

Software Engineering using Formal Methods

Reasoning about Programs with Dynamic Logic

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(JAVA) Dynamic Logic

Typed FOL

- ▶ + (JAVA) programs p

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Remark on Hoare Logic and DL

In Hoare logic $\{Pre\} p \{Post\}$

(Pre, Post must be FOL)

In DL $Pre \rightarrow [p]Post$

(Pre, Post any DL formula)

Proving DL Formulas

An Example

```
∀ int x;  
  (x ≤ n ∧ x ≥ 0 →  
    [ i = 0; r = 0;  
      while(i < n){i = i + 1; r = r + i;}  
      r = r + r - n;  
    ] r ≤ x * x)
```

How can we prove that the above formula is valid
(i.e. satisfied in all states)?

Semantics of Sequents

$\Gamma = \{\phi_1, \dots, \phi_n\}$ and $\Delta = \{\psi_1, \dots, \psi_m\}$ sets of program formulas
where all logical variables occur bound

Recall: $s \models (\Gamma \Rightarrow \Delta)$ iff $s \models (\phi_1 \wedge \dots \wedge \phi_n) \rightarrow (\psi_1 \vee \dots \vee \psi_m)$

Define semantics of DL sequents identical to semantics of FOL sequents

Definition (Validity of Sequents over Program Formulas)

A sequent $\Gamma \Rightarrow \Delta$ over program formulas is **valid** iff

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Consequence for program variables

Initial value of program variables implicitly “universally quantified”

Symbolic Execution of Programs

Sequent calculus decomposes top-level operator in formula
What is “top-level” in a sequential program $p; q; r; ?$

Symbolic Execution (King, late 60s)

- ▶ Follow the **natural control flow** when analysing a program
- ▶ Values of some variables unknown: **symbolic state representation**

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Example

Compute the final state after termination of

$x = x + y; \quad y = x - y; \quad x = x - y;$

Symbolic Execution of Programs Cont'd

General form of rule conclusions in symbolic execution calculus

$$\langle \text{stmt}; \text{rest} \rangle \phi, \quad [\text{stmt}; \text{rest}] \phi$$

- ▶ Rules symbolically execute *first* statement ('**active statement**')
- ▶ Repeated application of such rules corresponds to **symbolic program execution**

Symbolic Execution of Programs Cont'd

General form of rule conclusions in symbolic execution calculus

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symbolic program execution

Example (updates/swap2.key, Demo, active statement)

```
\programVariables {  
  int x; int y; }
```

```
\problem {  
  x > y -> \<\{x=x+y; y=x-y; x=x-y;\}> y > x  
}
```

Symbolic Execution of Programs Cont'd

Symbolic execution of conditional

$$\text{if } \frac{\Gamma, b \doteq \text{true} \Rightarrow \langle p; \text{rest} \rangle \phi, \Delta \quad \Gamma, b \doteq \text{false} \Rightarrow \langle q; \text{rest} \rangle \phi, \Delta}{\Gamma \Rightarrow \langle \text{if } (b) \{ p \} \text{ else } \{ q \} ; \text{rest} \rangle \phi, \Delta}$$

Symbolic execution must consider all possible execution branches

Symbolic Execution of Programs Cont'd

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Symbolic execution of loops: unwind

$$\text{unwindLoop} \frac{\Gamma \Rightarrow \langle \text{if } (b) \{ p; \text{while } (b) p \}; \text{rest} \rangle \phi, \Delta}{\Gamma \Rightarrow \langle \text{while } (b) \{ p \}; \text{rest} \rangle \phi, \Delta}$$

Updates for KeY-Style Symbolic Execution

Needed: a Notation for Symbolic State Changes

- ▶ symbolic execution should 'walk' through program in natural direction
- ▶ need a succinct representation of state changes effected by a program in one symbolic execution branch
- ▶ want to simplify effects of program execution early
- ▶ want to apply effects late (to post condition)

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We use dedicated notation for simple state changes: **updates**

Explicit State Updates

Definition (Syntax of Updates, Updated Terms/Formulas)

If v is program variable, t FOL term type-compatible with v , t' any FOL term, and ϕ any DL formula, then

- ▶ $v := t$ is an update
- ▶ $\{v := t\}t'$ is DL term
- ▶ $\{v := t\}\phi$ is DL formula

Definition (Semantics of Updates)

