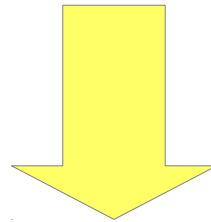


# **Sorting**

*(Weiss chapter 8.1 – 8.3)*

# Sorting

5	3	9	2	8	7	3	2	1	4
---	---	---	---	---	---	---	---	---	---



1	2	2	3	3	4	5	7	8	9
---	---	---	---	---	---	---	---	---	---

Zillions of sorting algorithms  
(bubblesort, insertion sort, selection  
sort, quicksort, heapsort, mergesort,  
shell sort, counting sort, radix sort, ...)

# Sorting

Why is sorting important? Because sorted data is much easier to deal with!

- Searching – use binary instead of linear search
- Finding duplicates – takes linear instead of quadratic time
- etc.

Most sorting algorithms are based on *comparisons*

- Compare elements – is one bigger than the other? If not, do something about it!
- Advantage: they can work on all sorts of data
- Disadvantage: specialised algorithms for e.g. sorting lists of integers can be faster

# Bubblesort

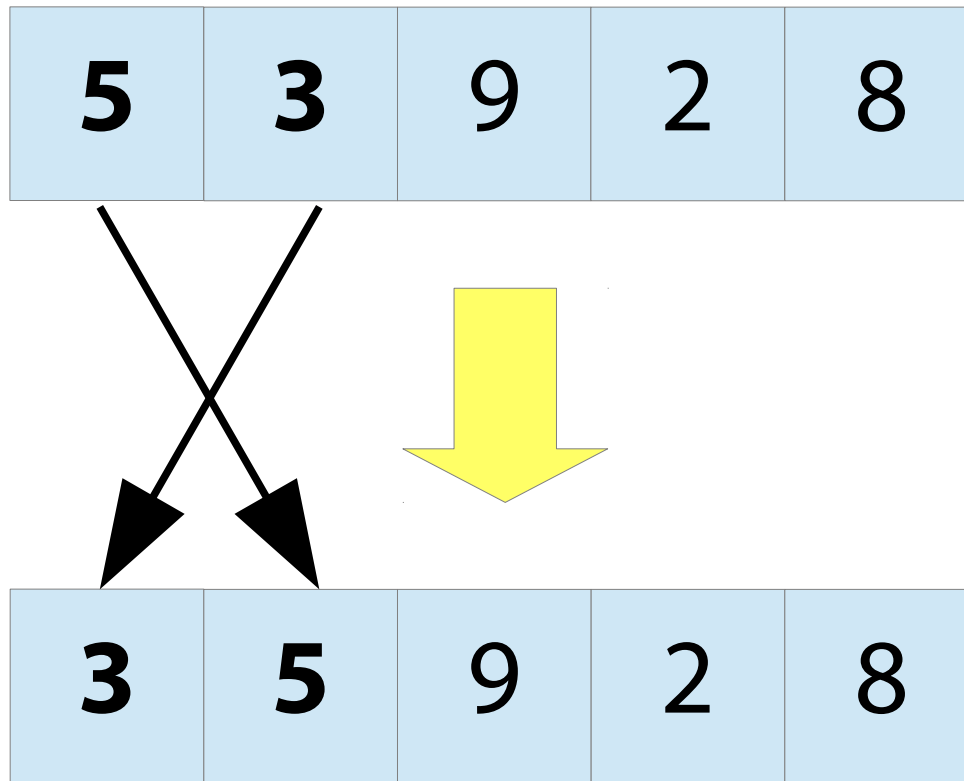
Go through the array, comparing adjacent elements

- If we find two that are in the wrong order, swap them

Once we reach the end of the array, go back and start again!

