
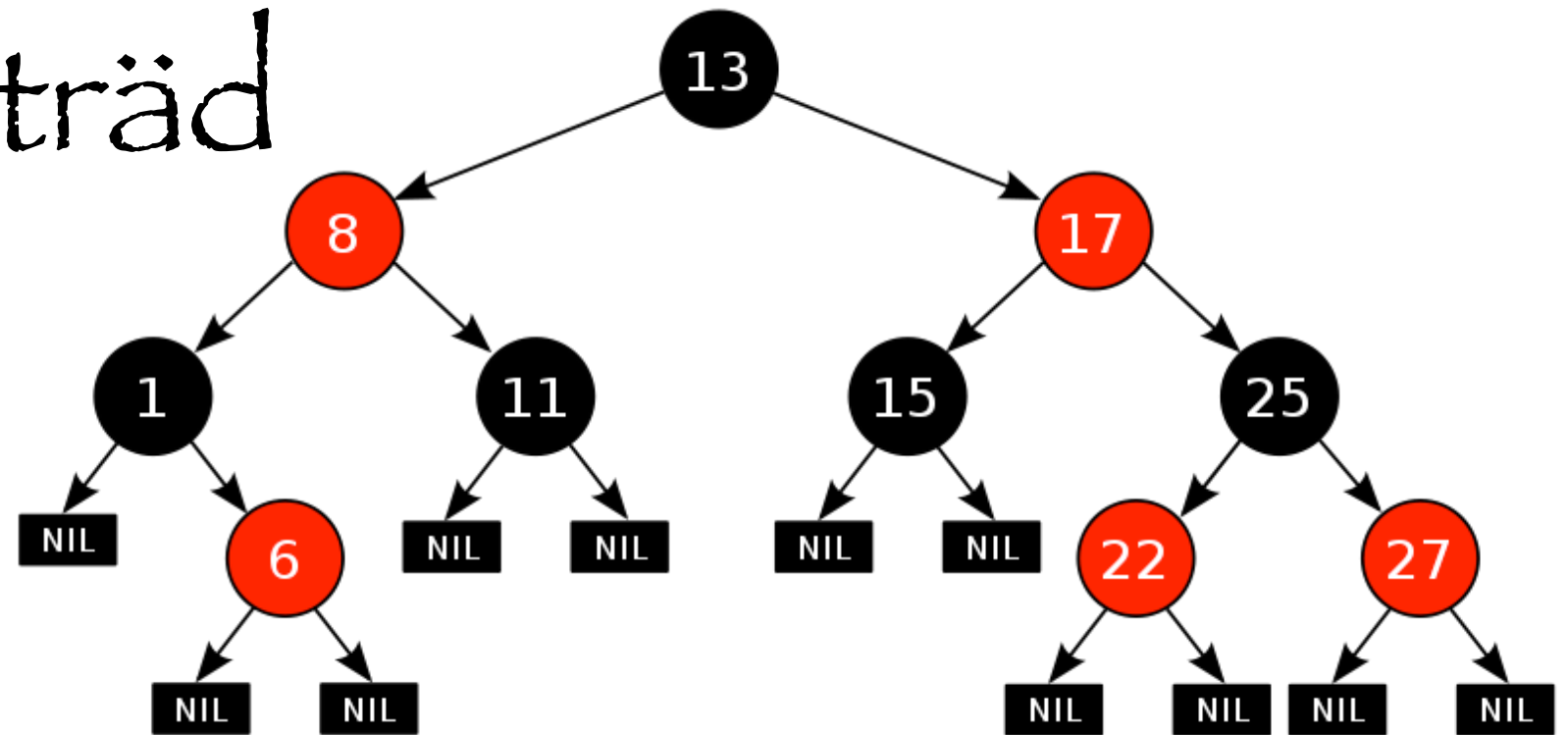


Rödsvarta träd

Koffman & Wolfgang

 kapitel 9, avsnitt 3

Rödsvarta träd



Ett rödsvart träd har följande invarianter:

- en nod är antingen röd eller svart
- roten är alltid svart
- en röd nod har alltid svarta barn
- tomma barn kallas "löv" och är svarta
- antalet svarta noder är alltid samma, i varje stig från roten till ett löv
 - antalet röda noder i stigen är aldrig fler än antalet svarta
 - därför är trädet balanserat

Insättning i ett rödsvart träd

Först söker vi efter insättningspunkten precis som för alla binära sökträd

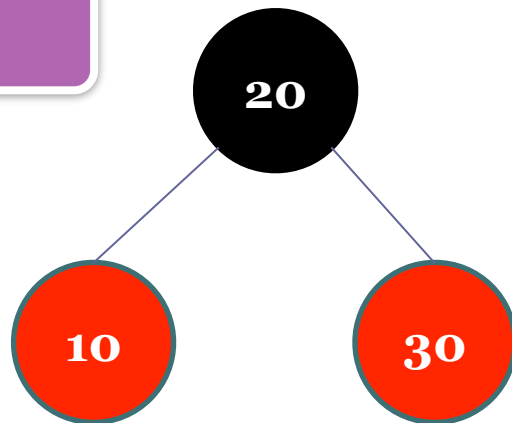
Det nya elementet ersätter ett löv och ges färgen röd

- den nya noden får två svarta löv, så antalet svarta noder i lövstigarna är oförändrat
- om föräldern är svart, så är vi klara
- annars behöver vi arrangera om trädet
- det finns tre möjliga fall som vi måste hantera

Hädanefters visar vi inte de svarta löven (de tomma noderna), men de finns alltid där

Insættning, fall 1

CASE 1

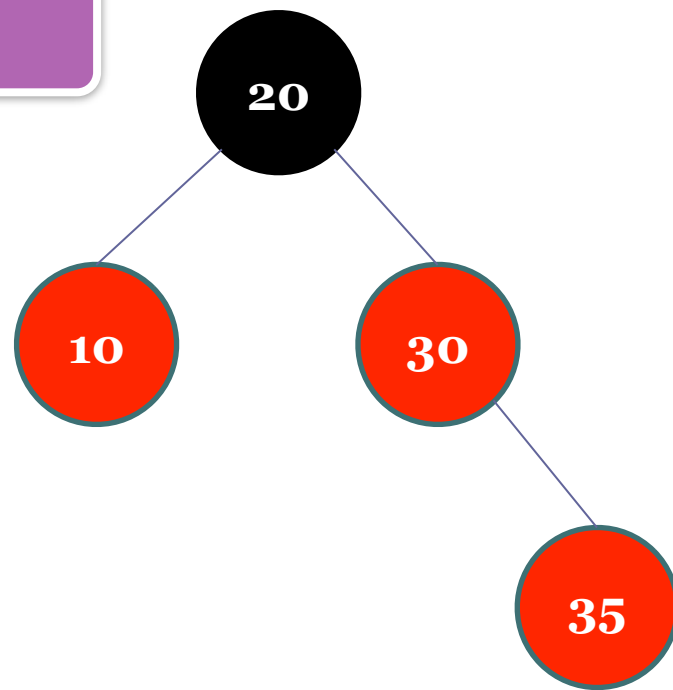


Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a `null` reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

Insættning, fall 1

CASE 1

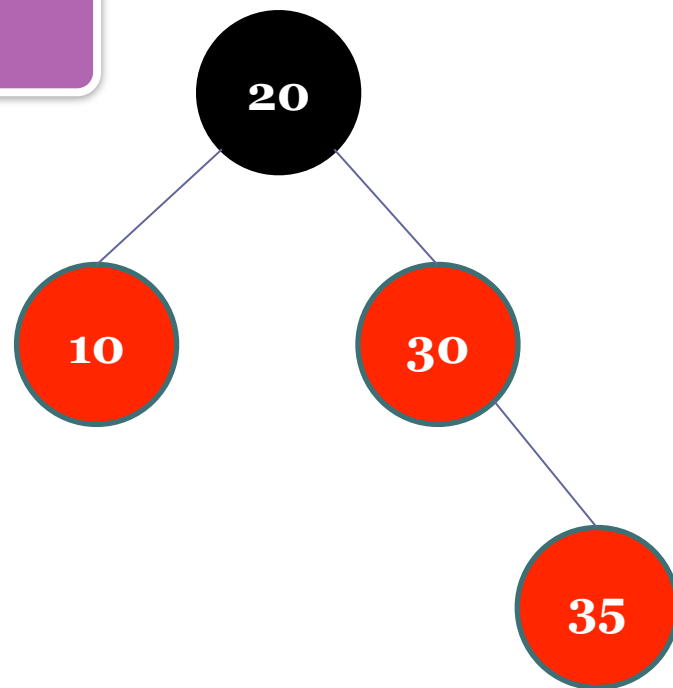


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Insättning, fall 1

CASE 1



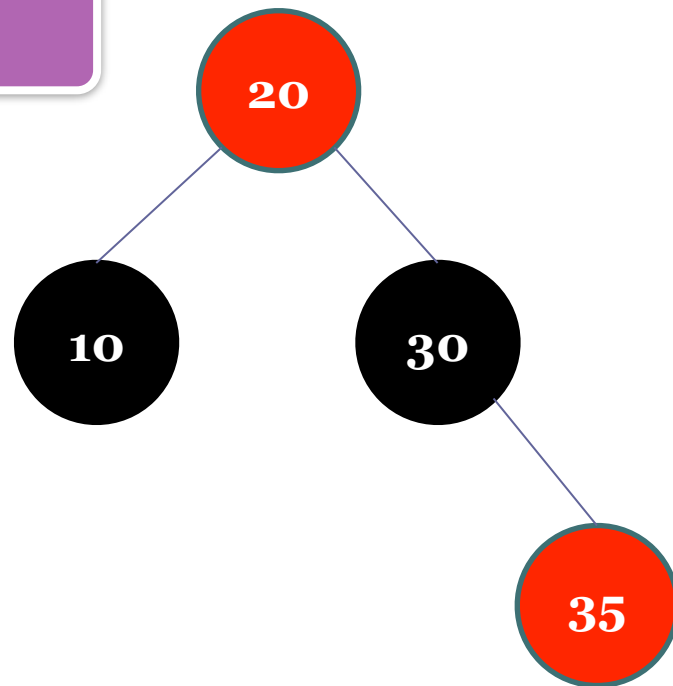
If a parent is red, and its sibling is also red, they can both be changed to black, and the grandparent to red

Invariants:

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3. A red node always has black children (a null reference is considered to refer to a black node)
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Insättning, fall 1

CASE 1



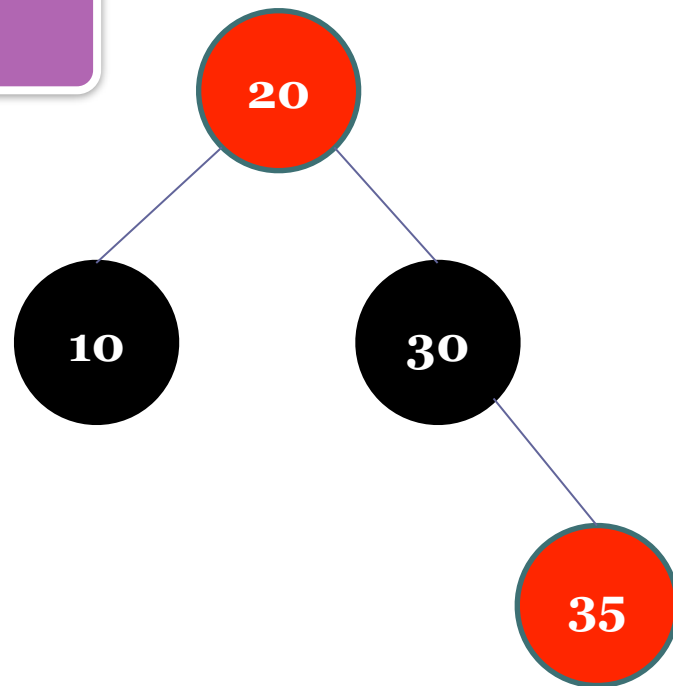
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Insättning, fall 1

CASE 1



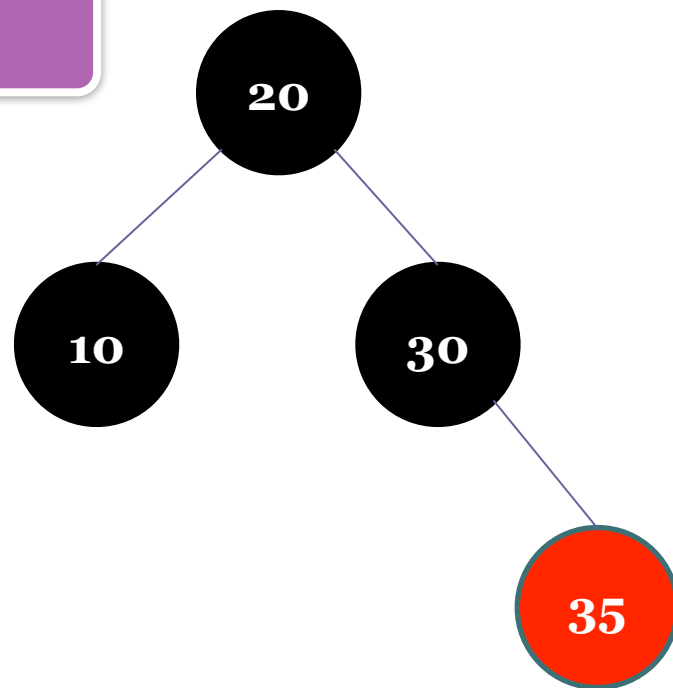
The root can be changed to black and still maintain invariant 4

Invariants:

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Insättning, fall 1

CASE 1



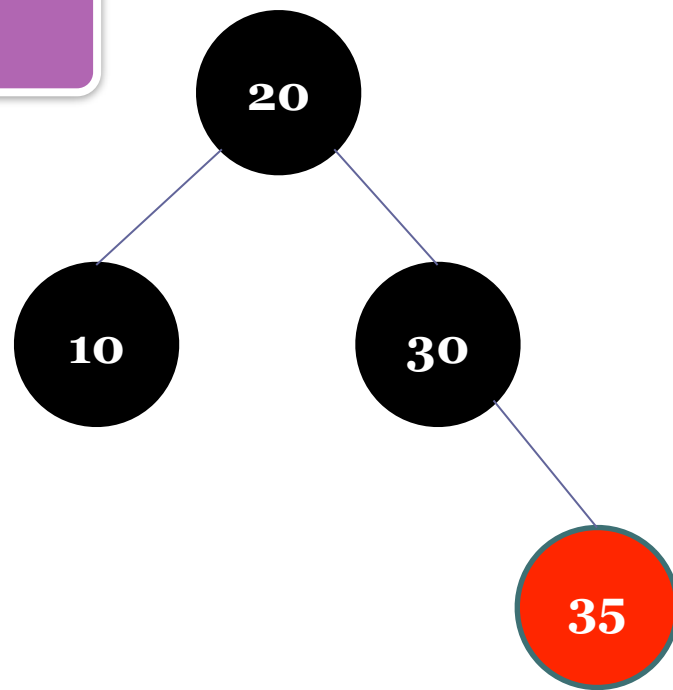
The root can be changed to black and still maintain invariant 4

Invariants:

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Insättning, fall 1

CASE 1



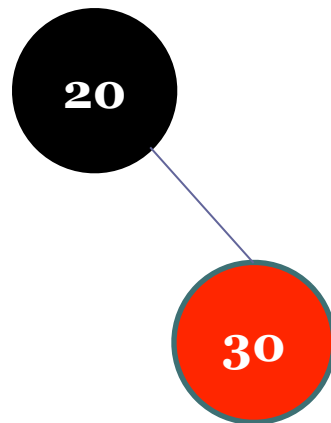
Balanced tree

Invariants:

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2. The root is always black
3. A red node always has black children (a `null` reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

Insättning, fall 2

CASE 2

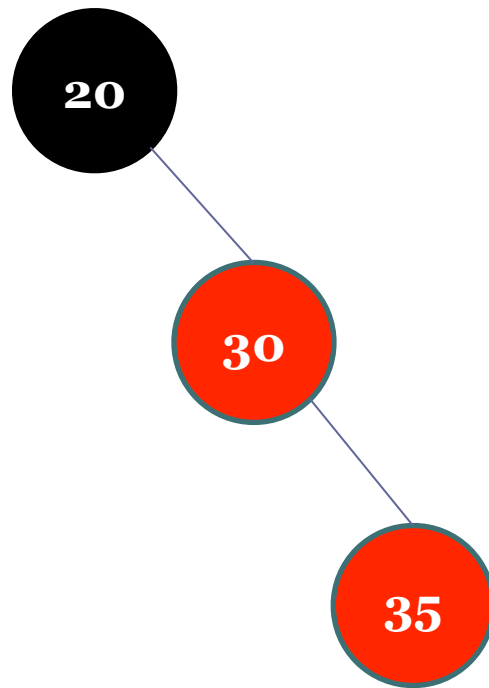


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Insättning, fall 2

CASE 2

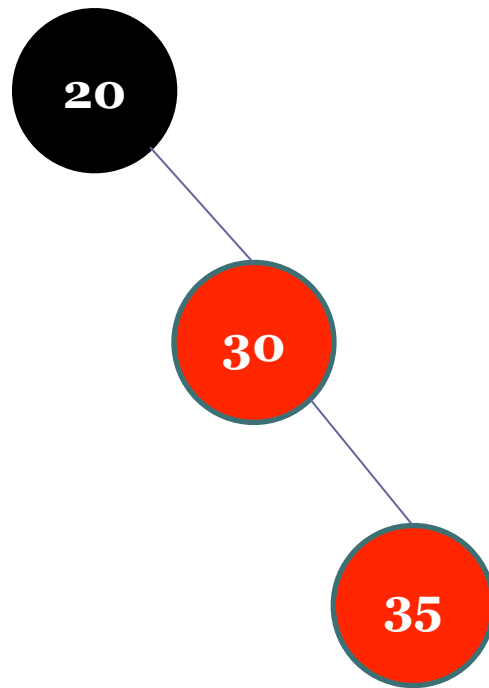


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Insättning, fall 2

CASE 2



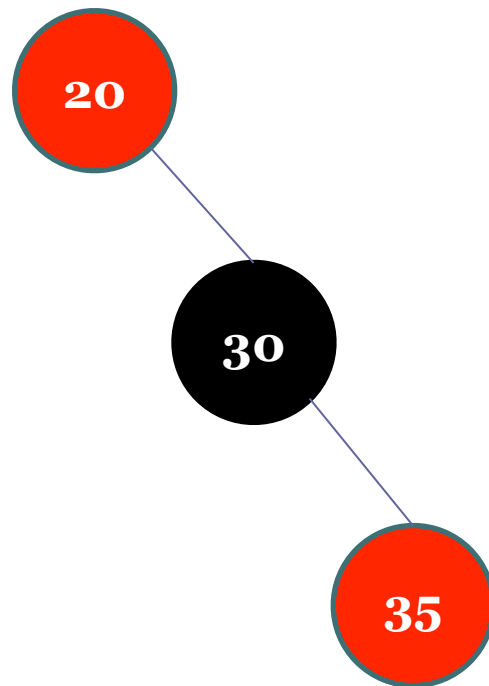
If a parent is red (with no sibling), it can be changed to black, and the grandparent to red

