

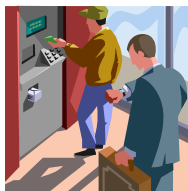
**EDA122/DIT061 Fault-Tolerant Computer Systems**  
**DAT270 Dependable Computer Systems**

**Welcome to Lecture 1**

Johan Karlsson

**Why fault tolerance?**

***We depend on computer systems!***



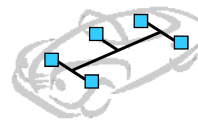
Bank services



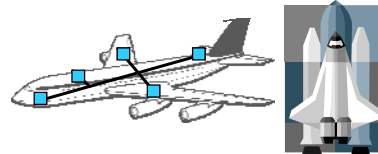
Work stations



File servers



Drive-by-wire



Fly-by-wire

## Definition of *fault tolerance*

***Fault tolerance means to avoid service failures in the presence of faults.***

Avizienis, et al., "Basic Concepts and Taxonomy of Dependable and Secure Computing"

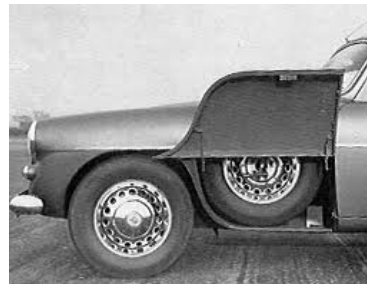
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## Fault-Tolerance – How?

- By introducing **redundancy** (extra resources)
- Forms of redundancy
  - hardware redundancy
  - software redundancy
  - time redundancy
  - information redundancy



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## Fault tolerance vs. Fault prevention

- Fault tolerance – to avoid service failure during operation
  - Requires fault and error handling mechanisms, e.g.,
    - Error detection
    - System recovery
    - Fail-over
- Fault prevention – to prevent or reduce the occurrence of faults
  - Fault prevention is applied during development, e.g.,
    - Robust design
    - Testing
    - Formal verification

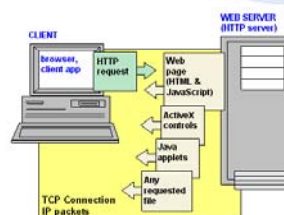
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## Applications Areas for Fault Tolerance (1) Business-critical applications

- Web servers
- Cloud computing
- Financial transaction system
- E-business
- General-purpose file servers
- ...



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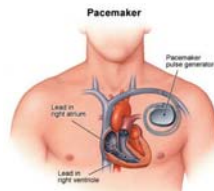
## Applications Areas for Fault Tolerance (2) Embedded systems



Active safety systems for road vehicles



Fly-by-wire systems



Medical devices



Factory automation



Railway signaling

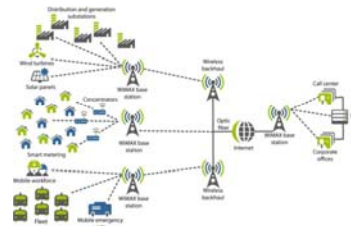
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## Applications Areas for Fault Tolerance (3) Cyber-physical systems

- Smart grids (smart electrical power grids)
- Cooperative active safety systems for road vehicles
- Remote surgery
- ...



Smart Grid



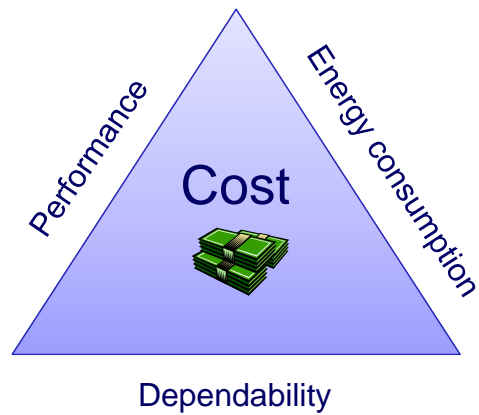
Surgical robot system

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## Important Trade-offs in System Design

