

Lecture
Models of Computation
(DIT310, TDA184)

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Today

- ▶ Inductive definitions:
 - ▶ Functions defined by primitive recursion.
 - ▶ Proofs by structural induction.
- ▶ Two models of computation:
 - ▶ PRF.
 - ▶ The recursive functions.

Natural numbers

The natural numbers

The set of natural numbers, \mathbb{N} , is defined inductively in the following way:

- ▶ zero $\in \mathbb{N}$.
- ▶ If $n \in \mathbb{N}$, then $\text{suc } n \in \mathbb{N}$.

The natural numbers

We can construct natural numbers by using these rules a finite number of times. Examples:

- ▶ $0 = \text{zero}$.
- ▶ $1 = \text{suc zero}$.
- ▶ $2 = \text{suc (suc zero)}$.

The value `zero` and the function `suc` are called *constructors*.

The natural numbers

An alternative way to present the rules:

$$\frac{}{\text{zero} \in \mathbb{N}}$$

$$\frac{n \in \mathbb{N}}{\text{suc } n \in \mathbb{N}}$$

Propositions, predicates and relations

- ▶ A *proposition* is something that can (perhaps) be proved or disproved.
- ▶ A *predicate* on a set A is a function from A to propositions.
- ▶ A *binary relation* on two sets A and B is a function from A and B to propositions.
- ▶ Relations can also have more arguments.

Equality

Two natural numbers are equal if they are built up by the same constructors.

We can see this as an inductively defined relation:

$$\frac{}{\text{zero} = \text{zero}} \qquad \frac{m = n}{\text{suc } m = \text{suc } n}$$

(The names of the constructors have been omitted.)

Primitive recursion

We can define a function from \mathbb{N} to a set A in the following way:

- ▶ A value $z \in A$, the function's value for zero.
- ▶ A function $s \in \mathbb{N} \rightarrow A \rightarrow A$, that given $n \in \mathbb{N}$ and the function's value for n gives the function's value for $\text{succ } n$.

Primitive recursion

A definition by primitive recursion can be given the following schematic form:

$$f \in \mathbb{N} \rightarrow A$$

$$f \text{ zero} = z$$

$$f (\text{suc } n) = s \ n \ (f \ n)$$

Primitive recursion

We can capture this scheme in a higher-order function:

$$\text{rec} \in A \rightarrow (\mathbb{N} \rightarrow A \rightarrow A) \rightarrow \mathbb{N} \rightarrow A$$

$$\text{rec } z \ s \ \text{zero} \quad = \ z$$

$$\text{rec } z \ s \ (\text{suc } n) = \ s \ n \ (\text{rec } z \ s \ n)$$

Example: Addition

- ▶ Can we define $add \in \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$ using primitive recursion?
- ▶ Let “ A ” be $\mathbb{N} \rightarrow \mathbb{N}$.
- ▶ Scheme:

$$add \in \mathbb{N} \rightarrow (\mathbb{N} \rightarrow \mathbb{N})$$

$$add \text{ zero} = ?$$

$$add (\text{suc } m) = ?$$

Example: Addition

- ▶ Can we define $add \in \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$ using primitive recursion?
- ▶ Let “ A ” be $\mathbb{N} \rightarrow \mathbb{N}$.
- ▶ Scheme:

$$\begin{aligned} add &\in \mathbb{N} \rightarrow (\mathbb{N} \rightarrow \mathbb{N}) \\ add \text{ zero} &= \lambda n. n \\ add (\text{suc } m) &= ? \end{aligned}$$

Example: Addition

- ▶ Can we define $add \in \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$ using primitive recursion?
- ▶ Let “ A ” be $\mathbb{N} \rightarrow \mathbb{N}$.
- ▶ Scheme:

$$\begin{aligned} add &\in \mathbb{N} \rightarrow (\mathbb{N} \rightarrow \mathbb{N}) \\ add \text{ zero} &= \lambda n. n \\ add (\text{suc } m) &= \lambda n. ? \end{aligned}$$

Example: Addition

- ▶ Can we define $add \in \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$ using primitive recursion?
- ▶ Let “ A ” be $\mathbb{N} \rightarrow \mathbb{N}$.
- ▶ Scheme:

$$add \in \mathbb{N} \rightarrow (\mathbb{N} \rightarrow \mathbb{N})$$

$$add \text{ zero} = \lambda n. n$$

$$add (\text{suc } m) = \lambda n. \text{suc } (add m n)$$

Quiz

Which of the following terms define addition?