Invariants:

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2. The root is always black
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Insättning, fall 2

CASE 2



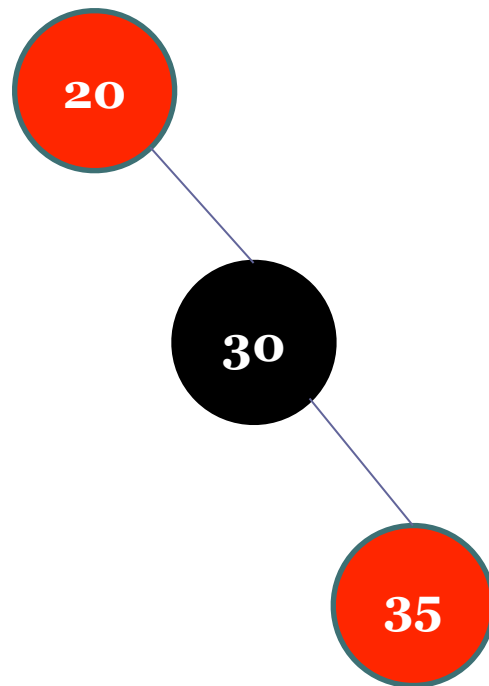
If a parent is red (with no sibling), it can be changed to black, and the grandparent to red

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Insättning, fall 2

CASE 2



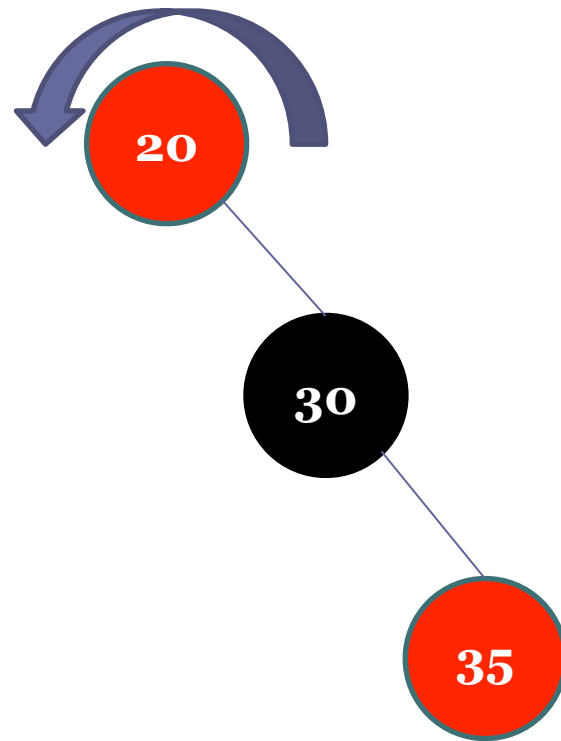
There is one black node on the right and none on the left, which violates invariant 4

Invariants:

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Insättning, fall 2

CASE 2



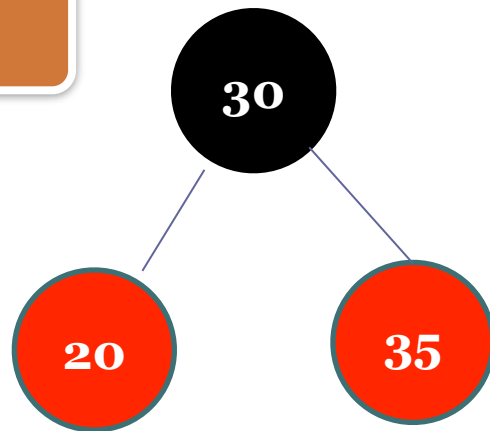
Rotate left around the grandparent to correct this

Invariants:

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4. **The number of black nodes in any path from the root to a leaf is the same**

Insættning, fall 2

CASE 2



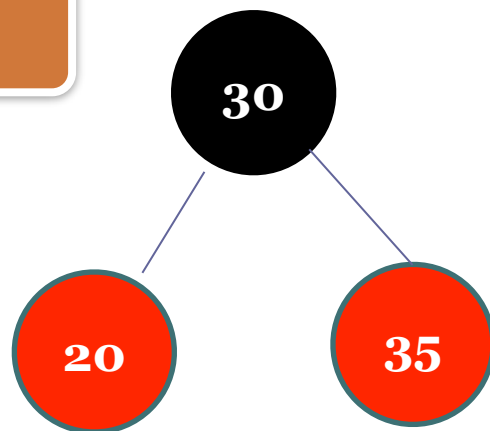
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Insättning, fall 2

CASE 2



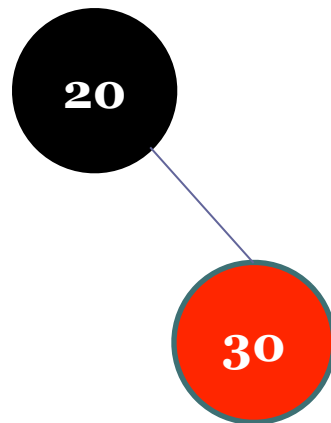
Balanced tree

Invariants:

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Insättning, fall 3 (första försöket)

CASE 3

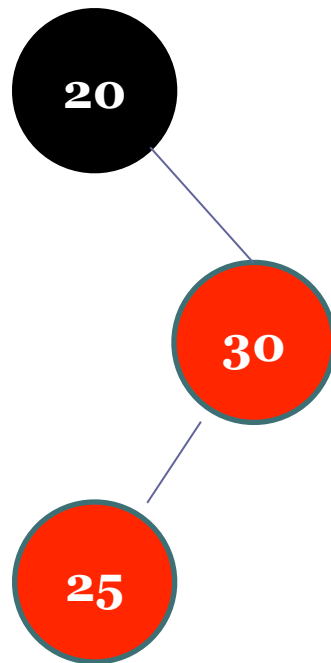


Invariants:

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Insättning, fall 3 (första försöket)

CASE 3

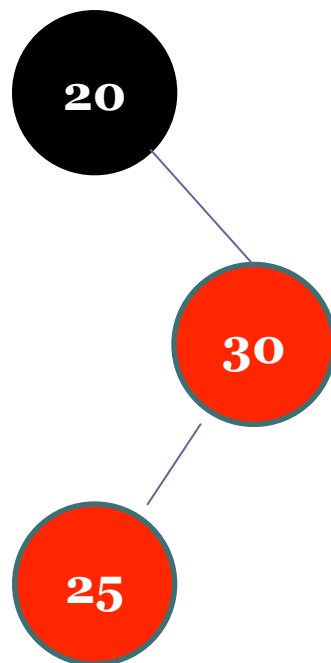


Invariants:

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Insättning, fall 3 (första försöket)

CASE 3



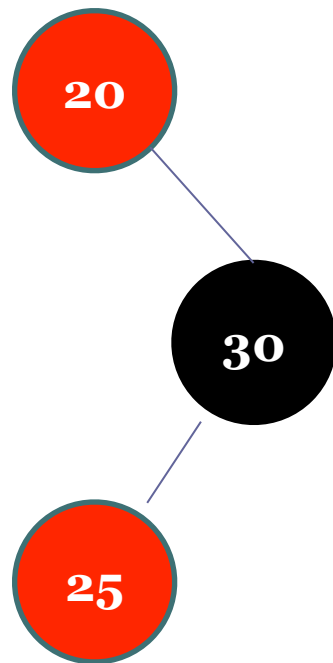
If a parent is red (with no sibling), it can be changed to black, and the grandparent to red

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Insättning, fall 3 (första försöket)

CASE 3



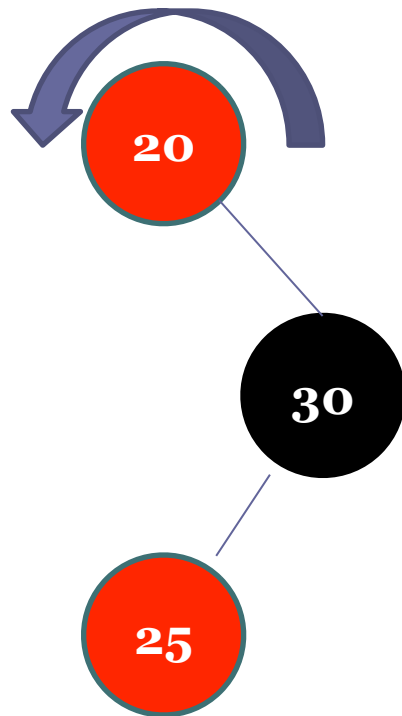
If a parent is red (with no sibling), it can be changed to black, and the grandparent to red

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Insättning, fall 3 (första försöket)

CASE 3



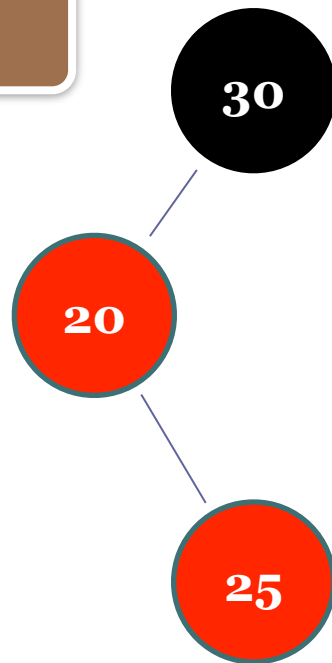
Invariants:

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2. **The root is always black**
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4. **The number of black nodes in any path from the root to a leaf is the same**

A rotation left does not fix the violation of #4

Insättning, fall 3 (första försöket)

CASE 3



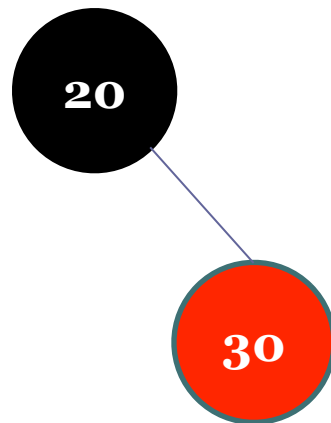
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A rotation left does not fix the violation of #4

Insättning, fall 3, korrekt version

CASE 3



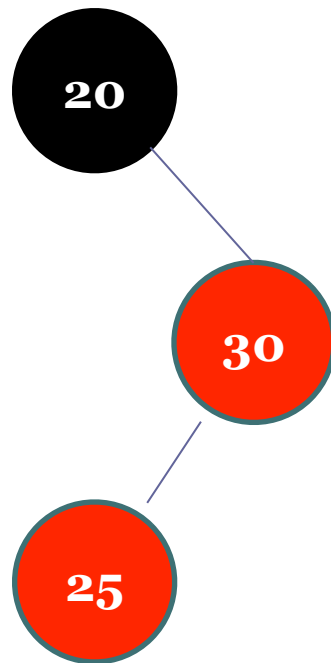
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4. The number of black nodes in any path from the root to a leaf is the same

Back-up to the beginning
(don't perform rotation or
change colors)

Insättning, fall 3

CASE 3



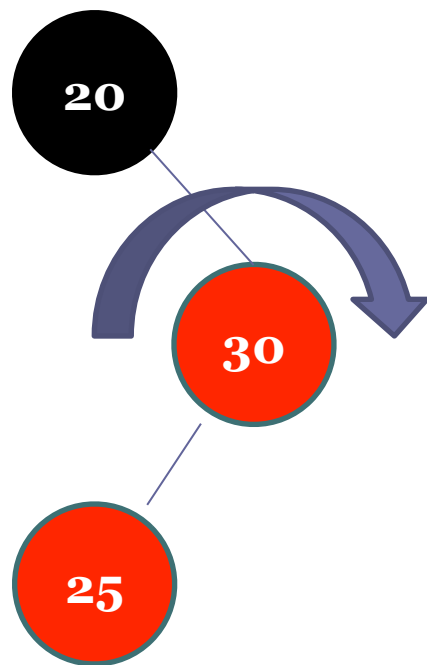
Back-up to the beginning
(don't perform rotation or
change colors)

Invariants:

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Insättning, fall 3

CASE 3



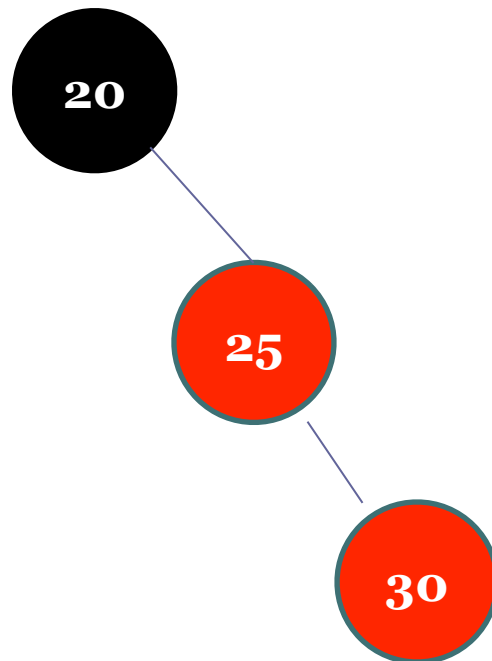
Rotate right about the parent so that the red child is on the same side of the parent as the parent is to the grandparent

Invariants:

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Insättning, fall 3

CASE 3



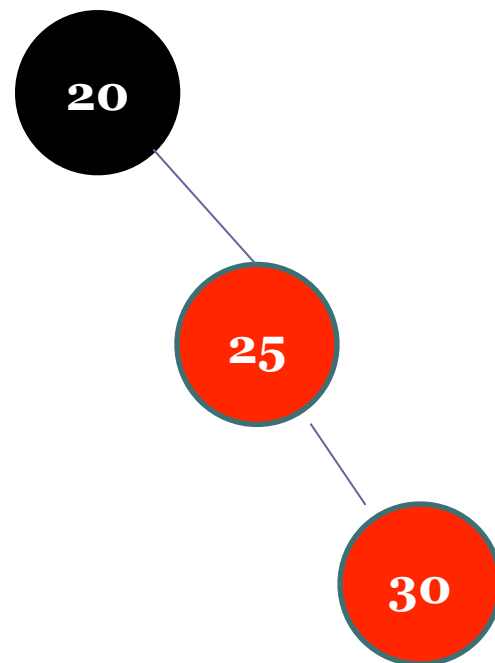
Rotate right about the parent so that the red child is on the same side of the parent as the parent is to the grandparent

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Insättning, fall 3

CASE 3



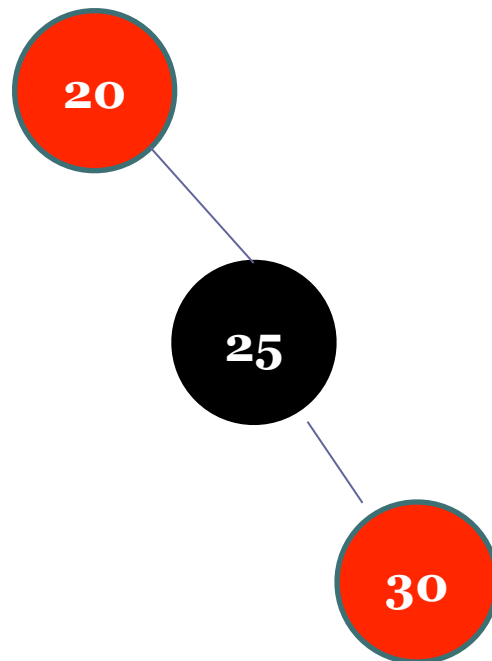
NOW, change colors

Invariants:

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Insättning, fall 3

CASE 3



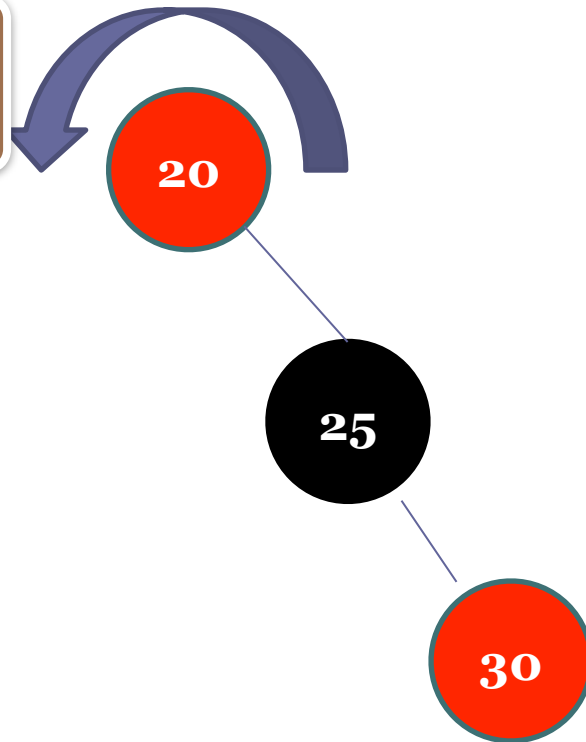
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Insättning, fall 3

CASE 3



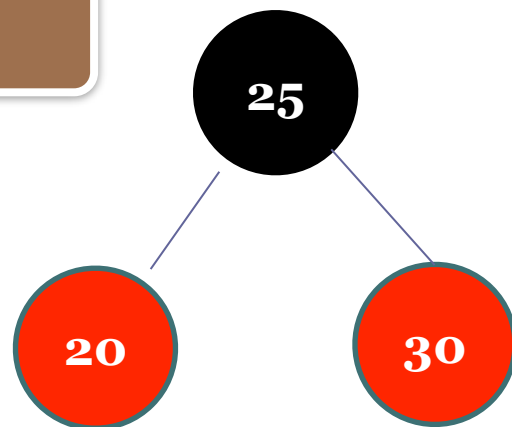
and rotate left...

