Software Engineering using Formal Methods Proof Obligations

Wolfgang Ahrendt

13 October 2015

making the connection between

JML

and

Dynamic Logic / KeY

making the connection between

JML

 $\quad \text{and} \quad$

Dynamic Logic / KeY



making the connection between

JML

and

Dynamic Logic / KeY

- ▶ generating,
- understanding,

making the connection between

JML

and

Dynamic Logic / KeY

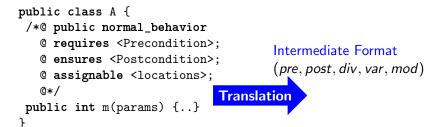
- generating,
- understanding,
- and proving

DL proof obligations from JML specifications

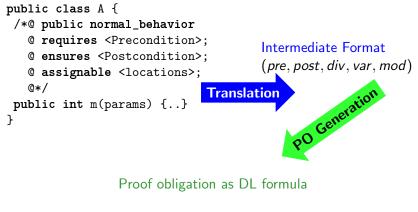
From JML Contracts to Intermediate Format to Proof Obligations (PO)

```
public class A {
   /*@ public normal_behavior
   @ requires <Precondition>;
   @ ensures <Postcondition>;
   @ assignable <locations>;
   @*/
   public int m(params) {..}
}
```

From JML Contracts to Intermediate Format to Proof Obligations (PO)



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$$egin{aligned} & \mathsf{pre}
ightarrow \ & \langle \texttt{this.m}(\texttt{params}) \, ;
angle \ & (\mathsf{post \& frame}) \end{aligned}$$

JML Translation: Normalizing JML Contracts

Normalization of JML Contracts

- 1. Flattening of nested specifications
- 2. Making implicit specifications explicit
- 3. Processing of modifiers
- 4. Adding of default clauses if not present
- 5. Contraction of several clauses

Tho following introduces principles of this process

New JML Feature: Nested Specification Cases

```
method charge() has nested specification case:
@ public normal behavior
@ requires amount > 0;
0 {
0
    requires amount + balance < limit && isValid()==true;
    ensures \result == true:
0
    ensures balance == amount + \old(balance);
0
0
    assignable balance;
0
0
    also
0
0
    requires amount + balance >= limit;
0
    ensures \result == false;
0
    ensures unsuccessfulOperations
0
            == \old(unsuccessfulOperations) + 1;
0
    assignable unsuccessfulOperations;
```

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nested specification cases allow to factor out common preconditions

```
@ public normal_behavior
@ requires R;
0 {
0
    requires R1;
0
    ensures E1;
0
    assignable A1;
0
0
    also
0
    requires R2;
0
0
    ensures E2;
0
    assignable A2;
0
  1}
expands to ... (next page)
```

```
(previous page) ... expands to
@ public normal_behavior
@ requires R;
@ requires R1;
@ ensures E1:
@ assignable A1;
0
 also
0
0
@ public normal_behavior
@ requires R;
@ requires R2;
@ ensures E2;
@ assignable A2;
```

```
@ public normal_behavior
@ requires amount > 0;
0 {
0
    requires amount + balance < limit && isValid()==true;
0
    ensures \result == true;
0
    ensures balance == amount + \old(balance);
0
    assignable balance;
0
0
    also
0
0
    requires amount + balance >= limit;
0
    ensures \result == false;
0
    ensures unsuccessfulOperations
             == \old(unsuccessfulOperations) + 1;
0
0
    assignable unsuccessfulOperations;
0
  1}
expands to ... (next page)
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```

```
(previous page) ... expands to
@ public normal_behavior
@ requires amount > 0;
@ requires amount + balance < limit && isValid()==true;</pre>
@ ensures \result == true;
@ ensures balance == amount + \old(balance);
@ assignable balance;
0
@ also
0
@ public normal behavior
@ requires amount > 0;
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          == \old(unsuccessfulOperations) + 1;
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Implicit Specifications

- Kind of behavior
- non_null by default
- Implicit \invariant_for(this) in requires, ensures & signals clause

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Making 'kind of behavior' explicit

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 - normal_behavior the clause signals (Throwable t) false;

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- Deactivate implicit behavior specification: replace normal_behavior/exceptional_behavior by behavior
- 2. Add in case of replaced
 - normal_behavior the clause signals (Throwable t) false;
 - exceptional_behavior the clause ensures false;

Implicit Specifications

- Kind of behavior
- non_null by default
- Implicit \invariant_for(this) as requires, ensures & signals clause

