# Type Theory

#### Lecture 2: Dependent Types

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# Typed Predicate Logic

- Propositional logic: only atomic statements like Socrates is a human.
- Predicate logic gives a finer structure by decomposing this into a predicate is a human applied to an individual Socrates
- and can express universal statements such as all humans are mortal.
- Untyped vs. typed predicate logic:

```
untyped: \forall x. \mathsf{Human}(x) \Rightarrow \mathsf{Mortal}(x)
```

typed:  $\forall x$ :Human. Mortal Human x

- Untyped = unityped: a single type for all individuals/objects.
- Typed: objects are a priori sorted into different types.

# Typed Predicate Logic (ctd.)

- Mortal Human is a predicate that only applies to objects of type Human.
- $\forall y$ :Dog. Mortal Human y is an *ill-formed* proposition.
- What is a type, what a predicate is up to design.

$$\forall z$$
:LifeForm. Human LifeForm  $z \Rightarrow Mortal$  LifeForm  $z$ 

• Spoiler: Type theory will give us means to turn predicate into types:

HumanLifeForm =  $\Sigma z$ :LifeForm, Human LifeForm z

## Formulæ of typed predicate logic

We extend the grammar for propositions:

```
A,B,C ::= A \Rightarrow B \mid A \land B \mid A \lor B \mid \top \mid \bot propositional connectives \mid P^T t \mid \exists x:T.A universal quantification existential quantification
```

- Typing context  $\Delta$  maps variables x to types T.
- Judgement  $\Delta \vdash A$  prop characterizes well-formed propositions.

#### Formation rules

Atoms:

$$\frac{\Delta \vdash t : T}{\Delta \vdash P^T t \ \textit{prop}} \ \text{atomF}$$

Quantifiers:

$$\frac{\Delta, x : T \vdash A \ prop}{\Delta \vdash \forall x : T . \ A \ prop} \ \forall \mathsf{F} \qquad \frac{\Delta, x : T \vdash A \ prop}{\Delta \vdash \exists x : T . \ A \ prop} \ \forall \mathsf{F}$$

Propositional connectives:

$$\frac{\Delta \vdash A \ prop}{\Delta \vdash A \star B \ prop} \star F \ (\star \in \{\Rightarrow, \land, \lor\})$$

$$\frac{\Delta \vdash A \star B \ prop}{\Delta \vdash \top \ prop} \top F \qquad \frac{}{\Delta \vdash \bot \ prop} \bot F$$

# Examples

- Well-formed formulæ:
  - $\forall x : \mathbb{N}. \ \forall y : \mathbb{N}. \ (\leq^{\mathbb{N} \times \mathbb{N}} \langle x, y \rangle) \lor (\leq^{\mathbb{N} \times \mathbb{N}} \langle y, x \rangle)$
  - $\forall f : \mathsf{Bool} \to \mathsf{Bool}$ .  $\forall x : \mathsf{Bool}$ .  $\equiv^{\mathsf{Bool} \times \mathsf{Bool}} \langle f(f(fx)), fx \rangle$
- Ill-formed formulæ:
  - $\not\vdash \forall f: \mathbb{N} \to \mathbb{N}$ .  $\exists r: \mathbb{R}$ . Even f(f) prop
  - $\not\vdash \exists x : \mathbb{N}. \leq^{\mathbb{N} \times \mathbb{N}} \langle x, y \rangle$  prop

#### Proof rules

- Judgement  $\Gamma \vdash_{\Delta} A \ true$ . (Both  $\Gamma$  and A are scoped in  $\Delta$ .)
- Universal quantification:

$$\frac{\Gamma \vdash_{\Delta,x:T} A \ true}{\Gamma \vdash_{\Delta} \forall x:T. \ A \ true} \ \forall \mathsf{I} \qquad \frac{\Gamma \vdash_{\Delta} \forall x:T. \ A \ true}{\Gamma \vdash_{\Delta} A[t/x] \ true} \ \forall \mathsf{E}$$

Existential quantification:

$$\frac{\Delta \vdash t : T \qquad \Gamma \vdash_{\Delta} A[t/x] \ true}{\Gamma \vdash_{\Delta} \exists x : T. \ A \ true} \exists I$$

$$\frac{\Gamma \vdash_{\Delta} \exists x : T. \ A \ true}{\Gamma \vdash_{\Delta} C \ true} \exists E$$