- ▶ $\text{rec } (\lambda n. n) (\lambda m r. \lambda n. \text{suc } (r m n))$
- ▶ $\text{rec } (\lambda n. n) (\lambda m r. \lambda n. \text{suc } (r n))$
- ▶ $\text{rec } (\lambda n. n) (\lambda m r. \lambda n. \text{suc } (r m))$

Structural induction

Let us assume that we have a predicate P on \mathbb{N} . If we can prove the following two statements, then we have proved $\forall n. P\ n$:

- ▶ $P\ \text{zero}$.
- ▶ $\forall n. P\ n$ implies $P\ (\text{suc } n)$.

Example: Addition

Theorem: $\forall m \in \mathbb{N}. \text{add } m \text{ zero} = m.$

Proof:

- ▶ Let us use structural induction, with the predicate $P = \lambda m. \text{add } m \text{ zero} = m.$
- ▶ There are two cases:

$P \text{ zero}$	\Leftarrow	{ By definition. }
$\text{add } \text{zero } \text{zero} = \text{zero}$	\Leftarrow	{ By definition. }
$\text{zero} = \text{zero}$		

Example: Addition

Theorem: $\forall m \in \mathbb{N}. \text{add } m \text{ zero} = m.$

Proof:

- ▶ Let us use structural induction, with the predicate $P = \lambda m. \text{add } m \text{ zero} = m.$
- ▶ There are two cases:

$$P (\text{suc } m) \quad \Leftarrow$$

$$\text{add } (\text{suc } m) \text{ zero} = \text{suc } m \quad \Leftarrow$$

$$\text{suc } (\text{add } m \text{ zero}) = \text{suc } m \quad \Leftarrow$$

$$\text{add } m \text{ zero} = m \quad \Leftarrow$$

$$P m$$

More
inductively
defined sets

Cartesian products

The cartesian product of two sets A and B is defined inductively in the following way:

$$\frac{x \in A \quad y \in B}{\text{pair } x \ y \in A \times B}$$

Notice that this definition is “non-recursive”.

Primitive recursion

Scheme for primitive recursion for pairs:

$$f \in A \times B \rightarrow C$$
$$f (\text{pair } x \ y) = p \ x \ y$$

The corresponding higher-order function:

$$\text{uncurry} \in (A \rightarrow B \rightarrow C) \rightarrow A \times B \rightarrow C$$
$$\text{uncurry } p (\text{pair } x \ y) = p \ x \ y$$

Structural induction

Let us assume that we have a predicate P on $A \times B$. If we can prove the following statement, then we have proved $\forall p. P\ p$:

- ▶ $\forall x\ y. P\ (\text{pair } x\ y)$.

Lists

The set of finite lists containing elements from the set A is defined inductively in the following way:

$$\frac{}{\text{nil} \in \text{List } A} \qquad \frac{x \in A \quad xs \in \text{List } A}{\text{cons } x \ xs \in \text{List } A}$$

Primitive recursion

Scheme for primitive recursion for lists:

$$f \in List\ A \rightarrow B$$

$$f\ nil = n$$

$$f\ (\mathit{cons}\ x\ xs) = c\ x\ xs\ (f\ xs)$$

The corresponding higher-order function:

$$listrec \in B \rightarrow (A \rightarrow List\ A \rightarrow B \rightarrow B) \rightarrow \\ List\ A \rightarrow B$$

$$listrec\ n\ c\ nil = n$$

$$listrec\ n\ c\ (\mathit{cons}\ x\ xs) = c\ x\ xs\ (listrec\ n\ c\ xs)$$

Structural induction

Let us assume that we have a predicate P on *List A*. If we can prove the following statements, then we have proved $\forall xs. P\ xs$:

- ▶ $P\ \text{nil}$.
- ▶ $\forall x\ xs. P\ xs$ implies $P\ (\text{cons } x\ xs)$.