Making non_null explicit for method specifications

- Where nullable is absent, forbid null through preconditions (for parameters^a) and postcondition (for return value^a).
 E.g., for method void m(Object o) add requires o != null;
- Deactivate implicit non_null by adding nullable, where absent, to parameters^a and return type declarations^a

^areference typed

Implicit Specifications

- Kind of behavior
- non_null by default
- Implicit \invariant_for(this) as requires, ensures & signals clause

Making \invariant_for(this) explicit for method specifications

- 1. Add explicit \invariant_for(this) to non-helper method specs, as
 - requires \invariant_for(this);
 - ensures \invariant_for(this);
 - signals (Throwable t) \invariant_for(this);
- Deactivate implicit \invariant_for(this) by adding helper modifier to method (if not already present)

Normalisation: Example

```
/*@ public normal_behavior
  @ requires c.id >= 0;
  @ ensures \result == ( ... );
  @*/
  public boolean addCategory(Category c) {
  becomes
```

```
/*@ public behavior
@ requires c.id >= 0;
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/*@ public behavior
@ requires c.id >= 0;
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@*/
public boolean addCategory(/*@ nullable @*/ Category c) {
```

Normalisation: Example

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  public boolean addCategory(/*@ nullable @*/ Category c) {
becomes
/*@ public behavior
  @ requires c.id >= 0;
  @ requires c != null;
  @ requires \invariant_for(this);
  @ ensures \result == (...);
  @ ensures \invariant_for(this);
  @ signals (Throwable exc) false;
  @ signals (Throwable exc) \invariant_for(this);
  @*/
public /*@ helper @*/
```

 boolean
 addCategory(/*@ nullable @*/Category c) {

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Next Normalisation Steps (Not detailed)

- Expanding pure modifier: add to each specification case:
 - assignable \nothing;
 - diverges false;
- Where clauses with defaults (e.g., diverges, assignable) are absent, add explicit clauses

Normalisation: Clause Contraction

Merge multiple clauses of the same kind into a single one of that kind.

For instance,

- /*@ public behavior
 - @ requires R1;
 - @ requires R2;
 - @ ensures E1;
 - @ ensures E2;
 - @ signals (T1 exc) S1;
 - @ signals (T2 exc) S2: @*/

Normalisation: Clause Contraction

Merge multiple clauses of the same kind into a single one of that kind.

For instance,

```
/*@ public behavior
@ requires R1;
@ requires R2;
@ ensures E1;
@ ensures E2;
@ signals (T1 exc) S1;
@ signals (T2 exc) S2:
@*/
```

```
/*@ public behavior
  @ requires R1 && R2;
  @ ensures E1 && E2;
  @ signals (Throwable exc)
  @ (exc instanceof T1 ==> S1)
  @ &&
  @ (exc instanceof T2 ==> S2);
  @*/
```

Translating JML into Intermediate Format

Intermediate format for contract of method m

(pre, post, div, var, mod)

with

- a precondition DL formula pre,
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Translating JML Expressions to DL-Terms: Arithmetic Expressions

Translation replaces arithmetic JAVA operators by generalized operators

Generic towards various integer semantics (JAVA, Math).

Example: "+" becomes "javaAddInt" or "javaAddLong" "-" becomes "javaSubInt" or "javaSubLong"

Translating JML Expressions to DL-Terms: The this Reference

The this reference, explicit or implicit, has only a meaning within a program (refers to currently executing instance).

On logic level (outside the modalities) no such context exists.

this reference translated to a program variable (named by convention) self

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e.g., given class
public class MyClass {
   int f;
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}
```

```
JML expressions f and this.f
translated to
DL term select(heap, self, f)
```

Translating Boolean JML Expressions

First-order logic treated fundamentally different in JML and KeY logic

JML

- Formulas no separate syntactic category
- Instead: JAVA's boolean expressions extended with first-order concepts (i.p. quantifiers)

Dynamic Logic

- Formulas and expressions completely separate
- Truth constants true, false are formulas, boolean constants TRUE, FALSE are terms
- Atomic formulas take terms as arguments; e.g.:

x - y < 5
b = TRUE

Translating Boolean JML Expressions

v/f/m() boolean variables/fields/pure methods b_0, b_1 boolean JML expressions, e_0, e_1 JML expressions \mathcal{E} translates JML expressions to DL terms Quantified formulas over reference types:

```
 \mathcal{F}((\langle \mathbf{forall T x}; e_0; e_1)) = \\ \langle \mathbf{forall T x}; ( \\ (!x=\mathbf{null \& select(heap,x,<created>)=TRUE \& \mathcal{F}(e_0)) \\ -> \mathcal{F}(e_1)) \\ \mathcal{F}((\langle \mathbf{exists T x}; e_0; e_1)) = \\ \langle \mathbf{forall T x}; ( \\ (!x=\mathbf{null \& select(heap,x,<created>)=TRUE \& \mathcal{F}(e_0)) \\ \& \mathcal{F}(e_1)) \\ \end{cases}
```