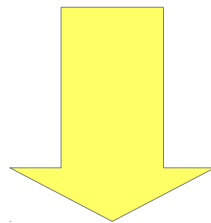
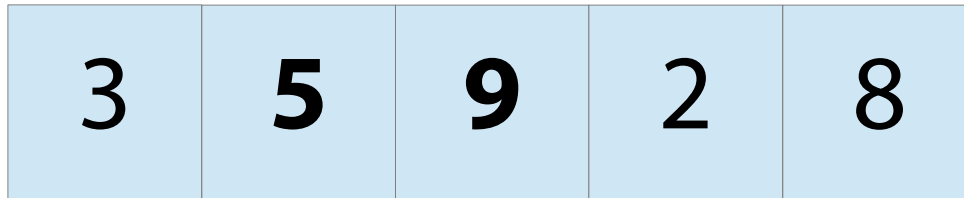
# Bubblesort

Compare  $a[0]$  and  $a[1]$ :



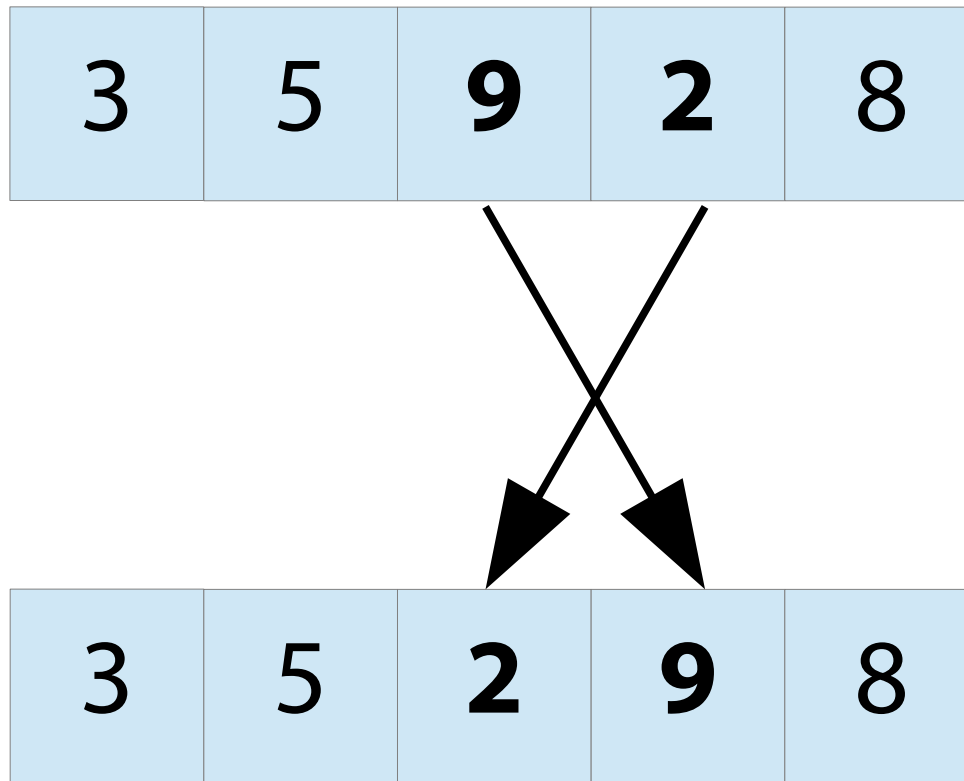
# Bubblesort

Compare  $a[1]$  and  $a[2]$ :



