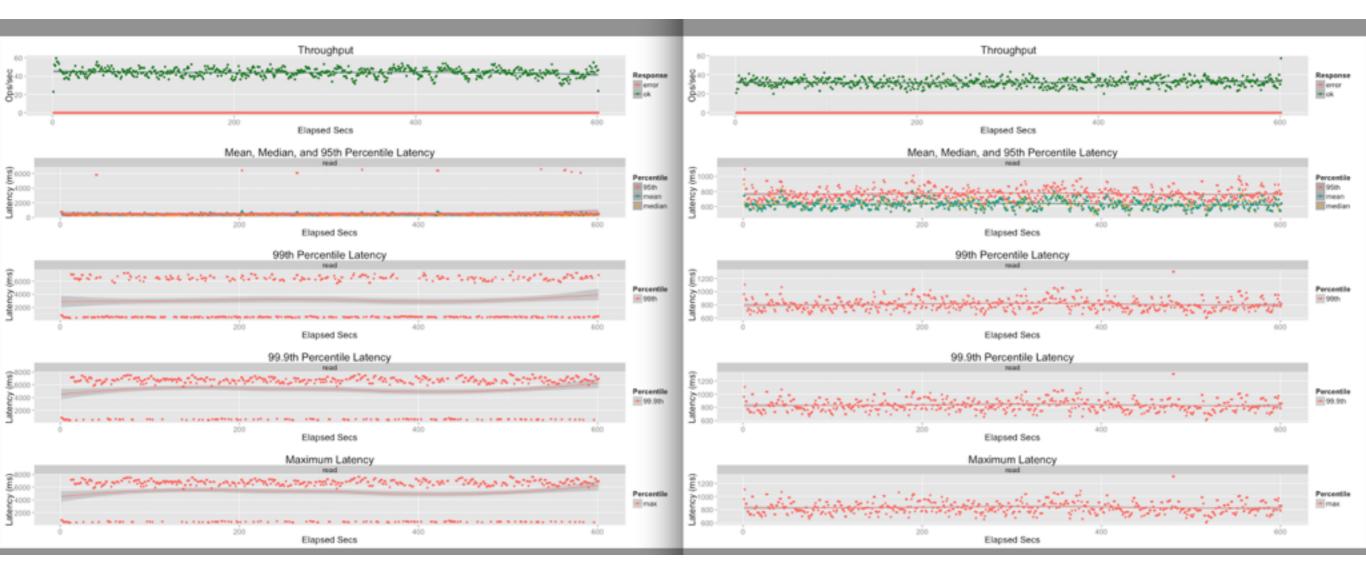
- "Streaming Fold" over Set (start-to-key-end key in a buffer)
  - Configurable chunks, say 100k elements
- Stream keys in batches to read\_fsm back pressure
- Read fsm incremental ORSWOT merge over R replicas
  - stream results to client