State s interprets flexible symbols f with $\mathcal{I}_s(f)$

β variable assignment for logical variables in t , ρ transition relation:

$\rho(\{v := t\})(s, \beta) = s'$ where s' identical to s except $\mathcal{I}_{s'}(v) = val_{s, \beta}(t)$

Explicit State Updates Cont'd

Facts about updates $\{v := t\}$

- ▶ Update semantics almost identical to that of assignment
- ▶ Value of update also depends on **logical** variables in t , i.e., β
- ▶ Updates are **not assignments**: right-hand side is FOL term
 - $\{x := n\}\phi$ cannot be turned into assignment (n logical variable)
 - $\{x=i++;\}\phi$ cannot **directly** be turned into update
- ▶ Updates are **not equations**: change value of flexible terms

Computing Effect of Updates (Automatic)

Rewrite rules for update followed by ...

program variable $\begin{cases} \{x := t\}y \rightsquigarrow y \\ \{x := t\}x \rightsquigarrow t \end{cases}$

logical variable $\{x := t\}w \rightsquigarrow w$

complex term $\{x := t\}f(t_1, \dots, t_n) \rightsquigarrow f(\{x := t\}t_1, \dots, \{x := t\}t_n)$
(f rigid)

FOL formula $\begin{cases} \{x := t\}(\phi \ \& \ \psi) \rightsquigarrow \{x := t\}\phi \ \& \ \{x := t\}\psi \\ \dots \\ \{x := t\}(\forall \tau \ y; \ \phi) \rightsquigarrow \forall \tau \ y; \ (\{x := t\}\phi) \end{cases}$

program formula No rewrite rule for $\{x := t\}(\langle p \rangle \phi)$ **unchanged!**

Update rewriting delayed until p symbolically executed

Assignment Rule Using Updates

Symbolic execution of assignment using updates

$$\text{assign} \frac{\Gamma \Rightarrow \{x := t\} \langle \text{rest} \rangle \phi, \Delta}{\Gamma \Rightarrow \langle x = t; \text{rest} \rangle \phi, \Delta}$$

- ▶ Simple! No variable renaming, etc.
- ▶ Works as long as t has no side effects (ok in simple DL)
- ▶ Special cases needed for $x = t_1 + t_2$, etc.

Demo

updates/assignmentToUpdate.key

How to apply updates on updates?

Example

Symbolic execution of

```
x=x+y; y=x-y; x=x-y;
```

yields:

$$\{x := x+y\}\{y := x-y\}\{x := x-y\}$$

Need to compose three sequential state changes into a single one!

Parallel Updates Cont'd

Definition (Parallel Update)

A **parallel update** is expression of the form $\{l_1 := v_1 \parallel \dots \parallel l_n := v_n\}$ where each $\{l_i := v_i\}$ is simple update

- ▶ All v_i computed in old state before update is applied
- ▶ Updates of all locations l_i executed simultaneously
- ▶ Upon **conflict** $l_i = l_j, v_i \neq v_j$ later update $(\max\{i, j\})$ wins

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Definition (Composition Sequential Updates/Conflict Resolution)

$$\{l_1 := r_1\}\{l_2 := r_2\} = \{l_1 := r_1 \parallel l_2 := \{l_1 := r_1\}r_2\}$$

$$\{l_1 := v_1 \parallel \dots \parallel l_n := v_n\}x = \begin{cases} x & \text{if } x \notin \{l_1, \dots, l_n\} \\ v_k & \text{if } x = l_k, x \notin \{l_{k+1}, \dots, l_n\} \end{cases}$$

Parallel Updates Cont'd

Example

$$\begin{aligned} &(\{x := x+y\}\{y := x-y\})\{x := x-y\} = \\ &\{x := x+y \parallel y := (x+y)-y\}\{x := x-y\} = \\ &\{x := x+y \parallel y := (x+y)-y \parallel x := (x+y)-((x+y)-y)\} = \\ &\{x := x+y \parallel y := x \parallel x := y\} = \\ &\{y := x \parallel x := y\} \end{aligned}$$

KeY automatically deletes overwritten (unnecessary) updates

Demo

updates/swap2.key

Parallel Updates Cont'd

Example

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Parallel updates to store intermediate state of symbolic computation

Symbolic Execution with Updates (by Example)

