





Shadow Algorithms for Real-time Rendering

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Introduction

Elmar Eisemann

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Basic Shadow Techniques

Shadow Maps and Shadow Volumes

Ulf Assarsson

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Ways of thinking about shadows

- As volumes of space that are dark
 - Shadow Volumes [Franklin Crow 77]
- As places not seen by a light source looking at the scene
 - Shadow Maps [Lance Williams 78]

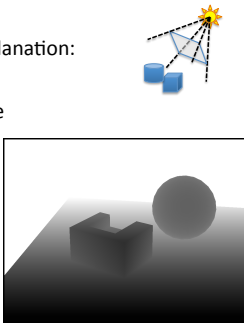
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Shadow Maps

Basic Algorithm – the simple explanation:

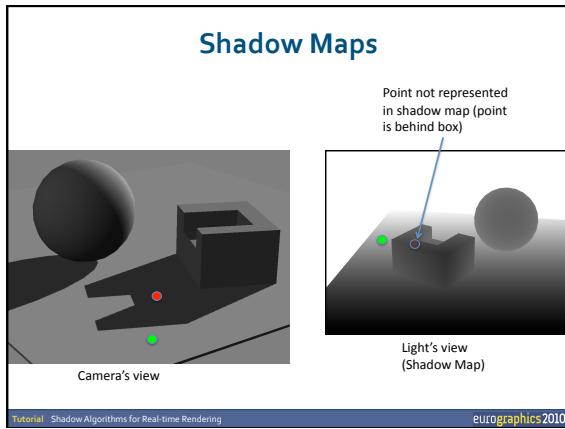
Idea:

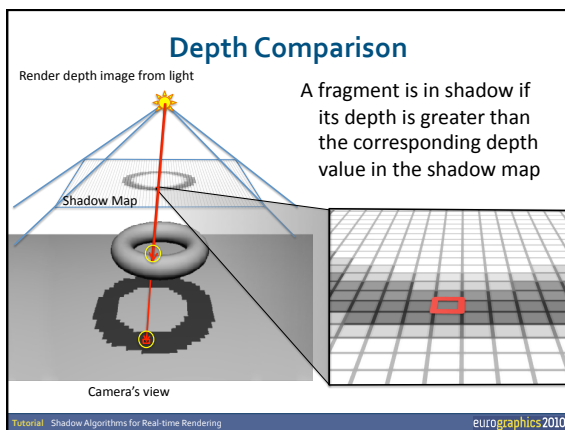
- Render image from light source
 - Represents geometry in light
- Render from camera
 - Test if rendered point is visible in the light's view
 - If so -> point in light
 - Else -> point in shadow

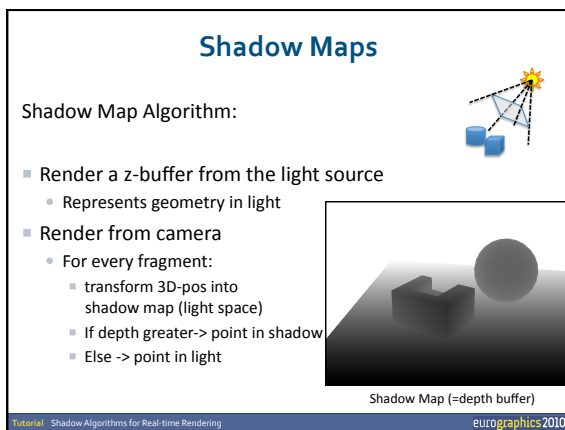


Shadow Map (light's view)

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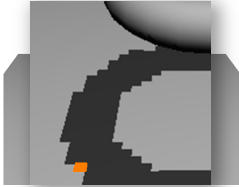
Shadow Maps

- Pros
 - Very efficient: "This is as fast as it gets"
- Cons...

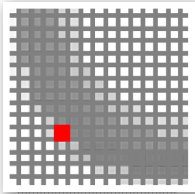
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Shadow Maps - Problems

- Low Shadow Map resolution results in jagged shadows



from viewpoint



from light

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Shadow Maps - Problems

In addition:

- A tolerance threshold (bias) needs to be tuned for each scene for the depth comparison

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Bias

- Need a tolerance threshold (depth bias) when comparing depths to avoid surface self shadowing

The diagram illustrates the concept of depth bias in shadow mapping. A light source (yellow star) projects a shadow map (a horizontal line with tick marks). A view frustum is shown intersecting a surface. A 'Shadow map sample' is taken from the shadow map, and a 'View sample' is taken from the surface. A 'bias' is indicated as a small offset between the shadow map sample and the view sample to prevent self-shadowing artifacts.

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Bias

- Need a tolerance threshold (depth bias) when comparing depths to avoid surface self shadowing

The diagram is identical to the one above, but includes a 3D rendering of a scene with a sphere and a cube. The text 'z-fighting' is written at the bottom right of the rendering, indicating the artifact that occurs when two surfaces are very close to each other.

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Bias

- Need a tolerance threshold (depth bias) when comparing depths to avoid surface self shadowing

The diagram is identical to the one above, but includes a 3D rendering of a scene with a sphere and a cube. The text 'light leaking at contact shadows' is written at the bottom right of the rendering, indicating the artifact that occurs when light passes through the contact point of two surfaces.

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Ameliorating the Bias

- Midpoint Shadow Maps [Woo 92]

Further methods:

- Second Depth Shadow Mapping [Wang and Molnar94]
- Dual Depth Layer [Weiskopf and Ertl 04]

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Ameliorating the Bias

Shadow Maps

Shadow Mapping [Wang and Weiskopf and Ertl 04]

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Shadow Maps

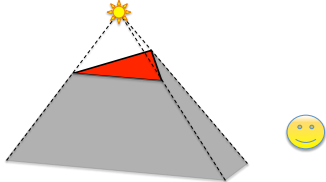
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Shadow Volumes

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Shadow Volumes

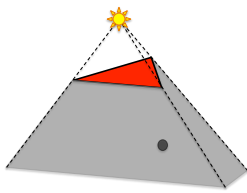
- Concept
 - Create volumes of "space in shadow" from each triangle
 - Each triangle creates 3 quads that extends to infinity



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Shadow Volumes

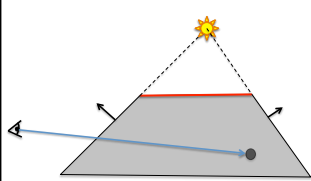
- To test a point, count how many shadow volumes it is located within. More than one means point is in shadow



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Shadow Volumes

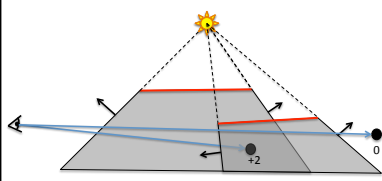
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Shadow Volumes

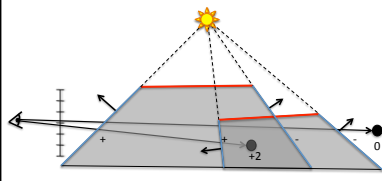
- To test a point, count how many shadow volumes it is located within. More than one means point is in shadow



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Shadow Volumes - concept

- A counter per pixel
- If we go through more frontfacing than backfacing polygons, then the point is in shadow



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Shadow Volumes - concept

- Perform counting with the stencil buffer
 - Render front facing shadow quads to the stencil buffer
 - Inc stencil value, since those represents entering shadow volume
 - Render back facing shadow quads to the stencil buffer
 - Dec stencil value, since those represents exiting shadow volume

The diagram shows a light source (sun) casting a shadow volume onto a ground plane. A blue cube is positioned on the ground. A stencil buffer is shown with a value of 0. The shadow volume is defined by a red line on the ground plane. The light source is at the top, and dashed lines represent the rays of light. The shadow volume is a frustum-like shape extending from the light source to the ground plane. The ground plane is divided into a shaded area (shadow) and an unshaded area. The blue cube is partially in shadow. The stencil buffer value is 0, indicating that the shadow volume has not yet been rendered.

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Shadow Volumes - concept

- Perform counting with the stencil buffer
 - Render front facing shadow quads to the stencil buffer
 - Inc stencil value, since those represents entering shadow volume
 - Render back facing shadow quads to the stencil buffer
 - Dec stencil value, since those represents exiting shadow volume

• No updating of z-buffer

The diagram is similar to the first one, but the stencil buffer value is now +1. This indicates that the front-facing shadow quad has been rendered and the stencil value has been incremented. The blue cube is now fully in shadow. The ground plane is shaded. The light source is at the top, and dashed lines represent the rays of light. The shadow volume is a frustum-like shape extending from the light source to the ground plane. The ground plane is divided into a shaded area (shadow) and an unshaded area. The blue cube is partially in shadow. The stencil buffer value is +1, indicating that the shadow volume has been rendered.

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Shadow Volumes - concept

- Perform counting with the stencil buffer
 - Render front facing shadow quads to the stencil buffer
 - Inc stencil value, since those represents entering shadow volume
 - Render back facing shadow quads to the stencil buffer
 - Dec stencil value, since those represents exiting shadow volume

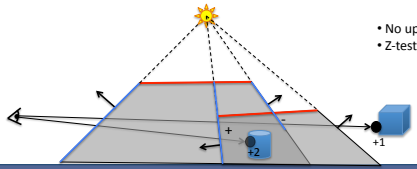
• No updating of z-buffer

The diagram is similar to the previous ones, but the stencil buffer value is now +2. This indicates that the back-facing shadow quad has been rendered and the stencil value has been decremented. The blue cube is now fully in shadow. The ground plane is shaded. The light source is at the top, and dashed lines represent the rays of light. The shadow volume is a frustum-like shape extending from the light source to the ground plane. The ground plane is divided into a shaded area (shadow) and an unshaded area. The blue cube is partially in shadow. The stencil buffer value is +2, indicating that the shadow volume has been rendered.

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Shadow Volumes - concept

- Perform counting with the stencil buffer
 - Render front facing shadow quads to the stencil buffer
 - Inc stencil value, since those represents entering shadow volume
 - Render back facing shadow quads to the stencil buffer
 - Dec stencil value, since those represents exiting shadow volume

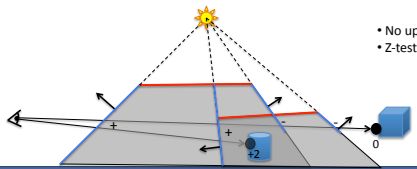


• No updating of z-buffer
• Z-test is enabled as usual

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Shadow Volumes - concept

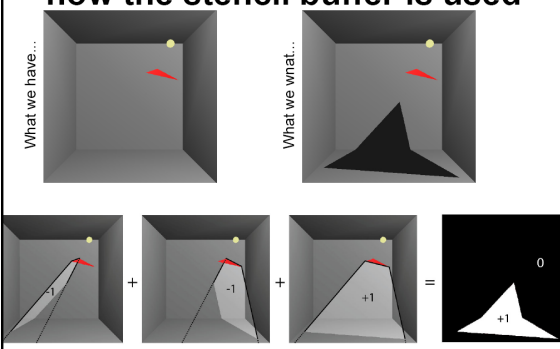
- Perform counting with the stencil buffer
 - Render front facing shadow quads to the stencil buffer
 - Inc stencil value, since those represents entering shadow volume
 - Render back facing shadow quads to the stencil buffer
 - Dec stencil value, since those represents exiting shadow volume



• No updating of z-buffer
• Z-test is enabled as usual

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Z-pass by example: how the stencil buffer is used



What we have... What we want...

Shadow Volumes with the Stencil Buffer

- A four pass process [Heidmann91]
 - **1st pass:** Render *ambient* lighting
 - Use z-compare and draw to stencil buffer only
 - Turn off updating of z-buffer and writing to color buffer
 - **2nd pass:**
 - Render *frontfacing* shadow volume quads: *incrementing* stencil buffer count
 - **3rd pass:**
 - Render *backfacing* shadow volume quads: *decrementing* stencil buffer count
 - **4th pass:** Render *diffuse and specular* where stencil buffer is 0.

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Eye Location Problem

- If the eye is located inside one or more shadow volumes, then the count will be wrong
- Solution:
 - Offset stencil buffer with the #shadow volumes that the eye is located within
 - Or modify the way we do the counting...

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The Z-fail Algorithm

- By [Carmack00] and [Bilodeau and Songy 99]
 - "Carmacks Reverse"
- Count to infinity instead of to the eye
 - We can choose any reference location for the counting
 - A point in light avoids any offset
 - Infinity is always in light – if we cap the shadow volumes at infinity

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Z-fail by example

Compared to Z-pass:
 Invert z-test
 Invert stencil inc/dec
 I.e., count to infinity instead of from eye.