### Bigset Design



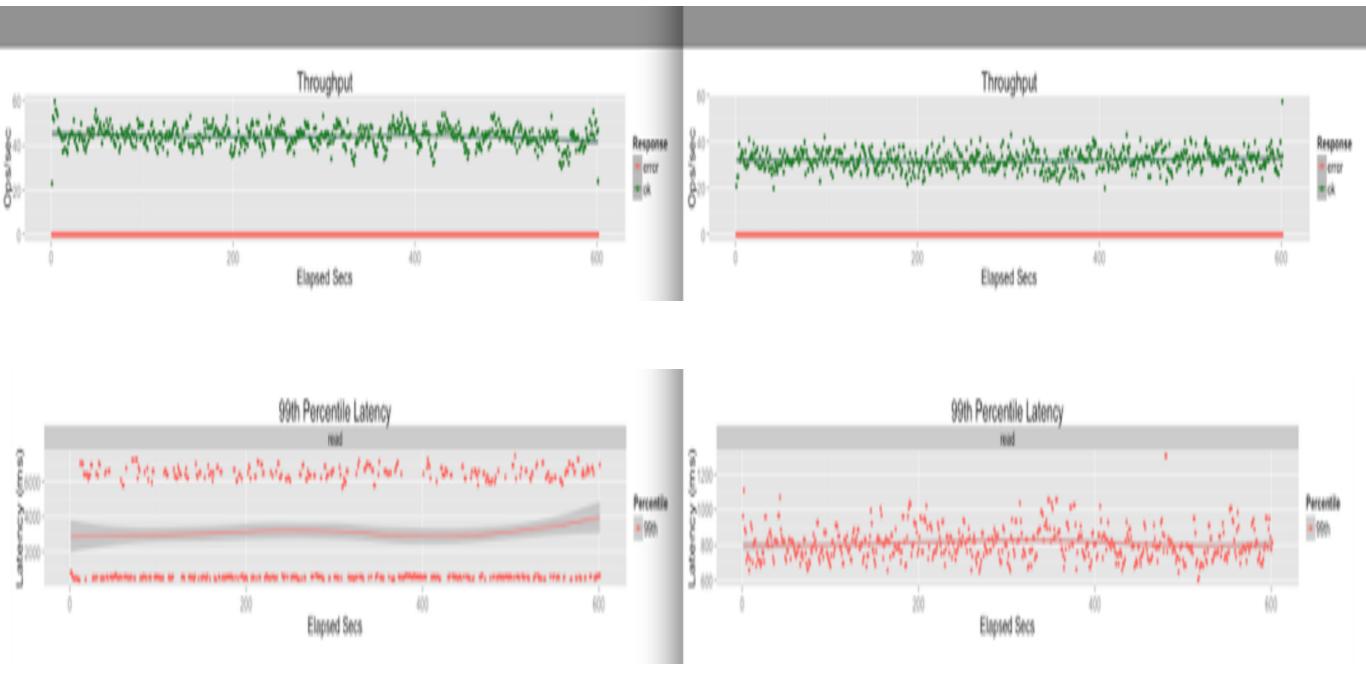


### Reads Today



10k sets, 100k elements, 20 workers - read