Pattern

- ▶ Do you see the pattern?
- ▶ Given an inductive definition of the kind presented here, we can derive:
 - ▶ The structural induction principle.
 - ▶ The primitive recursion scheme.

Quiz

Define the booleans inductively. How many cases does the structural induction principle have?

- ▶ 1
- ▶ 2
- ▶ 3
- ▶ 4

Bonus question: Can you think of an inductive definition for which the answer would be 0?

PRF

The primitive recursive functions

- ▶ A model of computation.
- ▶ Programs taking tuples of natural numbers to natural numbers.
- ▶ Every program is terminating.

Sketch

The primitive recursive functions can be constructed in the following ways:

$$f () = 0$$

$$f (x) = \text{suc } x$$

$$f (x_1, \dots, x_k, \dots, x_n) = x_k$$

$$f (x_1, \dots, x_n) = g (h_1 (x_1, \dots, x_n), \dots, h_k (x_1, \dots, x_n))$$

$$f (x_1, \dots, x_n, 0) = g (x_1, \dots, x_n)$$

$$f (x_1, \dots, x_n, 1 + x) = h (x_1, \dots, x_n, f (x_1, \dots, x_n, x), x)$$

Vectors

Vectors, lists of a fixed length:

$$\frac{}{\text{nil} \in A^0} \qquad \frac{xs \in A^n \quad x \in A}{xs, x \in A^{1+n}}$$

Read nil, x, y, z as $((\text{nil}, x), y), z$.

Indexing

An indexing operation can be defined by (a slight variant of) primitive recursion:

$$\text{index} \in A^n \rightarrow \{i \in \mathbb{N} \mid 0 \leq i < n\} \rightarrow A$$

$$\text{index } (xs, x) \text{ zero} = x$$

$$\text{index } (xs, x) (\text{suc } n) = \text{index } xs \ n$$

Abstract syntax

PRF_n : Functions that take n arguments.

$$\frac{}{\text{zero} \in PRF_0} \quad \frac{}{\text{suc} \in PRF_1} \quad \frac{0 \leq i < n}{\text{proj } i \in PRF_n}$$

$$\frac{f \in PRF_m \quad gs \in (PRF_n)^m}{\text{comp } f \text{ } gs \in PRF_n}$$

$$\frac{f \in PRF_n \quad g \in PRF_{2+n}}{\text{rec } f \text{ } g \in PRF_{1+n}}$$

Denotational semantics

$$\llbracket _ \rrbracket \in PRF_n \rightarrow (\mathbb{N}^n \rightarrow \mathbb{N})$$

$$\llbracket \text{zero} \rrbracket \text{nil} = 0$$

$$\llbracket \text{suc} \rrbracket (\text{nil}, n) = 1 + n$$

$$\llbracket \text{proj } i \rrbracket \rho = \text{index } \rho \ i$$

$$\llbracket \text{comp } f \ gs \rrbracket \rho = \llbracket f \rrbracket (\llbracket gs \rrbracket^* \rho)$$

$$\llbracket \text{rec } f \ g \rrbracket (\rho, \text{zero}) = \llbracket f \rrbracket \rho$$

$$\llbracket \text{rec } f \ g \rrbracket (\rho, \text{suc } n) = \llbracket g \rrbracket (\rho, \llbracket \text{rec } f \ g \rrbracket (\rho, n), n)$$

$$\llbracket _ \rrbracket^* \in (PRF_m)^n \rightarrow (\mathbb{N}^m \rightarrow \mathbb{N}^n)$$

$$\llbracket \text{nil} \rrbracket^* \rho = \text{nil}$$

$$\llbracket fs, f \rrbracket^* \rho = \llbracket fs \rrbracket^* \rho, \llbracket f \rrbracket \rho$$

Quiz

Which of the following terms, all in PRF_2 , define addition?

