Software Engineering using Formal Methods Proof Obligations

Wolfgang Ahrendt, Josef Svenningsson, Meng Wang

16 October 2012

making the connection between

JML

and

Dynamic Logic / KeY

making the connection between

JML

and

Dynamic Logic / KeY

generating,

making the connection between

JML

and

Dynamic Logic / KeY

- generating,
- understanding,

making the connection between

JML

and

Dynamic Logic / KeY

- generating,
- understanding,
- and proving

DL proof obligations from JML specifications

Tutorial Example

```
we follow 'KeY Quicktour for JML' (cited below as [KQJ])
```

paper + sources:

see 'KeY Quicktour' on course page, under 'Links, Papers, and Software'

scenario: simple PayCard

Inspecting JML Specification

inspect quicktour/jml/paycard/PayCard.java

follow [KQJ, 2.2]

New JML Feature I: Nested Specification Cases

```
method charge() has nested specification case: X
@ public normal behavior
@ requires amount > 0;
0 { |
0
    requires amount + balance < limit && isValid()==true;</pre>
    ensures \result == true;
0
    ensures balance == amount + \old(balance);
@
0
    assignable balance;
0
0
    also
@
0
    requires amount + balance >= limit;
0
    ensures \result == false;
@
    ensures unsuccessfulOperations
@
             == \old(unsuccessfulOperations) + 1;
@
    assignable unsuccessfulOperations;
```

nested specification cases allow to factor out common preconditions

```
@ public normal_behavior
@ requires R;
0 { |
@
    requires R1;
0
    ensures E1;
@
    assignable A1;
@
0
    also
0
    requires R2;
0
    ensures E2;
0
    assignable A2;
  1}
expands to ... (next page)
```

```
(previous page) ... expands to
@ public normal_behavior
0 requires R;
@ requires R1;
@ ensures E1:
@ assignable A1;
0
 also
0
@ public normal_behavior
@ requires R;
@ requires R2;
@ ensures E2;
@ assignable A2;
```

```
@ public normal_behavior
@ requires amount > 0;
0 { |
0
    requires amount + balance < limit && isValid()==true;</pre>
0
    ensures \result == true;
0
    ensures balance == amount + \old(balance);
@
    assignable balance;
0
0
    also
@
0
    requires amount + balance >= limit;
0
    ensures \result == false;
0
    ensures unsuccessfulOperations
             == \old(unsuccessfulOperations) + 1;
@
@
    assignable unsuccessfulOperations;
expands to ... (next page)
```

```
(previous page) ... expands to
@ public normal_behavior
@ requires amount > 0;
@ requires amount + balance < limit && isValid() == true;
@ ensures \result == true;
@ ensures balance == amount + \old(balance);
@ assignable balance;
0
@ also
0
@ public normal behavior
@ requires amount > 0;
@ requires amount + balance >= limit;
@ ensures \result == false;
@ ensures unsuccessfulOperations
0
          == \old(unsuccessfulOperations) + 1;
  assignable unsuccessfulOperations;
SEFM: Proof Obligations
                              CHALMERS/GU
                                                        121016
                                                              9 / 34
```

method charge() has exceptional behavior case:

- @ public exceptional_behavior
- @ requires amount <= 0;</pre>
- @ assignable \nothing;

method charge() has exceptional behavior case:

- @ public exceptional_behavior
- @ requires amount <= 0;</pre>
- @ assignable \nothing;

assignable \nothing prohibits side effects

method charge() has exceptional behavior case:

- @ public exceptional_behavior
- @ requires amount <= 0;</pre>
- @ assignable \nothing;

assignable \nothing prohibits side effects

difference to pure:

- ▶ pure is method-global, also prohibits non-termination & exceptions
- assignable clause is local to specification case (here, there is only one)

method charge() has exceptional behavior case:

- @ public exceptional_behavior
- @ requires amount <= 0;</pre>
- @ assignable \nothing;

assignable \nothing prohibits side effects

difference to pure:

- ▶ pure is method-global, also prohibits non-termination & exceptions
- assignable clause is local to specification case (here, there is only one)
- pure not usable in this particular context

generate EnsuresPost PO for normal behavior of charge()

generate EnsuresPost PO for normal behavior of charge()

follow [KQJ, 3.1+3.2]

summary:

- start KeY prover
- ▶ in quicktour/jml, open paycard
- select paycard > PayCard > charge and EnsuresPost
- ► inspect Assumed Invariants

generate EnsuresPost PO for normal behavior of charge()

follow [KQJ, 3.1+3.2]

summary:

- start KeY prover
- ▶ in quicktour/jml, open paycard
- select paycard > PayCard > charge and EnsuresPost
- inspect Assumed Invariants assuming less invariants:
 - ▶ is fully sound
 - can compromise provability

generate EnsuresPost PO for normal behavior of charge()

follow [KQJ, 3.1+3.2]

summary:

- start KeY prover
- in quicktour/jml, open paycard
- select paycard > PayCard > charge and EnsuresPost
- inspect Assumed Invariants assuming less invariants:
 - ▶ is fully sound
 - can compromise provability

sometimes invariants of other classes also needed (select class+inv.)

generate EnsuresPost PO for normal behavior of charge()

follow [KQJ, 3.1+3.2]

summary:

- start KeY prover
- ▶ in quicktour/jml, open paycard
- select paycard > PayCard > charge and EnsuresPost
- inspect Assumed Invariants assuming less invariants:
 - ▶ is fully sound
 - can compromise provability

sometimes invariants of other classes also needed (select class+inv.)

select contract which modifies balance

generate EnsuresPost PO for normal behavior of charge()

follow [KQJ, 3.1+3.2]

summary:

- start KeY prover
- ▶ in quicktour/jml, open paycard
- select paycard > PayCard > charge and EnsuresPost
- inspect Assumed Invariants assuming less invariants:
 - ▶ is fully sound
 - can compromise provability

sometimes invariants of *other* classes also needed (select class+inv.)

 select contract which modifies balance (in JML: modifies synonymous for assignable)

generate EnsuresPost PO for normal behavior of charge()

```
follow [KQJ, 3.1+3.2]
```

summary:

- start KeY prover
- ▶ in quicktour/jml, open paycard
- select paycard > PayCard > charge and EnsuresPost
- inspect Assumed Invariants assuming less invariants:
 - ▶ is fully sound
 - can compromise provability

sometimes invariants of *other* classes also needed (select class+inv.)

- select contract which modifies balance (in JML: modifies synonymous for assignable)
- Current Goal pane displays proof obligation as DL sequent

for loading more proof obligations: re-open **Proof Obligation Browser** under **Tools** menu (or **Ctrl-B**)

generate EnsuresPost PO for normal behavior of isValid()

for loading more proof obligations: re-open **Proof Obligation Browser** under **Tools** menu (or **Ctrl-B**)

generate EnsuresPost PO for normal behavior of isValid()

generate EnsuresPost PO for exceptional behavior of charge()

SEFM: Proof Obligations CHALMERS/GU 121016 12 / 34

for loading more proof obligations: re-open **Proof Obligation Browser** under **Tools** menu (or **Ctrl-B**)

generate EnsuresPost PO for normal behavior of isValid()

generate EnsuresPost PO for exceptional behavior of charge()

generate **PreservesOwnInv** PO for charge()

expressing that charge() preserves all invariants (of its own class)

for loading more proof obligations: re-open **Proof Obligation Browser** under **Tools** menu (or **Ctrl-B**)

generate EnsuresPost PO for normal behavior of isValid()

generate EnsuresPost PO for exceptional behavior of charge()

generate PreservesOwnInv PO for charge()

expressing that charge() preserves all invariants (of its own class)

follow [KQJ, 4.3.1+4.3.2]

in the following:

principles of translating JML to proof obligations in DL

- issues in translating arithmetic expressions
- translating this
- identifying the method's implementation
- translating boolean JML expressions to first-order logic formulas
- translating preconditions
- translating class invariants
- translating postconditions
- storing \old fields prior to method invocation
- storing actual parameters prior to method invocation
- expressing that 'exceptions are (not) thrown'
- putting everything together

WARNING:

following presentation is

- incomplete
- not fully precise
- simplifying
- omitting details/complications
- deviating from exact implementation in KeY

WARNING:

following presentation is

- incomplete
- not fully precise
- simplifying
- omitting details/complications
- deviating from exact implementation in KeY

aim of the following:

enable you to read/understand proof obligations

WARNING:

following presentation is

- ▶ incomplete
- not fully precise
- simplifying
- omitting details/complications
- deviating from exact implementation in KeY

aim of the following:

enable you to read/understand proof obligations

(notational remark: stick to ASCII syntax of KeY logic in this lecture)

Issues on Translating Arithmetic Expressions

often:

KeY replaces arithmetic Java operators by generalized operators, generic towards various integer semantics (Java, Math). example: "+" becomes "javaAddInt"

Issues on Translating Arithmetic Expressions

often:

- KeY replaces arithmetic JAVA operators by generalized operators, generic towards various integer semantics (JAVA, Math). example: "+" becomes "javaAddInt"
- ► KeY inserts casts like (jint), needed for type hierarchy among primitive types. example: "0" becomes "(jint)(0)"

SEFM: Proof Obligations CHALMERS/GU 121016 15 / 34

Issues on Translating Arithmetic Expressions

often:

- KeY replaces arithmetic Java operators by generalized operators, generic towards various integer semantics (Java, Math). example: "+" becomes "javaAddInt"
- KeY inserts casts like (jint), needed for type hierarchy among primitive types. example: "0" becomes "(jint)(0)"

(no need to memorize this)

Translating this

both

- explicit
- ► implicit

this reference translated to self

Translating this

```
both
```

- explicit
- ▶ implicit

```
this reference translated to self
e.g., given class
public class MyClass {
    ...
    private int f;
    ...
```

Translating this

```
both
```

```
explicit
  implicit
this reference translated to self
e.g., given class
public class MyClass {
  . . .
  private int f;
```

- ▶ f translated to self.f
- this.f translated to self.f

Identifying the Method's Implementation

JAVA's dynamic dispatch selects a method's implementation at runtime

Identifying the Method's Implementation

JAVA's dynamic dispatch selects a method's implementation at runtime

for a method call m(args), KeY models selection of implementation from package.Class by m(args)@package.Class

Identifying the Method's Implementation

JAVA's dynamic dispatch selects a method's implementation at runtime

```
for a method call m(args),
KeY models selection of implementation from package.Class by
m(args)@package.Class
```

example:

Translating Boolean JML Expressions

first-order logic treated fundamentally different in JML and KeY logic

JML

- formulas no separate syntactic category
- instead:JAVA's boolean expressions extended with first-order concepts (i.p. quantifiers)

KeY logic

- ▶ formulas and expressions completely separate
- truth constants true, false are formulas, boolean constants TRUE, FALSE are expressions
- atomic formulas take expressions as arguments; e.g.:
 - x y < 5</p>
 - \triangleright b = TRUE

\mathcal{F} Translates boolean JML Expressions to Formulas

```
v/f/m() boolean variables/fields/pure methods b_0, b_1 boolean JML expressions e_0, e_1 JAVA expressions
```

 \mathcal{T} may add 'self.' or '@ClassName' (see pp. 16, 17) \mathcal{E} may add casts, transform operators (see p. 15)

$\mathcal F$ Translates boolean JML Expressions to Formulas

```
\mathcal{F}((\mathbf{T} \mathbf{n}))
                                             = \forall T x;
                                                        !x = null \rightarrow \mathcal{F}(e_0)
\mathcal{F}((\text{exists T x; e_0}))
                                             = \exists T x;
                                                        !x = null & \mathcal{F}(e_0)
\mathcal{F}((\int \mathbf{forall} \ T \ x; \ e_0; \ e_1)) = \int \mathbf{forall} \ T \ x;
                                                            !x = null & \mathcal{F}(e_0)
                                                       \rightarrow \mathcal{F}(e_{-}1)
\mathcal{F}((\text{exists T x; e_0; e_1})) = \text{exists T x;}
                                                            !x = null
```

& $\mathcal{F}(e_{-}0)$ & $\mathcal{F}(e_{-}1)$

Translating Preconditions

```
if selected contract Contr has preconditions
@ requires b_1;
@ ...
@ requires b_n;
they are translated to
```

Translating Preconditions

```
if selected contract Contr has preconditions
@ requires b_1;
@ ...
@ requires b_n;
they are translated to
```

$$\mathcal{PRE}(Contr) = \\ \mathcal{F}(b_1) \& \dots \& \mathcal{F}(b_n)$$

Translating Class Invariants

```
the invariant
class C {
    ...
    //@ invariant inv_i;
    ...
}
is translated to
```

121016

Translating Class Invariants

```
the invariant
class C {
  //@ invariant inv_i;
   . . .
is translated to
                             \mathcal{INV}(inv_i)
\forall C o: ((o.<created> = TRUE & !o = null) ->
                                                   \{self:=o\}\mathcal{F}(inv_i)
```