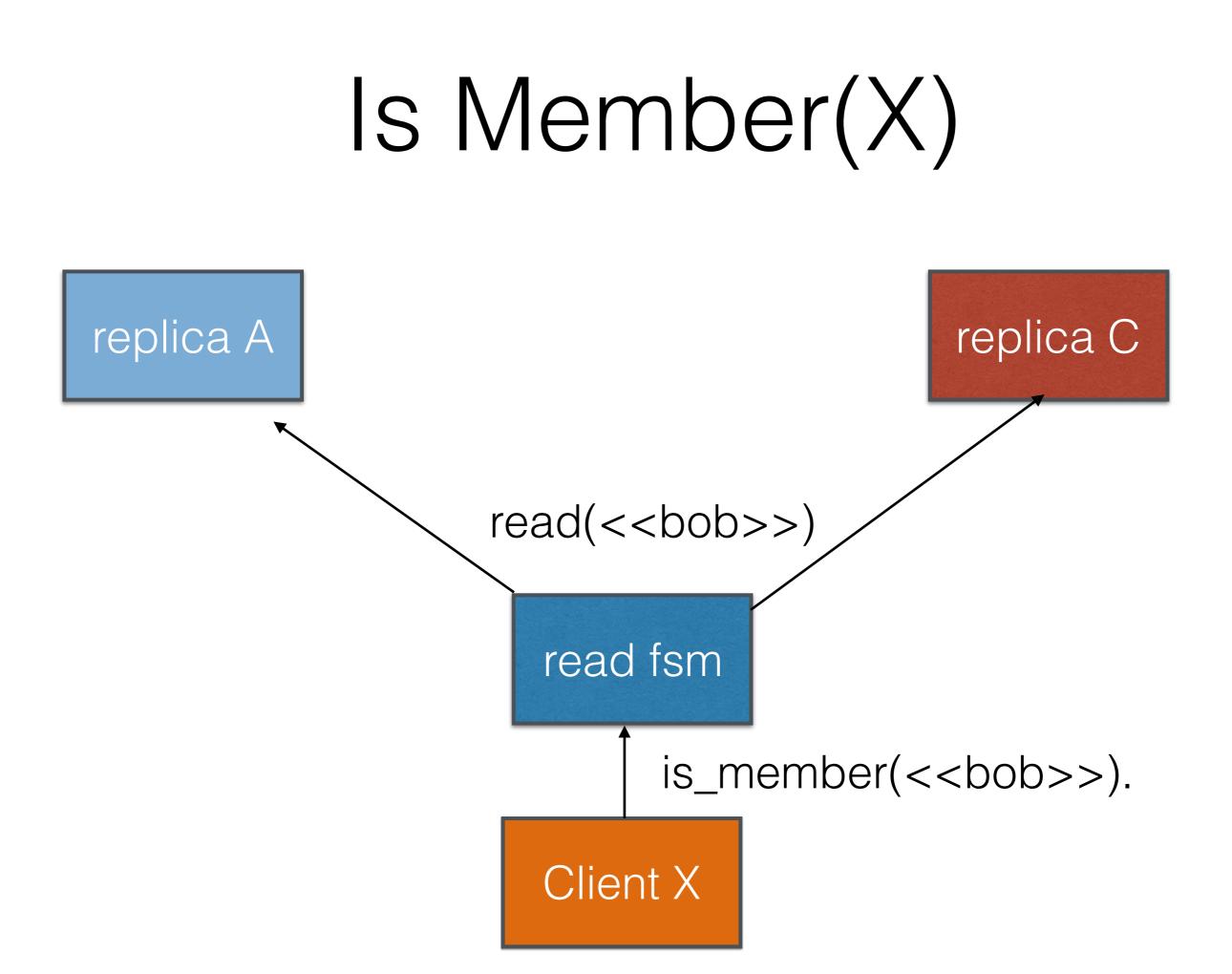
### Reads Today



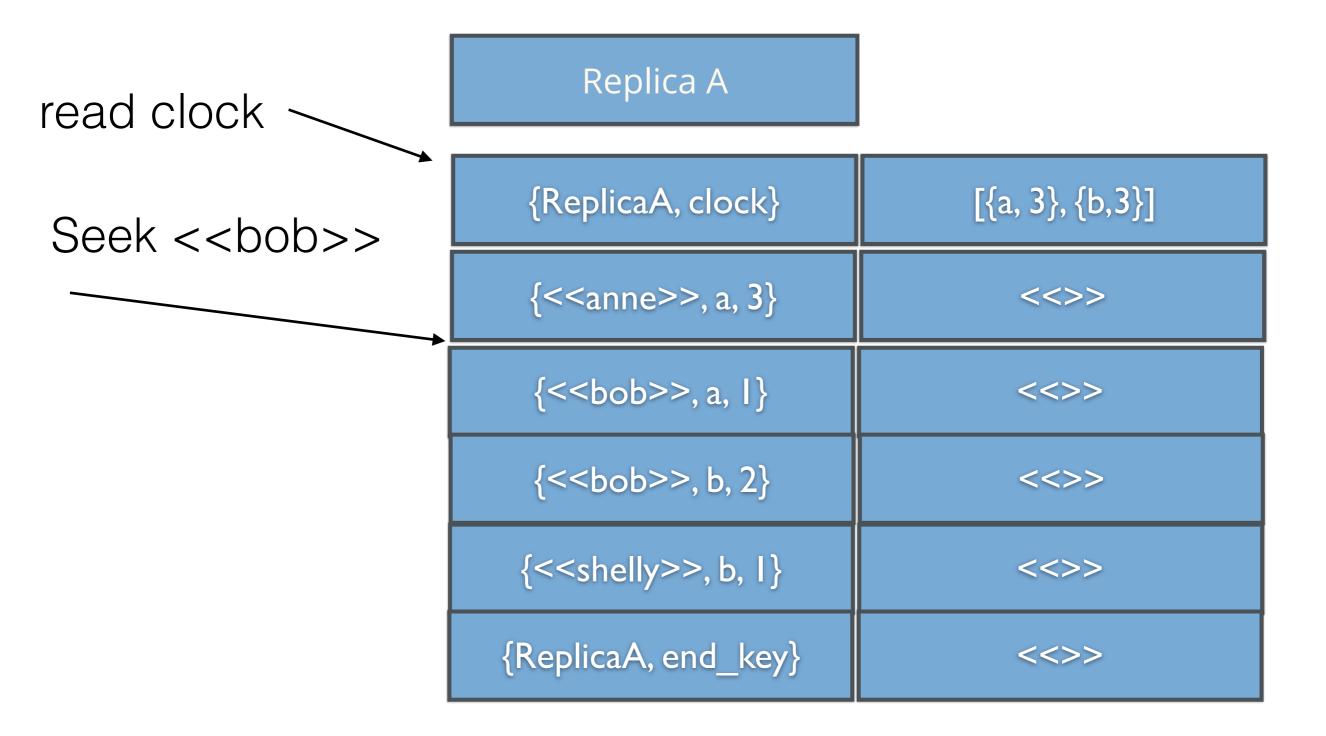
10k sets, 100k elements, 20 workers - read