$\Rightarrow x < y \rightarrow \langle \text{int } t=x; x=y; y=t; \rangle y < x$

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Symbolic Execution with Updates (by Example)

$$\begin{aligned} & x < y \Rightarrow \textcolor{red}{x} < \textcolor{red}{y} \\ & \vdots \\ & x < y \Rightarrow \{x:=y \parallel y:=x\} \langle \rangle y < x \\ & \vdots \\ & x < y \Rightarrow \{t:=x \parallel x:=y \parallel y:=\textcolor{red}{x}\} \langle \rangle y < x \\ & \vdots \\ & x < y \Rightarrow \{t:=x \parallel x:=y\} \{\textcolor{red}{y}:=\textcolor{red}{t}\} \langle \rangle y < x \\ & \vdots \\ & x < y \Rightarrow \{t:=x\} \{\textcolor{red}{x}:=\textcolor{red}{y}\} \langle y=t; \rangle y < x \\ & \vdots \\ & x < y \Rightarrow \{\textcolor{red}{t}:=\textcolor{red}{x}\} \langle x=y; y=t; \rangle y < x \\ & \vdots \\ & \Rightarrow x < y \rightarrow \langle \text{int } t=x; x=y; y=t; \rangle y < x \end{aligned}$$

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Instead

Quantify over **value**, and **assign** it to program variable:

$\forall \tau i_0; \{i := i_0\} \langle \dots i \dots \rangle \phi$

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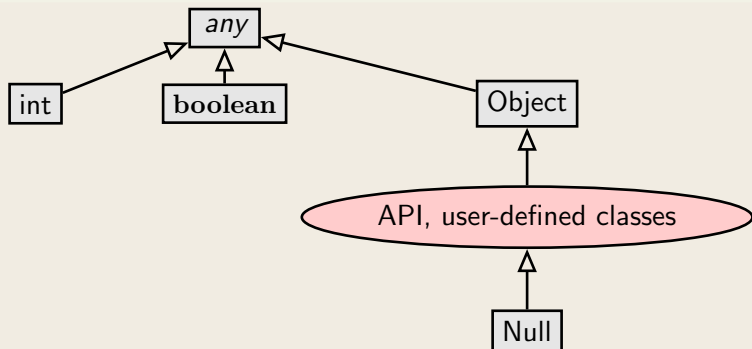
API

Summary

Literature

Java Type Hierarchy

Signature based on Java's type hierarchy



Each class referenced in API and target program is in signature with appropriate partial order

Modelling Attributes

Modeling instance attributes

Person
int age int id
int setAge(int i) int getId()

- ▶ Each $o \in D^{\text{Person}}$ has associated age value
- ▶ $\mathcal{I}(\text{age})$ is **function** from **Person** to **int**
- ▶ Attribute values can be changed
- ▶ For each class C with attribute a of type τ :
 FSym_{nr} declares **flexible** function $\tau \ a(C)$;

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Attribute Access

Signature FSym_{nr} : **int** age(Person); Person p;

Java/JML expression $p.\text{age} \geq 0$

Typed FOL $\text{age}(p) \geq 0$

KeY postfix notation $p.\text{age} \geq 0$

Navigation expressions in typed FOL look exactly as in **JAVA/JML**

Modeling Attributes in FOL Cont'd

Resolving Overloading

Overloading resolved by qualifying with class name: `p.age@Person`

Changing the value of attributes

How to translate assignment to attribute `p.age=17;?`

$$\text{assign} \frac{\Gamma \Rightarrow \{l := t\} \langle \text{rest} \rangle \phi, \Delta}{\Gamma \Rightarrow \langle l = t; \text{rest} \rangle \phi, \Delta}$$

Admit on left-hand side of update **program location expressions**

Modeling Attributes in FOL Cont'd

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Admit on left-hand side of update **program location expressions**

Generalise Definition of Updates

Definition (Syntax of Updates, Updated Terms/Formulas)

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Definition (Semantics of Updates, Attribute Case)

State s interprets attribute a with $\mathcal{I}_s(a)$

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$\rho(\{o.a := t\})(s, \beta) = s'$ where s' identical to s except
 $\mathcal{I}_{s'}(a)(o) = val_{s, \beta}(t)$

Dynamic Logic - KeY input file

— KeY —

```
\javaSource "path to source code";
```

```
\programVariables { Person p; }
```

```
\problem {  
    \<{    p.age = 18;    }\> p.age = 18  
}
```

— KeY —

KeY reads in all source files and creates automatically the necessary signature (sorts, attribute functions)

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Demo updates/firstAttributeExample.key

Refined Semantics of Program Modalities

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Abrupt termination counts as non-termination!

