# Büchi Automata and their Application to Software Verification Finite Automata Theory and Formal Languages

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## But How to Express Properties Involving State Changes?

In any run of a program P

- n will become greater than 0 eventually?
- n changes its value infinitely often

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#### Linear Temporal Logic: talks about (infinite) traces of states

# **Semantics of Propositional Logic**

#### Interpretation $\ensuremath{\mathcal{I}}$

Assigns a truth value to each propositional variable

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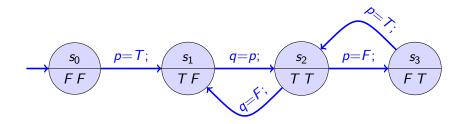
 $\mathcal{I}: \mathcal{P} \to \{T, F\}$ 

#### Example

Let  $\mathcal{P} = \{p, q\}$ 

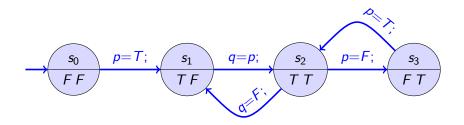
$$\begin{array}{c|c} p & q \\ \hline \mathcal{I} & F & F \\ \mathcal{I}' & F & T \\ \mathcal{I}'' & T & F \\ \mathcal{I}''' & T & T \end{array}$$

## Transition systems (aka Kripke Structures)





# Transition systems (aka Kripke Structures)



- Each state s<sub>i</sub> has its own propositional interpretation I<sub>i</sub>
  - Convention: list values of variables in ascending lexicographic order
- Computations, or runs, are infinite paths through states
  - Intuitively 'finite' runs modelled by looping on final states
- In general, infinitely many different runs possible
- How to express (for example) that p changes its value infinitely often in each run?

# (Linear) Temporal Logic

An extension of propositional logic that allows to specify properties of all runs

# (Linear) Temporal Logic—Syntax

An extension of propositional logic that allows to specify properties of all runs

#### Syntax

Based on propositional signature and syntax

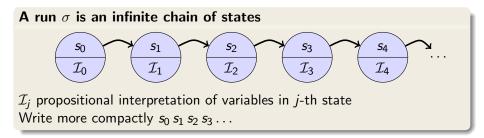
Extension with three connectives:

**Always** If  $\phi$  is a formula then so is  $\Box \phi$ 

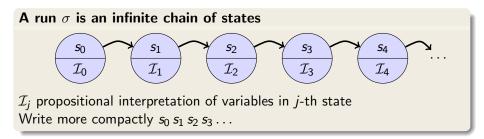
**Eventually** If  $\phi$  is a formula then so is  $\Diamond \phi$ 

# Concrete Syntax text book SPIN Always [] Eventually $\diamondsuit$ $\lt>$

## Temporal Logic—Semantics



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If  $\sigma = s_0 s_1 \cdots$ , then  $\sigma|_i$  denotes the suffix  $s_i s_{i+1} \cdots$  of  $\sigma$ .

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$$\sigma \models p$$
 iff  $\mathcal{I}_0(p) = T$ , for  $p \in \mathcal{P}$ .

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$$\sigma \models \neg \phi \qquad \text{iff} \quad \text{not } \sigma \models \phi \quad (\text{write } \sigma \not\models \phi)$$
  

$$\sigma \models \phi \land \psi \qquad \text{iff} \quad \sigma \models \phi \text{ and } \sigma \models \psi$$
  

$$\sigma \models \phi \lor \psi \qquad \text{iff} \quad \sigma \models \phi \text{ or } \sigma \models \psi$$
  

$$\sigma \models \phi \rightarrow \psi \quad \text{iff} \quad \sigma \not\models \phi \text{ or } \sigma \models \psi$$