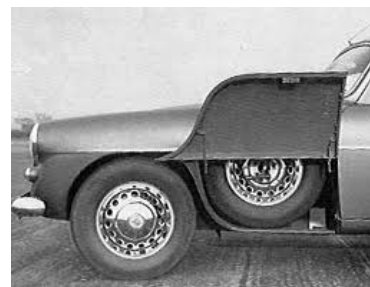
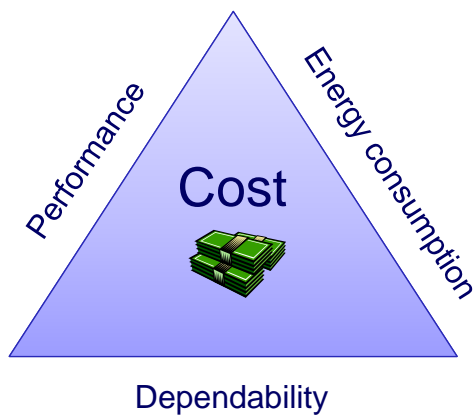


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## Important Trade-offs in System Design

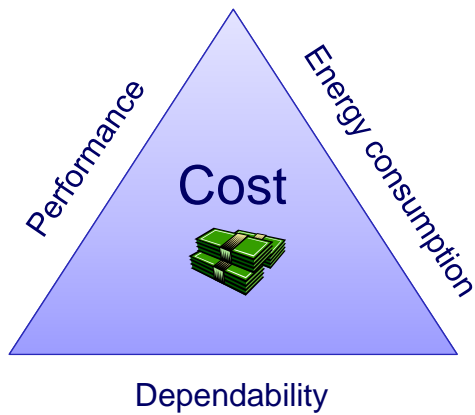


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## Important Trade-offs in System Design

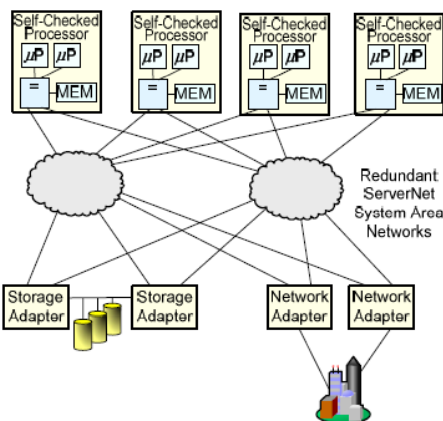


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## Redundancy in HP's NonStop System



**Figure 1: 4 Processor NonStop System with Duplicated and Compared Microprocessors**

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## Important Trade-offs in System Design

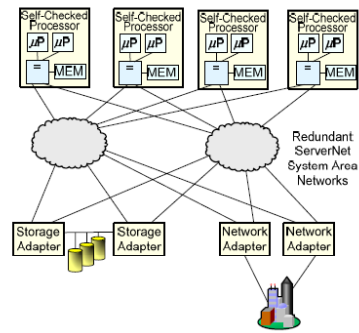
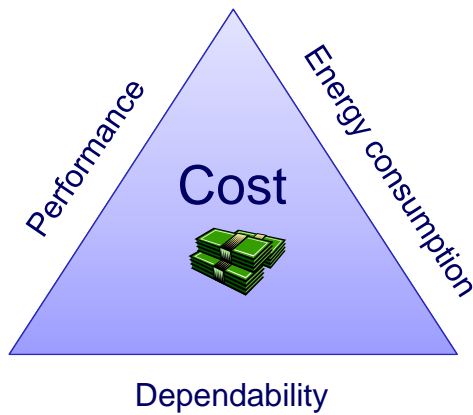


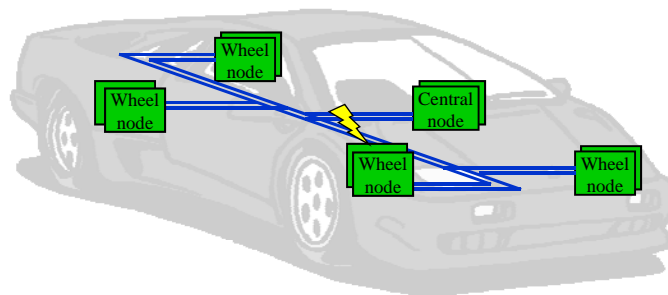
Figure 1: 4 Processor NonStop System with Duplicated and Compared Microprocessors