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Insättning, fall 3

CASE 3



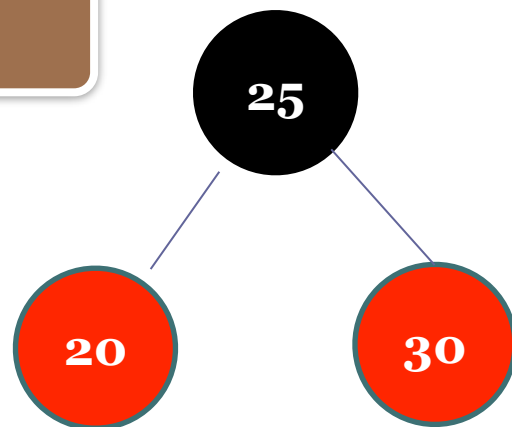
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and rotate left...

Insättning, fall 3

CASE 3



Balanced tree

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Insättning, sammanfattning

Wikipedias artikel om rödsvarta träd är bra!

- http://en.wikipedia.org/wiki/Red-black_tree

Fall 0 (case 2 i Wikipedia):

- föräldern är svart

Fall 1 (case 3 i Wikipedia):

- både föräldern och dess syskon är röda

Fall 2 (case 5 i Wikipedia):

- föräldern är röd och dess syskon svart
- dessutom är noden ett vänster-vänsterbarn / höger-högerbarn

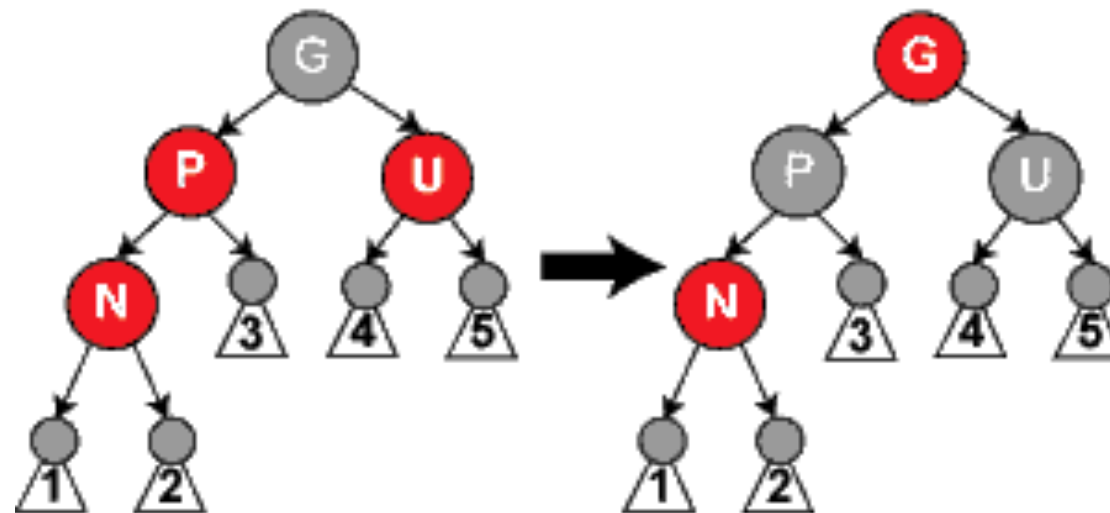
Fall 3 (case 4 i Wikipedia):

- föräldern är röd och dess syskon svart
- dessutom är noden ett höger-vänsterbarn / vänster-högerbarn

Insättning, fall 1

Både föräldern (P) och dess syskon (U) är röda:

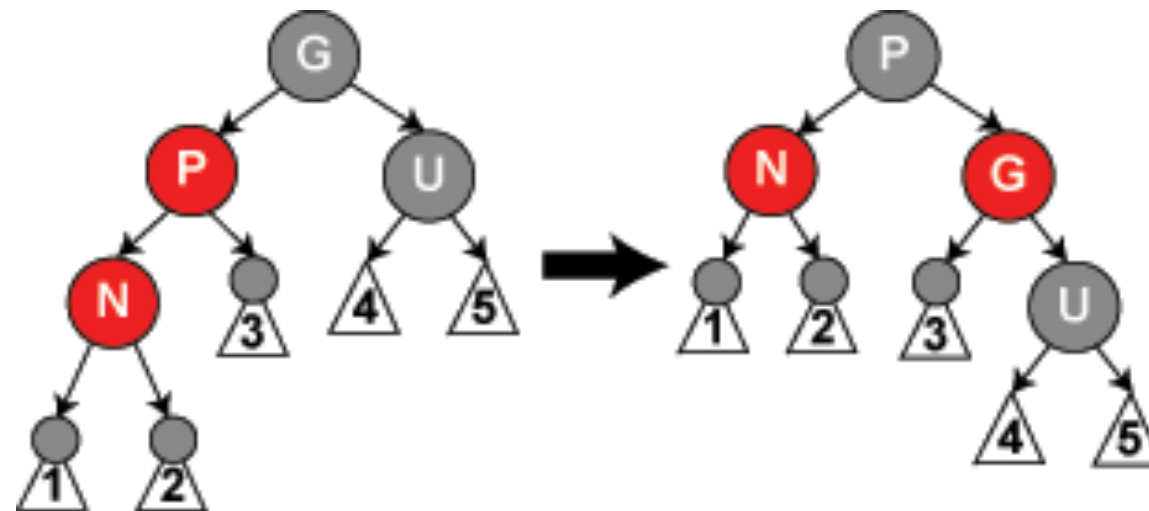
- deras förälder (G) måste då vara svart
- byt färg på dem (P, U) till svart
- byt färg på deras förälder (G) till röd
- fortsätt rekursivt uppåt i trädet med G som ny nod



Insättning, fall 2

Föräldern (P) är röd och dess syskon (U) är svart:

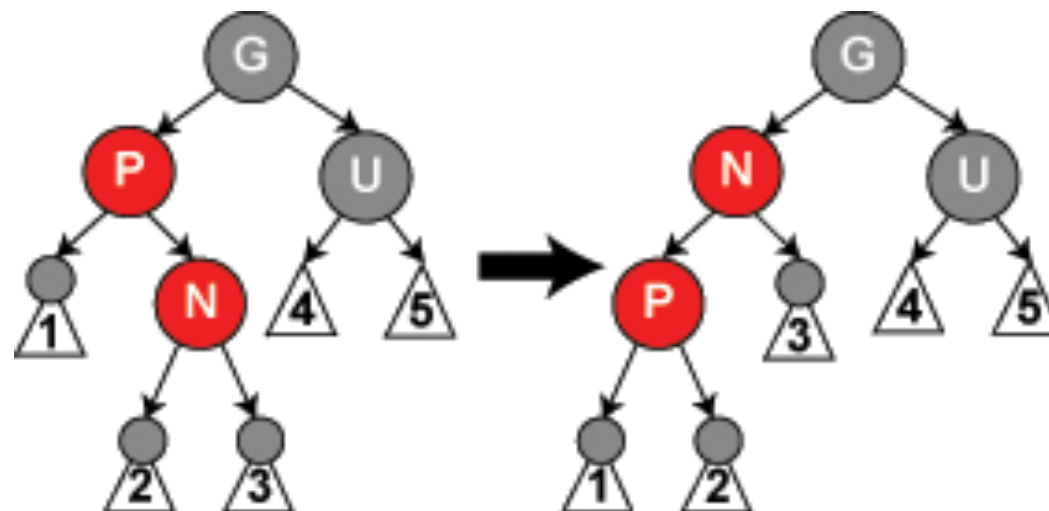
- dessutom är noden (N) ett vänster-vänsterbarn (eller ett höger-högerbarn)
- deras förälder (G) måste vara svart
- rotera runt G
- byt färg på P till svart och på G till röd
- nu är P ny svart lokal rot, och vi är klara!



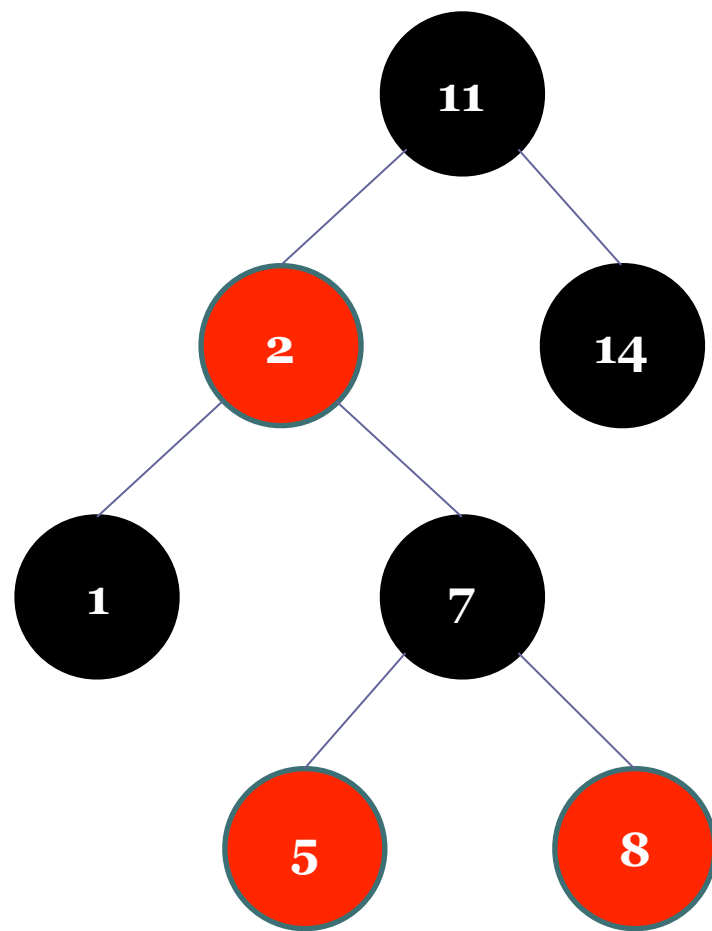
Insättning, fall 3

Föräldern (P) är röd och dess syskon (U) är svart:

- dessutom är noden (N) ett vänster-högerbarn (eller ett höger-vänsterbarn)
- deras förälder (G) måste vara svart
- rotera runt P
- nu är P ett vänster-vänsterbarn (eller höger-högerbarn) och vi kan fortsätta med fall 2



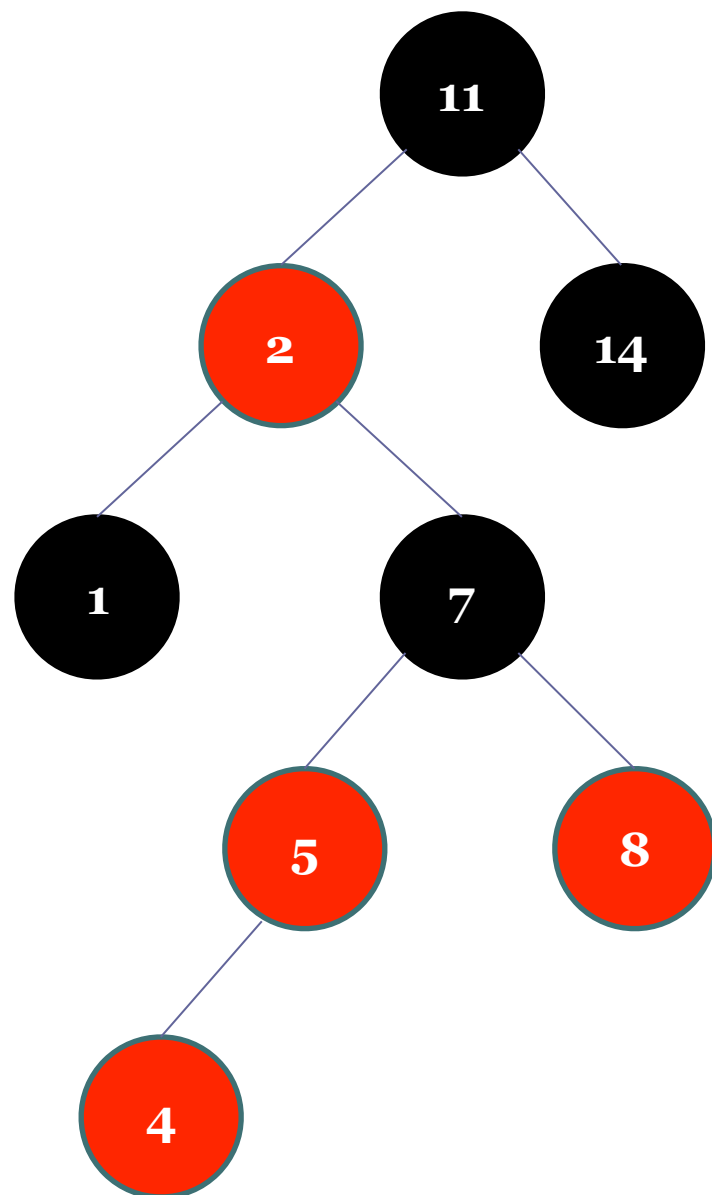
Insättning, ett enkelt exempel



Invariants:

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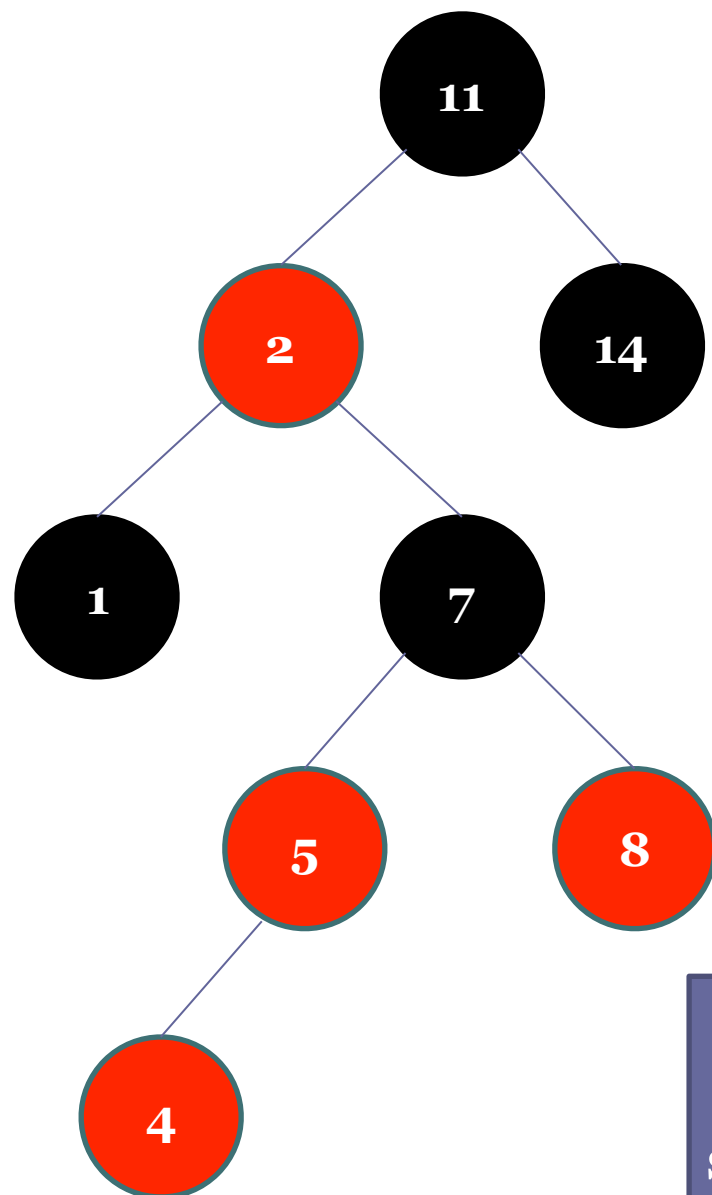
Insättning, ett enkelt exempel



Invariants:

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Insättning, ett enkelt exempel



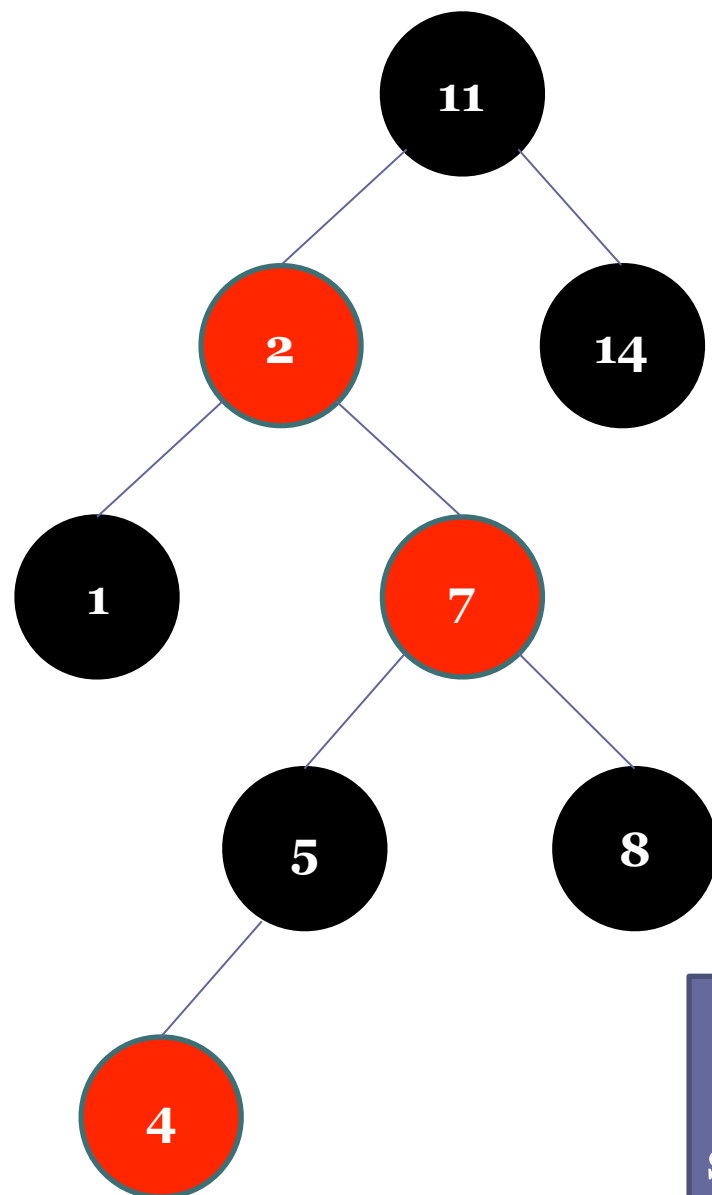
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CASE 1