Dynamic Logic - KeY input file

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\javaSource "path to source code";
```

```
\programVariables {
```

```
  ...
```

```
}
```

```
\problem {
```

```
  p != null -> \<{    p.age = 18;  }\> p.age = 18
```

```
}
```

— KeY —

Only provable when no top-level exception thrown

A Warning on Updates

Computing the effect of updates with attribute locations is complex

Example

- Signature FSym_{nr} : $C\ a(C); \ C\ b(C); \ C\ o;$

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KeY applies rules automatically, you don't need to worry about details

Modeling class (static) attributes

For each class C with static attribute a of type τ :

FSym_{nr} declares **flexible** constant τ a ;

- ▶ Value of a is $\mathcal{I}(a)$ for all instances of C
- ▶ If necessary, qualify with class (path):
`byte java.lang.Byte.MAX_VALUE`
- ▶ Standard values are predefined in KeY:
 $\mathcal{I}(\text{java.lang.Byte.MAX_VALUE}) = 127$

The Self Reference

Modeling reference **this** to the **receiving object**

Special name for the object whose JAVA code is currently executed:

in JML: Object **this**;

in Java: Object **this**;

in KeY: Object **self**;

Default assumption in JML-KeY translation: **self != null**

Which Objects do Exist?

How to model **object creation** with **new** ?

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How to model **object creation** with **new** ?

Constant Domain Assumption

Assume that domain \mathcal{D} is the same in all states of LTS $K = (S, \rho)$

Desirable consequence:

Validity of **rigid** FOL formulas unaffected by programs containing **new()**

$$\models \forall \tau x; \phi \rightarrow [p](\forall \tau x; \phi) \quad \text{is valid for rigid } \phi$$

Which Objects do Exist?

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Realizing Constant Domain Assumption

- ▶ Flexible function **boolean** **<created>(Object)**;
- ▶ Equal to **true** iff argument object has been created
- ▶ Initialized as $\mathcal{I}(\text{<created>})(o) = F$ for all $o \in \mathcal{D}$
- ▶ Object creation modeled as **{o.<created> := true}** for next “free” o

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Extending Dynamic Logic to Java

KeY admits any syntactically correct Java with some extensions:

- ▶ Needs not be compilable unit
- ▶ Permit externally declared, non-initialized variables
- ▶ All referenced class definitions loaded in background

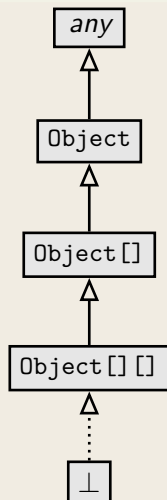
And some limitations . . .

- ▶ Limited concurrency
- ▶ No generics
- ▶ No I/O
- ▶ No floats
- ▶ No dynamic class loading or reflexion
- ▶ API method calls: need either JML contract or implementation

Java Features in Dynamic Logic: Arrays

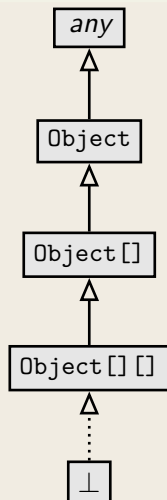
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- ▶ JAVA type hierarchy includes array types that occur in given program (for finiteness)



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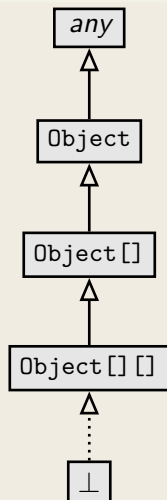
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- ▶ JAVA type hierarchy includes array types **that occur in given program** (for finiteness)
- ▶ Types ordered according to JAVA subtyping rules

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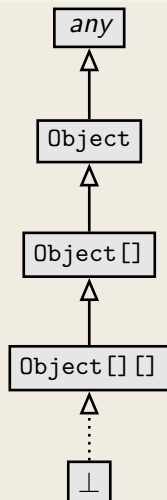
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- ▶ Types ordered according to JAVA subtyping rules
- ▶ The flexible functions that model attributes can have an array result type

Java Features in Dynamic Logic: Arrays

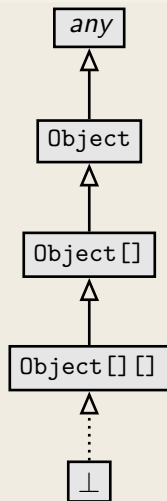
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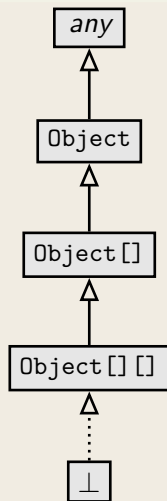
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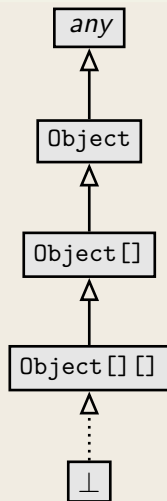
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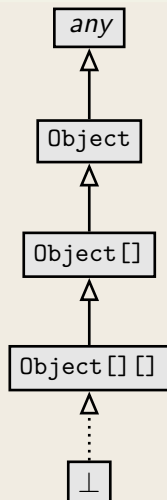
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- ▶ Arrays a and b can refer to same object (**aliases**)
- ▶ KeY implements update application and simplification rules for array locations

Java Features in Dynamic Logic: Complex Expressions

Complex expressions with side effects

- ▶ JAVA expressions may contain assignment operator with **side effect**