Translating Postconditions

```
if selected contract Contr has postconditions
@ ensures b_1;
@ ...
@ ensures b_n;
they are translated to
```

Translating Postconditions

```
if selected contract Contr has postconditions
@ ensures b_1;
@ ...
@ ensures b_n;
they are translated to
```

Translating Postconditions

if selected contract Contr has postconditions

```
@ ensures b_1;
@ ...
@ ensures b_n;
they are translated to
```

special treatment of expressions in post-condition: see next slide

below, we assume the following assignable clause

@ assignable <assignable_fields>;

below, we assume the following assignable clause

@ assignable <assignable_fields>;

translating expressions in postconditions (interesting cases only):

$$\mathcal{E}(\text{result}) = \text{result}$$
 $\mathcal{E}(\text{old}(e)) = \mathcal{E}_{old}(e)$

 \mathcal{E}_{old} defined like \mathcal{E} , with the exception of:

$$\mathcal{E}_{old}(e.f) = fAtPre(\mathcal{E}_{old}(e))$$

 $\mathcal{E}_{old}(f) = fAtPre(self)$

 $\text{for } \mathsf{f} \in \textit{<assignable_fields>}$

below, we assume the following assignable clause

@ assignable <assignable_fields>;

translating expressions in postconditions (interesting cases only):

$$\mathcal{E}(\ensuremath{f f v}) = ext{result}$$
 $\mathcal{E}(\ensuremath{f f f eta}) = \mathcal{E}_{\it old}(\ensuremath{f e})$

 \mathcal{E}_{old} defined like \mathcal{E} , with the exception of:

$$\mathcal{E}_{old}(\mathbf{e.f}) = \mathbf{fAtPre}(\mathcal{E}_{old}(\mathbf{e}))$$
 $\mathcal{E}_{old}(\mathbf{f}) = \mathbf{fAtPre}(\mathbf{self})$
for $\mathbf{f} \in \langle assignable_fields \rangle$

'fAtPre' intuitively refers to field 'f' in the pre-state

below, we assume the following assignable clause

@ assignable <assignable_fields>;

translating expressions in postconditions (interesting cases only):

$$\mathcal{E}(\ensuremath{f f v}) = ext{result}$$
 $\mathcal{E}(\ensuremath{f f f eta}(\ensuremath{f e})) = \mathcal{E}_{old}(\ensuremath{f e})$

 \mathcal{E}_{old} defined like \mathcal{E} , with the exception of:

$$\mathcal{E}_{old}(\mathbf{e.f}) = \mathbf{fAtPre}(\mathcal{E}_{old}(\mathbf{e}))$$
 $\mathcal{E}_{old}(\mathbf{f}) = \mathbf{fAtPre}(\mathbf{self})$
for $\mathbf{f} \in \langle assignable_fields \rangle$

'fAtPre' intuitively refers to field 'f' in the pre-state

But the logic does not know. Must be expressed in formula (next slide).

```
given an assignable field f of class C
class C {
    ...
    private T f;
    ...
}
translation of postcondition replaces f in \old(...) by fAtPre (p. 24)
left to do: store pre-state values of f in fAtPre
```

```
given an assignable field f of class C
class C {
    ...
    private T f;
    ...
}
translation of postcondition replaces f in \old(...) by fAtPre (p. 24)
left to do: store pre-state values of f in fAtPre
```

```
given an assignable field f of class C
class C {
    ...
    private T f;
    ...
}
translation of postcondition replaces f in \old(...) by fAtPre (p. 24)
left to do: store pre-state values of f in fAtPre
```

```
STORE(f)
=
\for C o; fAtPre(o) := o.f
```

```
given an assignable field f of class C
class C {
    ...
    private T f;
    ...
}
translation of postcondition replaces f in \old(...) by fAtPre (p. 24)
left to do: store pre-state values of f in fAtPre
```

```
\mathcal{STORE}(f)
=
\for C o; fAtPre(o) := o.f
```

note: not a formula, but

```
given an assignable field f of class C
class C {
    ...
    private T f;
    ...
}
translation of postcondition replaces f in \old(...) by fAtPre (p. 24)
left to do: store pre-state values of f in fAtPre
```

```
STORE(f)
=
\for C o; fAtPre(o) := o.f
```

note: not a formula, but a quantified update (more proper explanation next lecture)