If a parent is red, and its sibling is also red, they can both be changed to black, and the grandparent to red

Insättning, ett enkelt exempel



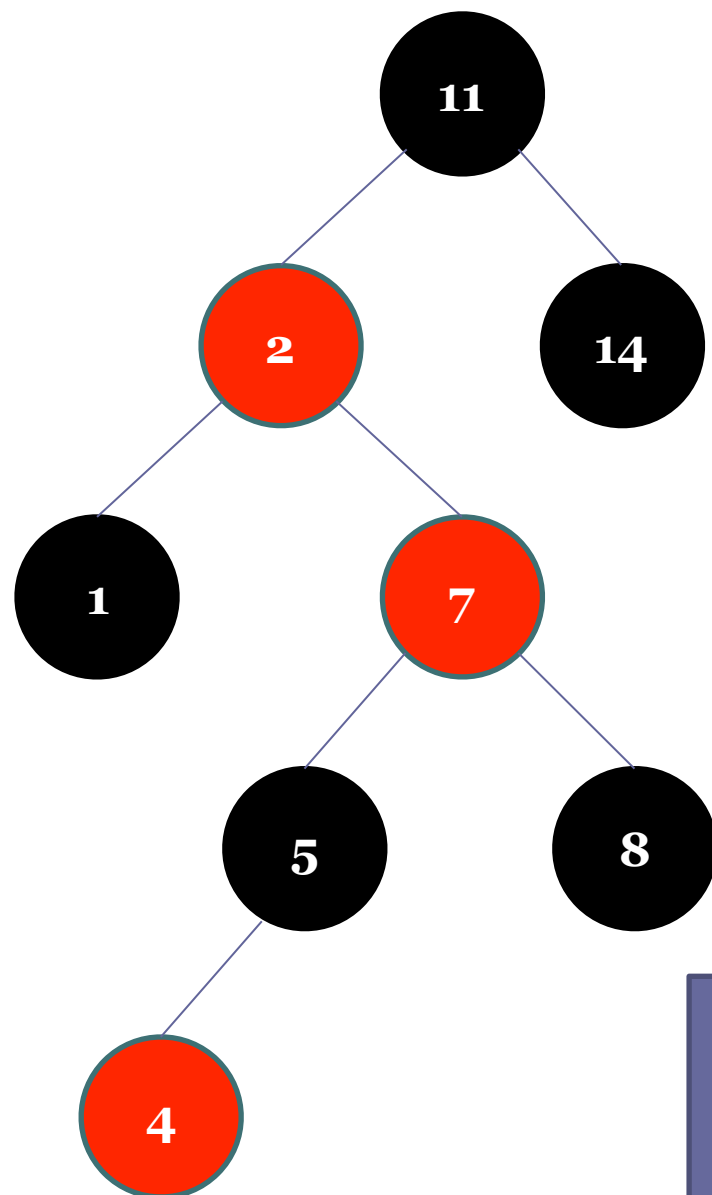
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Insättning, ett enkelt exempel

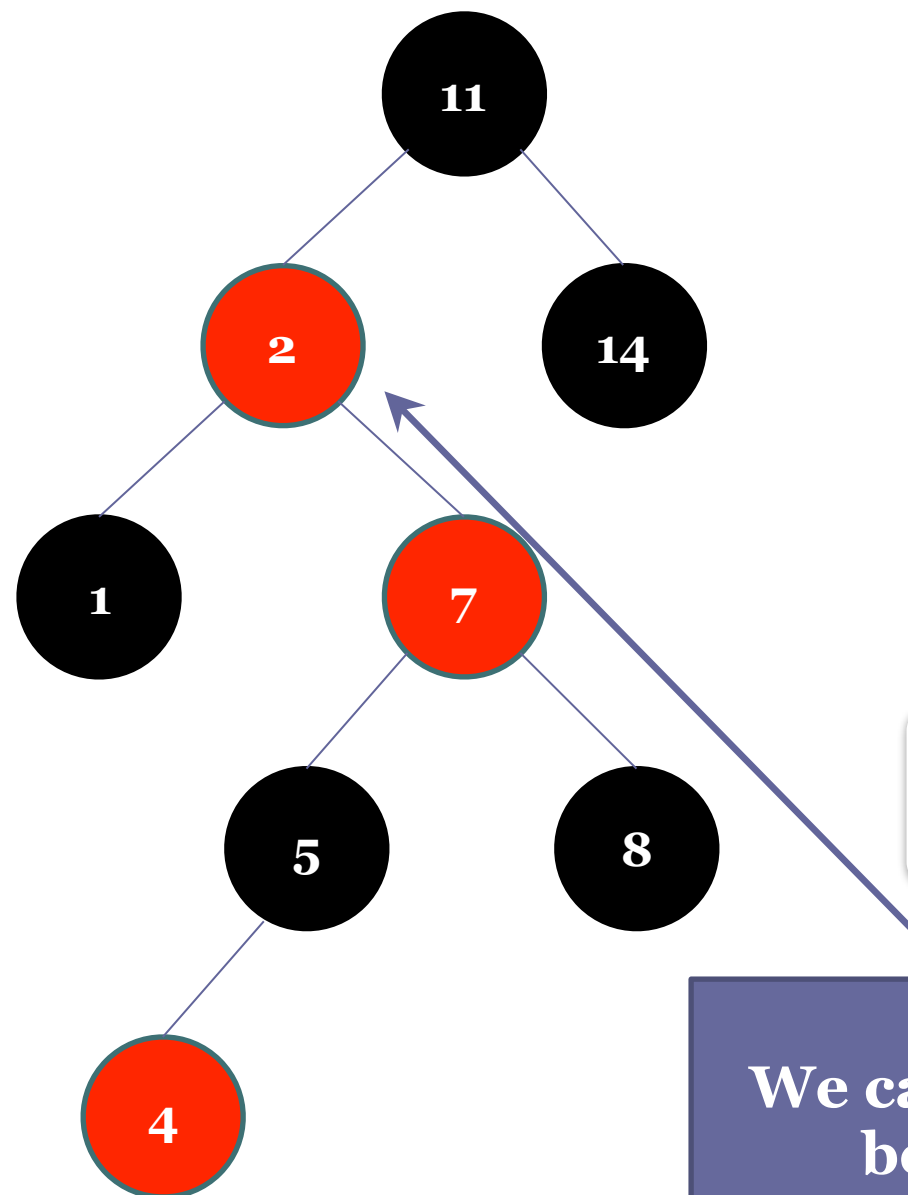


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The problem has now shifted up the tree

Insättning, ett enkelt exempel



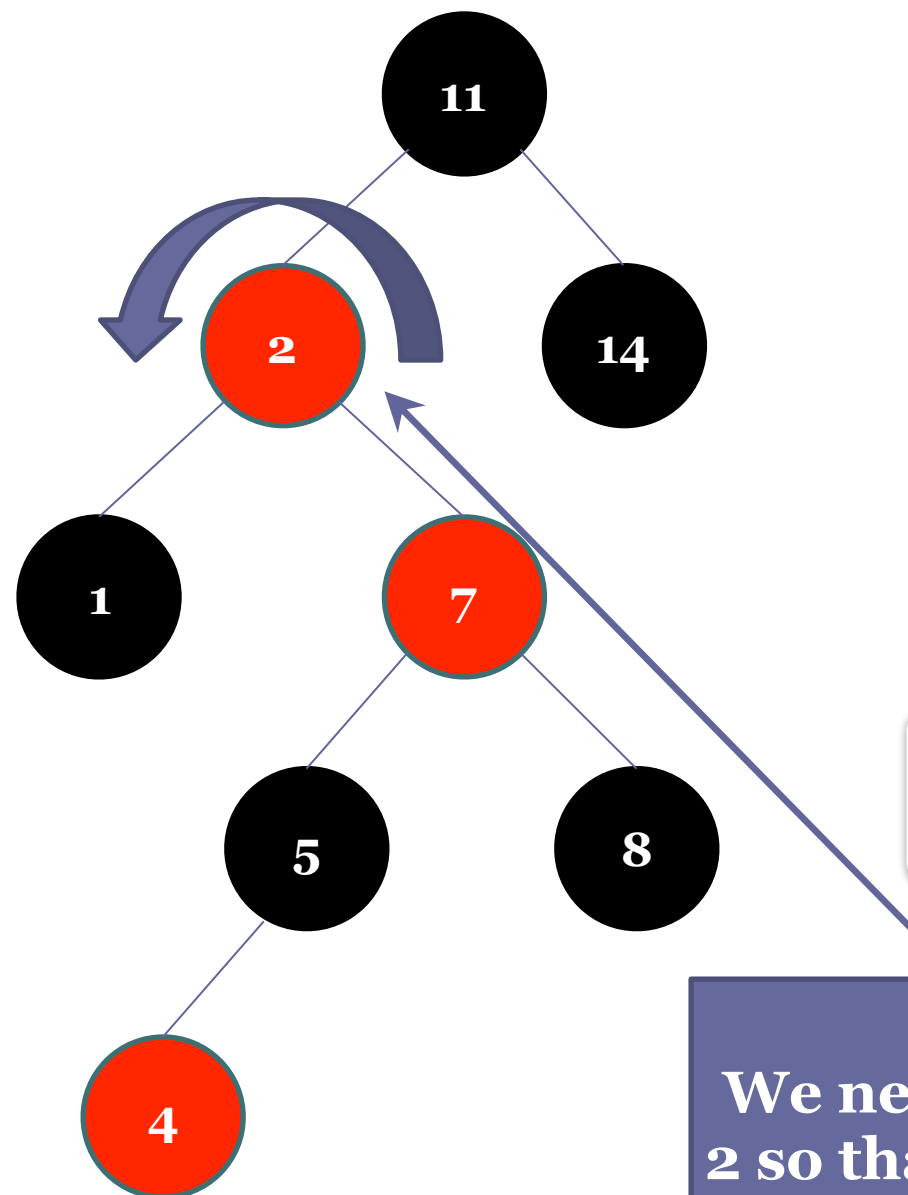
Invariants:

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CASE 3

We cannot change 2 to black because its sibling 14 is already black (both siblings have to be red to do the color change)

Insättning, ett enkelt exempel



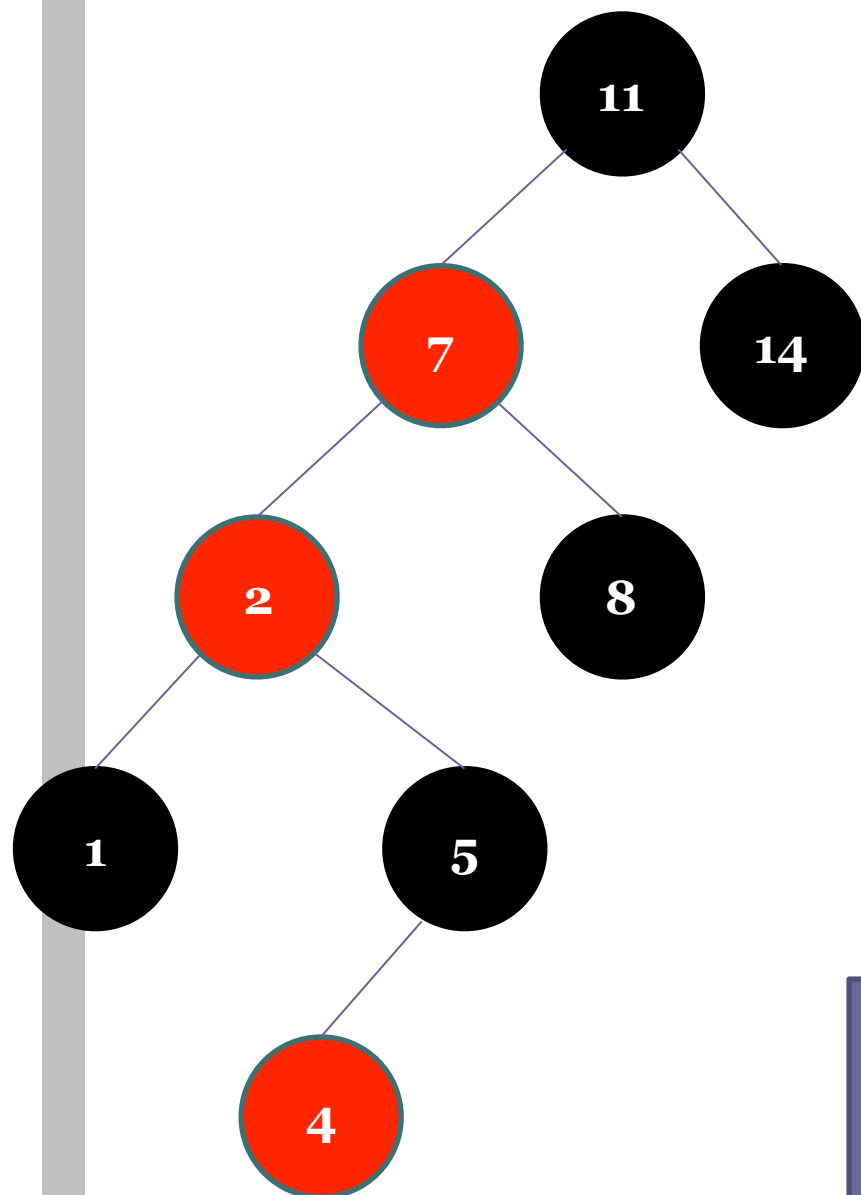
Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

CASE 3

We need to rotate left around 2 so that the red child is on the same side of the parent as the parent is to the grandparent

Insättning, ett enkelt exempel



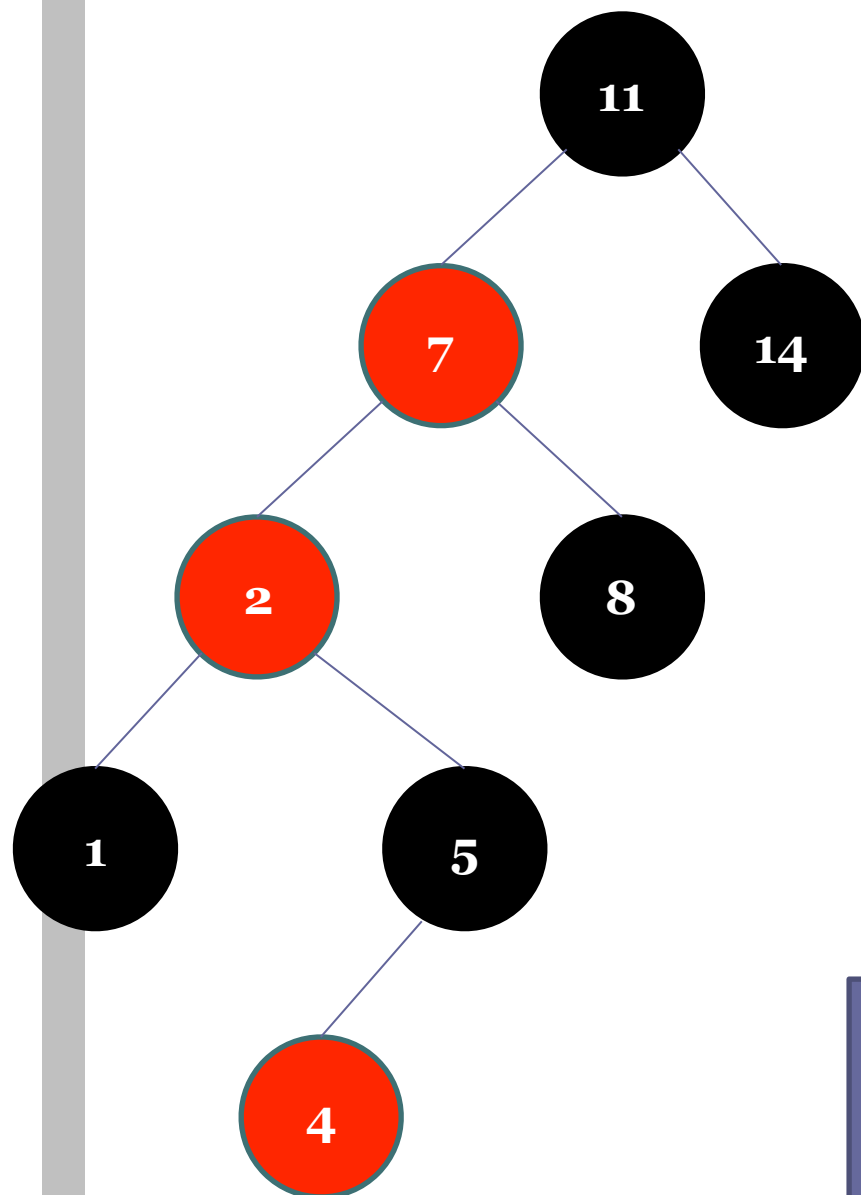
Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

CASE 3

We need to rotate left around 2 so that the red child is on the same side of the parent as the parent is to the grandparent