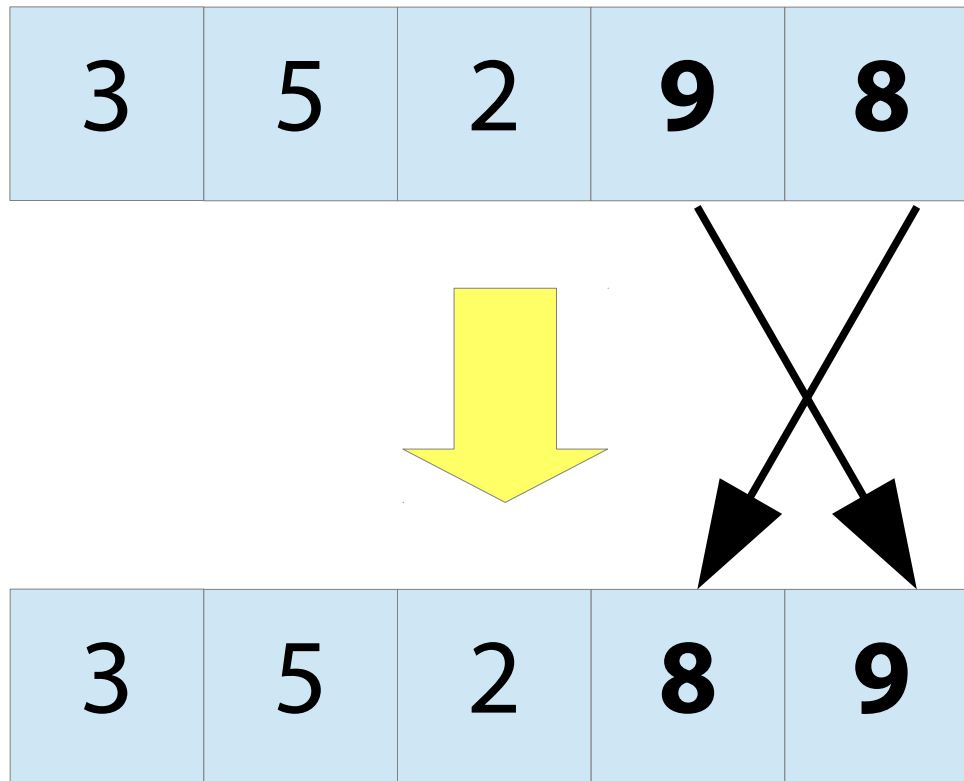
# Bubblesort

Compare  $a[2]$  and  $a[3]$ :



# Bubblesort

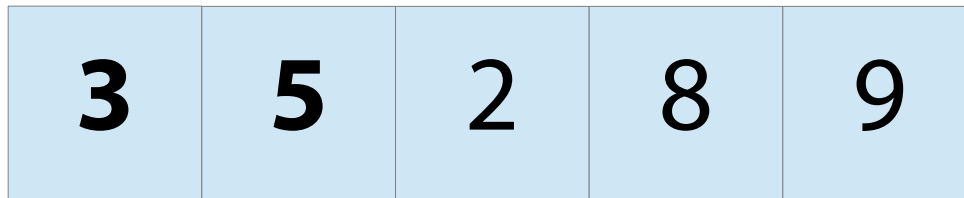
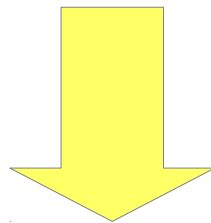
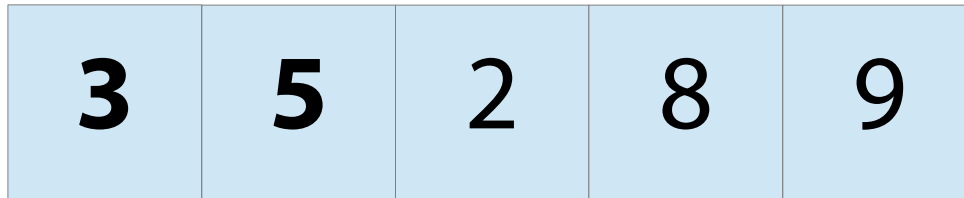
Compare  $a[3]$  and  $a[4]$ :