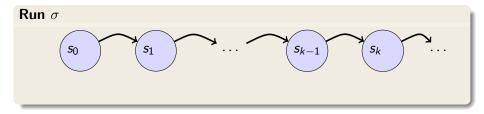
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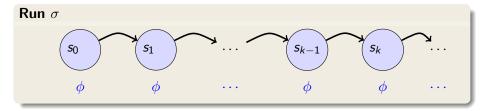
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$$\begin{split} \sigma &\models p & \text{iff} \quad \mathcal{I}_0(p) = T, \text{ for } p \in \mathcal{P}. \\ \sigma &\models \neg \phi & \text{iff} \quad \text{not } \sigma \models \phi \quad (\text{write } \sigma \not\models \phi) \\ \sigma &\models \phi \land \psi & \text{iff} \quad \sigma \models \phi \text{ and } \sigma \models \psi \\ \sigma &\models \phi \lor \psi & \text{iff} \quad \sigma \models \phi \text{ or } \sigma \models \psi \\ \sigma &\models \phi \rightarrow \psi & \text{iff} \quad \sigma \not\models \phi \text{ or } \sigma \models \psi \end{split}$$

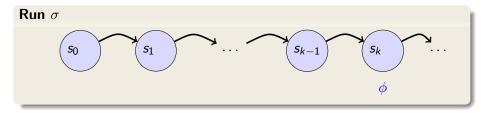
#### Temporal connectives?



**Definition (Validity Relation for Temporal Connectives)** Given a run  $\sigma = s_0 s_1 \cdots$ 

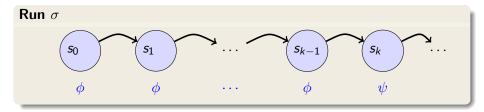


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#### Definition (Transition System)

A transition system  $\mathcal{T} = (S, Ini, \delta, \mathcal{I})$  is composed of a set of states S, a set  $\emptyset \neq Ini \subseteq S$  of initial states, a transition relation  $\delta \subseteq S \times S$ , and a labeling  $\mathcal{I}$  of each state  $s \in S$  with a propositional interpretation  $\mathcal{I}_s$ .

#### Definition (Run of Transition System)

A run of  $\mathcal{T}$  is a sequence of states  $\sigma = s_0 s_1 \cdots$  such that  $s_0 \in Ini$  and for all *i* is  $s_i \in S$  as well as  $(s_i, s_{i+1}) \in \delta$ .

Given a finite alphabet (vocabulary)  $\Sigma$ A word  $w \in \Sigma^*$  is a finite sequence

$$w = a_o \cdots a_n$$

with  $a_i \in \Sigma, i \in \{0, \dots, n\}$  $\mathcal{L} \subseteq \Sigma^*$  is called a language Given a finite alphabet (vocabulary)  $\Sigma$ An  $\omega$ -word  $w \in \Sigma^{\omega}$  is an infinite sequence

 $w = a_o \cdots a_k \cdots$ 

with  $a_i \in \Sigma, i \in \mathbb{N}$  $\mathcal{L}^{\omega} \subseteq \Sigma^{\omega}$  is called an  $\omega$ -language

## **Büchi Automaton**

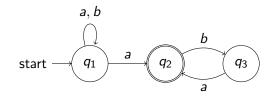
## Definition (Büchi Automaton)

A (non-deterministic) Büchi automaton over an alphabet  $\Sigma$  consists of a

- finite, non-empty set of locations Q
- ▶ a non-empty set of initial/start locations  $I \subseteq Q$
- ▶ a set of accepting locations  $F = \{F_1, \ldots, F_n\} \subseteq Q$
- a transition relation  $\delta \subseteq Q \times \Sigma \times Q$

#### Example

$$\Sigma = \{a, b\}, Q = \{q_1, q_2, q_3\}, I = \{q_1\}, F = \{q_2\}$$



## Büchi Automaton—Executions and Accepted Words

#### **Definition (Execution)**

Let  $\mathcal{B} = (Q, I, F, \delta)$  be a Büchi automaton over alphabet  $\Sigma$ . An execution of  $\mathcal{B}$  is a pair (w, v), with

• 
$$w = a_o \cdots a_k \cdots \in \Sigma^{\omega}$$

$$\blacktriangleright \ v = q_o \cdots q_k \cdots \in Q^\omega$$

where  $q_0 \in I$ , and  $(q_i, a_i, q_{i+1}) \in \delta$ , for all  $i \in \mathbb{N}$ 