Insättning, ett enkelt exempel



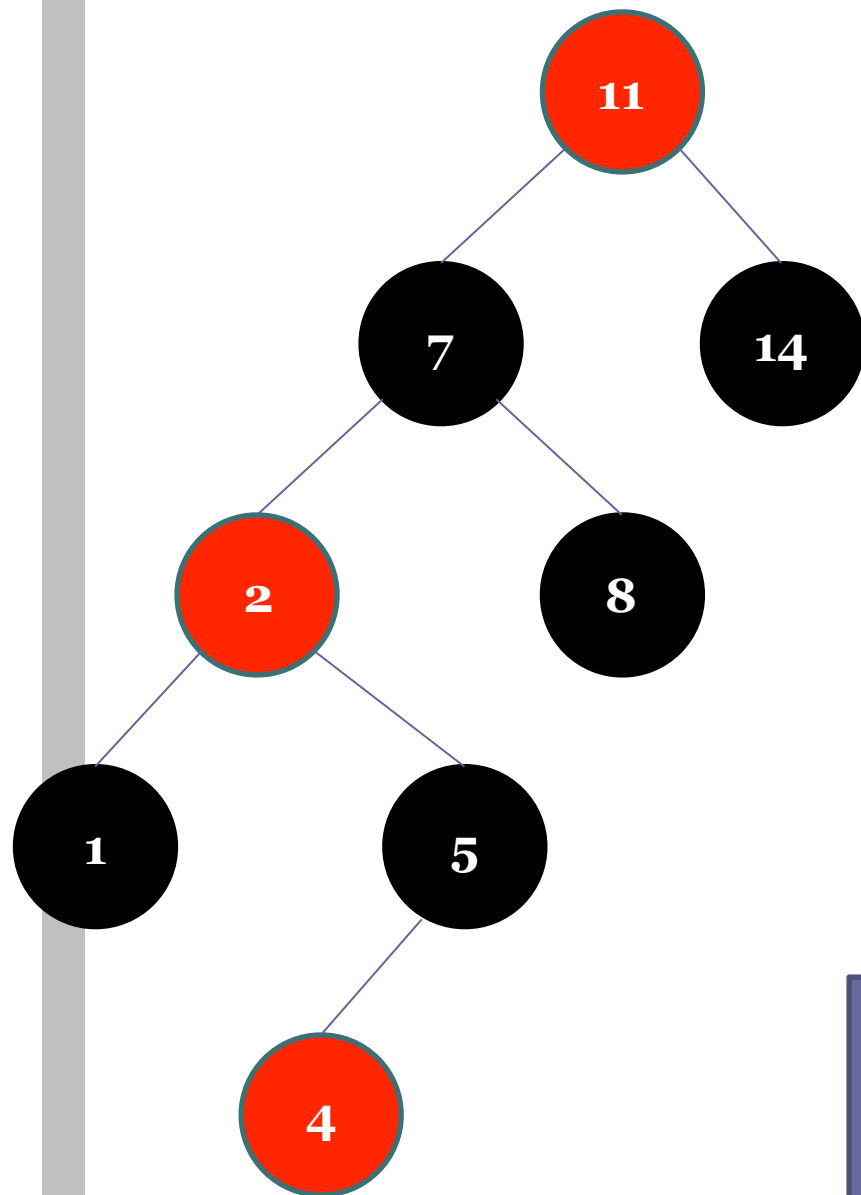
Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

CASE 3

Change colors

Insättning, ett enkelt exempel



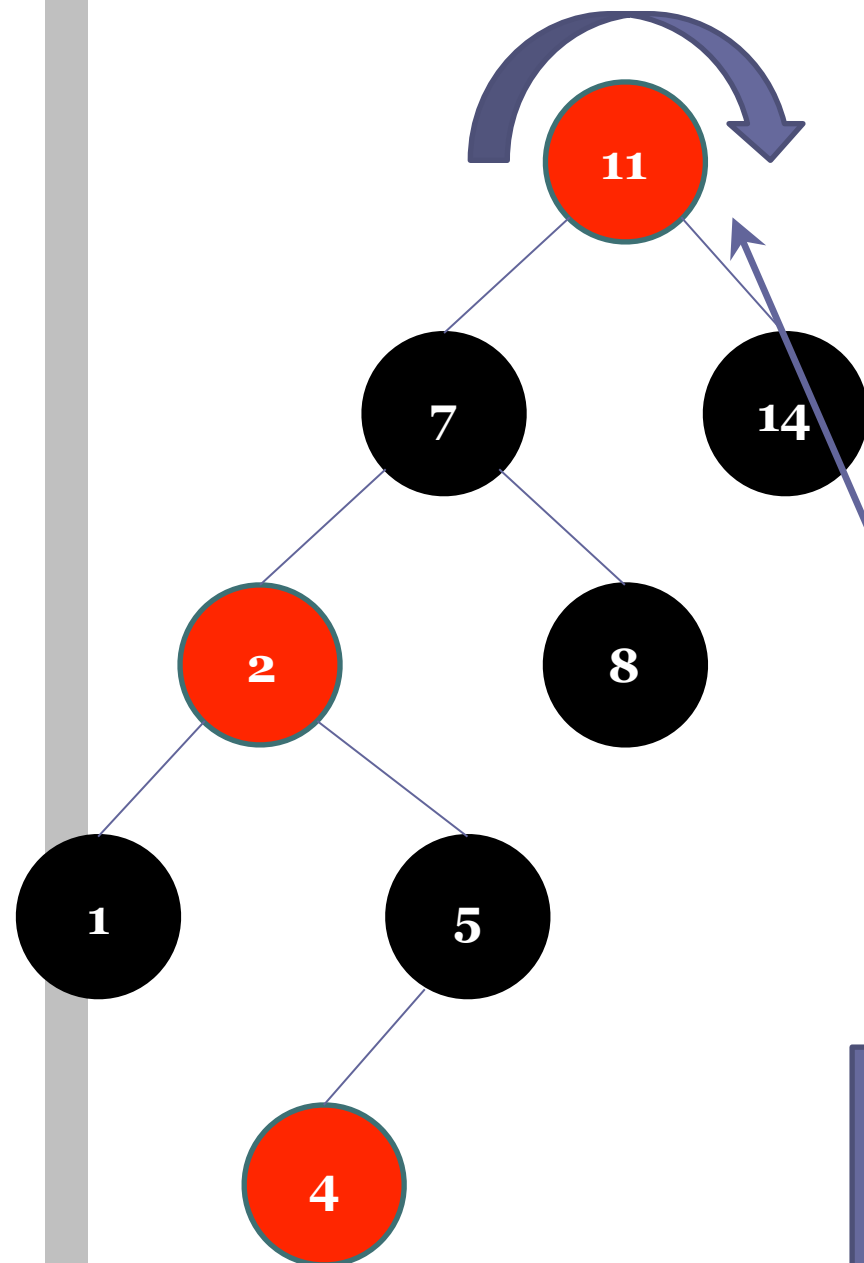
Invariants:

1. A node is either red or black
2. **The root is always black**
3. A red node always has black children (a null reference is considered to refer to a black node)
4. **The number of black nodes in any path from the root to a leaf is the same**

CASE 3

Change colors

Insättning, ett enkelt exempel



Invariants:

1. A node is either red or black
2. **The root is always black**
3. A red node always has black children (a null reference is considered to refer to a black node)
4. **The number of black nodes in any path from the root to a leaf is the same**

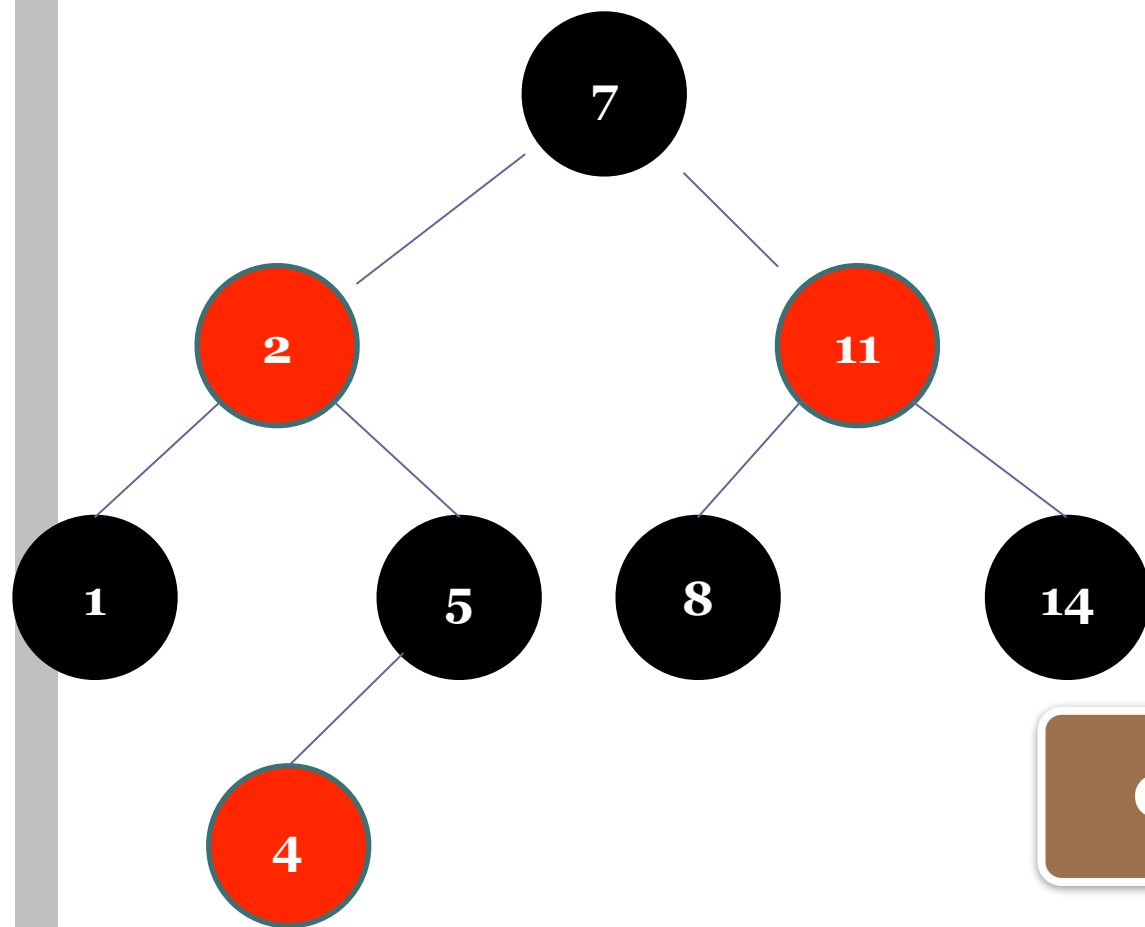
CASE 3

Rotate right around 11 to
restore the balance

Insättning, ett enkelt exempel

Invariants:

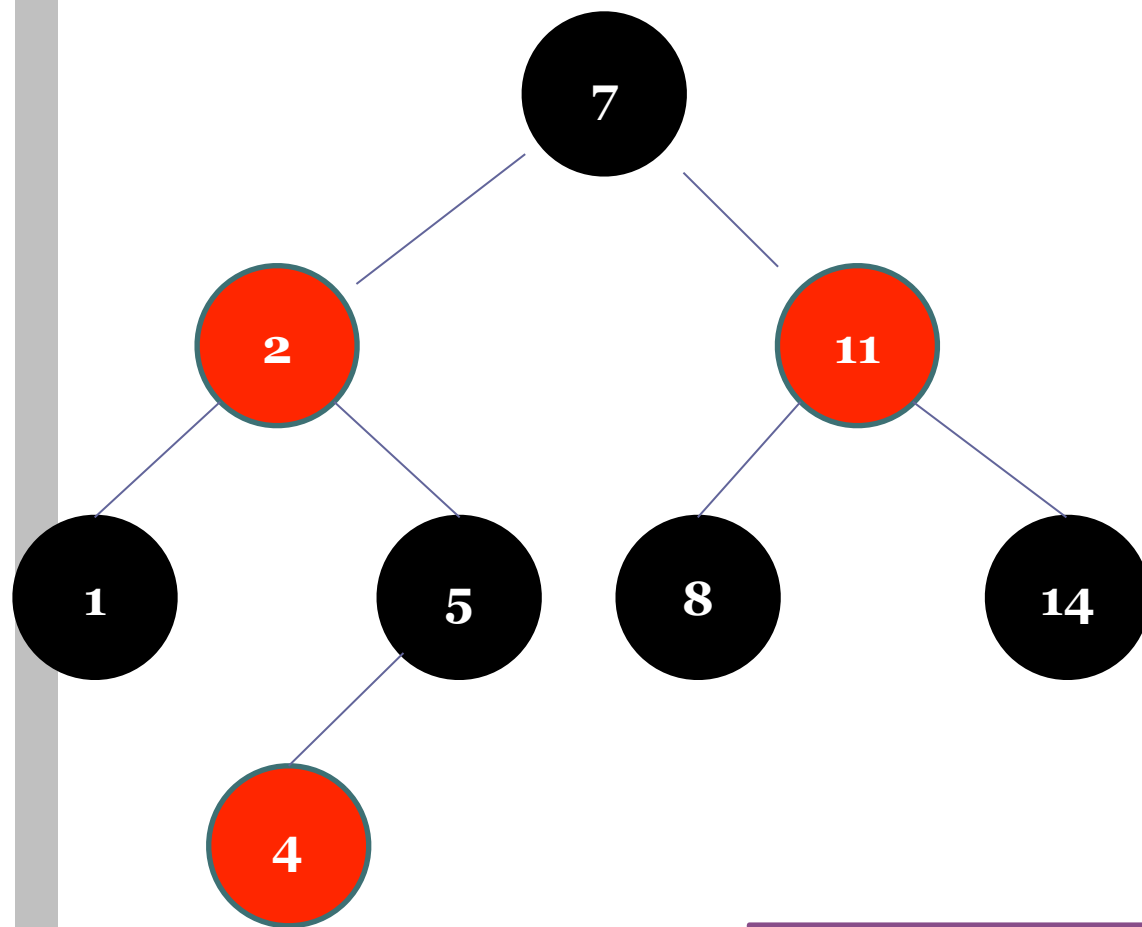
1. A node is either red or black
2. The root is always black
3. A red node always has black children (a `null` reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same



CASE 3

Rotate right around 11 to
restore the balance

Insättning, ett enkelt exempel



Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a `null` reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

Balanced tree

Ett större rödsvart exempel

Nu ska vi bygga ett rödsvart träd för orden i

"The quick brown fox jumps over the lazy dog"

The quick...

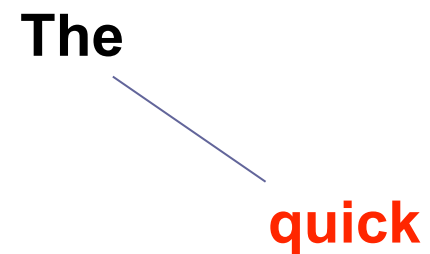
The

Invariants:

- 1. A node is either red or black**
- 2. The root is always black**
- 3. A red node always has black children (a null reference is considered to refer to a black node)**
- 4. The number of black nodes in any path from the root to a leaf is the same**

The quick...

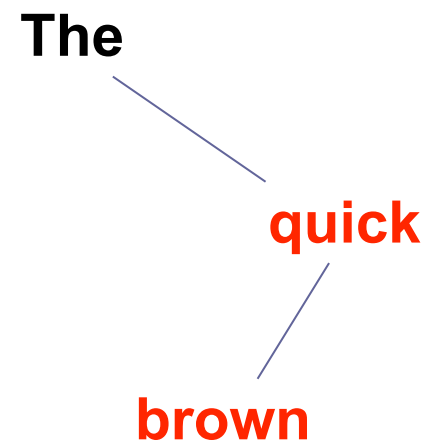
The
quick



Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a `null` reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

The quick brown...

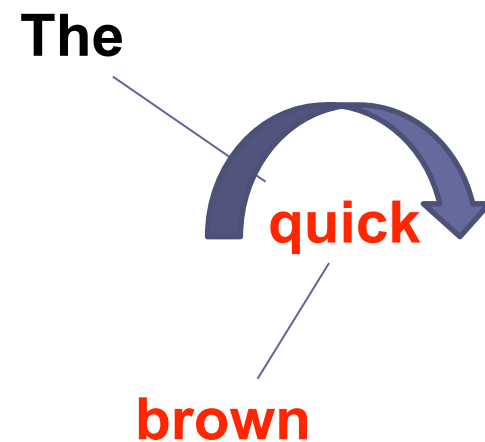


CASE 3

Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

The quick brown...



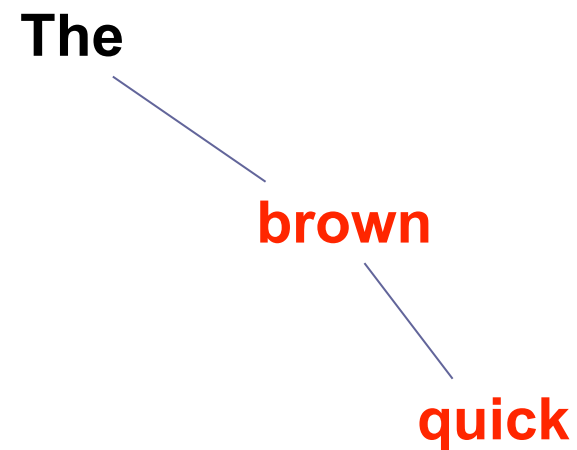
CASE 3

Rotate so that the child is on the same side of its parent as its parent is to the grandparent

Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

The quick brown...

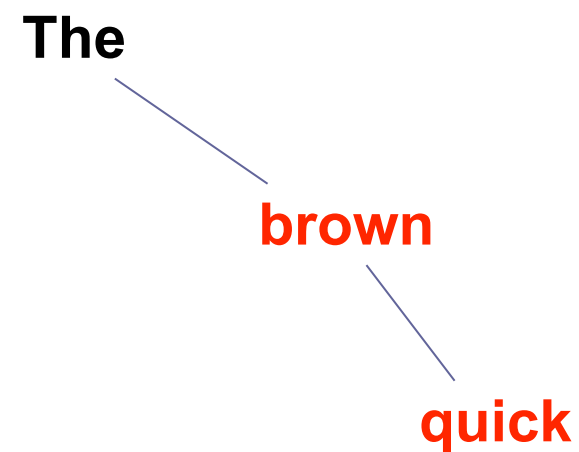


CASE 3

Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

The quick brown...



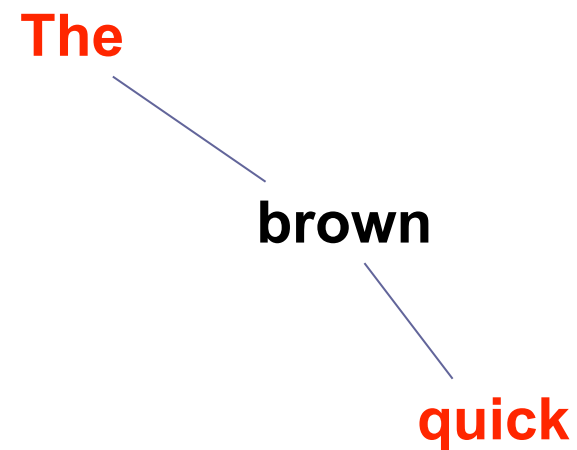
Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

CASE 3

Change colors

The quick brown...