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## Brake-By-Wire System

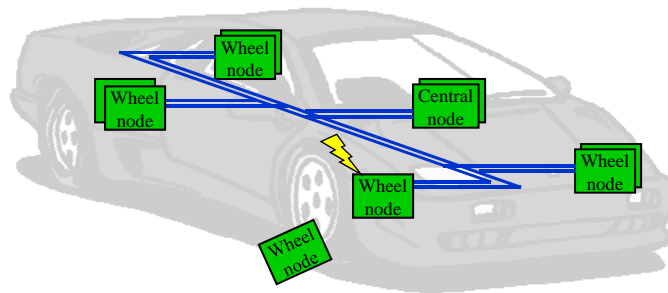


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## Brake-By-Wire System

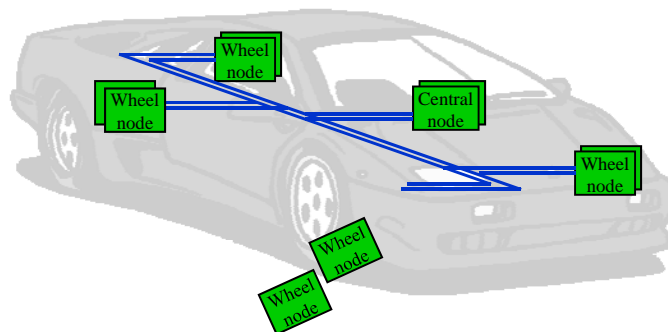


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## Brake-By-Wire System



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## Safety

**Safety** is a property of a system that it will not endanger human life or the environment

A **safety-related** system is one by which the safety of equipment or plant is assured

The term **safety-critical system** is normally used as a synonym for a safety-related system, although it may suggest a system of high criticality

(Neil Storey)

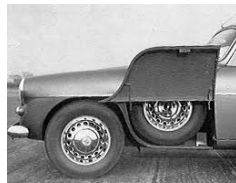
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## Important concepts

- Fault tolerance
  - To avoid service failures in the presence of faults
- Graceful degradation
  - Gradual reduction of service in the presence of faults



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## Course Outline

- 16 lectures (16 x 2 h) including 3 guest lectures
- 9 exercise classes (9 x 2 h)
- 2 laboratory classes (2 x 4 h)
  
- 7,5 credits (hp)

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## Course Homepage

[www.cse.chalmers.se/edu/course/EDA122](http://www.cse.chalmers.se/edu/course/EDA122)

*Also available via the student portal*

Here you find:

- The course PM (contains all administrative information)
- Lecture slides
- Messages from the examiner
- Old exams, etc

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## Course Homepage

- Username: ftcs2011
- Password: depend2011

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## Teachers

Johan Karlsson, ext. 1670, room 4107  
johan@chalmers.se (examiner and lecturer)

Negin Fathollah Nejad, ext. 5404, room 4127  
negin@chalmers.se (teaching assistant)

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## Examination

- Written examination
- Grades: Failed, 3, 4, 5 (Chalmers),  
Failed, G, VG (GU)
- Exam dates: 19 October, 2010, afternoon  
9 January, 2011, afternoon  
21 August, 2011, afternoon
- Participation in laboratory classes + approved laboratory reports

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## Literature

- Course book: Neil Storey, "Safety-Critical Computer Systems", Prentice Hall, ISBN 0-201-42787-7
- Reprints of articles on selected topics in fault-tolerant computing (available on the course homepage)
- Lecture slides
- Compendium of exercise problems
- PMs for laboratory classes (Lab PM)

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## Course Evaluation

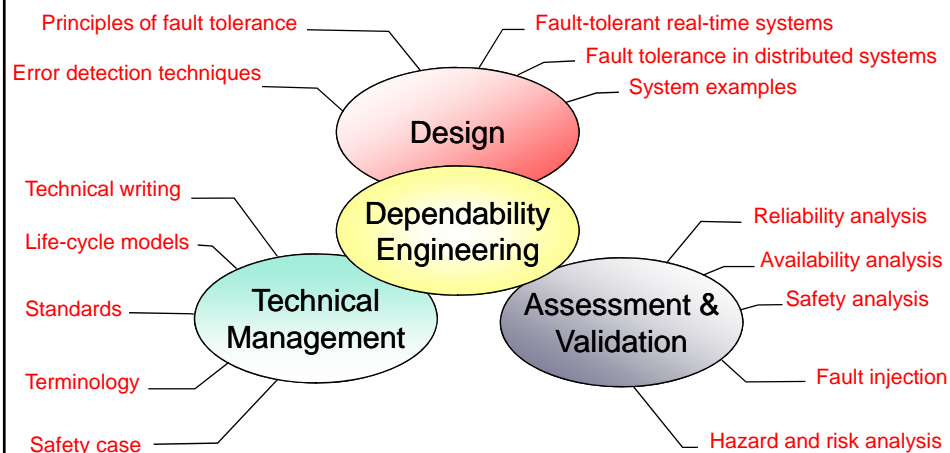
- **Two to six student representatives**, representing different programmes.
- Student representatives will receive a voucher valid for 200 SEK at Cremona.
- Three meetings:  
Week 2, Week 3 and after the course.
- Student representatives are expected to
  1. **Provide feedback from all students**
  2. **Review and help design the course questionnaire**
  3. **Participate in all meetings**