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#### Definition (Accepted Word)

A Büchi automaton  $\mathcal{B}$  accepts a word  $w \in \Sigma^{\omega}$ , if there exists an execution (w, v) of  $\mathcal{B}$  where some accepting location  $f \in F$  appears infinitely often in v

Let  $\mathcal{B} = (Q, I, F, \delta)$  be a Büchi automaton, then

 $\mathcal{L}^{\omega}(\mathcal{B}) = \{ w \in \Sigma^{\omega} | w \in \Sigma^{\omega} \text{ is an accepted word of } \mathcal{B} \}$ 

denotes the  $\omega$ -language recognised by  $\mathcal{B}$ .

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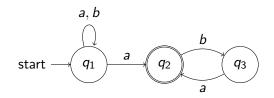
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An  $\omega$ -language for which an accepting Büchi automaton exists is called  $\omega$ -regular language.

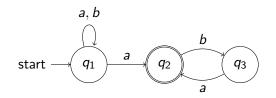
## Example, $\omega$ -Regular Expression

Which language is accepted by the following Büchi automaton?



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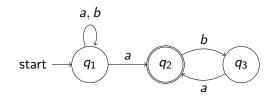
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Solution: $(a + b)^* (ab)^\omega$	$[NB:(ab)^\omega=a(ba)^\omega$
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## **Example,** $\omega$ -Regular Expression

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 $\omega\text{-}\mathrm{regular}$  expressions like standard regular expression

ab a then b

a + b a or b

- a\* arbitrarily, but finitely often a
- **new:**  $a^{\omega}$  infinitely often a

## **Decidability, Closure Properties**

Many properties for regular finite automata hold also for Büchi automata

#### Theorem (Decidability)

It is decidable whether the accepted language  $\mathcal{L}^{\omega}(\mathcal{B})$  of a Büchi automaton  $\mathcal{B}$  is empty.

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The set of  $\omega$ -regular languages is closed with respect to intersection, union and complement:

- if  $\mathcal{L}_1, \mathcal{L}_2$  are  $\omega$ -regular then  $\mathcal{L}_1 \cap \mathcal{L}_2$  and  $\mathcal{L}_1 \cup \mathcal{L}_2$  are  $\omega$ -regular
- $\mathcal{L}$  is  $\omega$ -regular then  $\Sigma^{\omega} \setminus \mathcal{L}$  is  $\omega$ -regular

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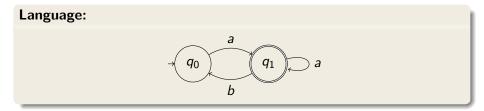
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#### But in contrast to regular finite automata

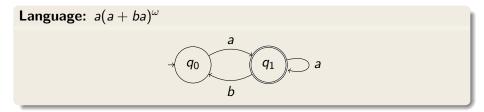
Non-deterministic Büchi automata are strictly more expressive than deterministic ones

Büchi Automata: TMV027/DIT321

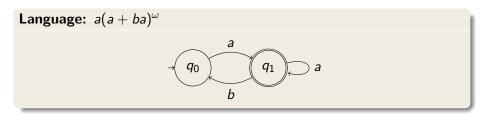
## **Büchi Automata—More Examples**

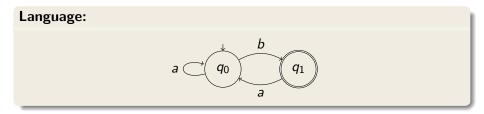


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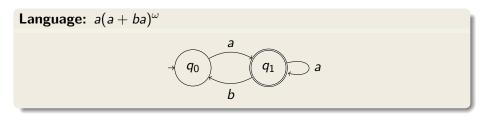


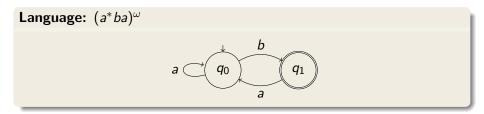
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# Linear Temporal Logic and Büchi Automata

LTL and Büchi Automata are connected

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Given a transition system  $\mathcal{T} = (S, Ini, \delta, \mathcal{I})$ , a temporal formula  $\phi$  is valid in  $\mathcal{T}$  (write  $\mathcal{T} \models \phi$ ) iff  $\sigma \models \phi$  for all runs  $\sigma$  of  $\mathcal{T}$ .