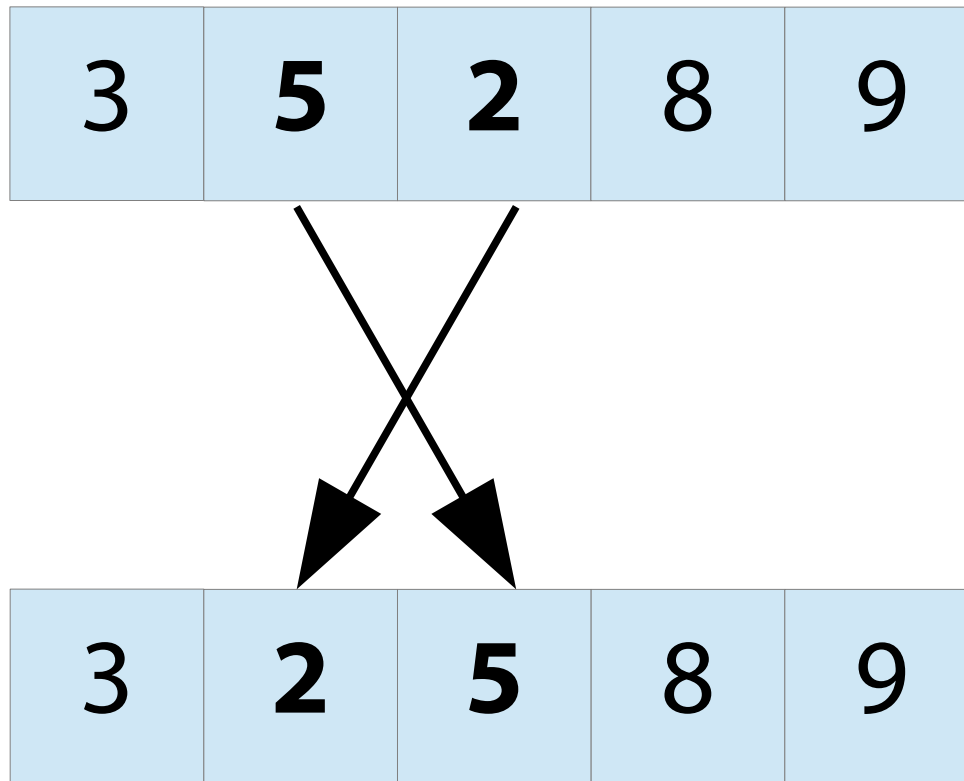
# Bubblesort

Back to the beginning!



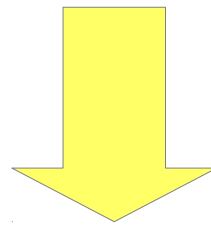
# Bubblesort

Compare  $a[1]$  and  $a[2]$ :



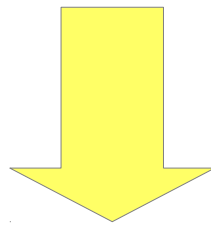
# Bubblesort

Compare  $a[2]$  and  $a[3]$ :



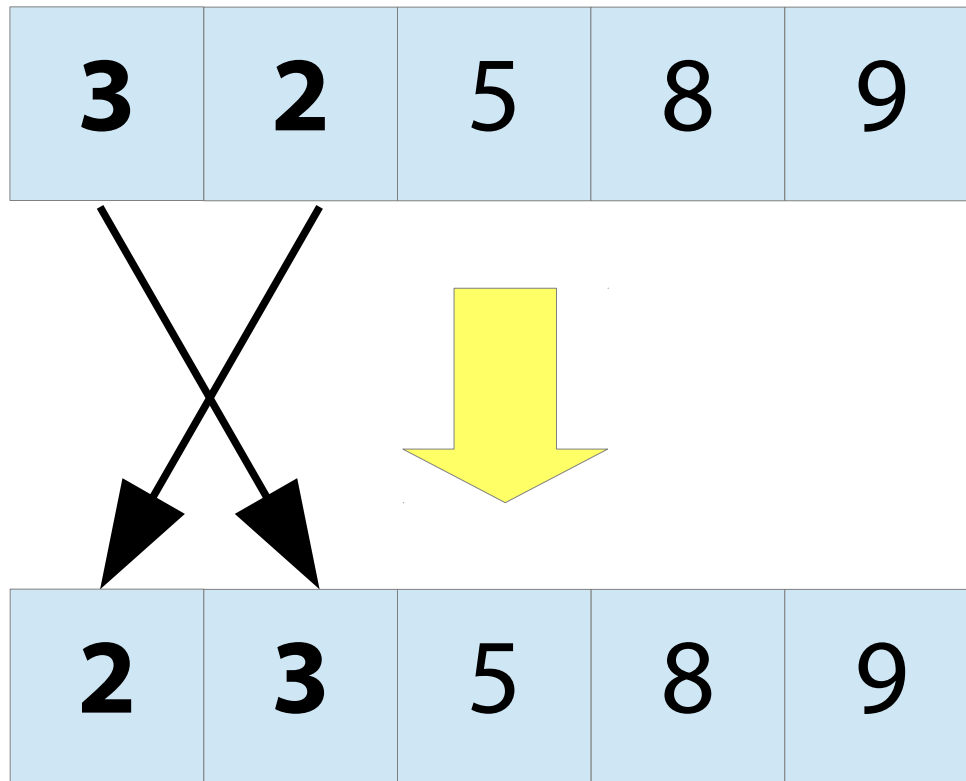
# Bubblesort

Compare  $a[3]$  and  $a[4]$ :



# Bubblesort

Back to the beginning!



# Bubblesort

How do we know when to stop going back to the beginning?