Shadow Volumes from Silhouette Edges

Merging shadow volumes:

- An edge that is shared by two triangles facing the light creates two shadow quads that cancel each other out:

This interior edge makes two quads, which cancel out

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Shadow Volumes from Silhouette Edges

Merging shadow volumes:

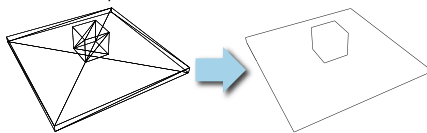
- An edge that is shared by two triangles facing the light creates two shadow quads that cancel each other out
- Thus, popular to create shadow volumes only from silhouette edges as seen from the light source
 - Avoids rendering of many useless shadow quads

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Shadow Volumes from Silhouette Edges

Merging shadow volumes:

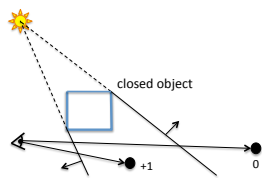
- An edge that is shared by two triangles facing the light creates two shadow quads that cancel each other out
- Thus, create shadow volumes only from silhouette edges as seen from the light source
 - Avoids rendering of many useless shadow quads
 - A real example:



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Shadow Volumes from Silhouette Edges

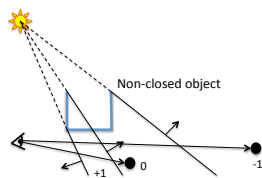
It is a misconception that objects needs to be closed



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Shadow Volumes from Silhouette Edges

It is a misconception that objects needs to be closed



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Shadow Volumes from Silhouette Edges

It is a misconception that objects needs to be closed
Fixed by [Bergeron 86]

Observation:

- Silhouette edges with two adjacent triangles should actually create shadow quads that inc/dec count by 2
- Open silhouette edges create shadow quads that inc/dec count by one

Works identically for Z-fail

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Shadow Volumes - Summary

- Pros:
 - High quality
- Cons:
 - OVERDRAW

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Culling and Clamping

- **Culling of Shadow Volumes** [Lloyd et al. 2004][Stich et al. 2007]
 - **Culling of Shadow Casters** if it is located totally within shadow
 - Tested against a shadow depth map

(a) caster culling

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Culling and Clamping

- **Clamping of Shadow Volumes** [Lloyd et al. 2004] [Eisemann and Decoret 2006]
 - Idea: Only render parts of shadow quads that affects a shadow receiver
 - Tested against AABB around shadow receivers

(b) clamping

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Culling and Clamping

- **Culling of Shadow Volumes** [Lloyd et al. 2004] [Eisemann and Decoret 2006]
 - **Receiver Culling**
 - Idea: Cull part of shadow volumes where shadow receivers are not visible from the eye

(c) receiver culling

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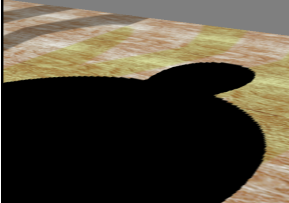

Culling and Clamping

Shadow volumes CC Shadow volumes

Illustrates reduced depth complexity when using Culling and Clamping

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Shadow Maps vs Shadow Volumes

	
Shadow Maps	Shadow Volumes
<ul style="list-style-type: none">• <i>Good:</i> Handles any rasterizable geometry, constant cost regardless of complexity, map can sometimes be reused.• <i>Bad:</i> Frustum limited. Jagged shadows if res too low, biasing headaches.	<ul style="list-style-type: none">• <i>Good:</i> shadows are sharp. Handles omni-directional lights.• <i>Bad:</i> 3 or 4 passes, shadow polygons must be generated and rendered → lots of polygons & fill,

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THE END

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Hard Shadows

What is Shadow Map Aliasing

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Shadow Map as Signal Reconstruction

- Initial sampling: shadow map rendering
- Reconstruction: nearest neighbor, PCF, ...
- Resampling: combined with reconstruction

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Shadow Map as Signal Reconstruction

- Shadow map samples only correct at center

not enough sampling information in shadow map
↓
wrong results

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Main Types of Error

Undersampling <ul style="list-style-type: none"> Too low initial sampling frequency 	Oversampling <ul style="list-style-type: none"> No bandlimiting 	Reconstruction error <ul style="list-style-type: none"> Staircase artifacts
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Perspective Aliasing

- Sufficient resolution far from the observer
- Insufficient resolution near the observer

okay aliased

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Projection Aliasing

- Shadow reconstruction error

okay aliased

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Initial Sampling Error Analysis

- Aliasing error:
 - Parameterization
 - Perspective
 - Projection

$$\frac{dp}{ds} = \frac{z_n dz \cos \alpha}{z ds \cos \beta}$$

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Hard Shadows

Fighting Undersampling - Fitting

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Fitting: Focus the Shadow Map

[Brabec et al. 2002]

- Only include relevant objects
 - Shadow casters
 - Light source frustum
 - View frustum
- Better use of shadow map resolution

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Fitting: Focus the Shadow Map

Point light Directional light

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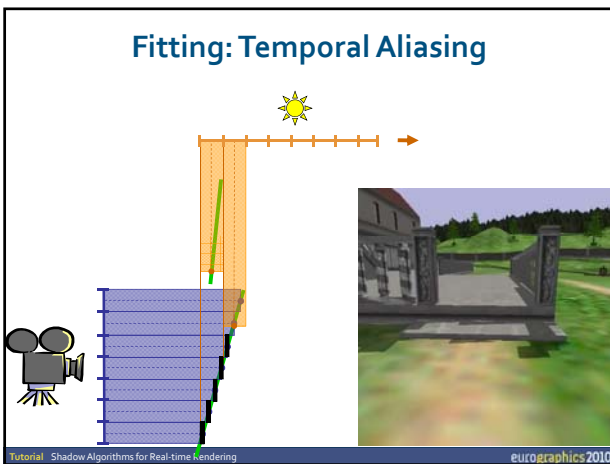
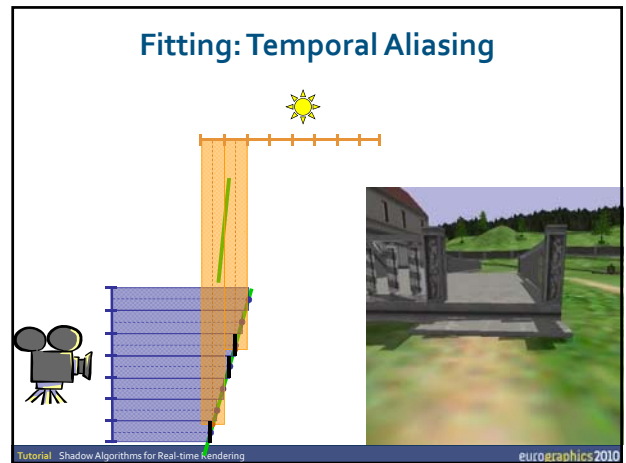
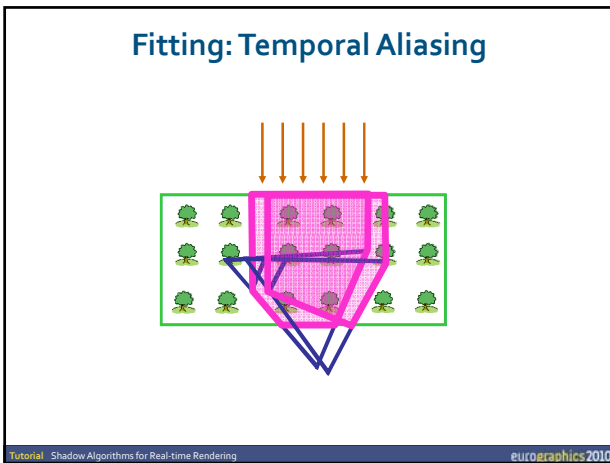
Fitting: Focus the Shadow Map

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Fitting: Focus the Shadow Map

Unfocused Focused

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Fitting vs Temporal Aliasing

- Commonly used
- Better use of shadow map resolution
- One cause for temporal aliasing
- Temporal aliasing noticeable because of insufficient resolution → warping

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Hard Shadows

Fighting Undersampling - Warping

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Solution for Perspective Aliasing

- **Insufficient** resolution near eye

okay aliased

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Solution for Perspective Aliasing

- **Insufficient** resolution near eye
- **Redistribute** values in shadow map

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Solution for Perspective Aliasing

- **Sufficient** resolution near eye
- **Redistribute** values in shadow map

still okay okay now

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Shadow Map Warping

- Use an **additional perspective frustum**

uniform shadow map warped shadow map

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Shadow Map Warping

- Perspective Shadow Maps (PSM) [Stamminger & Drettakis 2002]
 - Warp frustum = view frustum
- Light Space Perspective Shadow Maps (LiSPSM) [Wimmer et al. 2004]
 - Warp frustum view plane orthogonal to light view plane
 - Optimal parameter choice
- Trapezoidal Shadow Maps (TSM) [Martin & Tan 2004]
 - Similar to LiSPSM
 - Parameter choice based on heuristic

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Perspective Shadow Maps

[Stamminger & Drettakis 2002]

- Want to account for perspective warping of eye
- Shadow map in post-perspective eye space
- Reduce perspective aliasing

world space post-perspective space

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PSM Problems

- Shadows from behind

world space post-perspective space

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PSM Problems

- Shadows from behind
 - Require move back due to singularity
 - Changes resulting quality of shadow

world space post-perspective space

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Post Perspective Space - Depth

$\frac{2fn}{f+n}$

$-\infty$ 0 n f $+\infty$

$-\infty$ 1 0 1 $+\infty$

$\frac{f+n}{f-n}$

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Post Perspective Space - x or y

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Parallel Light Transformation

world space post-perspective

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Point Light Transformation

world space post-perspective

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PSM Problems

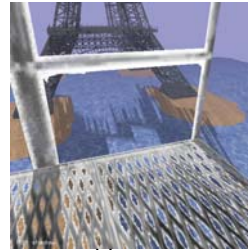
- Shadows from behind
 - Require move back due to singularity
 - Changes resulting quality of shadow
- Lights change their type (point/directional)
 - Many special cases
 - Non-intuitive post-perspective space
- View-dependent shadow quality

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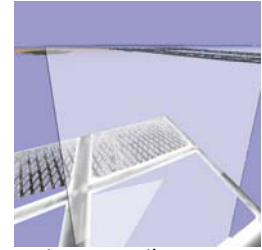
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PSM Problems

- Most severe: uneven z-distribution
 - Good near viewer, very bad far away!
 - Can be reduced by pushing near plane away



world space



post-perspective space

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Observation

- Want to redistribute samples in shadow map
- Perspective transform is a good option
 - Supported by hardware
- BUT: why choose perspective based on observer transform???

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Light Space Perspective Shadow Maps

- Use an **additional perspective frustum in light space**

uniform shadow map



LiSPSM shadow map



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Light Space



light space

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Advantages of LiSPSM

- No singularities in relevant part of the scene
 - ... by construction
- Directional lights remain directional lights
 - ... and direction remains the same
- Points lights are converted to directional lights
 - ... perspective point light transformation already done in light space
- Easy construction, general and robust
- Smooth quality changes
- Most important: **control over shadow quality**
 - ... by choice of free parameter

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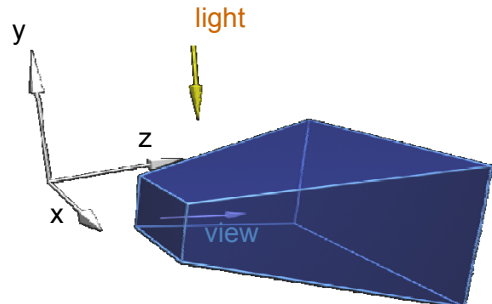
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LiSPSM Overview

- Focus shadow map
- Construct light space
- Create perspective transform
- Apply

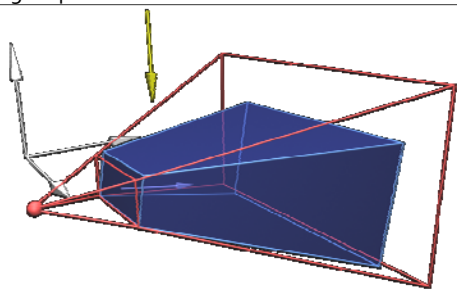
LiSPSM Fitting and Warp Construction

- Light and view vector define yz-plane

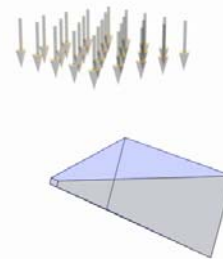


LiSPSM Fitting and Warp Construction

- Find a **tight perspective frustum** on focused region
 - In light space!