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## Overview of topics



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## Learning goals

After completion of the course the student should be able to:

- Formulate dependability requirements for computer systems used in business-, safety- and mission-critical applications.
- Describe the structure and principles of commonly used system architectures of fault tolerant computers.
- Perform probabilistic dependability analysis of computer system using fault-trees, reliability block diagrams, Markov chains and stochastic Petri nets.
- Master the terminology of dependable computing and describe major elements of relevant standards.
- Describe basic concepts in life-cycle models and standards employed in the development of safety-critical systems.

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## Outline for the rest of this lecture

- Overview of faults types
- Basic terminology
- Voting redundancy

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## Fault Types

- Random faults (physical faults)
  - Aging faults
  - External disturbances
    - Ionizing particle radiation
    - Electromagnetic interference
- Systematic faults (development faults in HW or SW)
  - Specification faults
  - Design faults
  - Implementation faults

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## Terminology

**Fault** - Cause of an error, e.g., an open circuit, a software bug, or an external disturbance.



**Error** - Part of the system state which is liable to lead to failure, e.g., a wrong value in a program variable.

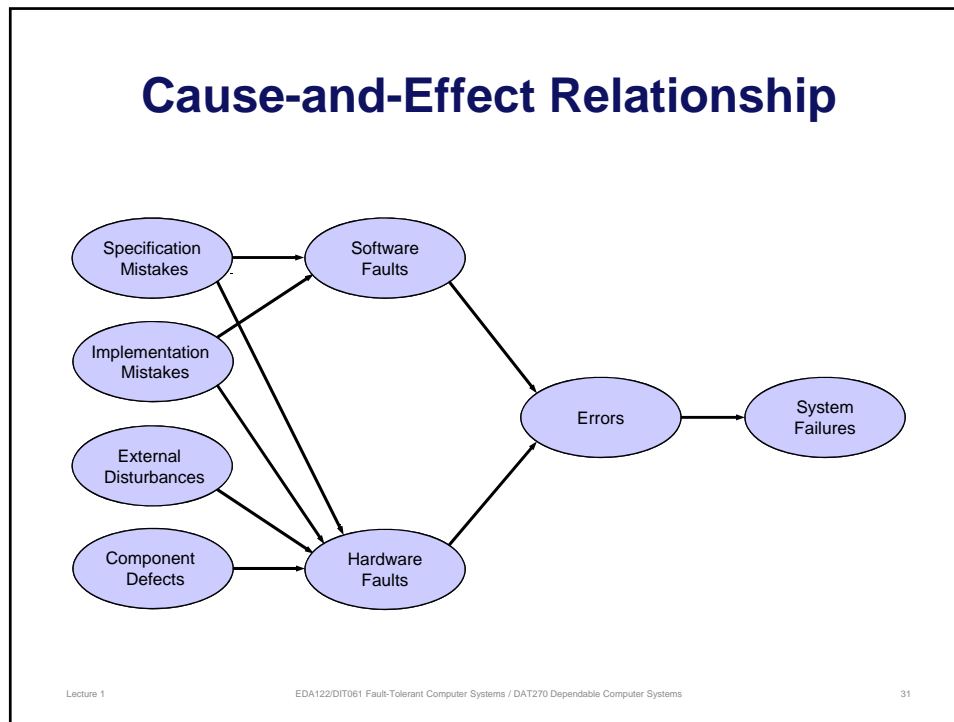


**Failure** - Delivered service does not comply with the specification, e.g., a cruise control in a car locks at full speed.