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#### Intended Connection

Given an LTL formula  $\phi$ :

Construct a Büchi automaton accepting exactly those runs (infinite sequences of interpretations) that satisfy  $\phi$ 

# Encoding an LTL Formula as a Büchi Automaton

 $\mathcal P$  set of propositional variables, e.g.,  $\mathcal P = \{r,s\}$ 

#### Alphabet $\Sigma$ of Büchi automaton

A state transition of Büchi automaton must represent an interpretation Let  $\Sigma$  (i.e., the alphabet of the automata) be set of all interpretations over  $\mathcal{P}$ , i.e.,  $\Sigma = 2^{\mathcal{P}}$ 

#### Example

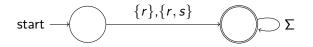
$$\Sigma = \{\emptyset, \{r\}, \{s\}, \{r, s\}\}$$
$$I_{\emptyset}(r) = F, I_{\emptyset}(s) = F, I_{\{r\}}(r) = T, I_{\{r\}}(s) = F, \dots$$

Example (Büchi automaton for formula r over  $\mathcal{P} = \{r, s\}$ )

A Büchi automaton  ${\mathcal B}$  accepting exactly those runs  $\sigma$  satisfying r

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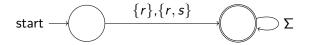
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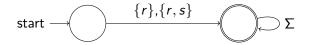


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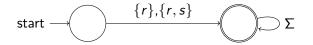
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start 
$$\longrightarrow \{r\}, \{r, s\}$$

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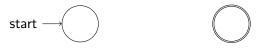
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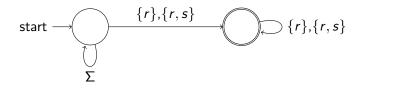


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### **Example (Büchi automaton for formula** $\Diamond \Box r$ over $\mathcal{P} = \{r, s\}$ )



Check whether a formula is valid in all runs of a transition system Given a transition system  $\mathcal{T}$  (e.g., derived from a PROMELA program) Verification task: is the LTL formula  $\phi$  satisfied in all runs of  $\mathcal{T}$ , i.e.,

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In the following: Basic principle behind SPIN model checking

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 ?

1. Represent transition system  $\mathcal{T}$  as Büchi automaton  $\mathcal{B}_{\mathcal{T}}$  such that  $\mathcal{B}_{\mathcal{T}}$  accepts exactly those words corresponding to runs through  $\mathcal{T}$ 

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- 2. Construct Büchi automaton  $\mathcal{B}_{\neg\phi}$  for negation of formula  $\phi$

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   If

$$\mathcal{L}^\omega(\mathcal{B}_\mathcal{T})\cap\mathcal{L}^\omega(\mathcal{B}_{\neg\phi})=\emptyset$$

then  $\phi$  holds.

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To check  $\mathcal{L}^{\omega}(\mathcal{B}_{\mathcal{T}}) \cap \mathcal{L}^{\omega}(\mathcal{B}_{\neg \phi})$  construct intersection automaton and search for cycle through accepting state

First Step: Represent transition system  $\mathcal{T}$  as Büchi automaton  $\mathcal{B}_{\mathcal{T}}$  accepting exactly those words representing a run of  $\mathcal{T}$ 

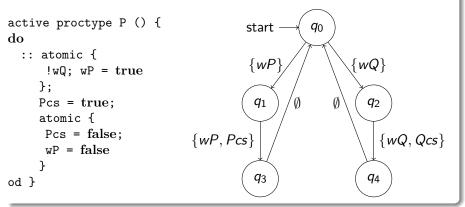
Example

```
active proctype P () {
do
    :: atomic {
      !wQ; wP = true
    };
    Pcs = true;
    atomic {
      Pcs = false;
      wP = false
    }
od }
```