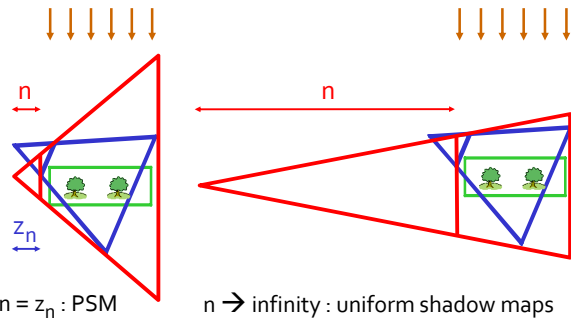


LiSPSM Construction

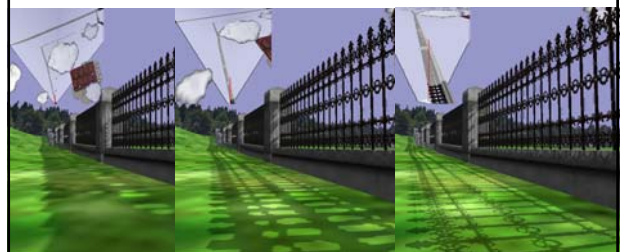


Free Parameter n

- Controls warping effect



Free Parameter n



very big n

very small n



How to Choose the Free Parameter n ?

- Recall error analysis
- $\frac{dp}{ds} > 1 \rightarrow$ shadow map undersampling
- **Projection aliasing** cannot be changed
- Counter **perspective aliasing** with new shadow map **parameterization** s
- Goal: $\frac{dp}{ds} \sim 1$

$$\frac{dp}{ds} = \left(\frac{z_n}{z} \right) \left(\frac{dz}{ds} \right) \left(\frac{\cos \alpha}{\cos \beta} \right)$$

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Error Analysis $\frac{dp}{ds} = \frac{z_n}{z} \frac{dz}{ds} \frac{\cos \alpha}{\cos \beta}$

- Perfect: logarithmic re-parameterization
 $s \sim \log z \Rightarrow \frac{ds}{dz} \sim \frac{1}{z} \Rightarrow \frac{dp}{ds} \sim 1$
 - Hardware support? [Lloyd 2007, 2008]
- Uniform shadow maps
 $s \sim z \Rightarrow \frac{ds}{dz} \sim 1 \Rightarrow \frac{dp}{ds} \sim \frac{1}{z}$
- Perspective shadow maps
 $s \sim \frac{1}{z} \Rightarrow \frac{ds}{dz} \sim \frac{1}{z^2} \Rightarrow \frac{dp}{ds} \sim z$
 - Linear increase in error!

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Error Analysis: LiSPSM Optimal Choice

- For LiSPSM, $\frac{dp}{ds}$ depends on n
 - Gives $\frac{dp}{ds}$ between uniform and perspective
- Optimal choice:

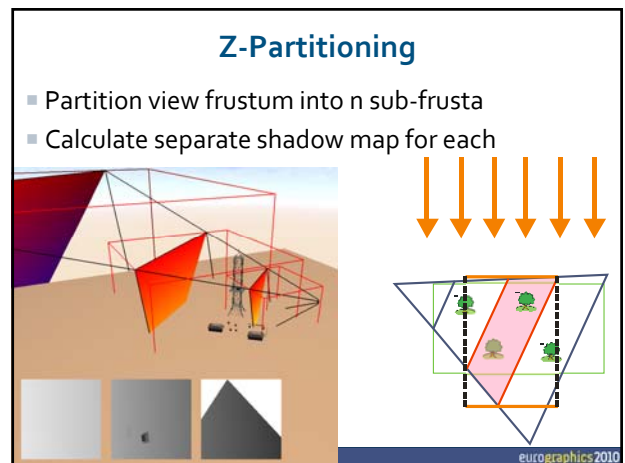
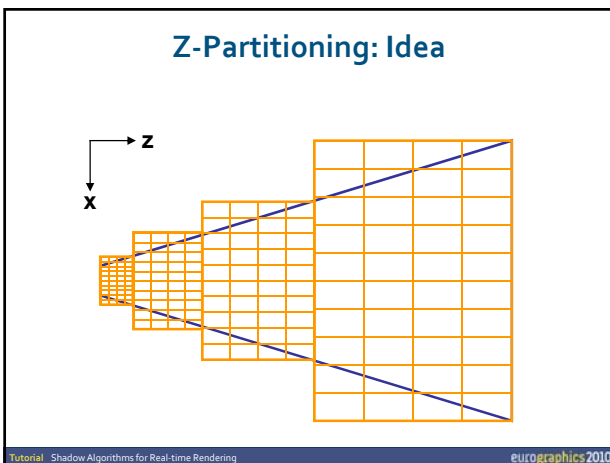
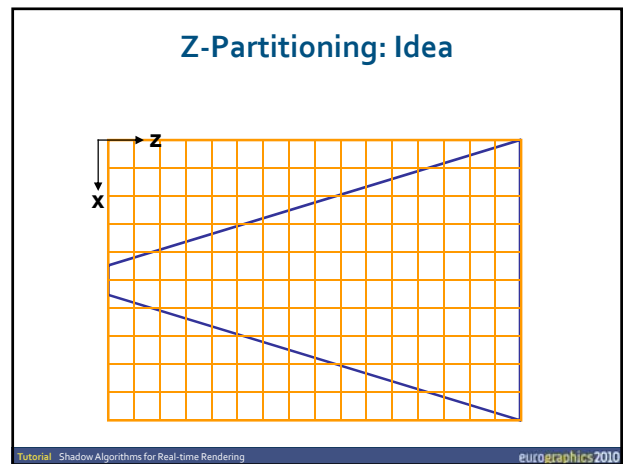
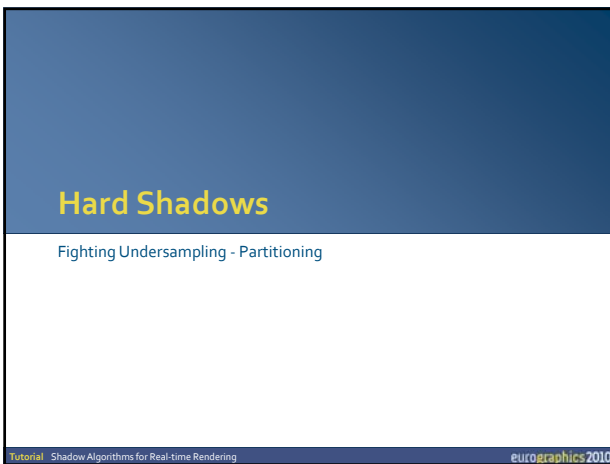
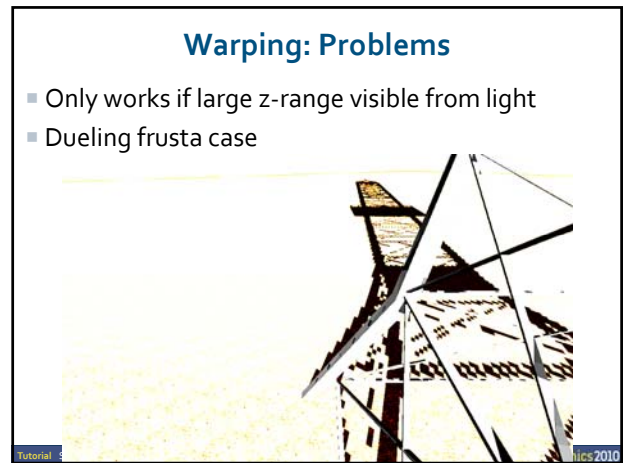
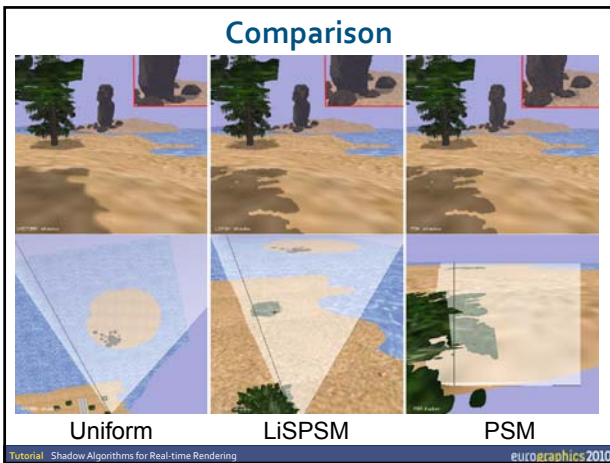
$$n_{opt} = z_n + \sqrt{z_f z_n}$$

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Error Comparison

- LiSPSM optimal choice
- Measured along view dir
- LiSPSM vs PSM
 \rightarrow for same depth range, LiSPSM error much lower
- More advanced analysis was done in [Lloyd 2006]

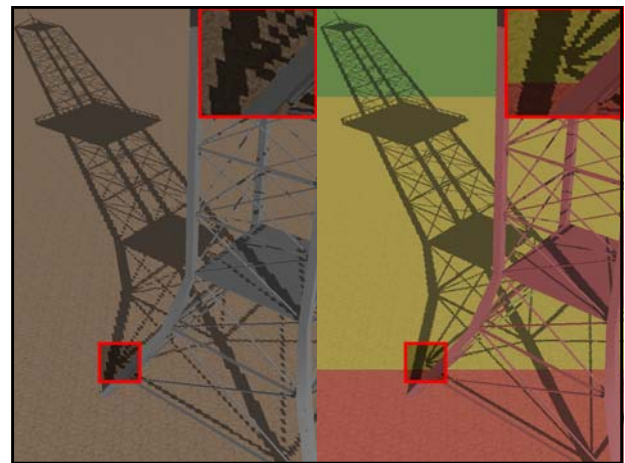
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Z-Partitioning

- Works even in cases where warping fails
 - Light from behind, dueling frusta

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Z-Partitioning

- How to choose partition sizes?
 - Uniform
 - Logarithmic
 - Linear blend between the two

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Z-Partitioning and Warping

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Z-Partitioning and Warping

Partitioning Partitioning + warping

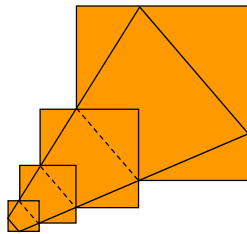
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Z-Partitioning

- Optimal shadow map texel spacing
 - Spacing from a 4x4 projective transform
 - Partitioning gives a better fit

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Temporal coherence



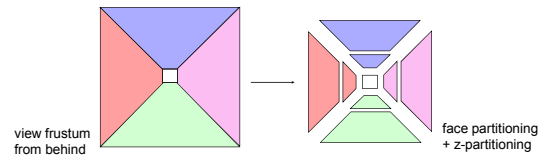
- Fix coordinate system in world space
- Shadow maps move at integral multiples of a texel width
- When view changes slowly, enlarged shadow maps permit caching
- Gives up warping

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Face partitioning

- Problem: warping not good for all light directions
 - Especially from behind
- Solution: Partition frustum according to faces
- Can be combined with z-partitioning

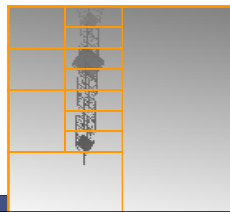


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Adaptive Partitioning

- Warping and z-partitioning are **global** resampling schemes
 - Deal with perspective aliasing
 - Projection aliasing needs local scene adaptive resampling!
- Adaptive partitioning adaptively splits shadow map
 - Usually quad-tree subdivision
- Algorithms mainly differ in termination criteria

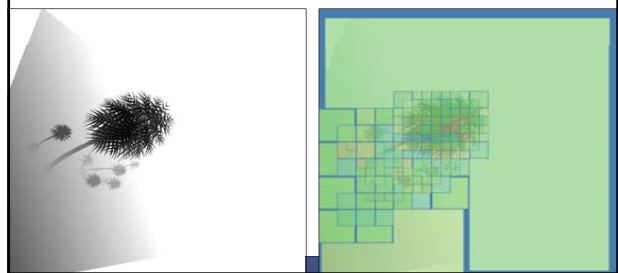


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Adaptive shadow maps

[Fernando et al. 2001; Lefohn et al. 2006]