Storing Pre-State of All Assignable Fields

if selected contract Contr has assignable clause:

```
@ assignable f_1, ..., f_n;
```

then pre-state of all assignable fields can be stored by

SEFM: Proof Obligations

Storing Pre-State of All Assignable Fields

if selected contract Contr has assignable clause:

```
@ assignable f_1, ..., f_n;
```

then pre-state of *all* assignable fields can be stored by *one* parallel update:

SEFM: Proof Obligations

Storing Pre-State of All Assignable Fields

if selected contract Contr has assignable clause:

```
@ assignable f_1, ..., f_n;
```

then pre-state of *all* assignable fields can be stored by *one* parallel update:

how can you express in DL: method call m() will not throw an exception

how can you express in DL: method call m() will not throw an exception (if method body from class C in package p is executed)

```
how can you express in DL:
method call m() will not throw an exception
(if method body from class C in package p is executed)
\<{ exc = null;
    try {
      m()@p.C;
    } catch (Throwable e) {
      exc = e;
```

 $}$ exc = null

```
how can you express in DL: method call m() will not throw an exception (if method body from class C in package p is executed)
```

```
\<{ exc = nul1;
    try {
        m()@p.C;
    } catch (Throwable e) {
        exc = e;
    }
}\> exc = nul1
```

note difference:

- ► JAVA assignments
- ▶ equation, i.e., formula

how can you express in DL: method call m() will throw an exception

```
how can you express in DL: method call m() will throw an exception (if method body from class C in package p is executed)
```

```
how can you express in DL:
method call m() will throw an exception
(if method body from class C in package p is executed)
try {
      m()@p.C;
    } catch (Throwable e) {
      exc = e;
  \ exc = null
```

```
how can you express in DL:
method call m() will throw an exception
(if method body from class C in package p is executed)
\<{ exc = null;
    try {
      m()@p.C;
    } catch (Throwable e) {
      exc = e;
  }\> !exc = null & <exc has right type>
```

PO for Normal Behavior Contract

PO for a normal behavior contract *Contr* for void method m(), with chosen assumed invariants inv_1, ..., inv_n ==>

```
\mathcal{INV}(inv_1)
   & . . .
   & \( \mathcal{INV}(inv_n) \)
   & PRE(Contr)
-> STORE(Contr)
      \<{ exc = null;
           try {
             m()@p.C;
           } catch (Throwable e) {
             exc = e;
        \rightarrow exc = null & POST(Contr)
```

PO for Normal Behavior Allowing Non-Termination

```
PO for a normal behavior contract Contr for method m().
where Contr has clause diverges true;
==>
      \mathcal{INV}(inv_1)
    & . . .
    & INV(inv_n)
    & PRE(Contr)
 -> STORE(Contr)
      \{ exc = null; \}
           try {
             m()@p.C;
           } catch (Throwable e) {
             exc = e;
        \ exc = null & POST(Contr)
```

PO for Normal Behavior of Non-Void Method

PO for a normal behavior contract *Contr* for non-void method m(), ==> $\mathcal{INV}(inv_1)$ & ... & $INV(inv_n)$ & PRE(Contr) -> STORE(Contr) try { result = m()@p.C; } catch (Throwable e) { exc = e; $\rightarrow \infty = \text{null & } POST(Contr)$

PO for Normal Behavior of Non-Void Method

PO for a normal behavior contract *Contr* for non-void method m(), ==> $\mathcal{INV}(inv_1)$ & ... & $INV(inv_n)$ & PRE(Contr) -> STORE(Contr) try { result = m()@p.C; } catch (Throwable e) { exc = e; $\rightarrow \$ exc = null & POST(Contr)

recall: POST(Contr) translates \result to result (p. 24)

PO for Preserving Invariants

```
assume method m() has contracts Contr_1, \ldots, Contr_i
PO stating that:
                Invariants inv_1, ..., inv_n are preserved
                     in all cases covered by a contracts.
==>
       \mathcal{INV}(inv_1) & ... & \mathcal{INV}(inv_n)
     & ( \mathcal{PRE}(Contr_1) \mid \ldots \mid \mathcal{PRE}(Contr_1) )
 \rightarrow \[{ exc = null:
          try {
             m()@p.C;
           } catch (Throwable e) {
             exc = e;
        \ \mathcal{INV}(inv_1) & ... & \mathcal{INV}(inv_n)
```

Examples

don't fit on slide: execute quicktour with KeY instead

Literature for this Lecture

Essential

KeY Quicktour see course page, under 'Links, Papers, and Software'

SEFM: Proof Obligations CHALMERS/GU 121016 34 / 34