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# Proof examples

• Use of ∃I:

$$\frac{\vdash 0 : \mathbb{N} \qquad \vdash \mathsf{Even}^{\mathbb{N}} 0 \ true}{\vdash \exists x : \mathbb{N}. \ \mathsf{Even}^{\mathbb{N}} x} \ \exists \mathsf{I}$$

- $\Gamma_1 := (\exists x : S. \top true, \forall y : S. A true)$ . Prove  $\Gamma_1 \vdash \exists z : S. A true!$
- Exercise: Show A true,  $\exists x:T.\ B$  true  $\vdash \exists x:T.\ A \land B$  true!
- Exercise: Show  $\forall x: T. A \Rightarrow B \text{ true}, A \text{ true} \vdash \forall x: T. B \text{ true}!$  (Here, A does not depend on x.)

#### Proof terms

- Judgement  $\Gamma \vdash_{\Lambda} M : A$ . (All of  $\Gamma$ , M, and A are scoped in  $\Delta$ .)
- Universal quantification:

$$\frac{\Gamma \vdash_{\Delta,x:T} M:A}{\Gamma \vdash_{\Delta} \lambda x.M: \forall x:T.\; A} \; \forall \mathsf{I} \qquad \frac{\Gamma \vdash_{\Delta} M: \forall x:T.\; A \quad \Delta \vdash t:T}{\Gamma \vdash_{\Delta} M\; t: A[t/x]} \; \forall \mathsf{E}$$

Existential quantification:

$$\frac{\Delta \, \vdash t : T \quad \Gamma \, \vdash_{\Delta} M : A[t/x]}{\Gamma \, \vdash_{\Delta} \langle t, \, M \rangle : \exists x : T. \, A} \, \exists \mathsf{I}$$

$$\frac{\Gamma \vdash_{\Delta} M : \exists x : T. \ A \qquad \Gamma, y : A \vdash_{\Delta, x : T} N : C}{\Gamma \vdash_{\Delta} \text{let } \langle x, \ y \rangle = M \text{ in } N : C} \exists \mathsf{E}$$

New reduction:

let 
$$\langle x, y \rangle = \langle t, M \rangle$$
 in  $N \longrightarrow_{\beta} N[t/x, M/y]$ 

## Strong existentials

Proof terms would allow us to extract the witness!

$$\frac{\Gamma \vdash_{\Delta} M : \exists x : T. \ A}{\Delta \vdash_{} \mathsf{fst} \ M : \ T} \ \exists \mathsf{E}_{1} \qquad \frac{\Gamma \vdash_{\Delta} M : \exists x : T. \ A}{\Gamma \vdash_{\Delta} \mathsf{snd} \ M : A[\mathsf{fst} \ M/x]} \ \exists \mathsf{E}_{2}$$

- However, this would make proving and programming (typing) interdependent.
- Why not? ;-)

## Dependent Type Theory

- Interpret propositions as "sets" of their proofs.
- Rather:

```
proposition = type
proof of proposition = inhabitant of type
```

- Abolish "set" as a primitive notion.
- Instead: types and predicates. Example:
  - ullet set of natural numbers o type of natural numbers  $\mathbb N$
  - ullet set of primes o predicate Prime on  $\mathbb N$
- Unify types T and propositions A.
- Unify programs/objects t and proof terms M.

## Expressions of Dependent Type Theory

- We no longer distinguish between terms and types a priori.
- There is a single grammar of expressions.

Expressions are sorted into terms and types by judgements:

```
\Gamma \vdash A \ type in context \Gamma, expression A is a well-formed type \Gamma \vdash M : A in context \Gamma, expression M has type A
```

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# Dependent function type

Formation.

$$\frac{\Gamma \vdash A \ type \qquad \Gamma, x : A \vdash B \ type}{\Gamma \vdash (x : A) \rightarrow B \ type} \ \sqcap F$$

Introduction.

$$\frac{\Gamma, x: A \vdash M: B}{\Gamma \vdash \lambda x. M: (x:A) \to B} \ \Pi I$$

Elimination.

$$\frac{\Gamma \vdash M : (x : A) \to B \qquad \Gamma \vdash N : A}{\Gamma \vdash M N : B[N/x]} \sqcap E$$