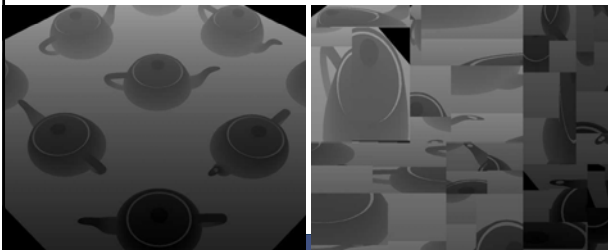
- High resolution only needed at edges
- Search for edge (slow)
 - If edge split



Tiled shadow maps

[Arvo 2004]

- Split according to heuristics
- Based on depth discontinuities, distances,
- Allows to trade speed against quality



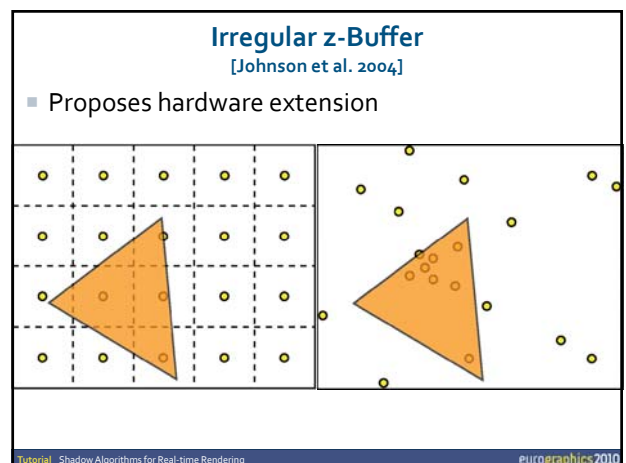
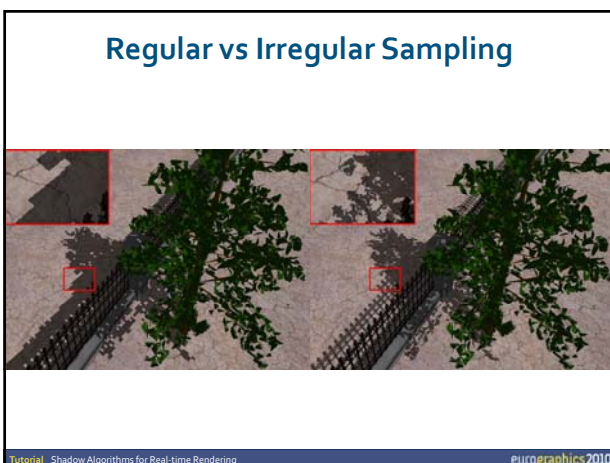
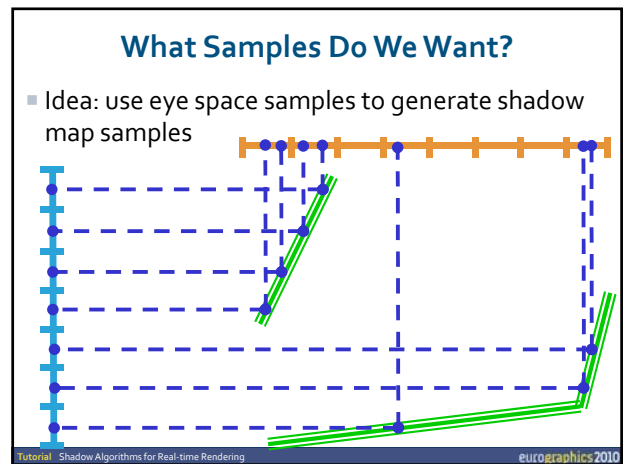
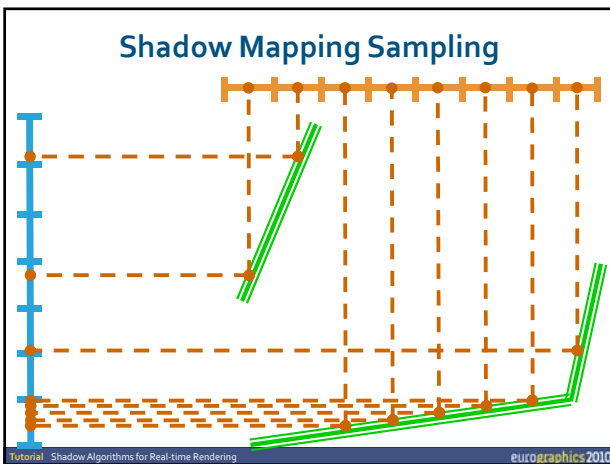
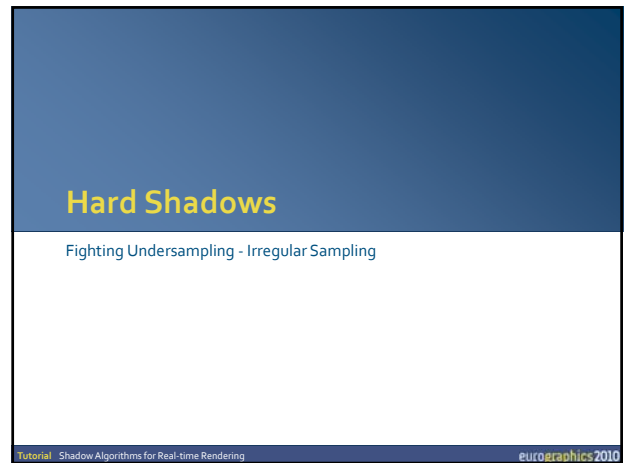
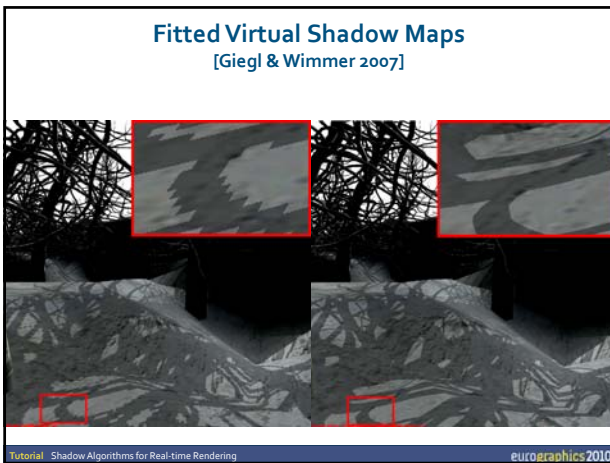
Fitted Virtual Shadow Maps

[Giegl & Wimmer 2007]

- Start with simple approach
- Render 4 shadow maps of a quad-tree node
 - Check if this was necessary (occlusion query)
- Do not calculate all quad-tree levels
- Determine finest levels necessary
- Pre pass, analysed on CPU
- Calculate only finest levels
- Avoids many passes

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Irregular z-Buffer

light view image plane

physical grid

logical grid

mapping function

o - shadow map sample location

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Alias Free Shadow Maps

[Aila and Laine 2004]

- Transform and project view-samples into light-space
- Rasterize blocker geometry using them as sampling points
 - Test if sampling point covered
 - Hierarchical processing of sampling points (axis-aligned 2D BSP)
 - In software

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Alias Free Hard Shadows

[Sintorn et al. 2008]

- Transform and project view-samples into light-space
- Store in a compact data structure with a list per light-space texel

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Alias Free Hard Shadows

[Sintorn et al. 2008]

- Render all geometry (conservatively) from lights point of view
- For each generated fragment, test all view-samples in list against triangle
- Set corresponding output bit

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Alias Free Hard Shadows

[Sintorn et al. 2008]

- Final screen-space pass
- Use bitmask from previous pass for shadowing

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Hard Shadows

Fighting Undersampling - Conclusion

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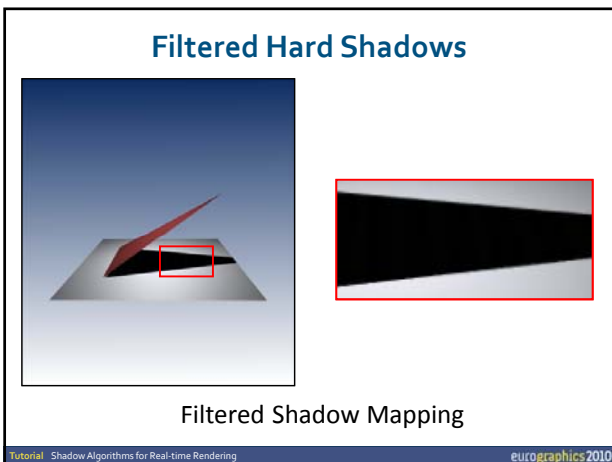
Conclusions

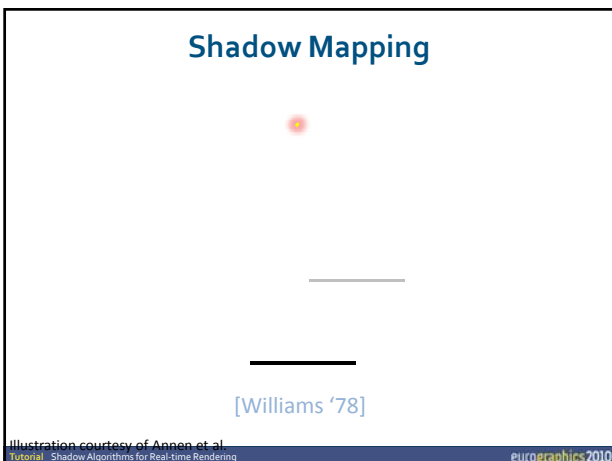
- Fastest speed, single shadow map: **warping**
 - Good for outdoor
- Fast speed, better quality, multiple shadow maps: **z-partitioning**
- High quality, lower speed: **adaptive partitioning**
- Reference quality, even slower: **irregular sampling**

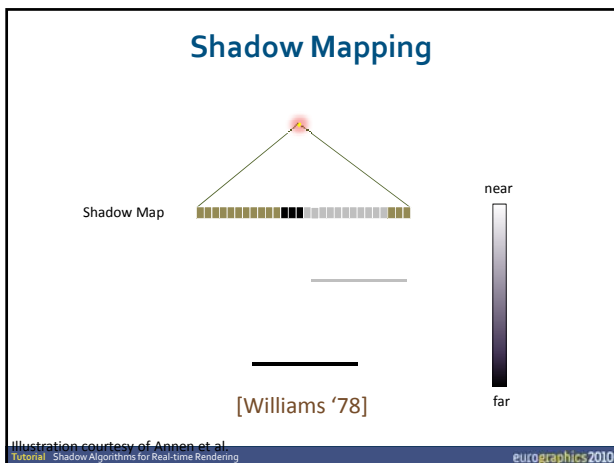
Error Overview

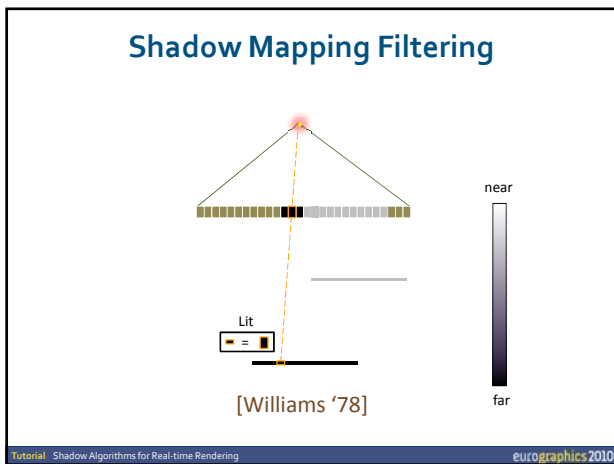
- Undersampling
 - Improve initial sampling
- Oversampling
 - Use bandlimiting filters (Convolution Shadow Maps etc., see later)
- Reconstruction error
 - Use better reconstruction filters (PCF, PCF with Poisson disk sampling)
 - Use different reconstruction algorithm (Silhouette Shadow Maps)