### Full Set Read or Queries?

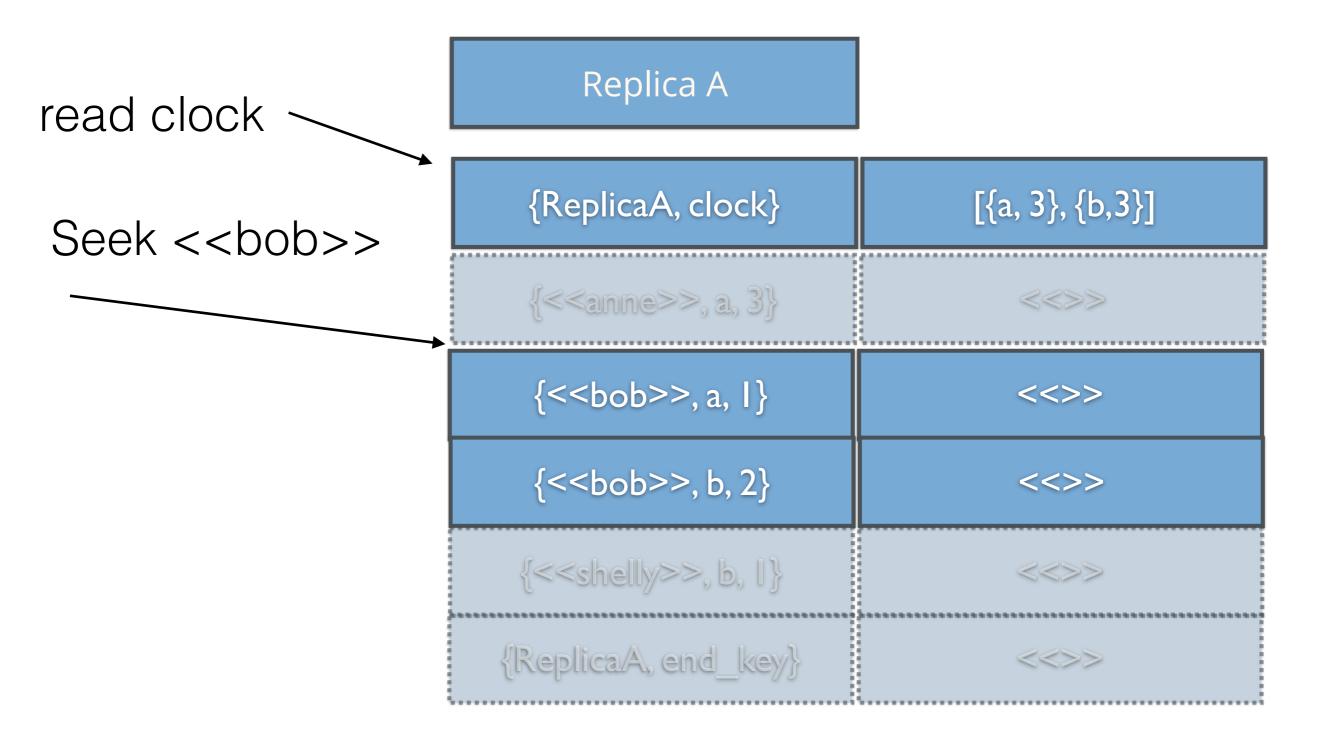
- Decomposed design enables queries
  - Is Member
  - subset queries per vnode is c++
  - Range queries SORTED!
  - Pagination