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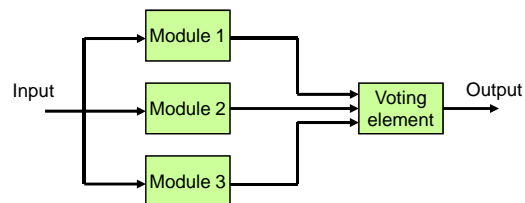


- ## Hardware Redundancy
- Voting redundancy (this lecture)
  - Stand-by redundancy (lecture 3)
  - Active redundancy (lecture 3)
- Lecture 1 EDA122/DIT061 Fault-Tolerant Computer Systems / DAT270 Dependable Computer Systems 32



## Voting redundancy

### Triple Modular Redundancy (TMR)



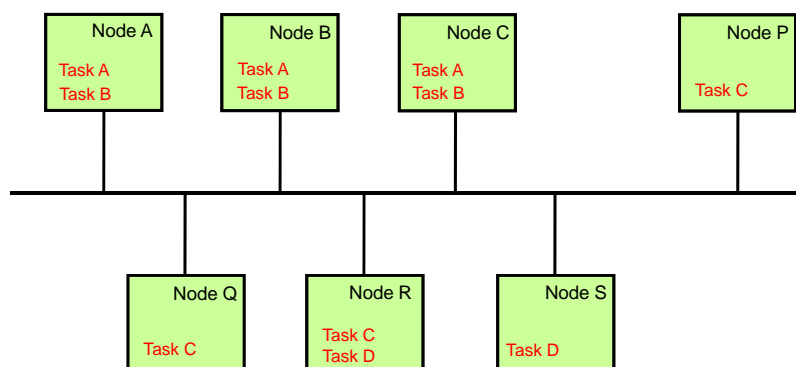
The three modules receive the same inputs and perform the same calculations.  
The modules are assumed to fail independently, one at a time.  
The voting element uses majority voting to mask errors.

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## Replicated tasks in a distributed system

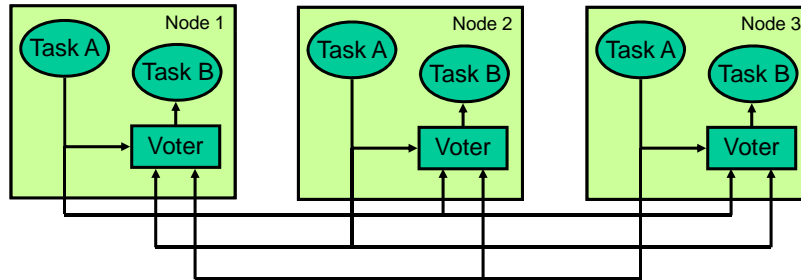


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## Voting in a distributed system



The tasks and voters are implemented in software.

The figure shows the exchange of data messages between the replicas of Task A and Task B in the previous slide.

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## Failure = Service failure

- A failure occurs when a **service provider** (system, or subsystem) delivers an incorrect service.
- Example: A node is a subsystems in a distributed system
  - Node failure – a node delivers an incorrect service
- Example: A network is a subsystems in a distributed system
  - Network failure – a network delivers an incorrect service
- Example: A processor core is a subsystem in a multi-core processor
  - Core failure – a core delivers an incorrect service

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## Fundamental Concepts Failure mode

A **failure mode** describes the nature of a failure

- Examples of failure modes:
  - Value failure – a service provider delivers an erroneous result
  - Content failure – same as value failure
  - Timing failure – a service provider delivers a result too late, or too early
  - Silent failure – a service provider delivers no result
  - Signaled failure – a service provider sends a failure signal
  - Interference failure – a service provider disturbs the service delivered by another service provider

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## Failure model vs. Failure mode

- A **failure model** is a set of assumptions about likely failure modes for a service provider
- A **failure mode** describes the nature of a given class of failures

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## Fundamental Concepts

### Error processing

**Error processing** aims at removing errors from the computational state, if possible, before a failure occurs.

Error processing techniques:

- Error detection - to detect errors
- Error masking - to mask the effects of errors
- Recovery - to restore the system to an error-free state

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## Recovery

- We distinguish between two types of recovery
  - Forward recovery
    - The state of the service provider is moved *forward* in time
    - Example: Error free state is copied from another (redundant) service provider
  - Backward recovery
    - The state of the service provider is moved *backward* in time
    - Example: Error free state is restored from a previously stored checkpoint
    - Checkpoint is stored in a crash proof memory, a.k.a. stable storage