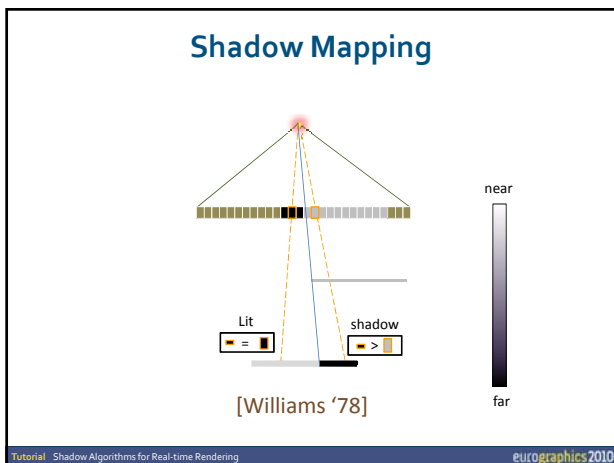


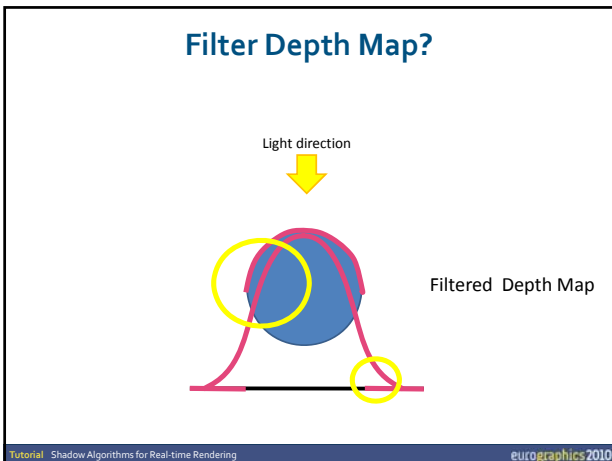


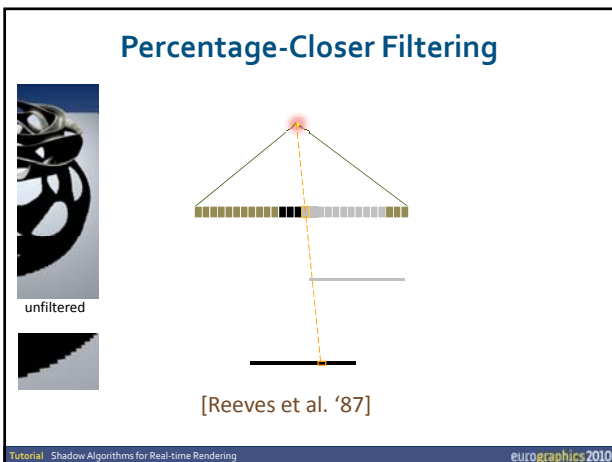


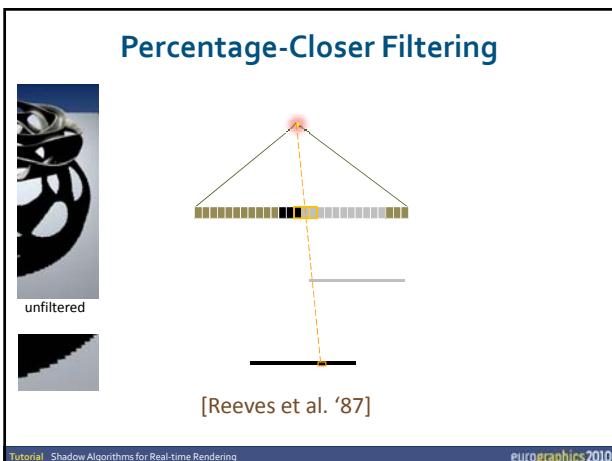












Percentage-Closer Filtering

The diagram illustrates the Percentage-Closer Filtering process. It shows two shadow maps: 'unfiltered' and 'filtered'. A sampling cone is shown with a red dot at the top and a yellow line representing the distance to the shadow map. A comparison table is shown with a red border:

\leq	1
$>$	0
$>$	0

33% shadow

[Reeves et al. '87]

compare first, then average!

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Percentage-Closer-like Filtering

- + Standard (simple to implement)
- + Hides discretization
- Costly for large kernels

ATTENTION NOT A SOFT SHADOW!

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Problem 1: How can we accelerate the process?

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Problem 2: Perspective Foreshortening

Illustration courtesy of Annen et al.
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Improvements

- Variance Shadow maps [Lauritzen&Donnelly06]
- Convolution Shadow Maps [Annen et al. 07]
- Exponential Shadow Maps [Annen et al. 08, Salvi08]
- Layered Variance Shadow Maps [Lauritzen&McCool08]

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Variance SMs [Lauritzen & Donnelly 2006]

- Avoid many lookups and comparisons
- Solution:
 - FIRST filter
 - SECOND one lookup

reference Filtered binary result

Wait a second... didn't we just say:
We cannot first filter then compare???

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Variance SMs [Lauritzen & Donnelly 2006]

- Reformulation:
- Given depth d
- What is probability that $d < x$ for all x in window?

reference

Probability

It is the same computation!

➔ Idea: Use statistics to guess the outcome

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Depth Values become Distribution

- Instead of looking at all individual depth values: Consider a **distribution function**:

Use distributions **mean and variance**

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Depth Values become Distribution

- Remember:

Mean Value

σ
Variance

- Suppose a "Magic Transformation" for the moment

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What can we do with Distribution?

- Given Mean μ and Variance σ for a window of depths
- How can we estimate the result?

- Chebyshev Inequality:

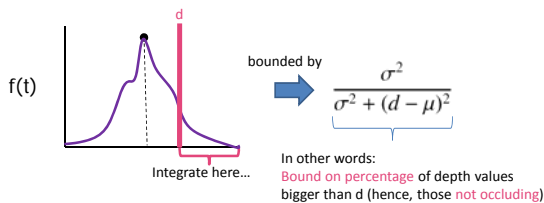
$$\text{Probability}(\text{depth } d < D) \leq \frac{\sigma^2}{\sigma^2 + (d - \mu)^2}$$

(for $d < \mu$, for $d \geq \mu$ equals 1)

where D is random Variable from an arbitrary depth distribution (mean μ , variance σ)

What can we do with Distribution?

For depth values distribution the test result can be bounded

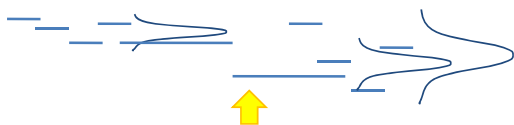


If one assumes equality, we have a fast method!

Estimating shadows

- Using: $\frac{\sigma^2}{\sigma^2 + (d - \mu)^2}$

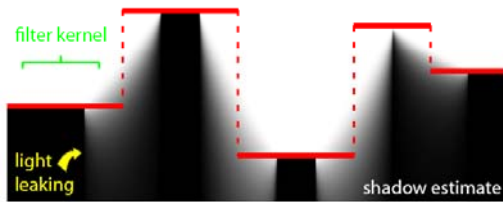
- Small sigma leads to quick fall-off
- Large sigma leads to slow fall-off



- Accurate for planar receiver + planar caster

Estimating shadows

- What is the problem?
- Solution is an upper bound, not accurate in general!



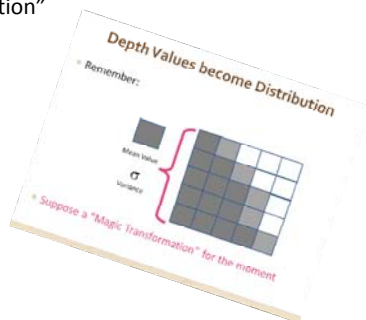
Variance SMs [Lauritzen & Donnelly 2006]

- + Very efficient
- + Independent of Filter size
- Light leaks



But there was still some detail...

- "Magic Transformation"



Transforming Depth Values to Distribution

- Mean Value: $\mu = E(d)$
an average of depth values
 - Variance: $\sigma^2 = E(d^2) - E(d)^2$
easy to compute given two average values (depth² and depth)
- ➡ Need to quickly average values in a window



Computing Averages

- Corresponds to filtering:
Fast Fourier Transformation Methods
- More GPU adapted solutions exist:
Exploit window's rectangular shape



Sidenote: Computing Averages on the GPU

- Separable Convolution
- MipMapping
- N-Buffer
- Summed-Area Tables
- In this particular case:
Most methods other than MipMap are overkill!
We only need a small neighborhood of fixed size
(separable convolution is good enough)
- MipMapping is interesting for perspective filtering



Computing Averages: MipMaps [Williams1983]

- Power of 2 hierarchy
- Average 4 pixels together for next level

Power-of-2 kernels

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Computing Averages: N-Buffers [Décoret2005]

- Multi-Level Representation
- Each Level **same** resolution
- Level i: Pixel contains average of 2^i window
- Efficient recursive construction

Level 0

Level 1

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Computing Averages: N-Buffers [Décoret2005]

- Efficient Recursive Construction:
 - Use level i for level i+1 (4 LU per texel)

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**Computing Averages:
Fast Summed-Area Tables [Crow1984]**

1	0	0	1
0	1	1	0
1	0	0	0
0	1	0	1

		2	

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**Computing Averages:
Fast Summed-Area Tables [Crow1984]**

1	0	0	1
0	1	1	0
1	0	0	0
0	1	0	1

		2	3

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**Computing Averages:
Fast Summed-Area Tables [Crow1984]**

1	0	0	1
0	1	1	0
1	0	0	0
0	1	0	1

		2	3
			4

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**Computing Averages:
Fast Summed-Area Tables [Crow1984]**

1	0	0	1
0	1	1	0
1	0	0	0
0	1	0	1

0	0	0	0
0	1	1	1
0	1	2	3
0	2	3	4

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**Computing Averages:
Fast Summed-Area Tables [Crow1984]**

Get average of any window with just 4 value lookups!

1	0	0	1
0	1	1	0
1	0	0	0
0	1	0	1

0	0	0	0
0	1	1	1
0	1	2	3
0	2	3	4

$4 - 1 - 2 + 1 = 2$

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**Computing Averages:
Fast Summed-Area Tables [Crow1984]**

Get average of any window with just 4 value lookups!

1	0	0	1
0	1	1	0
1	0	0	0
0	1	0	1

0	0	0	0
0	1	1	1
0	1	2	3
0	2	3	4

$4 - 1 - 2 + 1 = 2$

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Fast Summed-Area Tables

- Efficient GPU Construction [Hensley et al.2005]

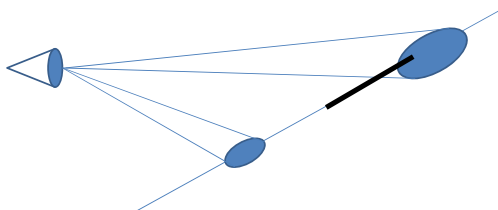
... but out of the scope of this presentation

Filtering Quality

- MipMaps : fastest, but lowest quality
 - N-Buffer : average construction time, medium quality (higher memory consumption)
 - FSAT: slower construction time, highest quality (slightly more costly lookups)
- These methods are especially useful for adaptive filtering (e.g., varying filter size)

Perspective Foreshortening

- Choose kernel size according to viewing distance



Perspective foreshortening

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Applied to Variance Shadow Maps

- All techniques compatible with Variance Shadow Maps:

1. Create Shadow Map and Squared Shadow Map (d, d^2)
2. Create filtered maps ($E(d), E(d^2)$)
3. Use maps for shadow computation ($\mu = E(d), \sigma^2 = E(d^2) - E(d)^2$)

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Distribution Assumption

- Bound is
 - Very approximate
 - leads to light leaks

partially addressed in [Lauritzen&McCool 2008] via layered-variance SM, but still similar approach

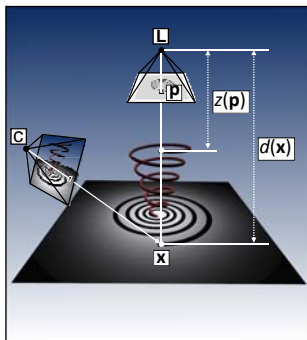
- Are there other ways?