- ▶ $\text{rec } (\text{proj } 0) (\text{proj } 0)$
- ▶ $\text{rec } (\text{proj } 0) (\text{proj } 1)$
- ▶ $\text{rec } (\text{proj } 0) (\text{comp suc } (\text{nil}, \text{proj } 0))$
- ▶ $\text{rec } (\text{proj } 0) (\text{comp suc } (\text{nil}, \text{proj } 1))$

Hint: Examine $\llbracket p \rrbracket (\text{nil}, m, n)$ for each program p .

Addition

Goal: Define *add* satisfying the following equations:

$$\forall m. \llbracket \text{add} \rrbracket (\text{nil}, m, \text{zero}) = m$$

$$\forall m n. \llbracket \text{add} \rrbracket (\text{nil}, m, \text{suc } n) = \\ \text{suc} (\llbracket \text{add} \rrbracket (\text{nil}, m, n))$$

If we can find a definition of *add* satisfying these equations, then we can prove using structural induction that *add* is an implementation of addition.

Addition

Perhaps we can use rec:

$$\begin{aligned}\forall m. \quad \llbracket \text{rec } f \ g \rrbracket (\text{nil}, m, \text{zero}) &= m \\ \forall m \ n. \quad \llbracket \text{rec } f \ g \rrbracket (\text{nil}, m, \text{suc } n) &= \\ &\quad \text{suc} (\llbracket \text{rec } f \ g \rrbracket (\text{nil}, m, n))\end{aligned}$$

Addition

Perhaps we can use rec:

$$\begin{aligned}\forall m. \quad \llbracket f \rrbracket (\text{nil}, m) &= m \\ \forall m n. \quad \llbracket \text{rec } f \text{ } g \rrbracket (\text{nil}, m, \text{suc } n) &= \\ &\quad \text{suc } (\llbracket \text{rec } f \text{ } g \rrbracket (\text{nil}, m, n))\end{aligned}$$

Addition

Perhaps we can use rec:

$$\begin{aligned}\forall m. \llbracket f \rrbracket (\text{nil}, m) &= m \\ \forall m n. \llbracket g \rrbracket (\text{nil}, m, \llbracket \text{rec } f \text{ } g \rrbracket (\text{nil}, m, n), n) &= \\ &\text{suc} (\llbracket \text{rec } f \text{ } g \rrbracket (\text{nil}, m, n))\end{aligned}$$

Addition

The zero case:

$$\forall m. \llbracket f \rrbracket (\text{nil}, m) = m$$

Addition

The zero case:

$$\forall m. \llbracket \text{proj } 0 \rrbracket (\text{nil}, m) = m$$

Addition

The suc case:

$$\forall m n. \llbracket g \rrbracket (\text{nil}, m, \llbracket \text{rec } f \ g \rrbracket (\text{nil}, m, n), n) = \\ \text{suc} (\llbracket \text{rec } f \ g \rrbracket (\text{nil}, m, n))$$

Addition

The suc case:

$$\forall m n r. \llbracket g \rrbracket (\text{nil}, m, r, n) = \text{suc } r$$

Addition

The suc case:

$$\forall m n r. \llbracket \text{comp } h \text{ } hs \rrbracket (\text{nil}, m, r, n) = \text{suc } r$$

Addition

The suc case:

$$\forall m n r. \llbracket h \rrbracket (\llbracket hs \rrbracket^* (\text{nil}, m, r, n)) = \text{suc } r$$

