## Type Theory

#### Lecture 1: Natural Deduction and Curry-Howard

#### Andreas Abel

Department of Computer Science and Engineering Chalmers and Gothenburg University

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#### Constructivism

- Brouwer's intuitionism in opposition to Hilbert's formalism
- Constructive logic vs. classical logic
- Disjunction property

If the disjunction  $A \lor B$  is provable, then either A is provable or B is provable.

- Drop principle of excluded middle  $A \vee \neg A$
- Propositions A with  $A \vee \neg A$  are called decidable
- Existence property

A proof of the existential statement  $\exists x. A(x)$  includes an algorithm to compute a witness t with A(t).

## Brouwer-Heyting-Kolmogorov Interpretation

#### Characterizing canonical proofs.

- A proof of  $A \wedge B$  is a pair of a proof of A and a proof of B.
- A proof of  $A \vee B$  is a proof of A or a proof of B, plus a bit indicating which of the two.
- A proof of A ⇒ B is an algorithm computing a proof of B given a proof of A.
- No canonical proof of  $\bot$  exists (consistency!).
- A proof of  $\neg A$  is a proof of  $A \Rightarrow \bot$ .
- A proof of  $\forall x.A(x)$  is an algorithm computing a proof of A(t) given any object t.
- A proof of  $\exists x. A(x)$  is a pair of a witness t and a proof of A(t).

## Propositional logic

Formulæ

$$\begin{array}{ll} P,\,Q & \text{atomic proposition} \\ A,\,B,\,C ::= P & \\ \mid A \Rightarrow B & \text{implication} \\ \mid A \land B \mid \top & \text{conjunction, truth} \\ \mid A \lor B \mid \bot & \text{disjunction, absurdity} \end{array}$$

- Formula = (binary) abstract syntax tree
- Subformula = subtree
- Principal connective = root label



### Well-formedness vs. truth

Let

```
SH := "Socrates is a human"
FL := "Socrates has four legs"
```

- Implication SH ⇒ FL is well-formed.
- Implication SH ⇒ FL is not necessarily true ;-).

$$SH \Rightarrow FL true$$

is a judgement which requires proof

## Judgements and derivations

- Propositional logic has a single judgement form *A true*.
- J refers to a judgement.
- Inference rules have form

$$\frac{J_1 \dots J_n}{J}$$
 r

Derivation (trees):

$$\frac{-\frac{r_3}{J_1}r_1}{\frac{J_2}{J_0}} r_0$$

•  $D_0 :: J_0 \text{ with } \mathcal{D}_0 = r_0^{J_0}(r_1^{J_1}, r_2^{J_2}(r_3^{J_3}, \mathcal{D}_4, \mathcal{D}_5))$ 

#### Introduction and elimination

Introduction rules: composing information

$$\frac{A \text{ true}}{A \land B \text{ true}} \land |$$

Elimination rules: retrieving/using information

$$\frac{A \wedge B \text{ true}}{A \text{ true}} \wedge \mathsf{E}_1 \qquad \frac{A \wedge B \text{ true}}{B \text{ true}} \wedge \mathsf{E}_2$$

Orthogonality: define meaning of logical connective (e.g. ∧) independently of other connectives (e.g. ⇒).

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### Local soundness

 Introductions followed immediately by eliminations are a removable detour.

$$\frac{A \text{ true}}{A \text{ true}} \qquad \frac{D_2}{B \text{ true}} \wedge \mathsf{I} \qquad \longrightarrow_{\beta} \qquad A \text{ true}$$

$$\frac{A \wedge B \text{ true}}{A \text{ true}} \wedge \mathsf{E}_1 \qquad \longrightarrow_{\beta} \qquad A \text{ true}$$

$$\frac{D_1}{A \text{ true}} \qquad D_2 \qquad \qquad D_3 \qquad B \text{ true}$$

$$\frac{A \wedge B \text{ true}}{B \text{ true}} \wedge \mathsf{E}_2 \qquad B \text{ true}$$

- Otherwise, an elimination rule is too strong (unsound).
- Exercise: Give a unsound, too strong ∧E-rule.

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### Local completeness

 Reconstruct a judgement by introduction from parts obtained by elimination.

- Otherwise, elimination rules are too weak (incomplete).
- Exercise: Give a set of  $\land \mathsf{E}\text{-rules}$  which is incomplete.