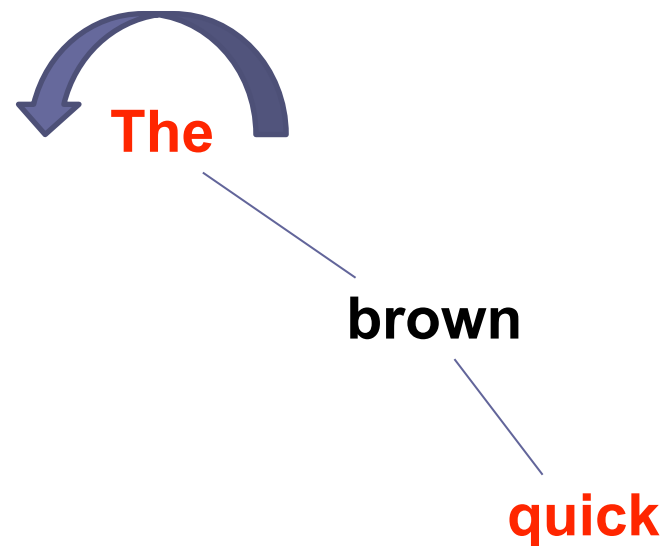
CASE 3

Change colors

Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

The quick brown...



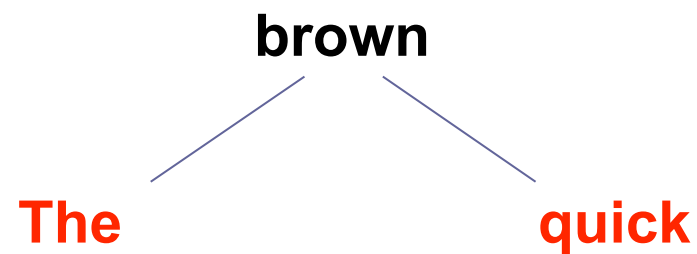
Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

CASE 3

Rotate left

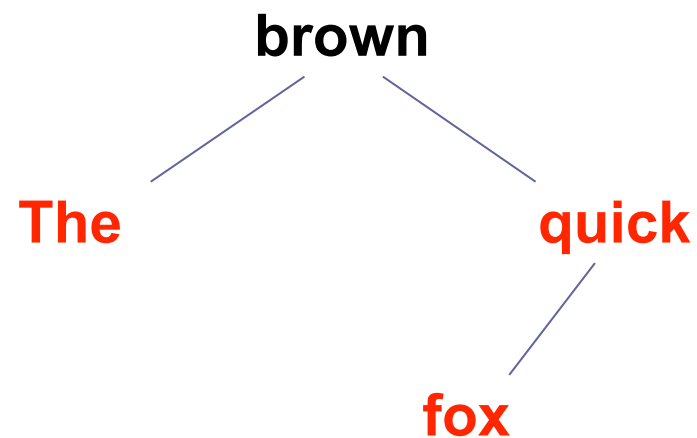
The quick brown...



Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a `null` reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

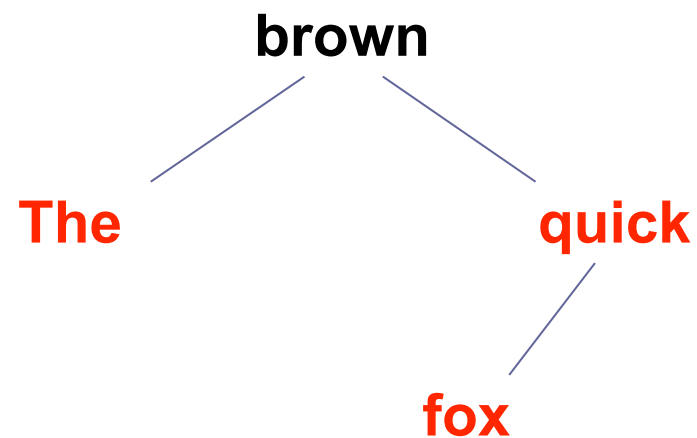
The quick brown fox...



Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

The quick brown fox...



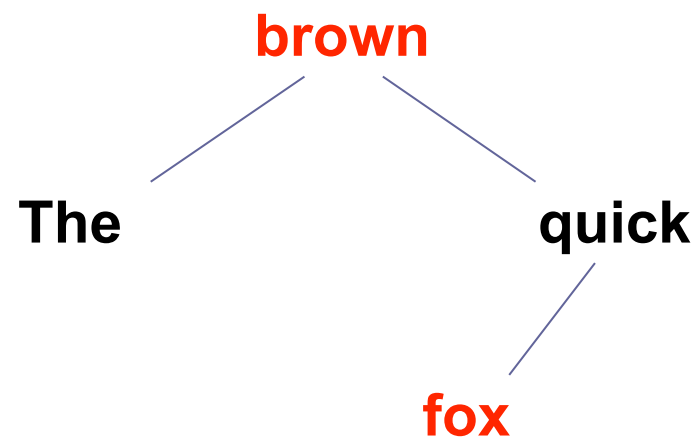
Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

CASE 1

fox's parent and its parent's sibling are both red. Change colors.

The quick brown fox...



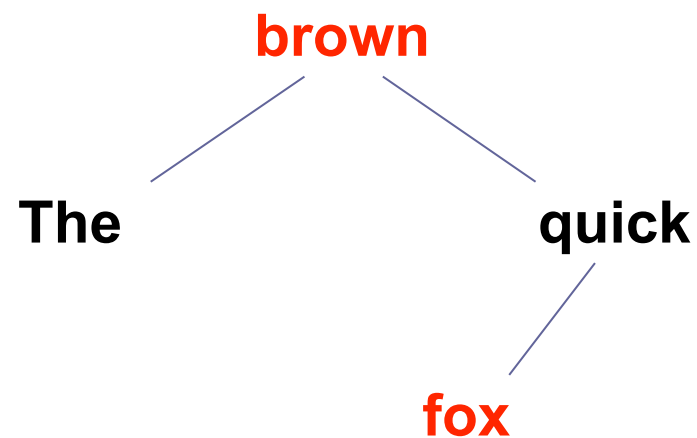
Invariants:

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CASE 1

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The quick brown fox...



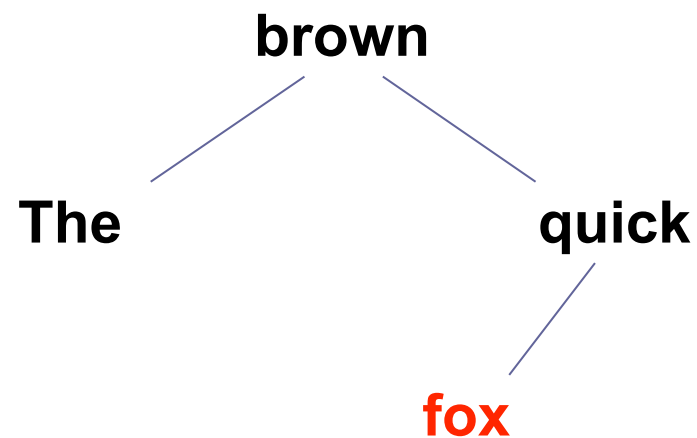
Invariants:

1. A node is either red or black
2. **The root is always black**
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

CASE 1

We can change *brown's* color to black and not violate #4

The quick brown fox...



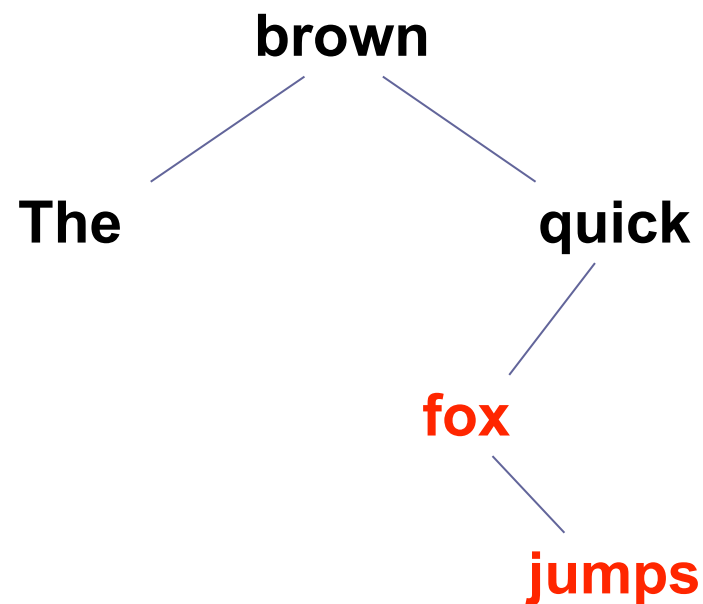
Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

CASE 1

We can change *brown's* color to black and not violate #4

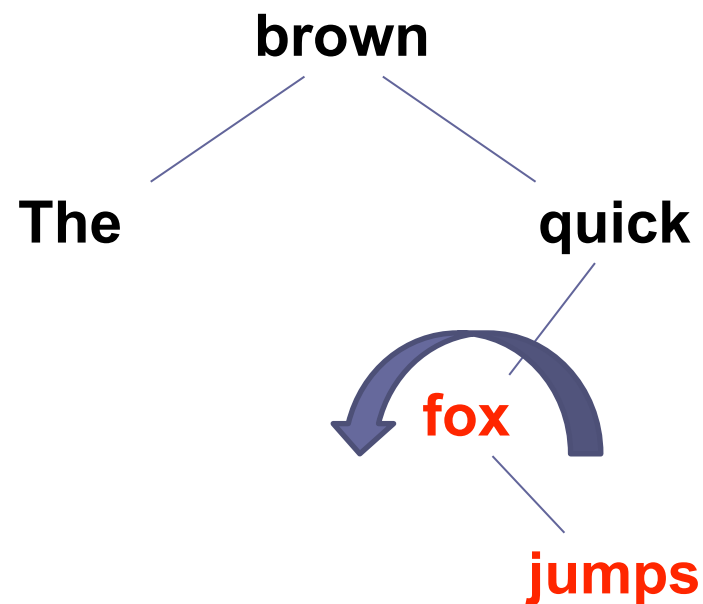
The quick brown fox jumps...



Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

The quick brown fox jumps...



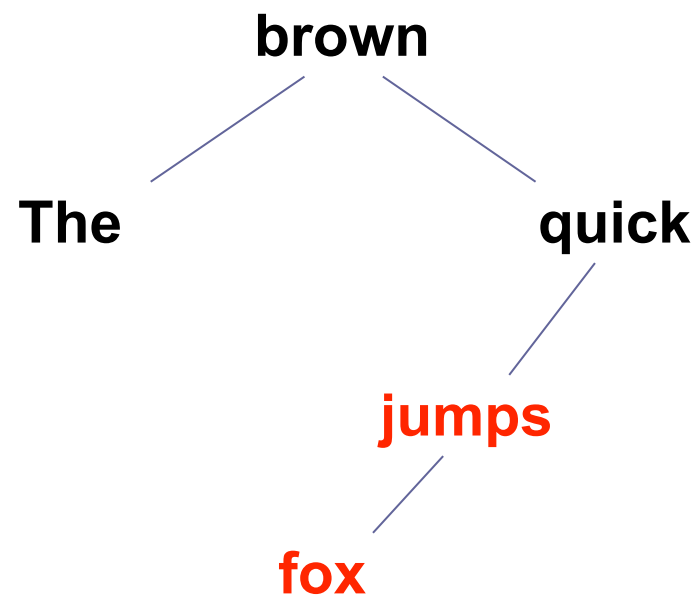
CASE 3

Rotate so that red child is on same side of its parent as its parent is to the grandparent

Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

The quick brown fox jumps...

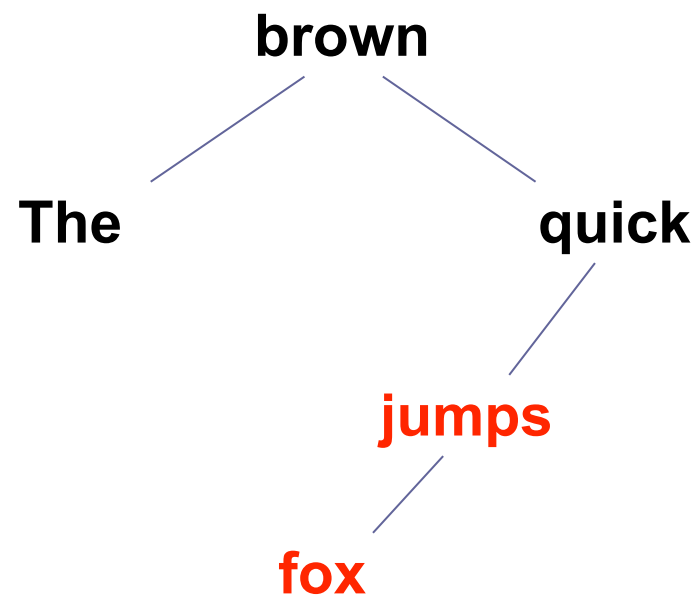


CASE 3

Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

The quick brown fox jumps...



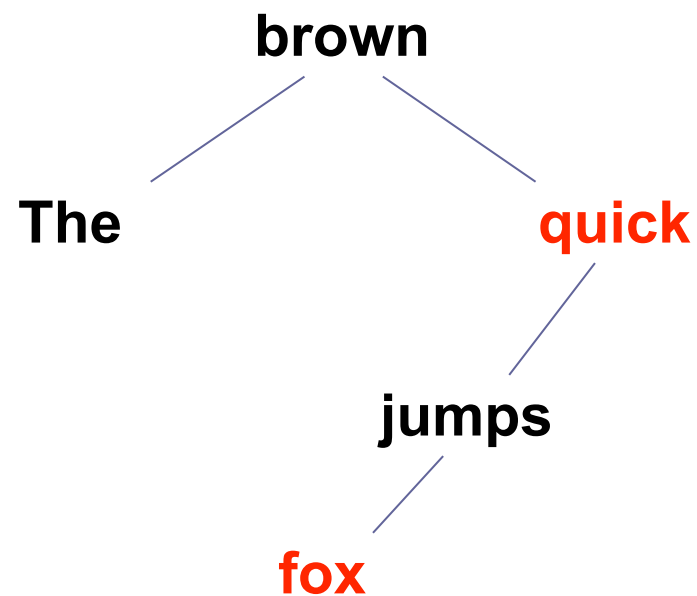
Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

CASE 3

Change *fox's* parent and grandparent colors

The quick brown fox jumps...



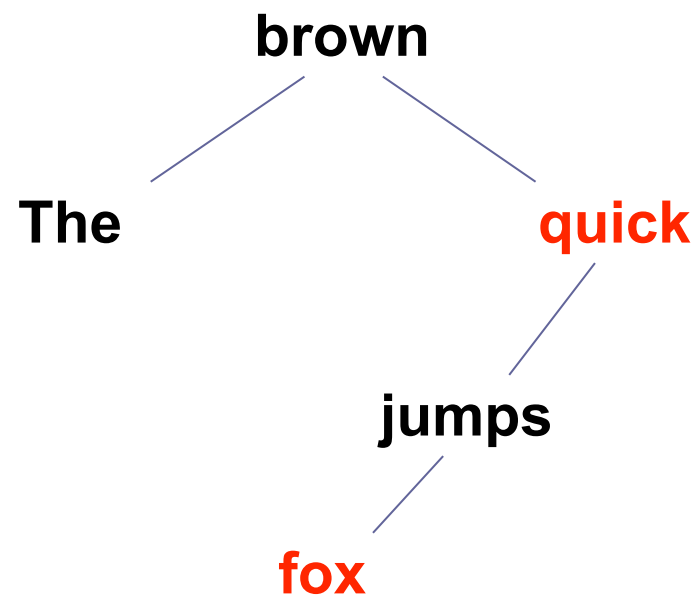
Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

CASE 3

Change *fox's* parent and grandparent colors

The quick brown fox jumps...



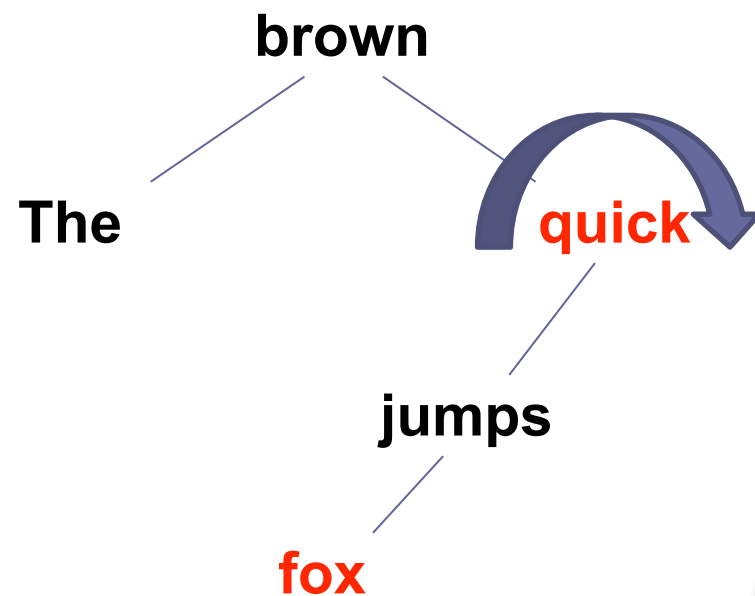
Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

CASE 3

Rotate right about *quick*

The quick brown fox jumps...



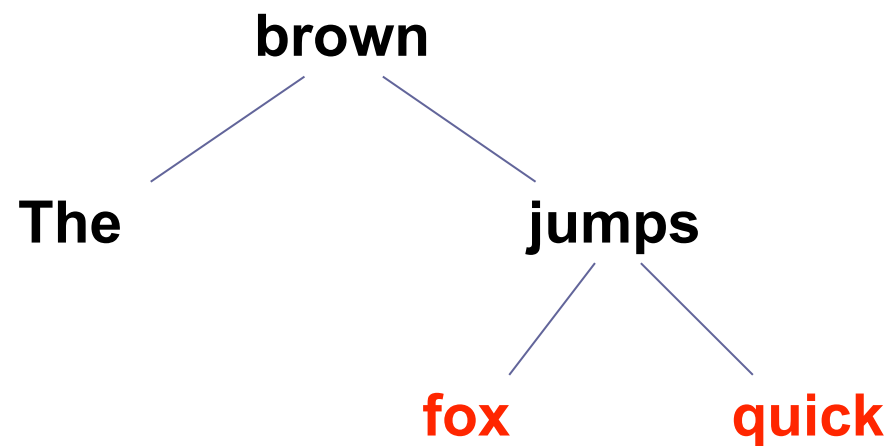
Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

CASE 3

Rotate right about *quick*

The quick brown fox jumps...