# Dependent function type: examples

- $(x : \mathbb{N}) \to \mathbb{N}$ : non-dependent function type  $\mathbb{N} \to \mathbb{N}$
- $(x : \mathbb{N}) \to \text{Vec } A n$ : properly dependent function type
- $(p:(x \ge 2)) \to x^2 > x$ : implication  $x \ge 2 \Rightarrow x^2 > x$
- $(x : \mathbb{N}) \to x \ge 0$ : universal quantification  $\forall x : \mathbb{N}. x \ge 0$ .
- $(p:(x>0)) \to \mathbb{N}$ : conditional value.

$$\mathsf{div}: (\mathsf{x}:\mathbb{N}) \to (\mathsf{y}:\mathbb{N}) \to (\mathsf{p}:(\mathsf{y}>\mathsf{0})) \to \mathbb{N}$$

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## Function type interpretations

• Non-dependent function type  $A \rightarrow B$ .

	В ргор	B type
A prop	implication $A \Rightarrow B$	conditional value
A type	void universal quant. $\forall$ _:A. B	function

• Dependent-function type  $(x : A) \rightarrow B$ .

	B prop	B type
A prop	proof-relevant implication	proof-rel. cond. value
A type	universal quant. $\forall x:A.B$	dependent function

# The Logical Framework

- The Logical Framework (LF) is a minimal dependently typed lambda calculus.
- It is used to represent programming languages and logics.
- A mature implementation is Twelf (Pfenning, Schürmann).

## The Logical Framework: representing trees

- In LF, abstract syntax (e.g., unary numbers) can be represented by
  - adding new type constant(s), e.g.,

adding new term constants targeting the new type constant(s), e.g.,

zero : 
$$\mathbb{N}$$

• Grammar of first order terms  $f(\vec{t})$ : Three new types Symbol, Tm, ArgList, and constructors

$$\mathsf{app} \quad : \quad \mathsf{Symbol} \to \mathsf{ArgList} \to \mathsf{Tm}$$

cons : 
$$Tm \rightarrow ArgList \rightarrow ArgList$$

#### The Logical Framework: representing binders

- Trees with binders are represented by LF binders (HOAS).
- E. g., first-order logical formulæ: New type Form type, plus

• Given a symbol f: Symbol, we represent the formula  $\exists x. x \equiv f(x)$  by the expression:

Exists 
$$\lambda x$$
. Equal  $x$  (app  $f$  (cons  $x$  nil))

• So far, we have not used dependent types.

# The Logical Framework: representing predicates

- Besides trees, a specification language need predicates/relations.
- How to represent, e.g., the predicate Even on N?
- Even n should be a type that is inhabited iff n is even.
- Even is a function from N to types.
- With a constant type, a type of types, we can write

```
Even : \mathbb{N} \to \mathsf{type}
ezero : Even zero
```

esuc :  $(x : \mathbb{N}) \to \text{Even } x \to \text{Even (suc (suc } x))$ 

• What should be the rules for type?

## Is type a type?

- We drop judgement *A type* in favor of *A* : type.
- Tentative rules for type:

$$\Gamma \vdash \mathsf{type} : \mathsf{type}$$

- This rule is inconsistent! Girard's paradox [2].
- We introduce a universe kind inhabited by type.

$$\frac{\Gamma \vdash A : \mathsf{type} \quad \Gamma, x : A \vdash B : \mathsf{kind}}{\Gamma \vdash \mathsf{type} : \mathsf{kind}} \; \mathsf{TF'}$$

- ullet The second rule allows us to form types of predicates like  $\mathbb{N} o \mathsf{type}.$
- "Predicate" is an interpretation, the technical term is type family.

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#### LF example: representing derivations

- LF allows us to represent judgements as types.
- Provability of a FO formula can be stated with type family

$$\mathsf{Prf} : \mathsf{Form} \to \mathsf{type}$$

Prf A is the type of proofs of formula A.