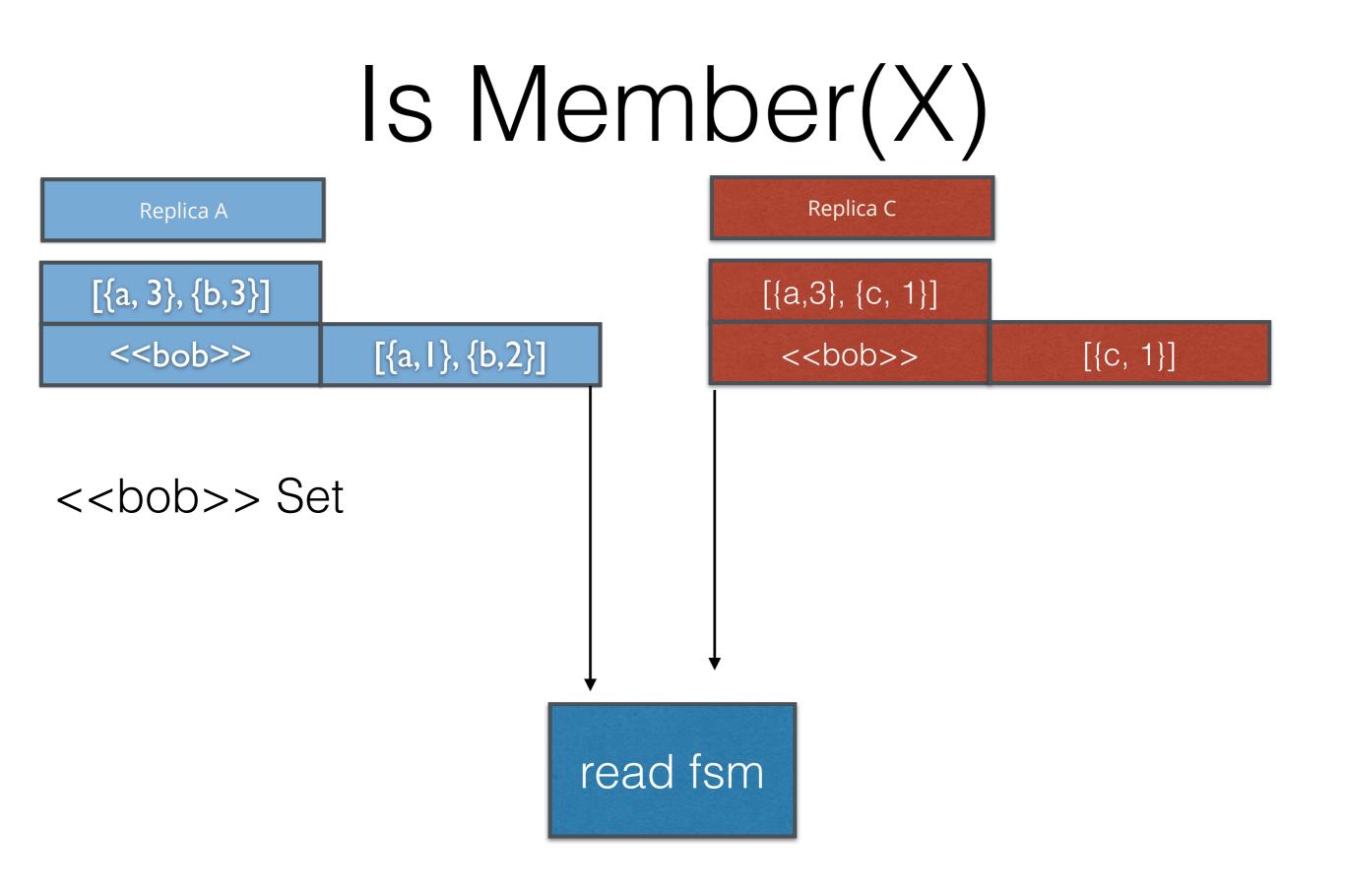


### Is Member(X)

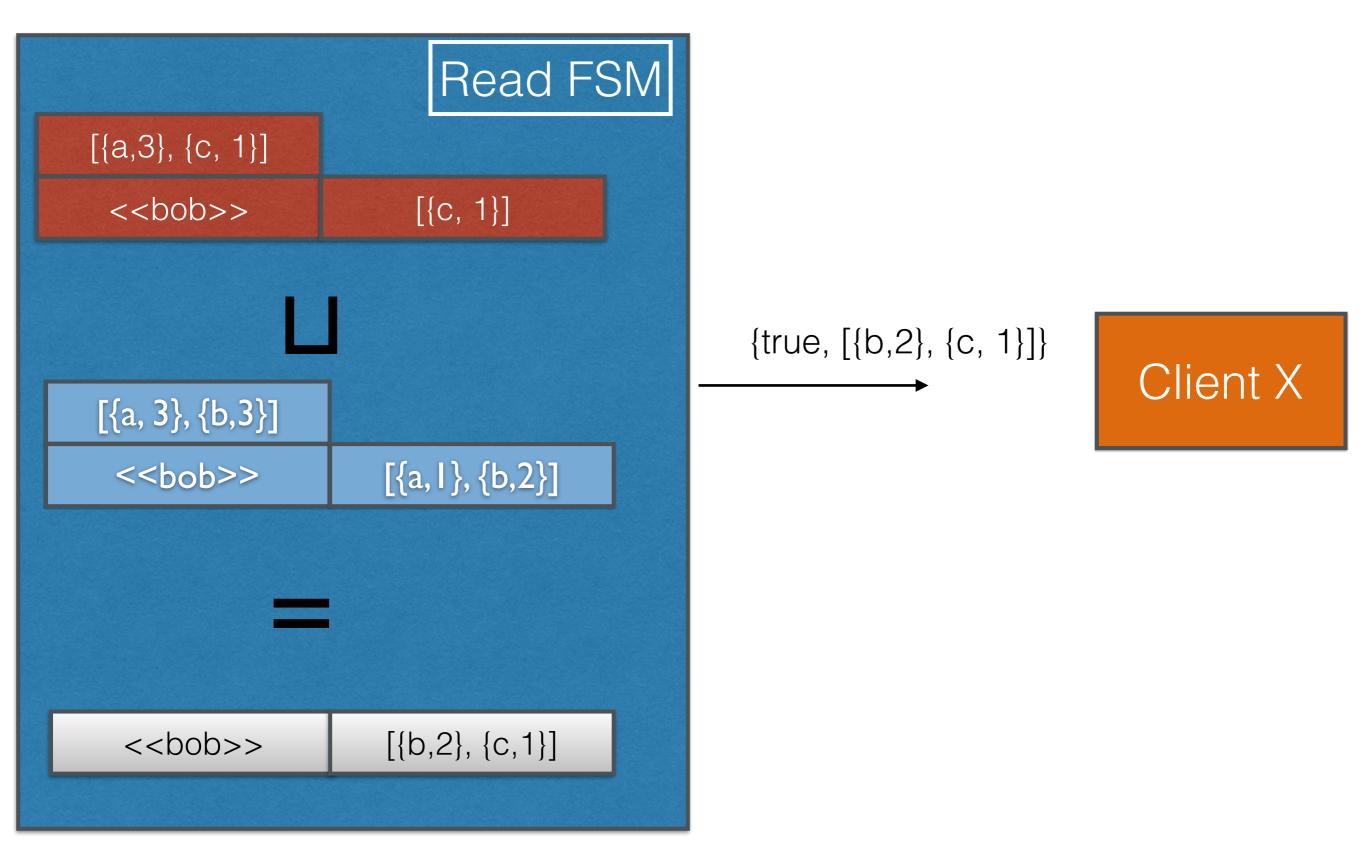


### Is Member(X)





### Is Member(X)



### Removes

- Observed-Remove context
- Requires \_some kind\_ of read
  - cheap membership check

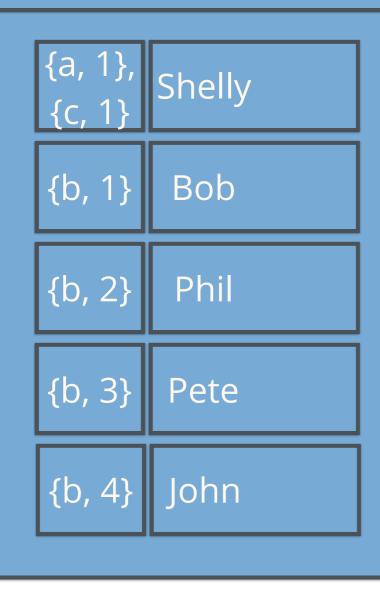
### Sets in Riak

- Adds are removes!
- Action-at-a-distance!
  - Clients are NOT replicas

### Adds are removes

replica b

[{a, 1}, {b, 4}, {c,1}]



### Add "Shelly"

### Adds are removes

#### replica b

[{a, 1}, {b, 5}, {c,1}]