- ▶ JAVA expressions can be complex, nested, have method calls
- ▶ FOL terms have **no** side effect on the state

Example (Complex expression with side effects in Java)

`int i = 0; if ((i=2)>= 2) i++;` value of i ?

Complex Expressions Cont'd

Decomposition of complex terms by symbolic execution

Follow the rules laid down in JAVA Language Specification

Local code transformations

$$\text{evalOrderIteratedAssgnmt} \frac{\Gamma \Rightarrow \langle y = t; x = y; \omega \rangle \phi, \Delta}{\Gamma \Rightarrow \langle x = y = t; \omega \rangle \phi, \Delta} \quad t \text{ simple}$$

Temporary variables store result of evaluating subexpression

$$\text{ifEval} \frac{\Gamma \Rightarrow \langle \text{boolean } v0; v0 = b; \text{ if } (v0) p; \omega \rangle \phi, \Delta}{\Gamma \Rightarrow \langle \text{if } (b) p; \omega \rangle \phi, \Delta} \quad b \text{ complex}$$

Guards of conditionals/loops always evaluated (hence: side effect-free)
before conditional/unwind rules applied

Java Features in Dynamic Logic: Abrupt Termination

Abrupt Termination: Exceptions and Jumps

Redirection of control flow via return, break, continue, **exceptions**

$$\langle \pi \text{ try } \{p\} \text{ catch}(e) \{q\} \text{ finally } \{r\} \omega \rangle \phi$$

Rules ignore inactive **prefix**, work on **active statement**, leave **postfix**

Java Features in Dynamic Logic:

Abrupt Termination

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Rule tryThrow matches **try-catch** in pre-/postfix and active throw

$$\begin{array}{c} \Rightarrow \langle \pi \text{ if } (e \text{ instanceof } T) \{ \text{try} \{ x=e; q \} \text{ finally } \{ r \} \} \text{ else } \{ r; \text{throw } e; \} \omega \rangle \phi \\ \hline \Rightarrow \langle \pi \text{ try } \{ \text{throw } e; p \} \text{ catch}(T x) \{ q \} \text{ finally } \{ r \} \omega \rangle \phi \end{array}$$

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Demo: exceptions/try-catch.key, try-catch-dispatch.key,
try-catch-finally.key

Java Features in Dynamic Logic: Aliasing

Demo

aliasing/attributeAlias1.key

Java Features in Dynamic Logic: Aliasing

Demo

aliasing/attributeAlias1.key

Reference Aliasing

Naive alias resolution causes **proof split** (on $o \dot{=} u$) at each access

$$\Rightarrow o.\text{age} \dot{=} 1 \rightarrow \langle u.\text{age} = 2; \rangle o.\text{age} \dot{=} u.\text{age}$$

Java Features in Dynamic Logic: Aliasing

Unnecessary case analyses

$$\Rightarrow o.\text{age} \doteq 1 \rightarrow \langle u.\text{age} = 2; o.\text{age} = 2; \rangle o.\text{age} \doteq u.\text{age}$$
$$\Rightarrow o.\text{age} \doteq 1 \rightarrow \langle u.\text{age} = 2; \rangle u.\text{age} \doteq 2$$

Java Features in Dynamic Logic: Aliasing

Unnecessary case analyses

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Updates avoid case analyses— Demo

aliasing/avoidingCaseAnalysis2.key

- ▶ **Delayed** state computation until clear what is required
- ▶ **Eager** simplification of updates

Java Features in Dynamic Logic: Method Calls

Method Call with actual parameters arg_0, \dots, arg_n

$$\{arg_0 := t_0 \parallel \dots \parallel arg_n := t_n \parallel c := t_c\} \langle c.m(arg_0, \dots, arg_n); \rangle \phi$$

where m declared as $\text{void } m(\tau_0 p_0, \dots, \tau_n p_n)$

Actions of rule **methodCall**

- ▶ (type conformance of arg_i to τ_i guaranteed by JAVA compiler)
- ▶ for each **formal parameter** p_i of m :
declare and initialize new local variable $\tau_i p\#i = arg_i$;
- ▶ look up **implementation** class C of m and split proof
if implementation cannot be uniquely determined
- ▶ create concrete **method invocation** $c.m(p\#0, \dots, p\#n)@C$

Method Body Expand

1. Execute code that binds actual to formal parameters $\tau_i \text{ p}\#i = \text{arg}_i$;
2. Call rule **methodBodyExpand**

$$\frac{\Gamma \Rightarrow \langle \pi \text{ method-frame}(\text{source}=\text{C}, \text{this}=\text{c})\{ \text{body} \} \omega \rangle \phi, \Delta}{\Gamma \Rightarrow \langle \pi \text{ c.m}(\text{p}\#0, \dots, \text{p}\#n) @ \text{C}; \omega \rangle \phi, \Delta}$$

Method Calls Cont'd

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Demo