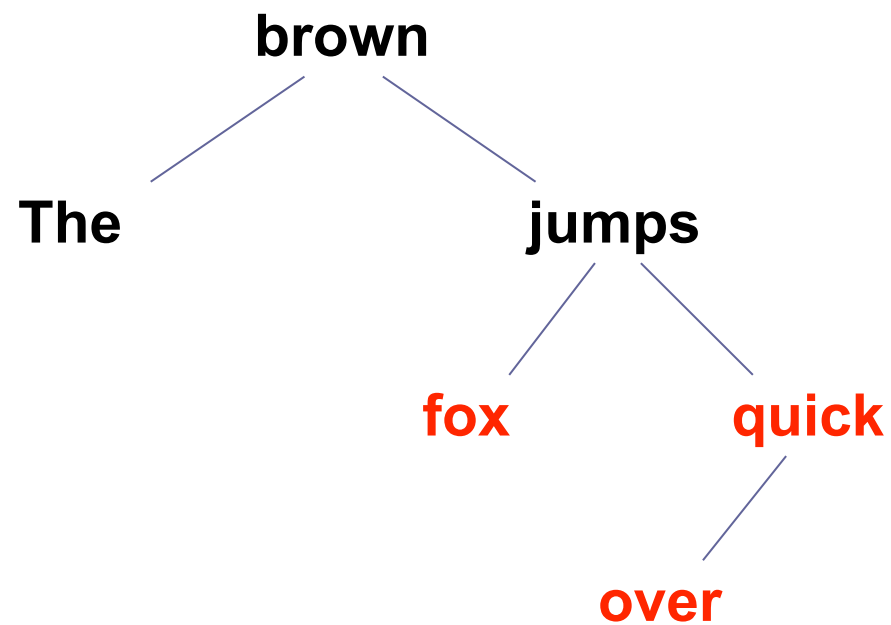
Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

CASE 3

Rotate right about *quick*

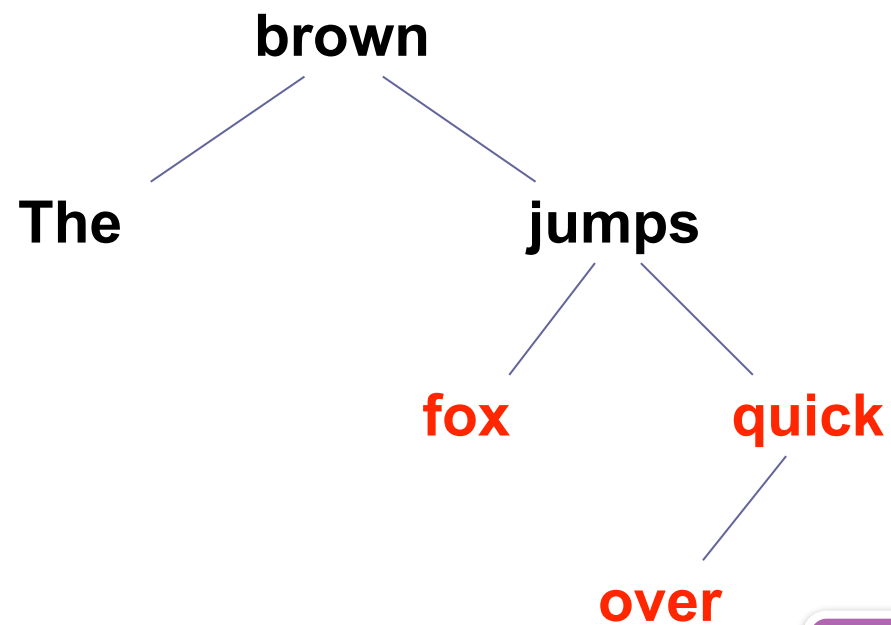
The quick brown fox jumps over...



Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

The quick brown fox jumps over...



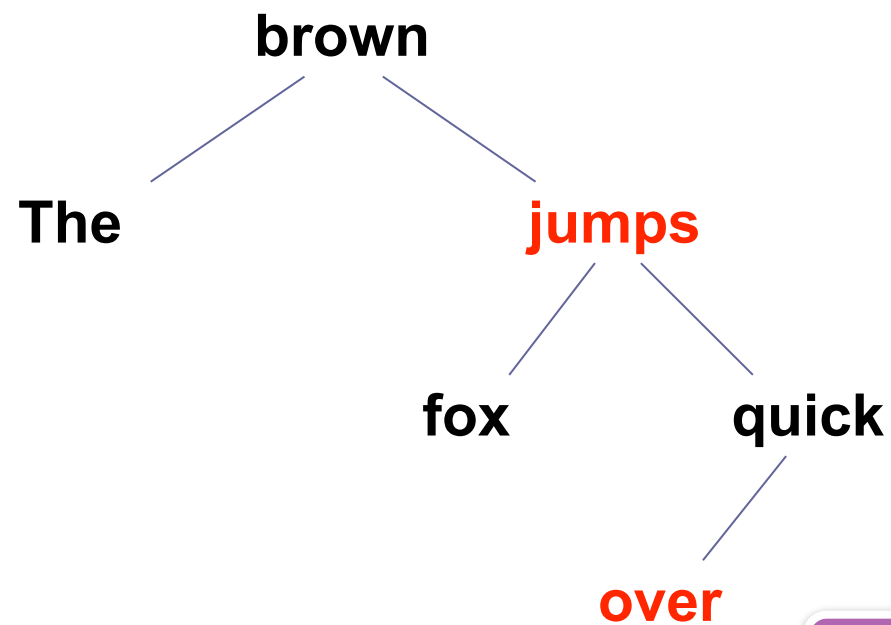
Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

CASE 1

Change colors of parent,
parent's sibling and
grandparent

The quick brown fox jumps over...



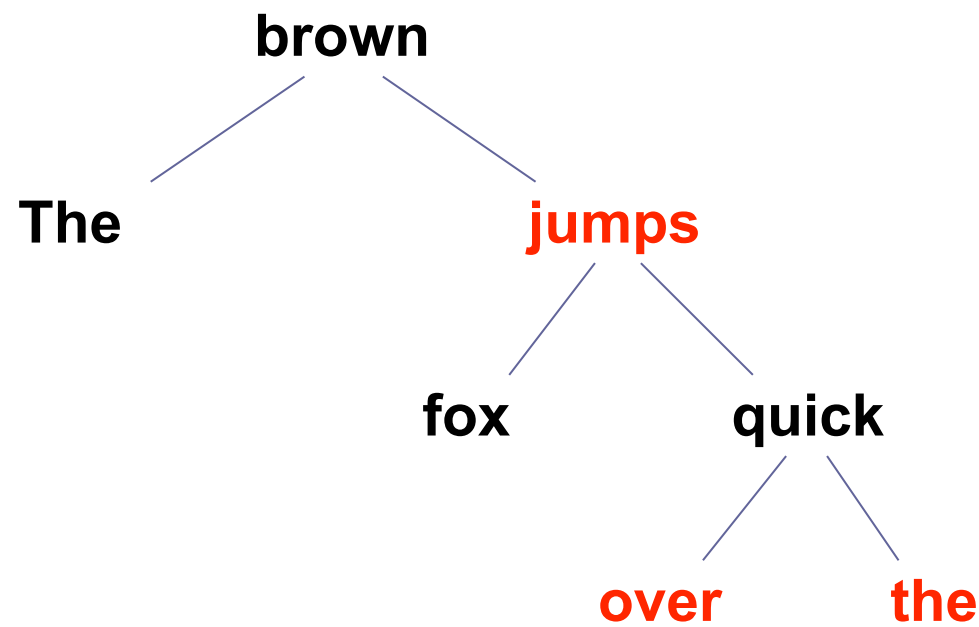
Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
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CASE 1

Change colors of parent,
parent's sibling and
grandparent

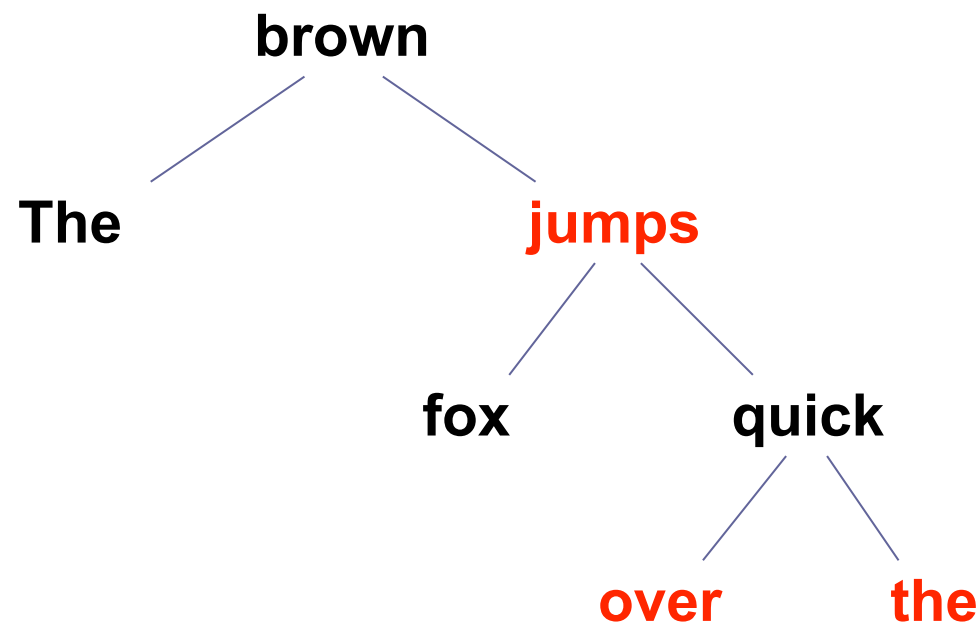
The quick brown fox jumps over the...



Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

The quick brown fox jumps over the...

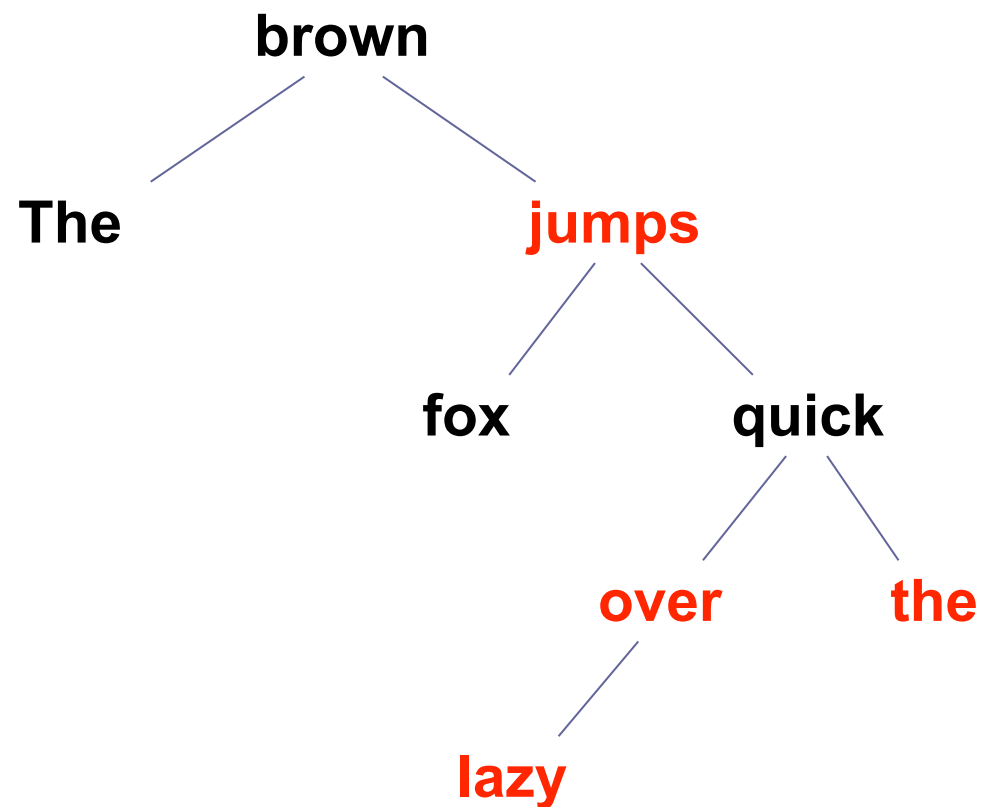


Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

No changes needed

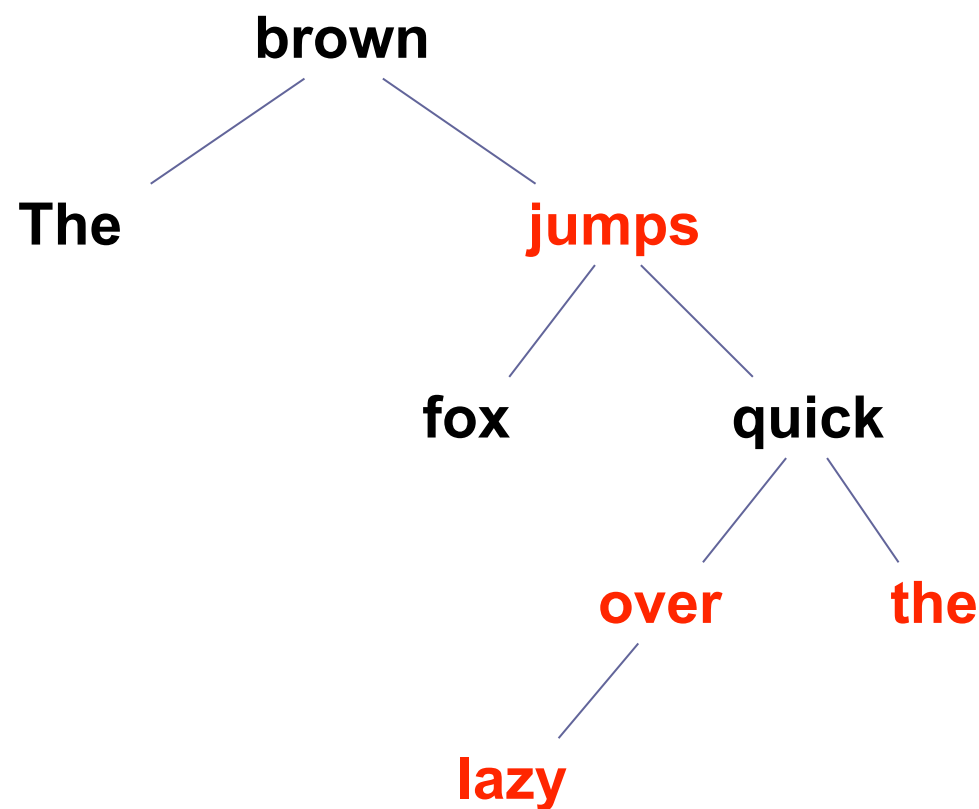
quick brown fox jumps over the lazy...



Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

quick brown fox jumps over the lazy...



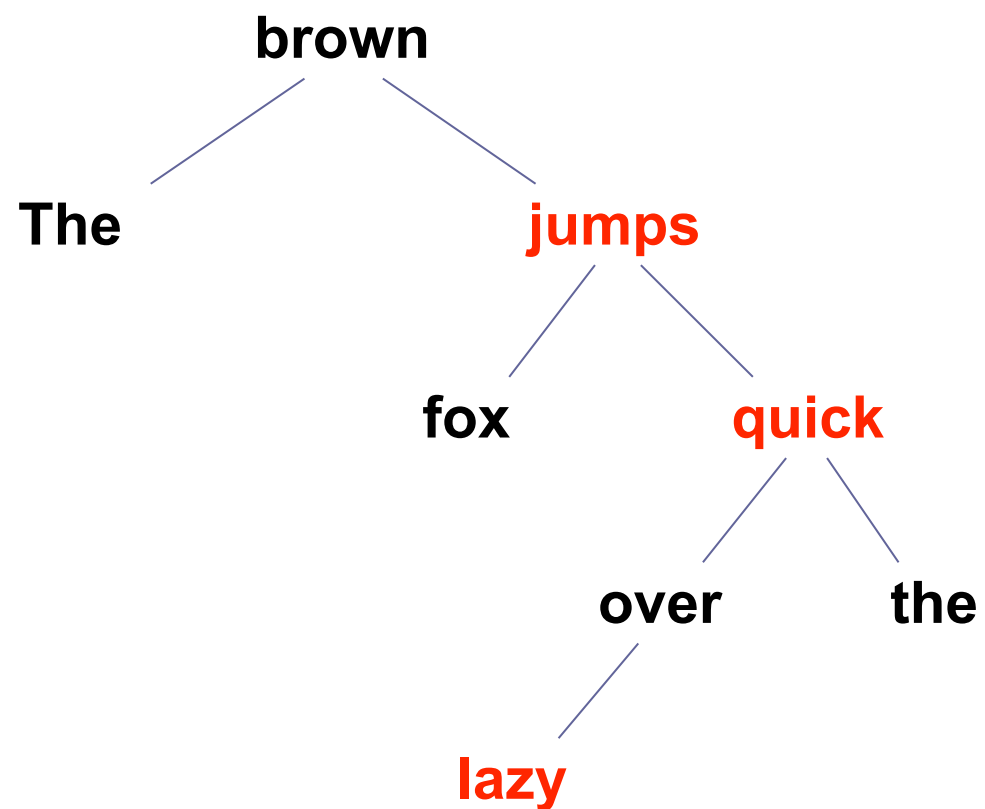
Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

Because *over* and *the* are both red, change parent, parent's sibling and grandparent colors

CASE 1

quick brown fox jumps over the lazy...