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#### Truth

Introduction of trivial proposition ⊤:

$$\frac{}{\top true}$$

- No information to obtain by elimination!
- No  $\beta$ -reduction.
- $\eta$ -expansion:

$$\mathcal{D}$$
 $\top true \longrightarrow_{\eta^{-}} \frac{}{\top true} \top \mathbf{I}$ 

## Proving an implication

- How to prove  $(A \land B) \Rightarrow (B \land A)$  true?
- First, construct an open derivation:

$$\frac{A \land B \text{ true}}{B \text{ true}} \qquad \frac{A \land B \text{ true}}{A \text{ true}}$$

$$B \land A \text{ true}$$

• Then, close by discharging the hypothesis  $x :: A \land B$  true:

$$\frac{\overline{A \land B \text{ true}}}{B \text{ true}} \times \frac{\overline{A \land B \text{ true}}}{A \text{ true}} \times \frac{\overline{A \land B \text{ true}}}{A \text{ true}}$$

$$\frac{B \land A \text{ true}}{(A \land B) \Rightarrow (B \land A) \text{ true}} \Rightarrow I_{X}$$

### Rules for implication

Elimination = modus ponens

$$\frac{A \Rightarrow B \ true}{B \ true} \Rightarrow E$$

Introduction = internalizing a meta-implication (hypothetical judgement)

$$\frac{A \text{ true}}{A \text{ true}} \times \\
\vdots \\
B \text{ true} \\
A \Rightarrow B \text{ true}$$

• Exercise: How many different derivations of  $A \Rightarrow (A \Rightarrow A)$  true?

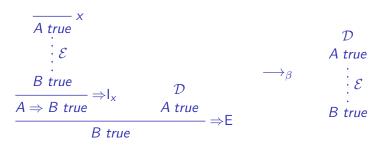
Andreas Abel (GU)

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### Substitution

•  $\beta$ -reduction replaces hypothesis x by derivation  $\mathcal{D}$ :



• More precise notation:

$$\mathcal{E}[\mathcal{D}/x]$$
 $\mathcal{E}[\mathcal{D}/x]$ 
 $\mathcal{E}[\mathcal{D}/x]$ 



# Local completeness for implication

•  $\eta$ -expansion

$$\mathcal{D}$$

$$A \Rightarrow B \text{ true} \qquad \longrightarrow_{\eta^{-}} \qquad \frac{A \Rightarrow B \text{ true}}{A \Rightarrow B \text{ true}} \xrightarrow{A \text{ true}} X$$

$$\frac{B \text{ true}}{A \Rightarrow B \text{ true}} \Rightarrow I_{X}$$

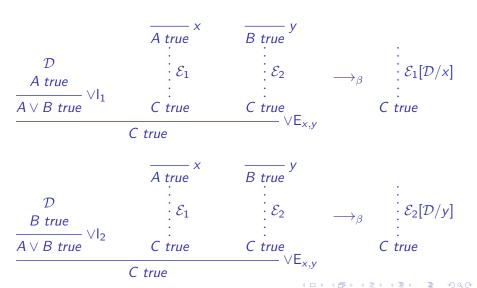
### Disjunction

Introduction: choosing an alternative

$$\frac{\textit{A true}}{\textit{A} \lor \textit{B true}} \lor \textit{I}_1 \qquad \frac{\textit{B true}}{\textit{A} \lor \textit{B true}} \lor \textit{I}_2$$

Elimination: case distinction

## Disjunction: local soundness



### Disjunction: local completeness

Introduction happens in branches of elimination:

## Absurdity and negation

No introduction (phew!), strongest elimination:

$$\frac{\perp true}{C true} \perp E$$

- Only global soundness (consistency).
- Negation is definable:

$$\neg A = A \Rightarrow \bot$$

So is logical equivalence:

$$A \Longleftrightarrow B = (A \Rightarrow B) \land (B \Rightarrow A)$$

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## Careful with discharging!

Consider this derivation:

$$\frac{A \Rightarrow A \Rightarrow (A \Rightarrow A) \text{ true}}{A \Rightarrow A \text{ true}} \Rightarrow I_{X}$$

$$\frac{A \Rightarrow A \text{ true}}{A \text{ true}} \Rightarrow E \quad A \text{ true}$$

$$\frac{A \Rightarrow A \text{ true}}{A \text{ true}} \Rightarrow I_{f}$$

$$\frac{A \Rightarrow A \text{ true}}{((A \Rightarrow A) \Rightarrow (A \Rightarrow A)) \Rightarrow A \text{ true}} \Rightarrow I_{f}$$

• Does it prove  $((A \Rightarrow A) \Rightarrow (A \Rightarrow A)) \Rightarrow A \text{ true?}$ 

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## Explicit hypotheses

Explicitly hypothetical judgement:

$$A_1$$
 true,..., $A_n$  true  $\vdash$   $C$  true

New rule (with Γ: list of hypotheses)

$$\frac{A \ true \in \Gamma}{\Gamma \vdash A \ true} \ hyp$$

Implication rules

$$\frac{\Gamma, A \; true \; \vdash \; B \; true}{\Gamma \; \vdash \; A \; \Rightarrow \; B \; true} \; \Rightarrow \vdash \qquad \frac{\Gamma \; \vdash \; A \; \Rightarrow \; B \; true}{\Gamma \; \vdash \; B \; true} \; \Rightarrow \vdash E$$

• Exercise: adapt the remaining rules to explicit hypotheses!