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Approximate the Shadow Function

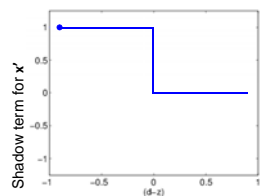
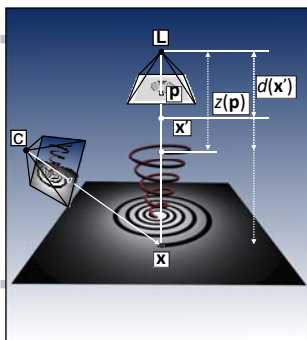
- Convolution Shadow Maps [Annen et al. 2007]
- Exponential Shadow Maps [Annen et al. 2008, Salvi 2008]

Shadow Mapping [Williams 1978]



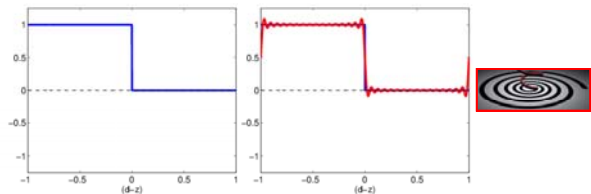
- $\mathbf{x} \in \mathbb{R}^3$
 - $\mathbf{p} \in \mathbb{R}^2$
- Shadow Test:
 $f(d(\mathbf{x}), z(\mathbf{p})) := s(d(\mathbf{x}) - z(\mathbf{p}))$,
 where
 $s(\mathbf{x}) := \begin{cases} 1 & \text{if } \mathbf{x} \leq 0 \\ 0 & \text{else} \end{cases}$

Shadow Test: $s(\mathbf{x})$



Fourier Analysis

- Approximate shadow test with Fourier series

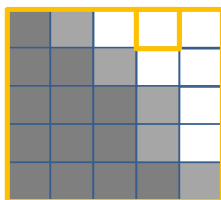


$$S(x) \approx c_1 + c_2 + c_4 + \dots + c_8 + \dots + c_{16}$$

Fourier Analysis

- Why is this useful???

$$\sum_{s=1}^N f(d, z_s) = \sum_{s=1}^N \sum_{i=1}^{\infty} a_i(d) B_i(z_s)$$

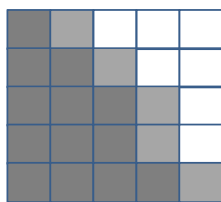


Fourier Analysis

- Why is this useful???

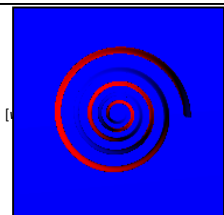
$$\sum_{s=1}^N f(d, z_s) = \sum_{i=1}^{\infty} a_i(d) \sum_{s=1}^N B_i(z_s)$$

Only depends on depths in SM

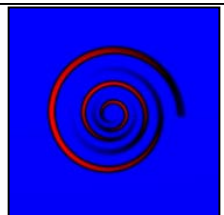




Filtering Example

Original $B_i(z)$



After filtering $B_i(z)$



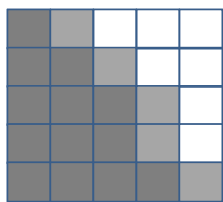



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Reality strikes back...

Why is this useful???

$$\sum_{s=1}^N f(d, z_s) = \sum_{i=1}^{\infty} a_i(d) \sum_{s=1}^N B_i(z_s)$$

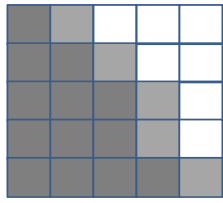


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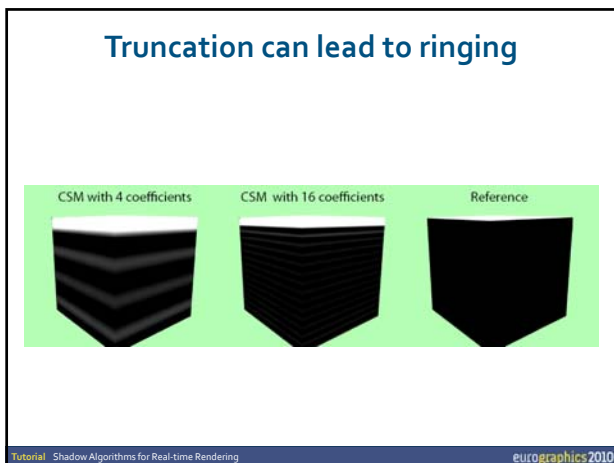
Reality strikes back...

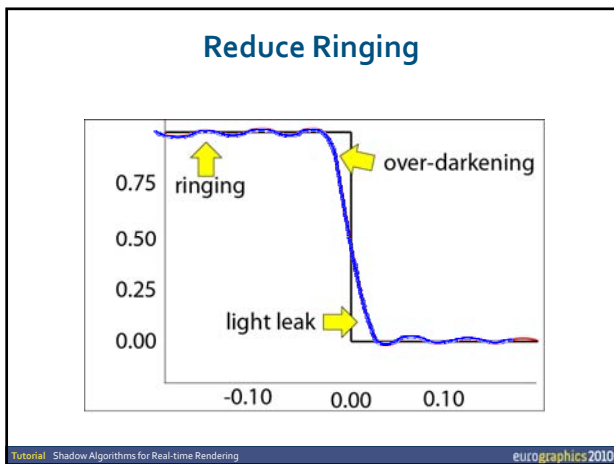
Why is this useful???

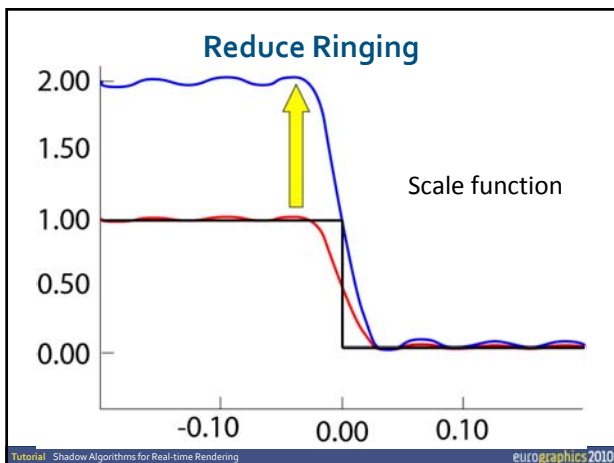
$$\sum_{s=1}^N f(d, z_s) \approx \sum_{i=1}^M a_i(d) \sum_{s=1}^N B_i(z_s)$$



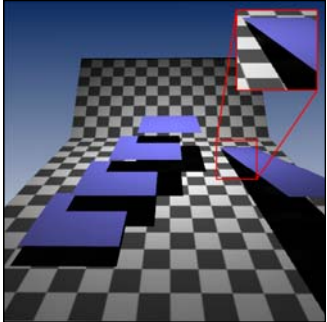
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Influence of reconstruction order M

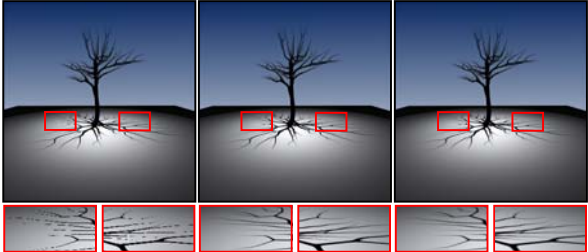


M = 8

- Memory consumption increases as M grows
- Performance (filtering) decreases as M grows

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Results



PCF (NVIDIA) CSM CSM - 7x7 Gauss

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CSM [Annen et al. 2007]

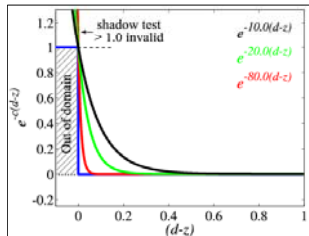
- + Beautiful theoretical result
- + high-quality smoothing (includes anisotropic blur)
- ~ relatively fast (M=8, ~50fps on GTX8800)
- Relatively high memory consumption

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Exponential SM [Annen et al. 2008, Salvizoo8]

- Single SM via different decomposition:

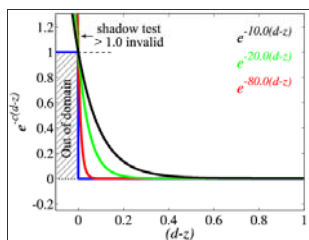
$$s(\mathbf{x}) = f(d(\mathbf{x}), z(\mathbf{p})) = e^{-c(d(\mathbf{x}) - z(\mathbf{p}))}$$



Exponential SM [Annen et al. 2008, Salvizoo8]

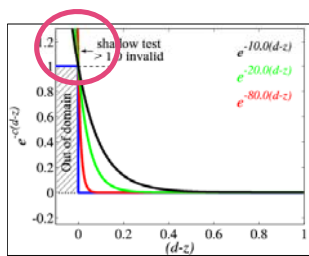
- Single SM via different decomposition:

$$s(\mathbf{x}) = f(d(\mathbf{x}), z(\mathbf{p})) = e^{-c(d(\mathbf{x}) - z(\mathbf{p}))} = e^{-c(d(\mathbf{x}))} e^{cz(\mathbf{p})}$$



Problem: Overshooting

- d needs to be $\geq z$!



Detection of Erroneous Pixels

- Detection necessary and those pixels need PCF computation



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ESM [Annen et al. 2008, Salvizoo8]

- + Faster than CSM some scenes (depends on correction)
- + Same quality as CSM
- + Memory consumption lower than CSM
- + Creation of ESM is faster

- Correction cost unpredictable
- Should be used with smaller kernels

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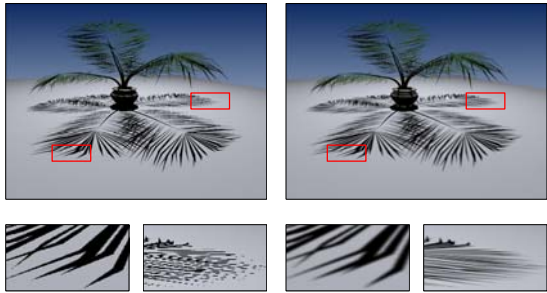
Extensions

- A modification was suggested in [Lauritzen and McCool 2008]

Basic idea:
two exponentials
select the more appropriate (smaller) solution

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Results



PCF with 2x2 kernel Blurred shadows

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Overview

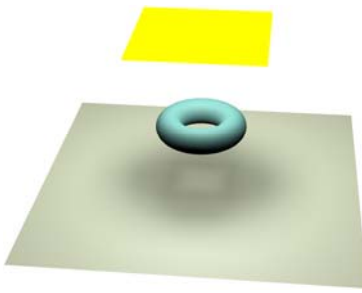
- Really fast:
Variance SM
- Higher Quality:
Exponential Shadow Maps
- CSM is involved in a soft shadow algorithm

BUT all these methods filter hard shadows.
There is no real penumbra computation...

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Outlook

- We are not here yet:



... but we will get there... after the break!

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Shadow Algorithms for Real-time Rendering

Elmar
Eisemann

Télécom ParisTech



Ulf
Assarsson

Chalmers University



Michael
Schwarz

MPI Informatik



Michael
Wimmer

TU Vienna



Tutorial T3

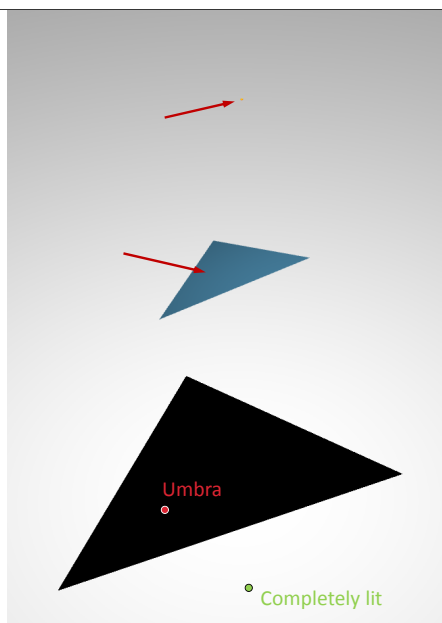
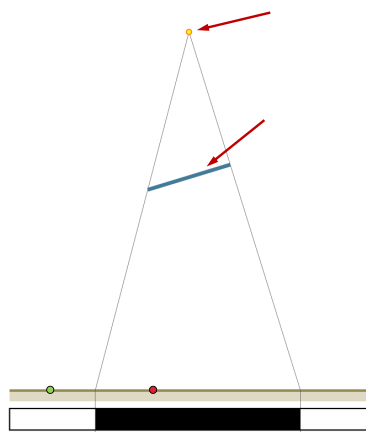
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Soft Shadows

Tutorial Shadow Algorithms for Real-time Rendering

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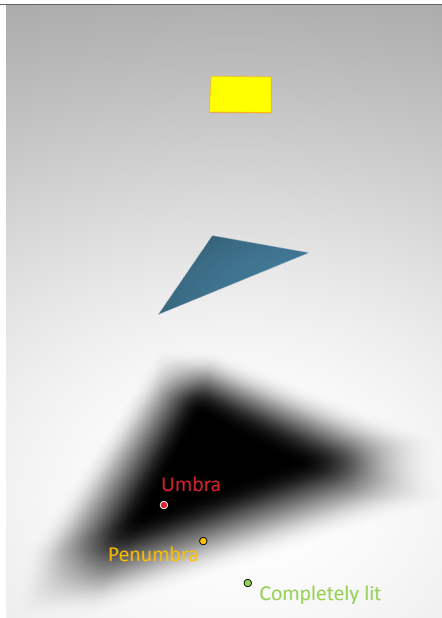
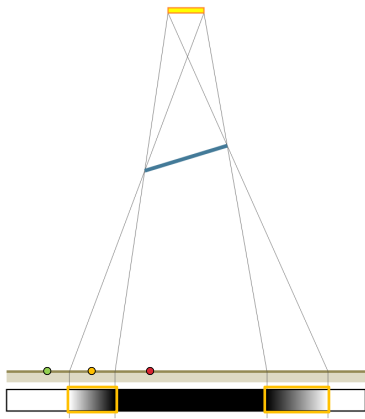
Hard Shadows