Proof rules for conjunction:

```
prfAndl : (a : Form) \rightarrow (b : Form) \rightarrow Prf a \rightarrow Prf b \rightarrow Prf (And a b)
prfAndEL : (a : Form) \rightarrow (b : Form) \rightarrow Prf (And a b) \rightarrow Prf a
prfAndER: (a : Form) \rightarrow (b : Form) \rightarrow Prf (And a b) \rightarrow Prf b
```

Andreas Abel (GU)

#### LF example: representing derivations

• Proof rules for implication:

prfImpl : 
$$(a \ b : \mathsf{Form}) \to (\mathsf{Prf} \ a \to \mathsf{Prf} \ b) \to \mathit{Prf} \ (\mathsf{Imp} \ a \ b)$$
  
prfImpE :  $(a \ b : \mathsf{Form}) \to \mathsf{Prf} \ (\mathsf{Imp} \ a \ b) \to \mathsf{Prf} \ a \to \mathsf{Prf} \ b$ 

Proof rules for universal quantification:

```
\mathsf{prfAllI} : (p : \mathsf{Tm} \to \mathsf{Form}) \to ((x : \mathsf{Tm}) \to \mathsf{Prf}(px)) \to \mathsf{Prf}(\mathsf{Forall}\,p)
\mathsf{prfAllE}: (p:\mathsf{Tm}\to\mathsf{Form})\to\mathsf{Prf}(\mathsf{Forall}\,p)\to(t:\mathsf{Tm})\to\mathsf{Prf}(p\,t)
```

# The need for type conversion

$$\mathsf{prfAllE} \; : \; (p : \mathsf{Tm} \to \mathsf{Form}) \to \mathsf{Prf} \, (\mathsf{Forall} \, p) \to (t : \mathsf{Tm}) \to \mathsf{Prf} \, (p \, t)$$

We would expect

$$prfAllE(\lambda x. Equal x x) q t : Prf(Equal t t).$$

By ∏E, we only get

$$prfAllE(\lambda x. Equal x x) q t : Prf((\lambda x. Equal x x) t)$$

• The types are  $\beta$ -convertible.



# Completing LF's rules

- Grammar for sorts s ::= type | kind
- This allows us to unify the ∏-formation rules:

$$\frac{\Gamma \vdash A : \mathsf{type} \qquad \Gamma, x : A \vdash B : s}{\Gamma \vdash (x : A) \to B : s} \; \mathsf{\PiF}$$

• We close with the infamous type conversion rule:

$$\frac{\Gamma \vdash M : A \qquad \Gamma \vdash A = B : s}{\Gamma \vdash M : B} \text{ conv}$$

• For now, judgement  $\Gamma \vdash A = B : s$  is defined as

$$\frac{\Gamma \vdash A : s \qquad \Gamma \vdash B : s \qquad A =_{\beta \eta} B}{\Gamma \vdash A = B : s}$$

with  $=_{\beta\eta}$  the least congruence over  $\beta$ -reduction and  $\eta$ -expansion.

# Summary: LF rules

$$(x:A) \in \Gamma$$
 $\Gamma \vdash x:A$  hyp  $\Gamma \vdash \text{type} : \text{kind}$  typeF

$$\frac{\Gamma \vdash A : \mathsf{type} \qquad \Gamma, x : A \vdash B : s}{\Gamma \vdash (x : A) \to B : s} \; \mathsf{\PiF}$$

$$\frac{\Gamma, x: A \vdash M: B}{\Gamma \vdash \lambda x. M: (x:A) \to B} \ \Pi I \qquad \frac{\Gamma \vdash M: (x:A) \to B \qquad \Gamma \vdash N: A}{\Gamma \vdash M N: B[N/x]} \ \Pi E$$

$$\frac{\Gamma \vdash M : A \qquad \Gamma \vdash A = B : s}{\Gamma \vdash M : B} \text{ conv}$$

#### LF: discussion

- LF allows HOAS (Higher Order Abstract Syntax) encodings of programming languages and logics with binders.
- Adequacy of encodings relies on the parametricity of  $\lambda$ -abstraction.
- In particular, no case distinction. The term

Forall 
$$(\lambda x. \text{ if } x \text{ then } A \wedge B \text{ else } A \vee B)$$

does not correspond to a formula of predicate logic.

- LF admits type erasure: Each well-typed term also has a simple type.
- Normalization can be proven by erasure to simply-typed  $\lambda$ -calculus.

$$\begin{bmatrix} (x:A) \to B \end{bmatrix} = \begin{bmatrix} A \end{bmatrix} \to \begin{bmatrix} B \end{bmatrix} \\
\begin{bmatrix} c M_1 \dots M_n \end{bmatrix} = c$$

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