${\mathcal F}$ Translates boolean JML Expressions to Formulas

Quantified formulas over primitive types, e.g., \mathbf{int}

$$\mathcal{F}((\texttt{forall int } x; e_0; e_1)) = \\ \texttt{forall int } x; ((\texttt{inInt}(x) \& \mathcal{F}(e_0)) \rightarrow \mathcal{F}(e_1))$$

inInt (similar inLong, inByte):
 Predefined predicate symbol with fixed interpretation
 Meaning: Argument is within the range of the Java int datatype.

 $\mathcal{F}(\texttt{invariant_for}(e)) = \texttt{Object ::<inv>(heap, \mathcal{E}(e))}$

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- Read 'invariant of o'

Intermediate format for contract of method m

(pre, post, div, var, mod)

with

- a precondition DL formula pre V,
- ► a postcondition DL formula *post* ✓?
- a divergence indicator $div \in \{TOTAL, PARTIAL\},\$
- a variant var a term of type any,
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Translation of Ensures Clauses

What is missing for ensures clauses?

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- Translation of \old(.) expressions

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Translating \result

For \result used in ensures clause of method T m(...):

 $\mathcal{E}(\texttt{result}) = \texttt{result}$

where $result \in PVar$ of type T does not occur in the program.

 $\label{eq:logith} \$ evaluates e in the prestate of the method Accesses to heap must be evaluated w.r.t. to the 'old' heap

 $\label{eq:local} \$ evaluates e in the prestate of the method Accesses to heap must be evaluated w.r.t. to the 'old' heap

 Introduce a global program variables heapAtPre of type Heap (Intention: heapAtPre refers to heap in method's pre-state)

2. Define:

 $\mathcal{E}(\texttt{\old}(e)) = \mathcal{E}_{\texttt{heap}}^{\texttt{heapAtPre}}(e)$

 $(\mathcal{E}_x^y(e) \text{ replaces all occurrences of } x \text{ in } \mathcal{E}(e) \text{ by } y)$

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Example

 $\mathcal{F}(\texttt{o.f} = \texttt{(o.f)} + 1) =$

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$$\mathcal{F}(o.f == \old(o.f) + 1) = \mathcal{E}(o.f) = \mathcal{E}(\old(o.f) + 1) =$$

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$$\mathcal{F}(\text{o.f} == \text{old}(\text{o.f}) + 1) = \\ \mathcal{E}(\text{o.f}) = \mathcal{E}(\text{old}(\text{o.f}) + 1) = \\ \mathcal{E}(\text{o.f}) = \mathcal{E}(\text{old}(\text{o.f})) + \mathcal{E}(1) =$$

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Translation of Ensures and Signals Clauses

Given the normalised JML contract

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/*@ public behavior
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```
Define
```

```
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Translation of Ensures and Signals Clauses

Given the normalised JML contract

```
/*@ public behavior
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@ ensures E;
@ signals (Throwable exc) S;
@ ...
@*/
```

```
 \begin{aligned} & \text{Define} \\ & \mathcal{F}_{\text{ensures}} = \mathcal{F}(\texttt{E}) \\ & \mathcal{F}_{\text{signals}} = \mathcal{F}(\texttt{S}) \end{aligned}
```

Recall that S is either false of it has the form

```
(exc instanceof ExcType1 ==> ExcPost1) && ...;
```

In the following, assume exc is fresh program variable of type Throwable

Combining Signals and Ensures to post

The DL formula *post* is then defined as

$$(\texttt{exc} = \texttt{null} \rightarrow \mathcal{F}_{\texttt{ensures}}) \& (\texttt{exc!} = \texttt{null} \rightarrow \mathcal{F}_{\texttt{signals}})$$

Combining Signals and Ensures to post

The DL formula *post* is then defined as

$$(\texttt{exc} = \texttt{null} \rightarrow \mathcal{F}_{\texttt{ensures}}) \And (\texttt{exc!} = \texttt{null} \rightarrow \mathcal{F}_{\texttt{signals}})$$

Note:

Normalisation of normal_behavior contract gives signals (Throwable exc) false;

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The DL formula *post* is then defined as

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Note:

Normalisation of normal_behavior contract gives signals (Throwable exc) false;