- When the array is sorted

How many loops until that happens?

- Each time we loop through the array, at least one more element ends up in the right place: the biggest element that was in the wrong place before

So repeat as many times as there are elements in the input array

```
for k = 0 to array.length-1
  for i = 0 to array.length-2
    if array[i] < array[i+1]
      swap array[i] and array[i+1]
```

# Insertion sort

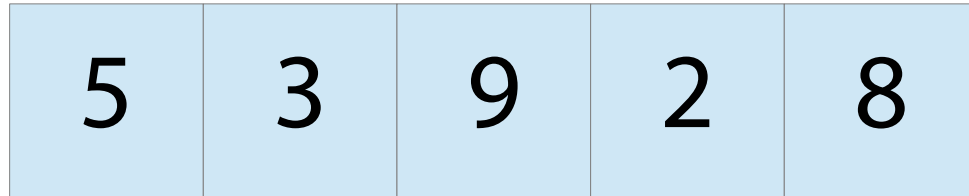
Imagine someone is dealing you cards. Whenever you get a new card you put it into the right place in your hand:



This is the idea of *insertion sort*.

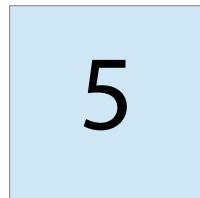
# Insertion sort

Sorting



:

Start by “picking up” the 5:





# Insertion sort

Sorting 

5	3	9	2	8
---	---	---	---	---

 :

Then insert the 3 into the right place:

3	5
---	---

# Insertion sort

Sorting

5	3	9	2	8
---	---	---	---	---

:

Then the 9:

3	5	9
---	---	---

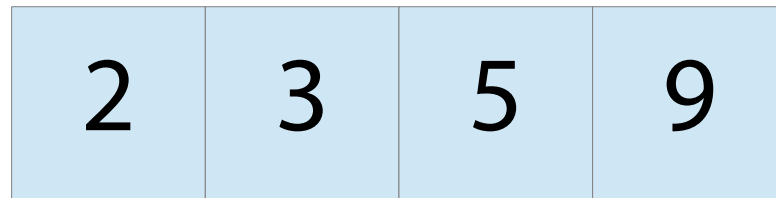
# Insertion sort

Sorting



:

Then the 2:



# Insertion sort

Sorting

5	3	9	2	8
---	---	---	---	---

:

Finally the 8:

2	3	5	8	9
---	---	---	---	---

# Complexity of insertion sort

Insertion sort does  $n$  insertions for an array of size  $n$

Does this mean it is  $O(n)$ ? *No!* An insertion is not constant time.

To insert into a sorted array, you must move all the elements up one, which is  $O(n)$ .

Thus total is  $O(n^2)$ .

# In-place insertion sort

This version of insertion sort needs to make a new array to hold the result

An *in-place* sorting algorithm is one that doesn't need to make temporary arrays

- Has the potential to be more efficient

Let's make an in-place insertion sort!

Basic idea: loop through the array, and insert each element into the part which is already sorted

# In-place insertion sort

5	3	9	2	8
---	---	---	---	---

The first element of the array is sorted:

5	3	9	2	8
---	---	---	---	---

White bit: sorted

# In-place insertion sort

5	3	9	2	8
---	---	---	---	---

Insert the 3 into the correct place:

3	5	9	2	8
---	---	---	---	---



# In-place insertion sort

3	5	9	2	8
---	---	---	---	---

Insert the 9 into the correct place:

3	5	9	2	8
---	---	---	---	---

# In-place insertion sort

3	5	9	2	8
---	---	---	---	---

Insert the 2 into the correct place:

2	3	5	9	8
---	---	---	---	---

# In-place insertion sort

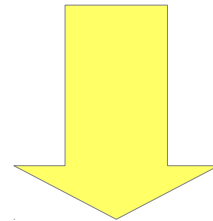
2	3	5	9	8
---	---	---	---	---

Insert the 8 into the correct place:

2	3	5	8	9
---	---	---	---	---

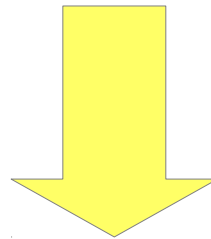
# In-place insertion

One way to do it: repeatedly swap the element with its neighbour on the left, until it's in the right position