```
methods/  
instanceMethodInlineSimple.key, argumentEvaluationOrder.key
```

Localisation of Fields and Method Implementation

JAVA has complex rules for **localisation** of attributes and method implementations

- ▶ Polymorphism
- ▶ Late binding
- ▶ Scoping (class vs. instance)
- ▶ Context (static vs. runtime)
- ▶ Visibility (private, protected, public)

Proof split into cases when implementation not statically determined

A Round Tour of Java Features in DL Cont'd

Null pointer exceptions

There are no “exceptions” in FOL: \mathcal{I} total on \mathcal{FSym}

Need to model possibility that $o \doteq \mathbf{null}$ in $o.a$

- ▶ KeY branches over $o \neq \mathbf{null}$ upon each field access

Object initialization

JAVA has complex rules for object initialization

- ▶ Chain of constructor calls until **Object**
- ▶ Implicit calls to `super()`
- ▶ Visibility issues
- ▶ Initialization sequence

Coding of initialization rules in methods `<createObject>()`, `<init>()`, ... which are then symbolically executed

A Round Tour of Java Features in DL Cont'd

Formal specification of Java API

How to perform symbolic execution when JAVA API method is called?

1. API method has reference implementation in JAVA

Call method and execute symbolically

Problem Reference implementation not always available

Problem Breaks modularity

2. Use JML contract of API method:

2.1 Show that **requires** clause is satisfied

2.2 Obtain postcondition from **ensures** clause

2.3 Delete updates with **modifiable** locations from symbolic state

A Round Tour of Java Features in DL Cont'd

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Java Card API in JML or DL

DL version available in KeY, JML work in progress See W. Mostowski

<http://limerick.cost-ic0701.org/home/verifying-java-card-programs-with-key>

Summary

- ▶ Most JAVA features covered in KeY
- ▶ Several of remaining features available in experimental version
 - ▶ Simplified multi-threaded JMM
 - ▶ Floats
- ▶ Degree of automation for loop-free programs is very high
- ▶ Proving loops requires user to provide invariant
 - ▶ Automatic invariant generation sometimes possible
- ▶ Symbolic execution paradigm lets you use KeY w/o understanding details of logic

Literature for this Lecture

Essential

KeY Book Verification of Object-Oriented Software (see course web page), Chapter 10: **Using KeY**

KeY Book Verification of Object-Oriented Software (see course web page), Chapter 3: **Dynamic Logic**, Sections 3.1, 3.2, 3.4, 3.5, 3.6.1, 3.6.2, 3.6.3, 3.6.4, 3.6.5, 3.6.7