Then *post* is:

$$\begin{array}{l} (\texttt{exc} = \texttt{null} \rightarrow \mathcal{F}_{\texttt{ensures}}) \And (\texttt{exc} ! = \texttt{null} \rightarrow \mathcal{F}_{\texttt{signals}}) \\ \Leftrightarrow \quad (\texttt{exc} = \texttt{null} \rightarrow \mathcal{F}_{\texttt{ensures}}) \And (\texttt{exc} ! = \texttt{null} \rightarrow \mathcal{F}(\texttt{false})) \\ \Leftrightarrow \quad (\texttt{exc} = \texttt{null} \rightarrow \mathcal{F}_{\texttt{ensures}}) \And (\texttt{exc} ! = \texttt{null} \rightarrow \texttt{false}) \\ \Leftrightarrow \quad (\texttt{exc} = \texttt{null} \rightarrow \mathcal{F}_{\texttt{ensures}}) \And \texttt{exc} = \texttt{null} \\ \Leftrightarrow \quad \texttt{exc} = \texttt{null} \And \mathcal{F}_{\texttt{ensures}} \end{array}$$

Intermediate format for contract of method m

(pre, post, div, var, mod)

with

- a precondition DL formula pre V,
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The Divergence Indicator

div =
{ TOTAL if normalised JML contract contains clause diverges false;
 PARTIAL if normalised JML contract contains clause diverges true;

,

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(pre, post, div, var, mod)

with

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- a postcondition DL formula post V,
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Translating Assignable Clauses: The DL Type LocSet

Assignable clauses are translated to

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Intention: A term of type LocSet represents a set of locations

Definition (Locations)

A location is a tuple (o, f) with $o \in D^{\text{Object}}$, $f \in D^{\text{Field}}$

Note: Location is a semantic and not a syntactic entity.

The DL Type LocSet

Predefined type with $D(LocSet) = 2^{Location}$ and the functions (all with result type LocSet):

empty allLocs

singleton(Object,Field)
union(LocSet,LocSet)
intersect(LocSet,LocSet)
allFields(Object)
allObjects(Field)

arrayRange(Object, int, int)

empty set of locations: $I(\text{empty}) = \emptyset$ set of all locations, i.e., $I(\text{allLocs}) = \{(d, f) | f.a. \ d \in D^{\text{Object}}, f \in D^{\text{Field}}\}$ singleton set

set of all locations for the given object set of all locations for the given field; e.g., $\{(d, f) | \text{f.a.} d \in D^{\text{Object}}\}$ set representing all array locations in the specified range (both inclusive)

Example

assignable \everything; is translated into the DL term

Example

assignable \everything;

is translated into the DL term

allLocs

Example

assignable \everything;

is translated into the DL term

allLocs

Example

assignable this.next, this.content[5..9];
is translated into the DL term

Example

```
assignable \everything;
```

is translated into the DL term

allLocs

Example

```
assignable this.next, this.content[5..9];
```

is translated into the DL term

```
union(singleton(self,next),
arrayRange(select(heap,self,context),5,9)
```

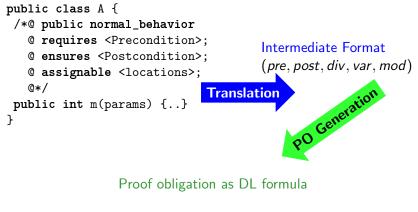
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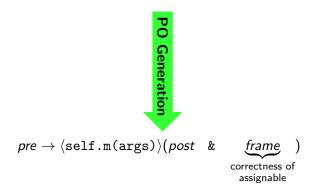


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Generating a PO from the Intermediate Format: Idea

Given intermediate format of contract of m implemented in class C:

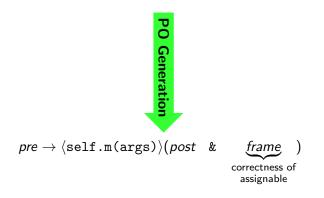
```
(pre, post, TOTAL, var, mod)
```



Generating a PO from the Intermediate Format: Idea

Given intermediate format of contract of m implemented in class C:

```
(pre, post, TOTAL, var, mod)
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(in case of *div* = PARTIAL box modality is used)

Generating a PO from Intermediate Format: Method Identification

 $pre \rightarrow (\texttt{self.m(args)})(post \& frame)$

Generating a PO from Intermediate Format: Method Identification

$$pre \rightarrow (\texttt{self.m(args)})(post \& frame)$$

Dynamic dispatch: self.m(...) causes split into all possible implementations

Generating a PO from Intermediate Format: Method Identification

$$pre \rightarrow (\texttt{self.m(args)})(post \& frame)$$

- Dynamic dispatch: self.m(...) causes split into all possible implementations
- Special statement Method Body Statement:

m(args)@C

Meaning: Placeholder for the method body of class C

Generating a PO from Intermediate Format: Exceptions

 $pre \rightarrow \langle \texttt{self.m(args)@C} \rangle (post \& frame)$

Postcondition post states either

- that no exception is thrown or
- that in case of an exception the exceptional postcondition holds

but: $\langle \mathbf{throw} \; \mathbf{exc}; \rangle \varphi$ is trivially false

Generating a PO from Intermediate Format: Exceptions

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Generating a PO from Intermediate Format: Exceptions

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(Recall: Normalistion and *post*-generation used program variable exc)

 $pre \rightarrow \langle exc=null; try {self.m(args)@C} catch ... \rangle (post & frame)$ is still not complete.

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- this is not null
- created objects can only point to created objects (no dangling references)
- integer parameters have correct range

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▶ ...

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Idea: Formalise assumption as additional precondition genPre

$$(genPre \land pre) \rightarrow \\ \langle exc=null; try {self.m(args)@C} catch ... \rangle (post & frame)$$

- wellFormed: predefined predicate; true iff. given heap is regular Java heap
- paramsInRange formula stating that the method arguments are in range
- C :: exactInstance: predefined predicate; true iff. given argument has C as exact type (i.e., is not of a subtype)

```
(genPre \land pre) \rightarrow \\ \langle exc=null; try {self.m(args)@C} catch ... \rangle (post & frame)
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is still not complete.

▶ Need to refer to prestate in post, e.g. for old-expressions

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```

is still not complete.

Need to refer to prestate in post, e.g. for old-expressions

 $(genPre \land pre) \rightarrow \{heapAtPre := heap\} \\ \langle exc=null; try {self.m(args)@C} catch ... \rangle (post & frame)$

(Reminder: heapAtPre was used in translation of \old in post)

SEFM: Proof Obligations

Generating a PO from Intermediate Format: The *frame* DL Formula

$$\begin{array}{l} (\textit{genPre} \land \textit{pre}) \rightarrow \{\texttt{heapAtPre} := \texttt{heap}\} \\ & \quad \langle \texttt{exc=null; try } \{\texttt{self.m(args)}\} \texttt{ catch } \dots \ \rangle \\ & \quad (\textit{post } \& \textit{ frame}) \end{array}$$

If *mod* = \strictly_nothing then *frame* is defined

 $\forall o; \forall f; (\texttt{select}(\texttt{heapAtPre}, o, f) = \texttt{select}(\texttt{heap}, o, f))$

Generating a PO from Intermediate Format: The *frame* **DL Formula**

$$(genPre \land pre) \rightarrow \{heapAtPre := heap\} \ \langle exc=null; try \{self.m(args)\} catch \dots \rangle \ (post \& frame)$$

If mod is a location set, then *frame* is defined as:

$$\begin{aligned} \forall o; \forall f; (select(heaptAtPre, o, < created>) &= FALSE \\ &\lor select(heapAtPre, o, f) = select(heap, o, f) \\ &\lor (o, f) \in \{heap := heapAtPre\} mod \end{aligned}$$

Generating a PO from Intermediate Format: The frame DL Formula

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States that any location (o, f)

- belongs to an object was not (yet) created before the method invocation, or
- holds the same value after the invocation as before the invocation, or
- belongs to the modifies set (evaluated in the pre-state).

Generating a PO from Intermediate Format: Result Value

$$(genPre \land pre) \rightarrow \{heapAtPre := heap\} \ \langle exc=null; try {self.m(args)} catch \dots \rangle \ (post \& frame)$$

is still not complete.

For non-void methods, need to refer to result in post

Generating a PO from Intermediate Format: Result Value

```
(genPre \land pre) \rightarrow \{heapAtPre := heap\} \land exc=null; try {self.m(args)} catch ... \rangle (post & frame) is still not complete.
```

▶ For non-void methods, need to refer to result in *post*

```
\begin{array}{l} (genPre \land pre) \rightarrow \{\texttt{heapAtPre} := \texttt{heap}\} \\ \quad & \langle \texttt{exc=null; try {result = self.m(args)} catch \dots \rangle \\ \quad & (post \& frame) \end{array}
```

(Reminder: result was used in translation of \result in post)

Examples

Demo

Literature for this Lecture

Essential

KeY Quicktour see course page, under 'Links, Papers, and Software'