# In-place insertion

2	3	5	4	9
---	---	---	---	---

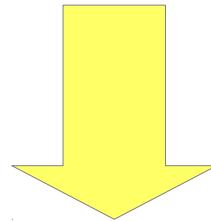


2	3	4	5	9
---	---	---	---	---

```
while n > 0 and array[n] > array[n-1]  
    swap array[n] and array[n-1]  
    n = n-1
```

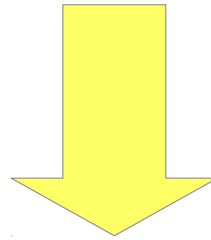
# In-place insertion

An improvement: instead of swapping, move elements upwards to make a “hole” where we put the new value

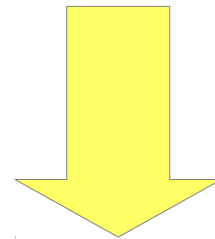


# In-place insertion

2	3	5	9	4
---	---	---	---	---



2	3	5		9
---	---	---	--	---



2	3		5	9
---	---	--	---	---

# In-place insertion sort

This notation  
means  
 $0, 1, \dots, i-1$

for  $i = 1$  to  $n$

    insert  $\text{array}[i]$  into  $\text{array}[0..i-1)$

An aside: we have the *invariant* that  
 $\text{array}[0..i)$  is sorted

- An invariant is something that holds whenever the loop body starts to run
- Initially,  $i = 1$  and  $\text{array}[0..1)$  is sorted
- As the loop runs, more and more of the array becomes sorted
- When the loop finishes,  $i = n$ , so  $\text{array}[0..n)$  is sorted – the whole array!



# Selection sort

Find the smallest element of the array,  
and delete it

Find the smallest remaining element, and  
delete it

And so on

Finding the smallest element is  $O(n)$ , so  
total complexity is  $O(n^2)$

# Selection sort

Sorting 

5	3	9	2	8
---	---	---	---	---

 :

The smallest element is 2:

2
---

We also delete 2 from the input array.

# Selection sort

Sorting 

5	3	9	8
---	---	---	---

 :

Now the smallest element is 3:

2	3
---	---

We delete 3 from the input array.

# Selection sort

Sorting 

5	9	8
---	---	---

 :

Now the smallest element is 5:

2	3	5
---	---	---

We delete 5 from the input array.

(...and so on)

# In-place selection sort

Instead of deleting the smallest element, *swap it* with the first element!

The next time round, ignore the first element of the array: we know it's the smallest one.

Instead, find the smallest element of the *rest* of the array, and swap it with the second element.

# In-place selection sort

Sorting 

5	3	9	2	8
---	---	---	---	---

 :

The smallest element is 2:

<b>2</b>	3	9	<b>5</b>	8
----------	---	---	----------	---

# In-place selection sort

2	3	9	5	8
---	---	---	---	---

The smallest element in the rest of the array is 3:

2	3	9	5	8
---	---	---	---	---

# In-place selection sort

2	3	9	5	8
---	---	---	---	---

The smallest element in the rest of the array is 5:

2	3	<b>5</b>	9	8
---	---	----------	---	---



# In-place selection sort

2	3	5	9	8
---	---	---	---	---

The smallest element in the rest of the array is 8:

2	3	5	<b>8</b>	9
---	---	---	----------	---

# In-place selection sort

```
for i = 0 to a.length-1  
  find the smallest element in a[i..a.length)  
  swap it with a[i]
```

# Comparing the sorting algorithms

All the algorithms so far are  $O(n^2)$  in the worst case

One of them is  $O(n)$  in the best case (a sorted array) – which?

# Comparing the sorting algorithms

All the algorithms so far are  $O(n^2)$  in the worst case

One of them is  $O(n)$  in the best case (a sorted array) – which?

- Answer: insertion sort
- This makes insertion sort the best of our three algorithms – it's actually the fastest sorting algorithm in general for small lists
- The other two are bad, but selection sort is the basis for a better algorithm, heapsort

# A negative result

The algorithms so far as based on  
*swapping adjacent elements*

No sorting algorithm that works like this  
can be better than  $O(n^2)$ !

See section 8.3 for details.

(Not part of the course – an extra for  
those who are interested)