### Origins of lambda calculus

- Haskell Curry: untyped lambda-calculus as logical foundation (inconsistent)
- Alonzo Church: Simple Theory of Types (1936)
- Today: basis of functional programming languages

### Untyped lambda-calculus

Lambda-calculus with tuples and variants:

```
\begin{array}{lll} x,y,z & \text{variables} \\ r,s,t & ::= x \mid \lambda x.t \mid rs & \text{pure lambda-calculus} \\ \mid \langle s,t \rangle \mid \text{fst } r \mid \text{snd } r & \text{pairs and projections} \\ \mid \text{inl } t \mid \text{inr } t & \text{injections} \\ \mid \text{case } r \text{ of inl } x \Rightarrow s \mid \text{inr } y \Rightarrow t & \text{case distinction} \\ \mid \langle \rangle & \text{empty tuple} \\ \mid \text{abort } r & \text{exception} \end{array}
```

• Free variables:

$$FV(x) = \{x\}$$

$$FV(\lambda x.t) = FV(t) \setminus \{x\}$$

$$FV(rs) = FV(r) \cup FV(s)$$
...

• Exercise: Complete the definition of FV!

## Substitution and renaming

• t[s/x] substitutes s for x in t:

$$\begin{array}{lll} x[s/x] & = & s \\ y[s/x] & = & y & \text{if } x \neq y \\ (t\,t')[s/x] & = & (t[s/x])\,(t[s/x]') \\ (\lambda x.t)[s/x] & = & \lambda x.t \\ (\lambda y.t)[s/x] & = & \lambda y.t[s/x] & \text{if } x \neq y \text{ and } y \not\in \mathsf{FV}(s) \\ (\lambda y.t)[s/x] & = & \lambda y'.t[y'/y][s/x] & \text{if } x \neq y \text{ and } y' \not\in \mathsf{FV}(x,y,s,t) \\ \dots \end{array}$$

• Bound variables can be renamed ( $\alpha$ -equivalence).

$$\lambda x.t =_{\alpha} \lambda x'.t[x'/x]$$
 if  $x' \notin FV(t)$ 

## Simple types

- Types rule out meaningless/stuck terms like fst  $(\lambda x.x)$  and  $(\lambda y. \text{ fst } y) (\lambda x.x)$ .
- Simple types:

• Context  $\Gamma$  be a finite map from variables x to types T.

# Type assignment

- Judgement  $\Gamma \vdash t : T$  "in context  $\Gamma$ , term t has type T".
- Rules for functions:

$$\frac{\Gamma(x) = T}{\Gamma \vdash x : T}$$

$$\frac{\Gamma, x : S \vdash t : T}{\Gamma \vdash \lambda x . t : S \to T} \qquad \frac{\Gamma \vdash r : S \to T \qquad \Gamma \vdash s : S}{\Gamma \vdash r s : T}$$

Rules for pairs:

$$\frac{\Gamma \vdash s : S \qquad \Gamma \vdash t : T}{\Gamma \vdash \langle s, t \rangle : S \times T} \qquad \frac{\Gamma \vdash r : S \times T}{\Gamma \vdash \mathsf{fst} \, r : S} \qquad \frac{\Gamma \vdash r : S \times T}{\Gamma \vdash \mathsf{snd} \, r : T}$$

# Type assignment (ctd.)

• Rules for variants:

$$\frac{\Gamma \vdash s : S}{\Gamma \vdash \text{inl } s : S + T} \qquad \frac{\Gamma \vdash t : T}{\Gamma \vdash \text{inr } t : S + T}$$

$$\frac{\Gamma \vdash r : S + T}{\Gamma \vdash \text{case } r \text{ of inl } x \Rightarrow s \mid \text{inr } y \Rightarrow t : U}$$

Rules for unit and empty type:

$$\frac{\Gamma \vdash r : 0}{\Gamma \vdash \langle \rangle : 1} \qquad \frac{\Gamma \vdash r : 0}{\Gamma \vdash \mathsf{abort} \ r : \mathit{U}}$$