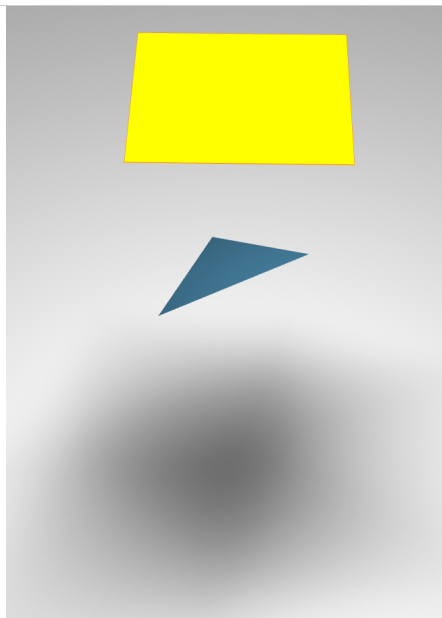
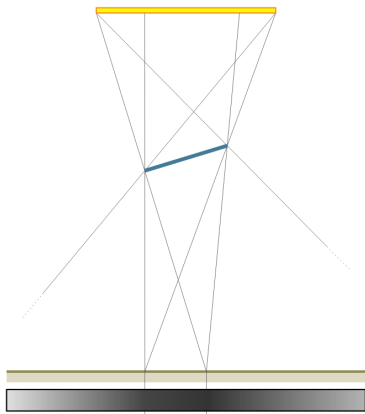
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Soft Shadows



Soft Shadows

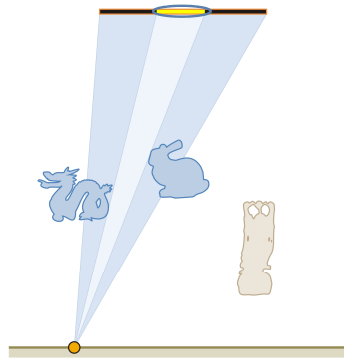


Shadow Hardening on Contact

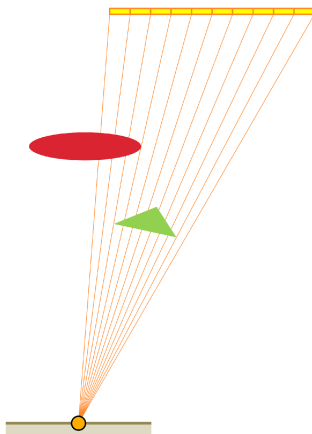


Shadowing = Point-Region Visibility

Task: Determine visible fraction of light source (region) for each receiver sample (point)



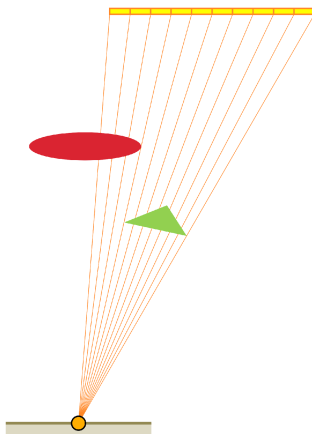
Occluder Fusion



- Occlusion O_1 : 30%
- Occlusion O_2 : 70%
- Total occlusion: 90%

- $\sum_i O_i$: 100%
- $\max_i O_i$: 70%
- $1 - \prod_i (1 - O_i)$: 79%

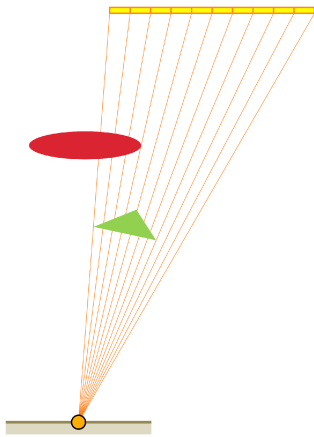
Occluder Fusion



- Occlusion O_1 : 30%
- Occlusion O_2 : 70%
- Total occlusion: 100%

- $\sum_i O_i$: 100%
- $\max_i O_i$: 70%
- $1 - \prod_i (1 - O_i)$: 79%

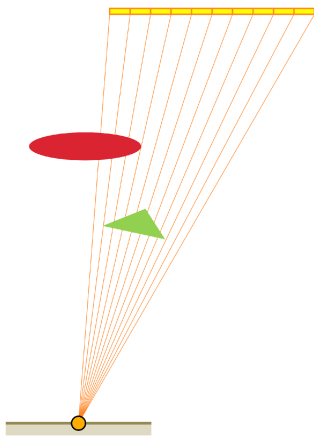
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- Total occlusion: 70%

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Occluder Fusion



- Occlusion O_1 : 30%
- Occlusion O_2 : 70%
- Total occlusion: 79%

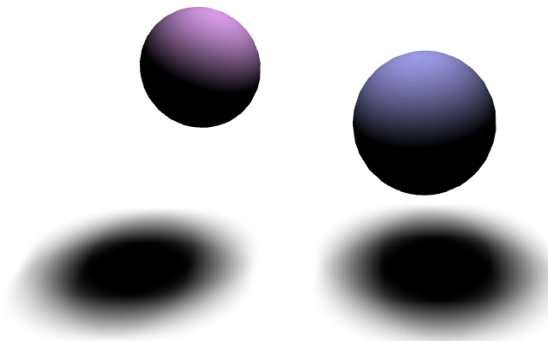
- $\sum_i O_i$: 100%
- $\max_i O_i$: 70%
- $1 - \prod_i (1 - O_i)$: 79%

Soft Shadows

Image-based Approaches

Blurring of Hard Shadow Test Results

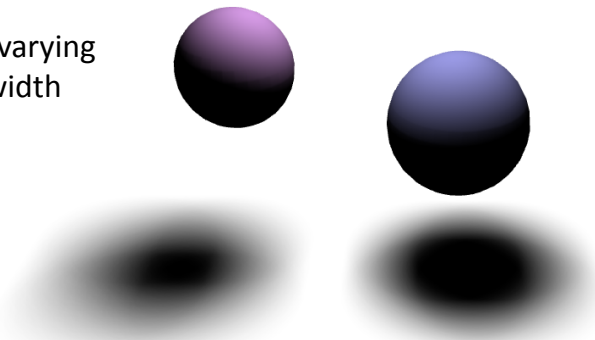
- Use any of the approaches presented before
- Yields a soft-shadow-like appearance



VSM, 512×512, 62×62

Blurring of Hard Shadow Test Results

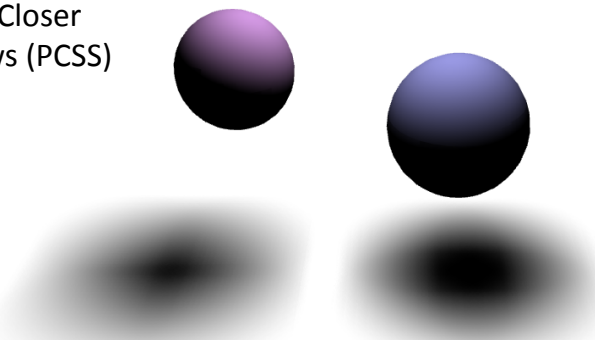
- Use any of the approaches presented before
- Yields a soft-shadow-like appearance
- But ignores varying penumbra width



Reference

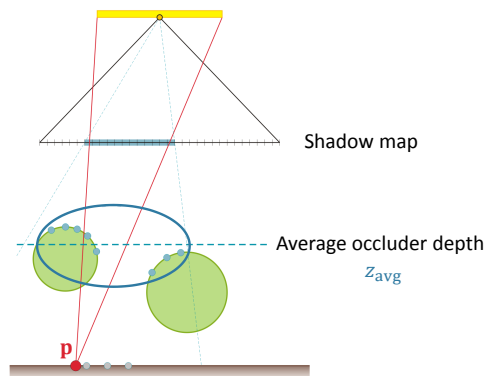
Blurring of Hard Shadow Test Results

- Idea: Choose blur kernel size adaptively
 - But how?
- Percentage-Closer Soft Shadows (PCSS)
[Fernando, 2005]



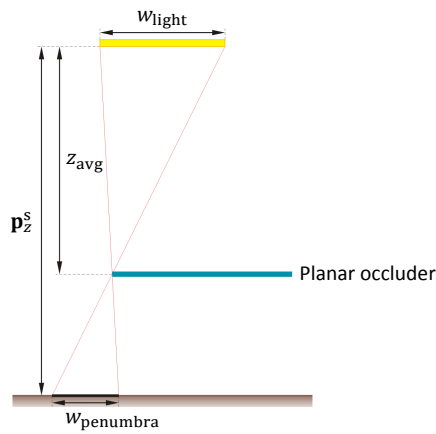
PCSS

Percentage-Closer Soft Shadows



1. Blocker search

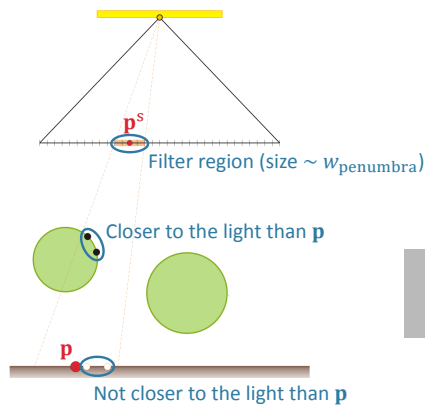
Percentage-Closer Soft Shadows



2. Penumbra width estimation

$$w_{\text{penumbra}} = \frac{p_z^s - z_{\text{avg}}}{z_{\text{avg}}} w_{\text{light}}$$

Percentage-Closer Soft Shadows



3. Filtering

Percentage-Closer Soft Shadows

- Three steps
 - Blocker search
 - Penumbra width estimation
 - Filtering

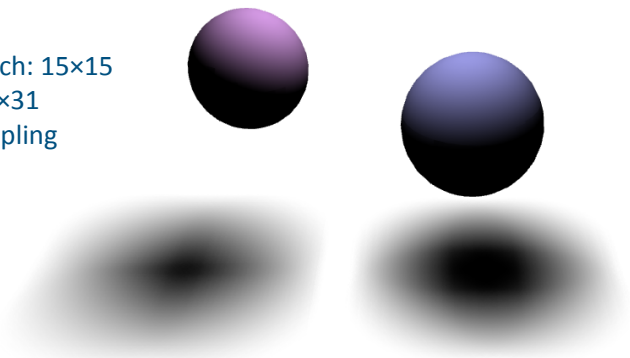
Two of them require many shadow map accesses!