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## Fundamental Concepts Fault/Error Containment

***Fault/Error containment*** aims at preventing faults/errors from affecting other (redundant) units in the system.

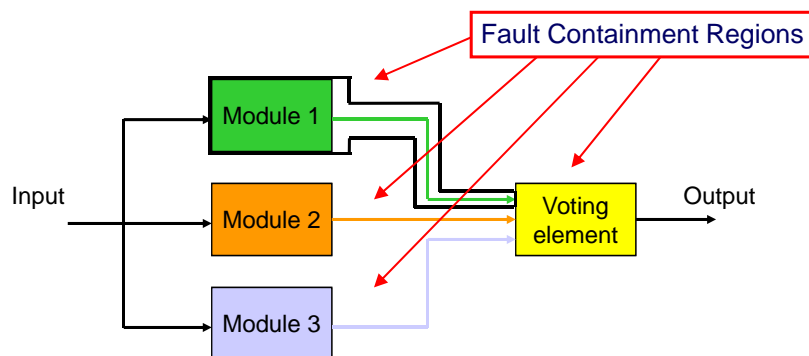
- A fault-tolerant system consist of several ***fault/error containment regions***

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## Fault Containment Regions in a TMR System



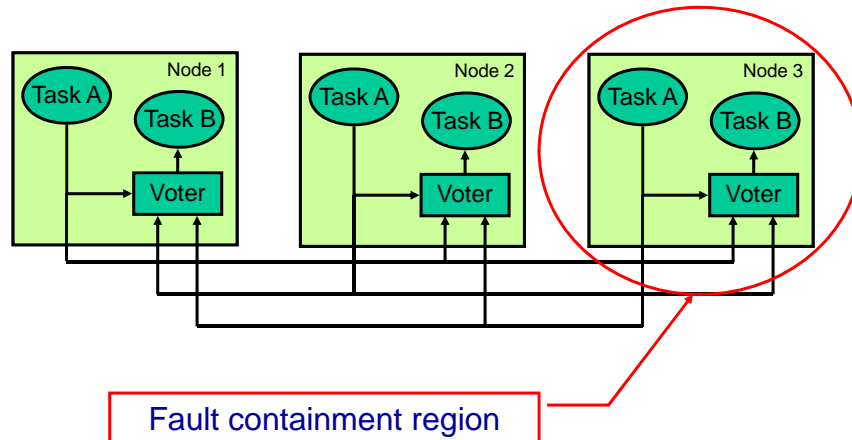
The designer must prevent that a fault in one module causes faults in the other module, or the voting element.

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## Fault Containment Region in a Distributed TMR system



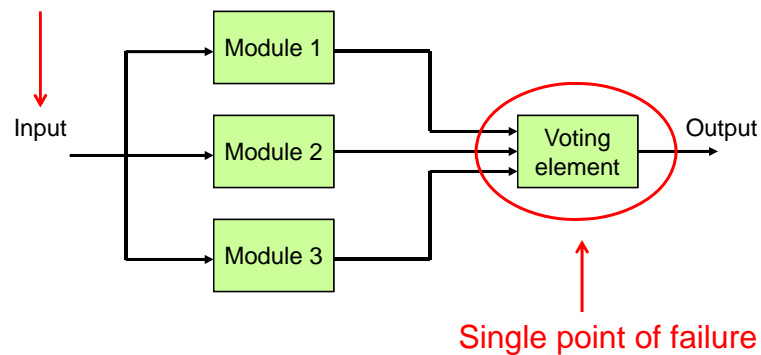
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## Single Points of Failure in a TMR System