Addition

The suc case:

$$\forall m n r. \llbracket \text{suc} \rrbracket (\llbracket \text{nil}, k \rrbracket^* (\text{nil}, m, r, n)) = \text{suc } r$$

Addition

The suc case:

$$\forall m n r. \llbracket \text{suc} \rrbracket (\text{nil}, \llbracket k \rrbracket (\text{nil}, m, r, n)) = \text{suc } r$$

Addition

The suc case:

$$\forall m n r. \text{ suc } (\llbracket k \rrbracket (\text{nil}, m, r, n)) = \text{ suc } r$$

Addition

The suc case:

$$\forall m n r. \llbracket k \rrbracket (\mathbf{nil}, m, r, n) = r$$

Addition

The suc case:

$$\forall m n r. \llbracket \text{proj } 1 \rrbracket (\text{nil}, m, r, n) = r$$

Addition

We end up with the following definition:

$$\text{rec (proj 0) (comp suc (nil, proj 1))}$$

Big-step operational semantics

$$\overline{\text{zero} [\text{nil}] \Downarrow 0}$$

$$\overline{\text{suc} [\text{nil}, n] \Downarrow 1 + n}$$

$$\overline{\text{proj } i [\rho] \Downarrow \text{index } \rho \ i}$$

$$\frac{f [\rho] \Downarrow n}{\text{rec } f \ g [\rho, \text{zero}] \Downarrow n}$$

$$\frac{\begin{array}{c} \text{rec } f \ g [\rho, m] \Downarrow n \\ g [\rho, n, m] \Downarrow o \end{array}}{\text{rec } f \ g [\rho, \text{suc } m] \Downarrow o}$$

Big-step operational semantics

$$\frac{gs[\rho] \Downarrow^* \rho' \quad f[\rho'] \Downarrow n}{\text{comp } f \text{ } gs[\rho] \Downarrow n}$$

$$\frac{}{\text{nil}[\rho] \Downarrow^* \text{nil}} \quad \frac{fs[\rho] \Downarrow^* ns \quad f[\rho] \Downarrow n}{fs, f[\rho] \Downarrow^* ns, n}$$

Equivalence

$$f[\rho] \Downarrow n \text{ iff } \llbracket f \rrbracket \rho = n,$$
$$fs[\rho] \Downarrow^* \rho' \text{ iff } \llbracket fs \rrbracket^* \rho = \rho'.$$

This can be proved by induction on the structure of the semantics in one direction, and f/fs in the other.

Equivalence

Thus the operational semantics is total and deterministic:

- ▶ $\forall f \rho. \exists n. f[\rho] \Downarrow n.$
- ▶ $\forall f \rho m n. f[\rho] \Downarrow m \text{ and } f[\rho] \Downarrow n \text{ implies } m = n.$

Quiz

Which of the following propositions are true?

- ▶ $\text{comp zero nil [nil, 5, 7]} \Downarrow 0$
- ▶ $\text{comp suc (nil, proj 0) [nil, 5, 7]} \Downarrow 6$
- ▶ $\text{rec zero (proj 1) [nil, 2]} \Downarrow 0$

Expressiveness

Not every (Turing-) computable function is primitive recursive.

Proof sketch:

- ▶ Assume that every computable function $f \in \mathbb{N} \rightarrow \mathbb{N}$ is represented by $\ulcorner f \urcorner \in PRF_1$ satisfying $\forall n. \llbracket \ulcorner f \urcorner \rrbracket (\text{nil}, n) = f \ n$.
- ▶ Exercise: Define a function $code \in PRF_1 \rightarrow \mathbb{N}$ with a *computable* left inverse *decode*.