# Properties of typing

- Scoping: If  $\Gamma \vdash t : T$ , then  $FV(t) \subseteq dom(\Gamma)$ .
- Inversion:
  - If  $\Gamma \vdash \lambda x.t : U$  then  $U = S \rightarrow T$  for some types S, T and  $\Gamma, x:S \vdash t : T$ .
  - If  $\Gamma \vdash rs : T$  then there exists some type S such that  $\Gamma \vdash r : S \to T$  and  $\Gamma \vdash s : S$ .
  - Exercise: complete this list!
  - Exercise: prove impossibility of  $\Gamma \vdash \lambda x.(xx) : T!$
- Substitution: If  $\Gamma, x:S \vdash t:T$  and  $\Gamma \vdash s:S$  then  $\Gamma \vdash t[s/x]:T$ .

### Computation

 Values of programs are computed by iterated application of these reductions:

$$(\lambda x.t)s \qquad \longrightarrow \quad t[s/x]$$

$$\operatorname{fst} \langle s, t \rangle \qquad \longrightarrow \quad s$$

$$\operatorname{snd} \langle s, t \rangle \qquad \longrightarrow \quad t$$

$$\operatorname{case} (\operatorname{inl} r) \text{ of } \operatorname{inl} x \Rightarrow s \mid \operatorname{inr} y \Rightarrow t \qquad \longrightarrow \quad s[r/x]$$

$$\operatorname{case} (\operatorname{inr} r) \text{ of } \operatorname{inl} x \Rightarrow s \mid \operatorname{inr} y \Rightarrow t \qquad \longrightarrow \quad t[r/y]$$

- Reductions can be applied deep inside a term.
- Type preservation under reduction ("subject reduction"):

If  $\Gamma \vdash t : T$  and  $t \longrightarrow t'$  then  $\Gamma \vdash t' : T$ .

# Computation example

$$\begin{array}{l} (\lambda p. \operatorname{fst} p) \left( \operatorname{case inl} \left\langle \right\rangle \operatorname{of inl} x \Rightarrow \left\langle x, \, x \right\rangle \mid \operatorname{inr} y \Rightarrow y \right) \\ \longrightarrow \left( \lambda p. \operatorname{fst} p \right) \left( \left\langle x, \, x \right\rangle \left[ \left\langle \right\rangle / x \right] \right) \\ = \left( \lambda p. \operatorname{fst} p \right) \left\langle \left\langle \right\rangle, \, \left\langle \right\rangle \right\rangle \\ \longrightarrow \left( \operatorname{fst} \left\langle \left\langle \right\rangle, \, \left\langle \right\rangle \right\rangle \\ \longrightarrow \left\langle \right\rangle \end{array}$$

#### Normal forms

- A term which does not reduce is in normal form.
- Grammar that rules out redexes and meaningless terms:

```
\begin{array}{lll} \mathsf{Nf} \ni v,w ::= u \mid \lambda x.v \mid \langle \rangle \mid \langle v,w \rangle \mid \mathsf{inl} \; v \mid \mathsf{inr} \; v \; \mathsf{normal} \; \mathsf{form} \\ \mathsf{Ne} \ni u & ::= x \mid u \; v \mid \mathsf{fst} \; u \mid \mathsf{snd} \; u \mid \mathsf{abort} \; u & \mathsf{neutral} \; \mathsf{normal} \; \mathsf{form} \\ \mid \mathsf{case} \; u \; \mathsf{of} \; \mathsf{inl} \; x \Rightarrow v \mid \mathsf{inr} \; y \Rightarrow w \end{array}
```

- Progress: If  $\Gamma \vdash t : T$  then either  $t \longrightarrow t'$  or  $t \in Nf$ .
- Type soundness:

```
If \Gamma \vdash t : T then either t reduces infinitely or there is some v \in \mathbb{N}f such that t \longrightarrow^* v and \Gamma \vdash v : T.
```

#### Normalization

- Our calculus has no recursion and is terminating.
- Weak normalization:

If 
$$\Gamma \vdash t : T$$
 then there is some  $v \in \mathsf{Nf}$  such that  $t \longrightarrow^* v$ .

Strong normalization:

```
If \Gamma \vdash t : T then any reduction sequence t \longrightarrow t_1 \longrightarrow t_2 \longrightarrow \dots starting with t is finite.
```

Proof of normalization is non-trivial!

# The Curry-Howard Isomorphism

- H. Curry & W. A. Howard and N. de Bruijn
- Propositional formulæ correspond to simple types.