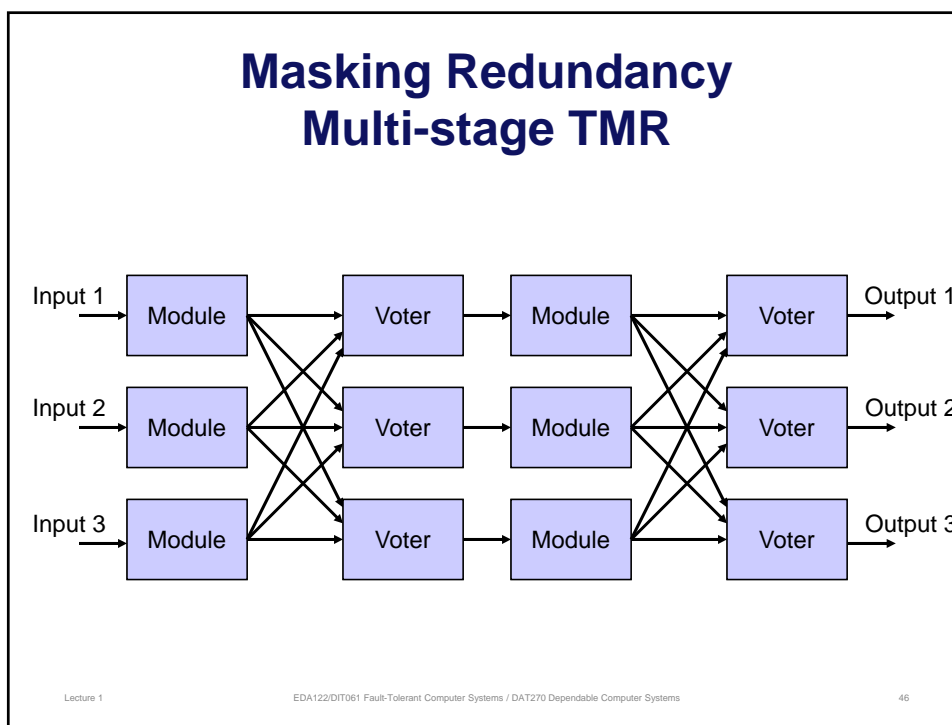
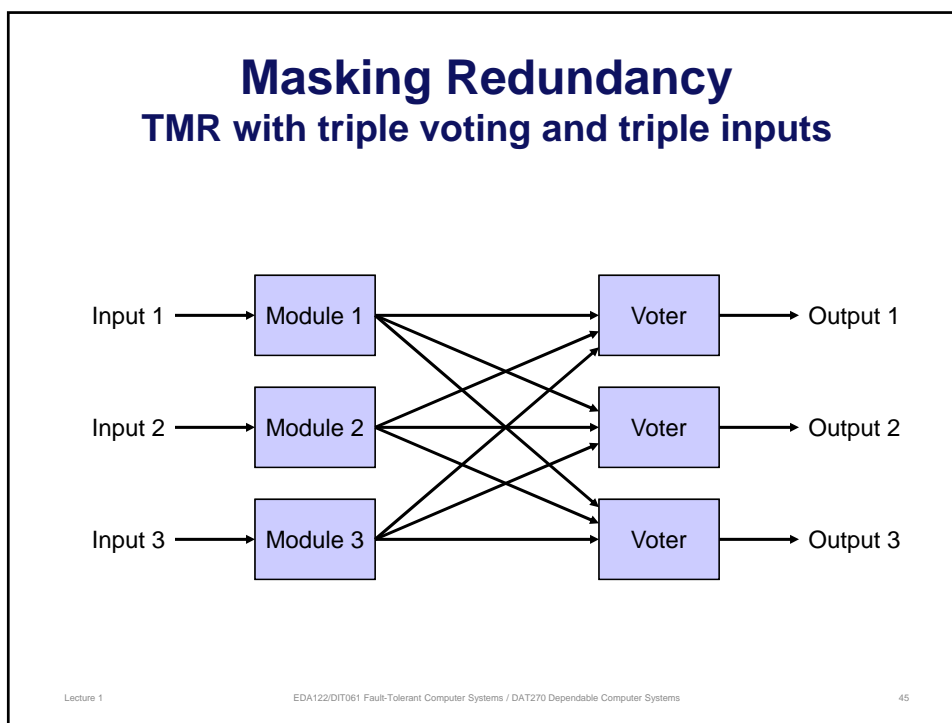
Single point of failure



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## Summary

- Fault tolerance
- Graceful degradation
- Safety
- Terminology: faults → errors → failures
- Voting redundancy
- Fault/error containment
- Single point of failure
- Multi-stage voting

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## Overview of Lecture 2

- **Reliability modeling**
  - Basic concepts in probability
  - Reliability block diagrams
  - Fault-trees

Preparations:

Storey: Section 7.1 and 7.2 (pages 167 – 177)

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