Expressiveness

- ▶ Define $g \in \mathbb{N} \rightarrow \mathbb{N}$ by
 $g\ n = \llbracket \text{decode } n \rrbracket (\text{nil}, n) + 1.$
- ▶ Note that g is computable.
- ▶ We get

$$\begin{aligned} g(\text{code } \ulcorner g \urcorner) &= \\ \llbracket \text{decode } (\text{code } \ulcorner g \urcorner) \rrbracket (\text{nil}, \text{code } \ulcorner g \urcorner) + 1 &= \\ \llbracket \ulcorner g \urcorner \rrbracket (\text{nil}, \text{code } \ulcorner g \urcorner) + 1 &= \\ g(\text{code } \ulcorner g \urcorner) + 1, & \end{aligned}$$

which is impossible.

The Ackermann function

- ▶ An explicit example of a computable function that is not primitive recursive.
- ▶ One variant:

$$ack \in \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$$

$$ack(\text{zero}, n) = \text{suc } n$$

$$ack(\text{suc } m, \text{zero}) = ack(m, \text{suc zero})$$

$$ack(\text{suc } m, \text{suc } n) = ack(m, ack(\text{suc } m, n))$$

- ▶ For more details, see Nordström, *The primitive recursive functions*.

The recursive functions

The recursive functions

- ▶ A model of computation.
- ▶ Programs taking tuples of natural numbers to natural numbers.
- ▶ Not every program is terminating.

Abstract syntax

- ▶ Extends PRF with one additional constructor.
- ▶ RF_n : Functions that take n arguments.
- ▶ Minimisation:

$$\frac{f \in RF_{1+n}}{\min f \in RF_n}$$

- ▶ Rough idea: $\min f [\rho]$ is the smallest n for which $f [\rho, n]$ is 0.
- ▶ Note that there may not be such a number.

Big-step operational semantics

The operational semantics is extended:

$$\frac{f[\rho, n] \Downarrow 0 \quad \forall m < n. \exists k. f[\rho, m] \Downarrow 1 + k}{\min f[\rho] \Downarrow n}$$

Big-step operational semantics

The operational semantics is extended:

$$\frac{f[\rho, n] \Downarrow 0 \quad \forall m < n. \exists k. f[\rho, m] \Downarrow 1 + k}{\min f[\rho] \Downarrow n}$$

The semantics is deterministic, but not total:

- ▶ $f[\rho] \Downarrow m$ and $f[\rho] \Downarrow n$ implies $m = n$.
- ▶ $\forall m. \exists f \in RF_m. \forall \rho. \nexists n. f[\rho] \Downarrow n$.

Quiz

- ▶ Construct $f \in RF_0$ in such a way that $\nexists n. f[\text{nil}] \Downarrow n$.

Denotational semantics?

We can try to extend the denotational semantics:

$$\llbracket - \rrbracket \in RF_n \rightarrow (\mathbb{N}^n \rightarrow \mathbb{N})$$

\vdots

$$\llbracket \text{min } f \rrbracket \rho = \text{search } f \rho 0$$

$$\text{search} \in RF_{1+n} \rightarrow \mathbb{N}^n \rightarrow \mathbb{N} \rightarrow \mathbb{N}$$

$$\text{search } f \rho n =$$

$$\mathbf{if} \quad \llbracket f \rrbracket (\rho, n) = 0$$

$\mathbf{then} \ n$

$$\mathbf{else} \ \text{search } f \rho (1 + n)$$

Partial functions

- ▶ This “definition” does not give rise to (total) functions.
- ▶ We can instead define a semantics as a function to partial functions:

$$\begin{aligned} \llbracket - \rrbracket &\in RF_n \rightarrow (\mathbb{N}^n \multimap \mathbb{N}) \\ \llbracket f \rrbracket \rho &= \\ &\mathbf{if} \quad f[\rho] \Downarrow n \text{ for some } n \\ &\mathbf{then} \quad n \\ &\mathbf{else} \quad \text{undefined} \end{aligned}$$

Expressiveness

- ▶ Equivalent to Turing machines, λ -calculus, ...

Summary

- ▶ Inductive definitions:
 - ▶ Functions defined by primitive recursion.
 - ▶ Proofs by structural induction.
- ▶ Two models of computation:
 - ▶ PRF.
 - ▶ The recursive functions.