Proposition	Туре
$A \Rightarrow B$	$S \rightarrow T$
$A \wedge B$	$S \times T$
$A \vee B$	S+T
T	1
$\perp$	0

## The Curry-Howard Isomorphism (ctd.)

• Inference rules correspond to terms.

Derivation	Term
$\Rightarrow$ l $_{\scriptscriptstyle X}(\mathcal{D})$	$\lambda$ x. $t$
${\Rightarrow} E(\mathcal{D}_1,\mathcal{D}_2)$	$t_1 \ t_2$
$\wedge I(\mathcal{D}_1,\mathcal{D}_2)$	$\langle t_1,\ t_2  angle$
$\wedge E_1(\mathcal{D})$	fst t
$\wedge E_2(\mathcal{D})$	snd t
$ee$ l $_1(\mathcal{D})$	inl t
$\forall I_2(\mathcal{D})$	inr t
$\forall E_{x,y}(\mathcal{D}_1,\mathcal{D}_2,\mathcal{D}_3)$	case $t_1$ of inl $x \Rightarrow t_2 \mid \text{inr } y \Rightarrow t_3$
TI	$\langle \rangle$
$\perp$ E $(\mathcal{D})$	abort t

• Proof reduction corresponds to computation.

#### Proof terms

- Judgement  $\Gamma \vdash M : A$  "in context  $\Gamma$ , term M proves A".
- Rules for hypotheses and implication:

$$\frac{\Gamma(x) = A}{\Gamma \vdash x : A} \text{ hyp}$$

$$\frac{\Gamma, x : A \vdash M : B}{\Gamma \vdash \lambda x . M : A \Rightarrow B} \Rightarrow I \qquad \frac{\Gamma \vdash M : A \Rightarrow B \qquad \Gamma \vdash N : A}{\Gamma \vdash M N : B} \Rightarrow E$$

Rules for conjuction:

$$\frac{\Gamma \vdash M : A \quad \Gamma \vdash N : B}{\Gamma \vdash \langle M, N \rangle : A \land B} \land \mathsf{I} \quad \frac{\Gamma \vdash M : A \land B}{\Gamma \vdash \mathsf{fst} \, M : A} \land \mathsf{E}_1 \quad \frac{\Gamma \vdash M : A \land B}{\Gamma \vdash \mathsf{snd} \, M : B} \land \mathsf{E}_2$$

# Proof terms (ctd.)

Rules for disjunction:

$$\frac{\Gamma \vdash M : A}{\Gamma \vdash \mathsf{inl}\,M : A \lor B} \lor \mathsf{I}_1 \qquad \frac{\Gamma \vdash M : B}{\Gamma \vdash \mathsf{inr}\,M : A \lor B} \lor \mathsf{I}_2$$

$$\frac{\Gamma \vdash M : A \lor B \qquad \Gamma, x : A \vdash N : C \qquad \Gamma, y : B \vdash O : C}{\Gamma \vdash \mathsf{case}\,M \;\mathsf{of}\;\mathsf{inl}\,x \Rightarrow N \;\mathsf{i}\;\mathsf{inr}\,y \Rightarrow O : C} \;\lor \mathsf{E}$$

Rules for truth and absurdity:

$$\frac{\Gamma \vdash \langle \rangle : \top}{\Gamma \vdash \langle \rangle : \top} \; \top \mathsf{I} \qquad \frac{\Gamma \vdash M : \bot}{\Gamma \vdash \mathsf{abort} \; M : C} \; \bot \mathsf{E}$$

## Normalization implies consistency

Theorem (Consistency of propositional logic)

There is no derivation of  $\vdash \bot$  true.

#### Beweis.

Suppose  $\mathcal{D} :: \vdash \bot true$ . By Curry-Howard, there exists a closed term  $\vdash t : 0$  of the empty type. By Normalization, there exists a closed normal form  $v \in \mathbb{N} f$  of the empty type  $\vdash v : 0$ . By Inversion, this can only be a neutral term  $v \in \mathbb{N} e$ . Every neutral term has at least one free variable. This is a contradiction to the closedness of v.

### Normalization implies the disjunction property

#### Theorem (Disjunction property)

If  $\vdash A \lor B$  true then  $\vdash A$  true or  $\vdash B$  true.

#### Beweis.

Again, by Curry-Howard, Normalization, and Inversion.

#### Conclusion

- The Curry-Howard Isomorphism unifies programming and proving into one language ( $\lambda$ -calculus).
- Inspired Martin-Löf Type Theory and its implementations, e.g. Coq and Agda.
- Provides cross-fertilization between Logic and Programming Language Theory.

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