 {b, 5}
 Shelly

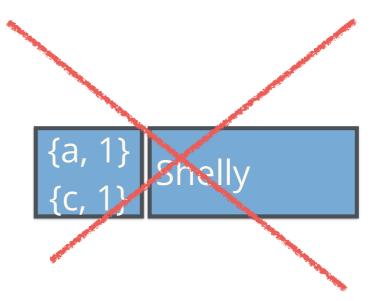
 {b, 1}
 Bob

 {b, 2}
 Phil

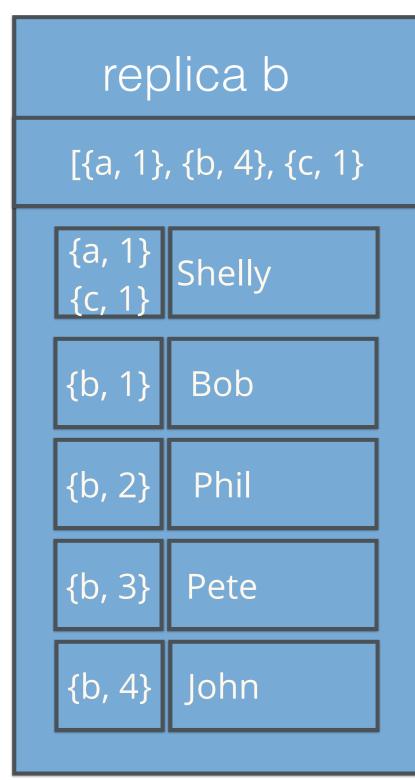
 {b, 3}
 Pete

{b, 4} John

### Not concurrent -Seen



### Action-at-a-Distance

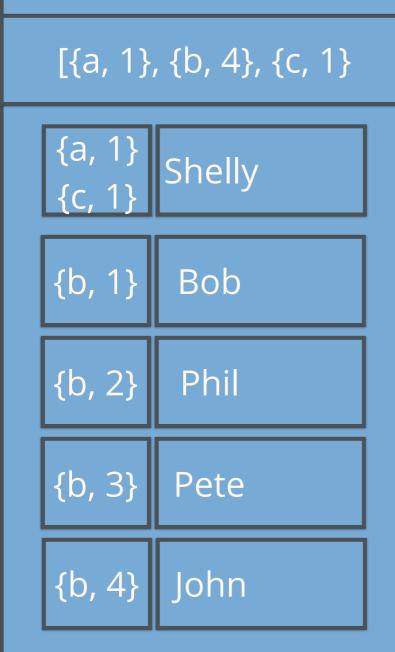




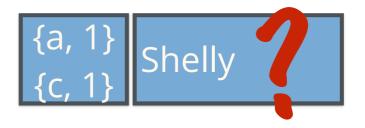
#### Client X

### Action-at-a-Distance

replica b



### Are adds removes? Concurrent? Seen?



### Contexts & Consistency

- add X no ctx
  - empty ctx always concurrent (safe!)
  - local ctx non-deterministic (could remove all, some, none other X)
    - depends on handling vnode's state

### Contexts & Consistency

- add X + per element ctx
  - only removes observed X regardless of handling vnode
  - mmmm, deterministic outcome

### Bigset

- Adds are removes!
- They need a context
  - Cheap is\_member(X)

### Elided Complications

- Hand-Off
  - 1-way Full state merge
    - Without reading keys on receiving side!
    - Event Set Maths
  - Anti-Entropy
  - Read Repair
- Multi-Data-Center

- MUST read full set at sender
- Read full state at receiver?
  - Would be bad

- Sender sends keys
  - Keys receiver hasn't seen store
  - key receiver has seen ignore
  - Only read clock!

- Sender doesn't send keys it has removed
  - key receiver never saw merge clocks
    - Just read clock!
  - key receiver saw add to set-tombstone

### Set-Tombstone

- (Compact) Set of causal tags of removed keys
- Stored like on disk in a key

- handoff receiver state
  - On sender clock\_key
    - C=clock, T=bigset\_clock:fresh()
    - for each key received add dot to tracker

- On end\_key
  - C T = Removed Dots
  - ToRemove = Receiver Clock intersected with Removed Dots
  - Receiver Tombstone + ToRemove

### Compaction

- set-tombstone logical clock/set of dots
- leveldb compaction
  - if dot < set-tombstone discard</li>
  - set-tombstone = set-tombstone dot
    - tell vnode after compaction "remove dots [dot()] from set-tombstone"

### Next?

- Production level code for Riak 2.x
- Causal Consistency
- More types "Big" Maps
- Tables of Maps or Sets
- SQL over Eventual Consistency

### Summary

- Eventual Consistency buys you low latency & availability
- Conflicts can be hard for application developers
- CRDTs help
- A little engineering goes a long way
  - Decomposition brings complications too