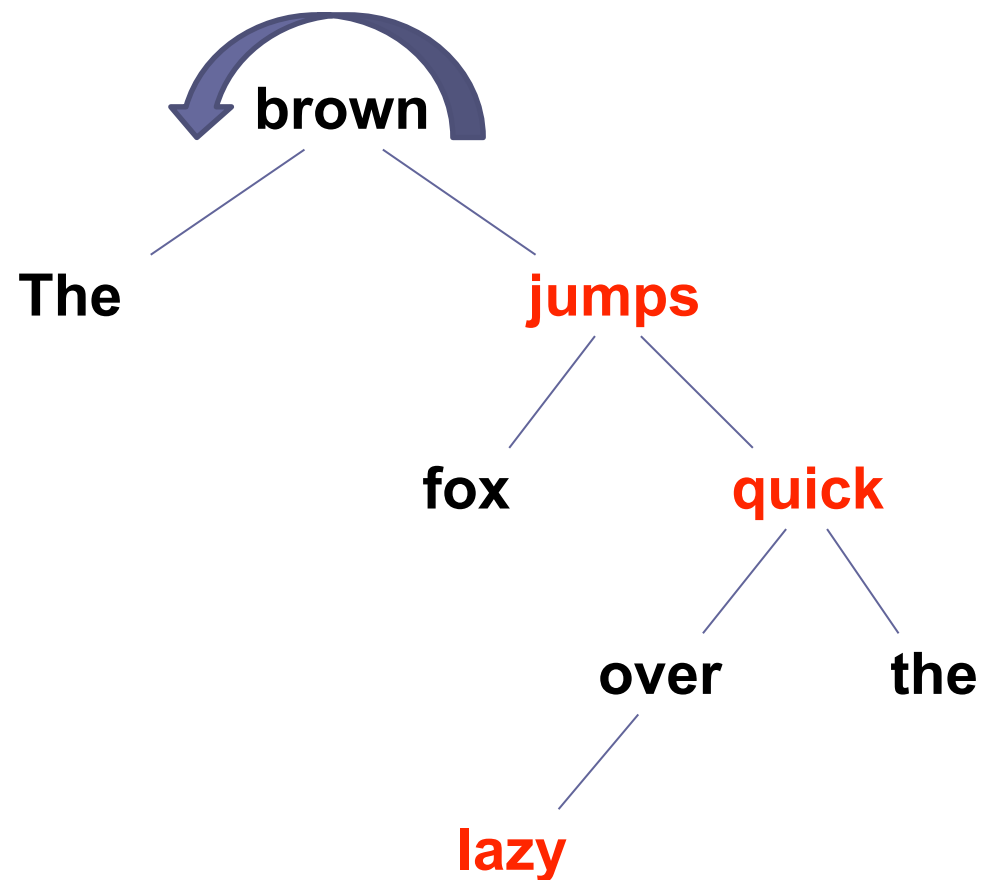


Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

CASE 2

quick brown fox jumps over the lazy...

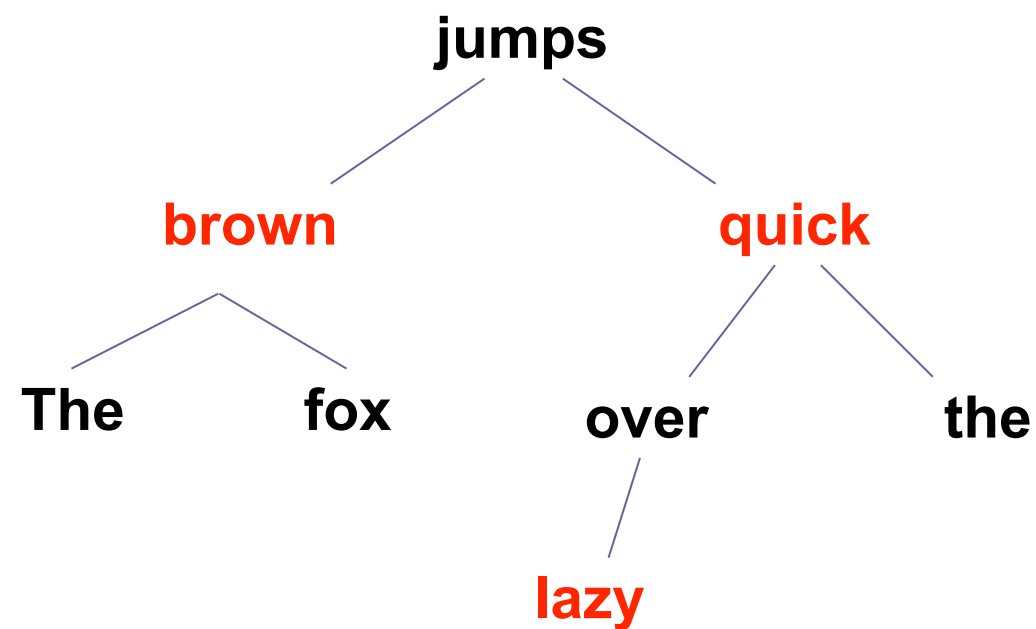


Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

CASE 2

quick brown fox jumps over the lazy...

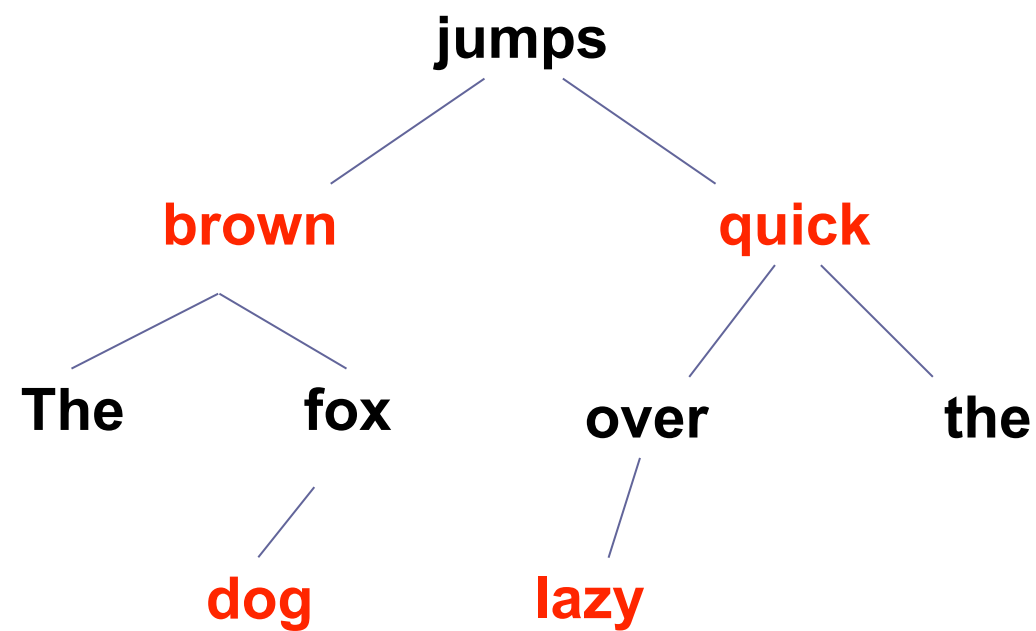


Invariants:

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CASE 2

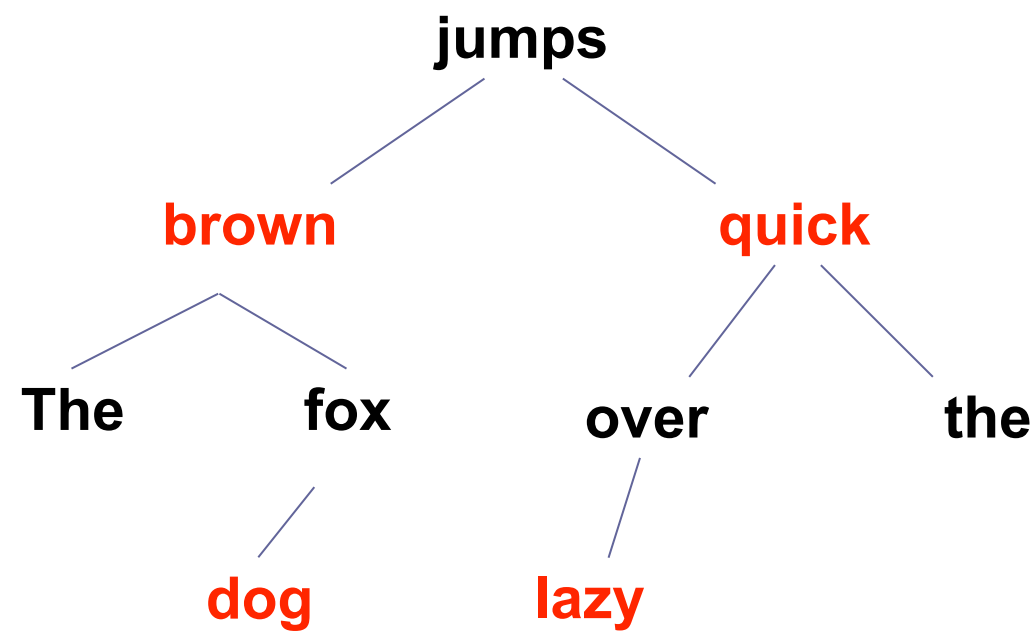
quick brown fox jumps over the lazy dog



Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

quick brown fox jumps over the lazy dog!

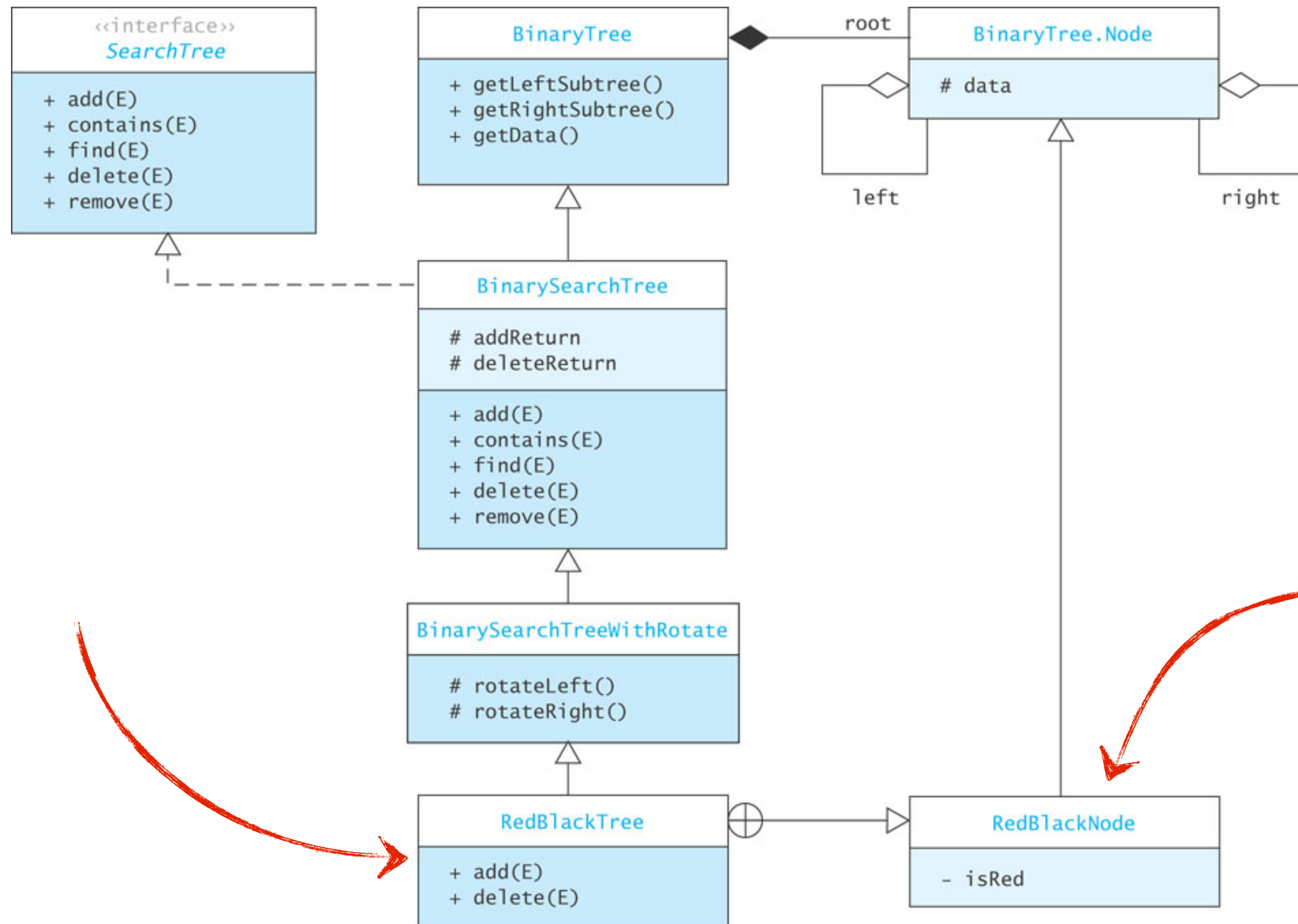


Balanced tree

Invariants:

1. A node is either red or black
2. The root is always black
3. A red node always has black children (a null reference is considered to refer to a black node)
4. The number of black nodes in any path from the root to a leaf is the same

Rödsvarta träd, klassdiagram



Insättning, implementering

Insättning kan implementeras enkelt om noderna har en referens till sin förälder

- det blir ungefär som en dubbellänkad lista
- det krävs mer utrymme

Bokens algoritm sätter in noden i barnbarnslöven

- dvs, "root" refererar till förälderns förälder (G)
- när algoritmen ser en svart nod med två barn på väg ner genom trädet, så färgas noden röd och barnen svarta
- om det blir färgproblem i slutändan så fixas dem på vägen upp genom trädet

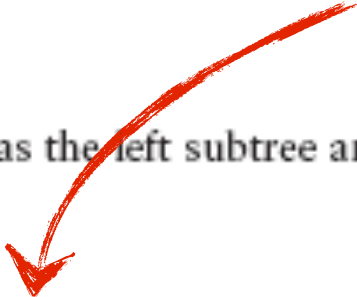
Algorithm for Red-Black Tree Insertion

1. if the root is null
2. Insert a new Red-Black node and color it black.
3. Return true.
4. else if the item is equal to root.data
5. The item is already in the tree; return false.
6. else if the item is less than root.data
7. if the left subtree is null
8. Insert a new Red-Black node as the left subtree and color it red.
9. Return true.
10. else
11. if both the left child and the right child are red
12. Change the color of the children to black and change local root to red.
13. Recursively insert the item into the left subtree.
14. if the left child is now red
15. if the left grandchild is now red (grandchild is an “outside” node)
16. Change the color of the left child to black and change the local root to red.
17. Rotate the local root right.
18. else if the right grandchild is now red (grandchild is an “inside” node)
19. Rotate the left child left.
20. Change the color of the left child to black and change the local root to red.
21. Rotate the local root right.
22. else
23. Item is greater than root.data; process is symmetric and is left as an exercise.
24. if the local root is the root of the tree
25. Force its color to be black.

Algorithm for Red-Black Tree Insertion

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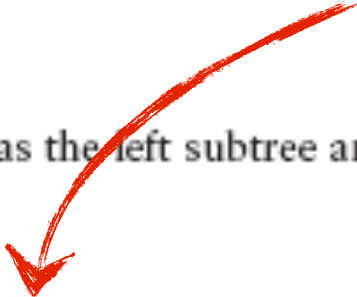
Fall 1: båda
föräldrarna är röda




Algorithm for Red-Black Tree Insertion

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2. Insert a new Red-Black node and color it black.
3. Return true.
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22. else
23. Item is greater than root.data; process is symmetric and is left as an exercise.
24. if the local root is the root of the tree
25. Force its color to be black.

Fall 1: båda
föräldrarna är röda



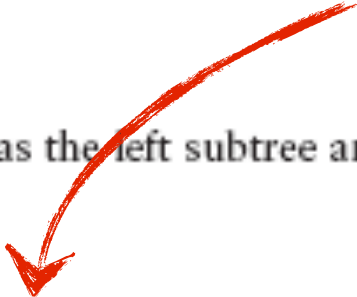
Fall 2: vänster-
vänsterbarn;
förälderns
syskon är svart




Algorithm for Red-Black Tree Insertion

1. if the root is null
2. Insert a new Red-Black node and color it black.
3. Return true.
4. else if the item is equal to root.data
5. The item is already in the tree; return false.
6. else if the item is less than root.data
7. if the left subtree is null
8. Insert a new Red-Black node as the left subtree and color it red.
9. Return true.
10. else
11. if both the left child and the right child are red
12. Change the color of the children to black and change local root to red.
13. Recursively insert the item into the left subtree.
14. if the left child is now red
15. if the left grandchild is now red (grandchild is an "outside" node)
16. Change the color of the left child to black and change the local root to red.
17. Rotate the local root right.
18. else if the right grandchild is now red (grandchild is an "inside" node)
19. Rotate the left child left.
20. Change the color of the left child to black and change the local root to red.
21. Rotate the local root right.
22. else
23. Item is greater than root.data; process is symmetric and is left as an exercise.
24. if the local root is the root of the tree
25. Force its color to be black.


Fall 1: båda
föräldrarna är röda



Fall 2: vänster-
vänsterbarn;
förälderns
syskon är svart



Fall 3: vänster-
högerbarn;
förälderns
syskon är svart



Borttagning från ett rödsvart träd

Om noden har två icke-tomma barn:

- ersätt noden med inorder-föregångaren
- ta bort inorder-föregångarens nod istället
- (precis som för vanliga sökträd)

Alltså kan vi anta att noden har max ett icke-tomt barn:

- om noden är röd så har den inga barn och vi behöver inte göra något mer
- om noden är svart och har ett rött barn så flyttar vi upp det röda barnet och färgar det svart
- om noden är svart och inte har några barn så måste trädet balanseras

Effektivitet hos rödsvarta träd

Höjden på ett rödsvart träd har en övre gräns:

- maximalt är höjden $2 \cdot \log_2 n + 2$, vilket är logaritmiskt, $O(\log n)$
- precis om med AVL-träd så det i medeltal mycket bättre än så
- empiriska studier har visat att medelkostnaden för att söka i ett slumpmässigt rödsvart träd är $1,002 \cdot \log_2 n$

Javas API har klasserna TreeMap och TreeSet som är implementerad med rödsvarta träd