First location skipped and second made atomic just to keep automaton small; similar code for process Q

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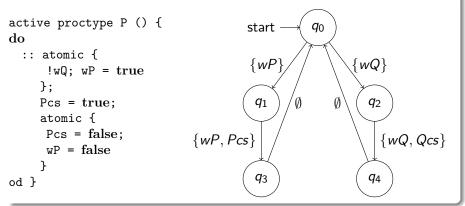
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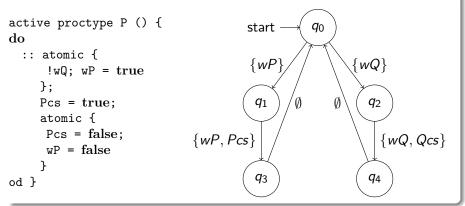
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#### Which are the accepting locations?

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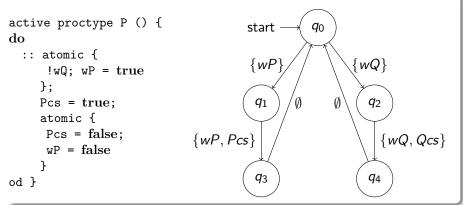
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#### Which are the accepting locations? All!

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#### Example



The property we want to check is  $\phi = \Box \neg Pcs$  (which does not hold)

# Büchi Automaton $B_{\neg\phi}$ for $\neg\phi$

Second Step:

Construct Büchi Automaton corresponding to negated LTL formula

 $\mathcal{T} \models \phi$  holds iff there is no accepting run of  $\mathcal{T}$  for  $\neg \phi$ 

Simplify  $\neg \phi = \neg \Box \neg Pcs = \Diamond Pcs$ 

# Büchi Automaton $B_{\neg\phi}$ for $\neg\phi$

Second Step:

Construct Büchi Automaton corresponding to negated LTL formula

 $\mathcal{T} \models \phi \text{ holds iff there is no accepting run of } \mathcal{T} \text{ for } \neg \phi$ Simplify  $\neg \phi = \neg \Box \neg Pcs = \Diamond Pcs$ 

#### Büchi Automaton $\mathcal{B}_{\neg\phi}$

$$\mathcal{P} = \{ wP, wQ, Pcs, Qcs \}, \ \Sigma = 2^{\mathcal{P}}$$



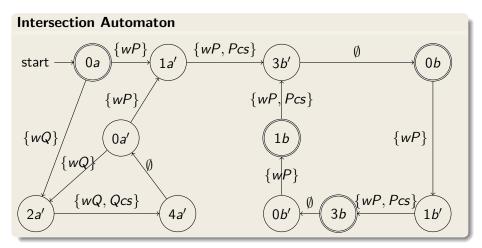
$$\Sigma_{\textit{Pcs}} = \{ \textit{I} | \textit{I} \in \Sigma, \textit{Pcs} \in \textit{I} \}, \quad \Sigma_{\textit{Pcs}}^{c} = \Sigma - \Sigma_{\textit{Pcs}}$$

### **Checking for Emptiness of Intersection Automaton**

Third Step:  $\mathcal{L}^{\omega}(\mathcal{B}_{\mathcal{T}}) \cap \mathcal{L}^{\omega}(\mathcal{B}_{\neg \phi}) = \emptyset$  ?

## **Checking for Emptiness of Intersection Automaton**

Third Step: 
$$\mathcal{L}^{\omega}(\mathcal{B}_{\mathcal{T}}) \cap \mathcal{L}^{\omega}(\mathcal{B}_{\neg \phi}) = \emptyset$$
 ?



# **Checking for Emptiness of Intersection Automaton**

$$\begin{array}{ll} \mathsf{Third Step:} \quad \mathcal{L}^\omega(\mathcal{B}_\mathcal{T}) \cap \mathcal{L}^\omega(\mathcal{B}_{\neg \phi}) \neq \emptyset \end{array}$$

#### Counterexample