- Acceleration approaches
 - Subsampling
 - Prefiltering

Percentage-Closer Soft Shadows

- Quality vs. number of shadow map samples

Blocker search: 15×15
Filtering: 31×31
Regular sampling

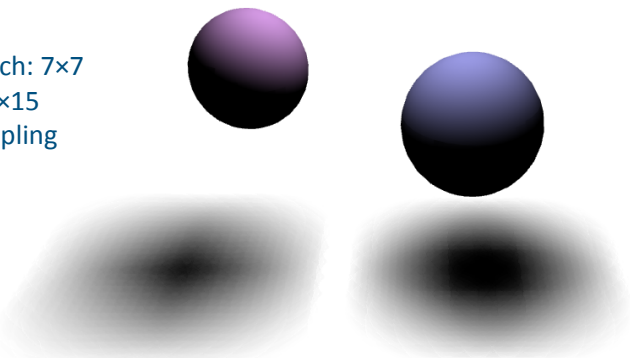


29 fps
(1024×1024, GeForce GTX 285)

Percentage-Closer Soft Shadows

- Quality vs. number of shadow map samples

Blocker search: 7×7
Filtering: 15×15
Regular sampling

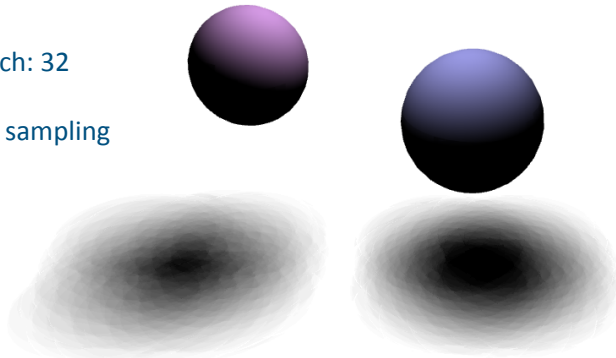


120 fps
(1024×1024, GeForce GTX 285)

Percentage-Closer Soft Shadows

- Quality vs. number of shadow map samples

Blocker search: 32
Filtering: 64
Poisson disk sampling

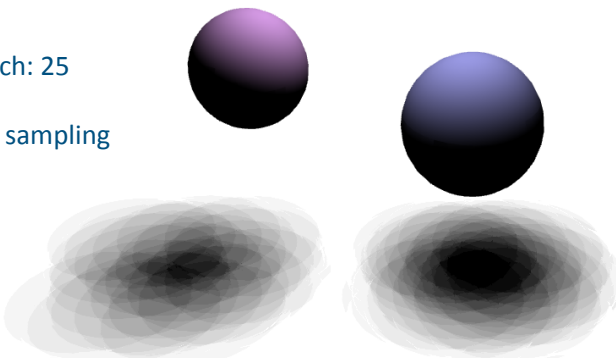


321 fps
(1024×1024, GeForce GTX 285)

Percentage-Closer Soft Shadows

- Quality vs. number of shadow map samples

Blocker search: 25
Filtering: 25
Poisson disk sampling



519 fps
(1024×1024, GeForce GTX 285)

Prefiltering

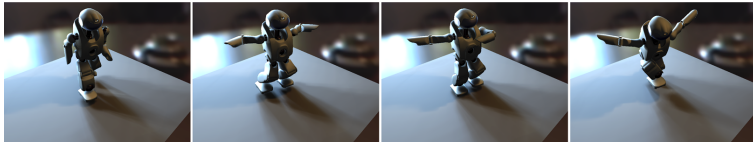
- Filtering step does just percentage-closer filtering
 - Using alternative shadow map representations (like VSM or CSM) allows prefiltering
 - Then, blurring reduces to a single texture fetch
- But how to support adaptive filter region sizes?**
- Mipmapping (or alternatively N-buffers)
 - Store results for several discrete filter sizes and interpolate
- Summed-area table
 - Supports arbitrary rectangular box filter kernels

Prefiltering

- Blocker search can also be sped up with prefiltering
- Convolution Soft Shadows

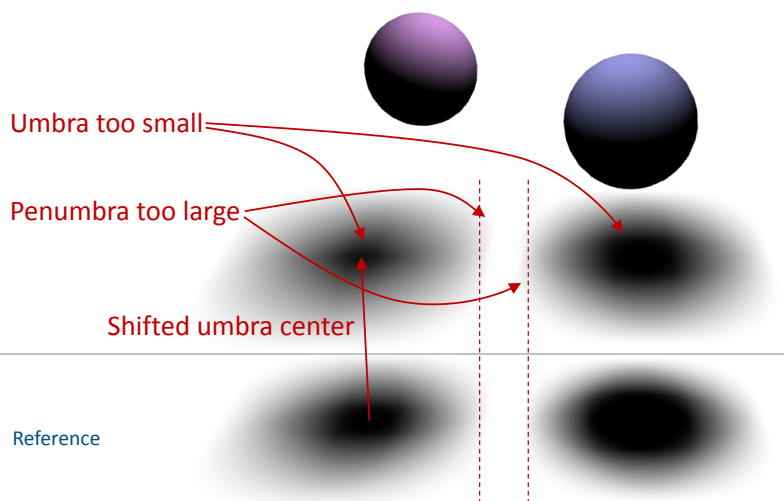
[Annen et al., 2008: "Real-Time, All-Frequency Shadows in Dynamic Scenes"]

- Observation: Averaging the depth of shadow map samples closer to the light can be expressed as a convolution
- Hence approach analogous to CSM is possible



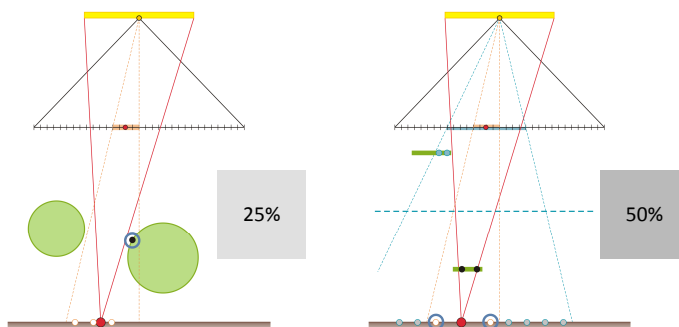
Annen et al., 2008

Percentage-Closer Soft Shadows



Percentage-Closer Soft Shadows

- Main sources of physical incorrectness
 - Single planar occluder assumption
 - Classification as light blocking solely based on depth test



Percentage-Closer Soft Shadows

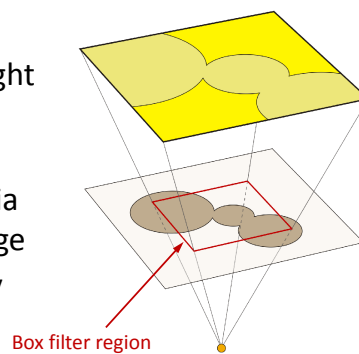
- + Simple and reasonably fast
- + Often visually pleasing results (at least for smaller light sources)
- Not really physically plausible
- Only accounts for occluders visible from light source's center



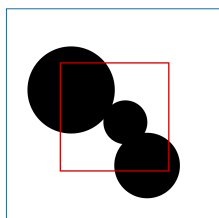
Visibility Computation = Filtering

[Soler and Sillion, 1998]

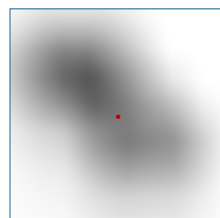
- Rectangular light source
- Planar occluder parallel to light
 - Represented by blocker image
- Visibility factor is obtained via box filtering the blocker image
 - Filter size equals appropriately scaled light size



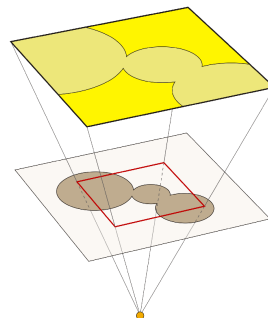
Visibility Computation = Filtering



Blocker image



Box-filtered blocker image

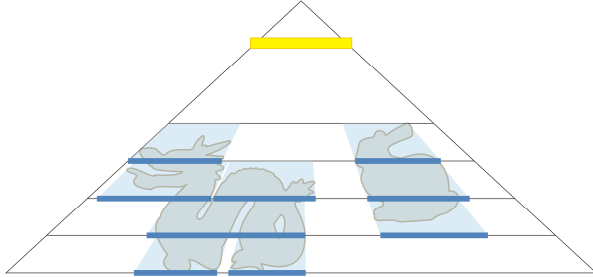


- Advantages
 - Enables prefiltering (e.g. summed-area tables)
 - Query becomes constant-time, i.e. independent of light size

Occlusion Textures

[Eisemann and Décoret, 2006]

- Decompose scene into multiple planar layers
 - Slice scene parallel to light source
 - Project geometry within slice onto slice's bottom plane



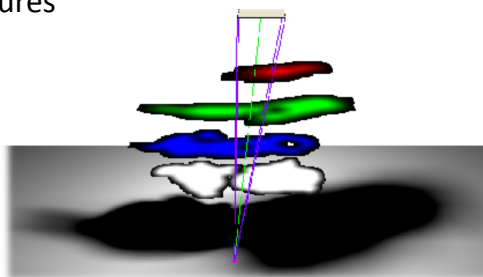
Occlusion Textures



- The covered parts of each slice are encoded in a binary **occlusion texture** (= blocker image)

Occlusion Textures

- Prefilter occlusion textures
 - Mipmapping
 - N-buffers
 - Summed-area table



- For each blocking slice, lookup appropriately filtered response in prefiltered occlusion texture
 - Accumulate shadow contributions (multiplicatively)

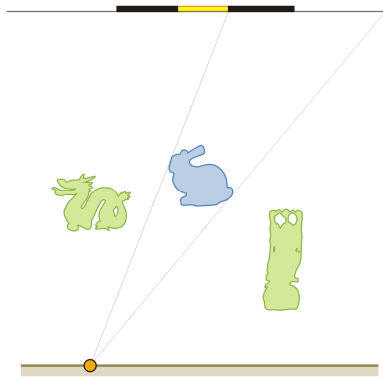
Occlusion Textures

- + Plausible soft shadows at high frame rates
- + Performance independent of light size
- Mainly suited for compact indoor environments
- Only heuristic handling of occluder fusion
- Discretizes occluders into small number of perforated planes



Occluder Backprojection

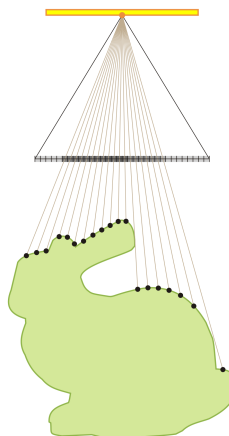
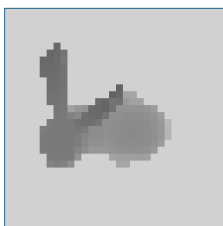
- For each (relevant) occluder
 - Project it onto light source
 - Determine covered light area
 - Aggregate this occlusion information
- Gathering
For all receiver points
For all potential occluders
- Scattering
For all occluders
For all affected receiver points



Soft Shadow Mapping

[Atty et al., 2006; Guennebaud et al., 2006]

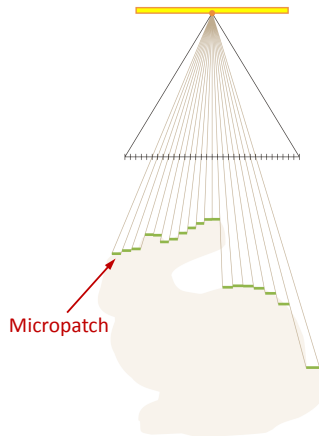
- Approximate (subset of) occluder geometry
- Generate shadow map (from light's center)



Soft Shadow Mapping

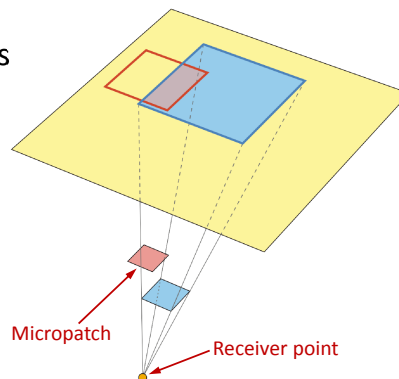
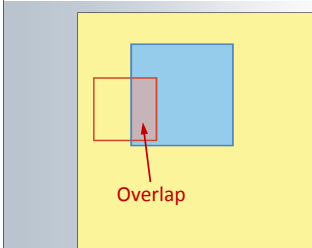
[Atty et al., 2006; Guennebaud et al., 2006]

- Approximate (subset of) occluder geometry
- Generate shadow map (from light's center)
- Derive occluder approximation by unprojecting texels into world space
 - Micropatches

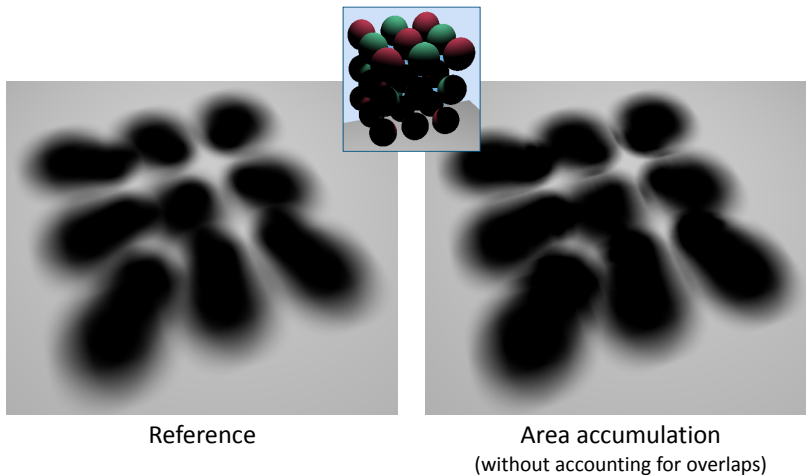


Soft Shadow Mapping

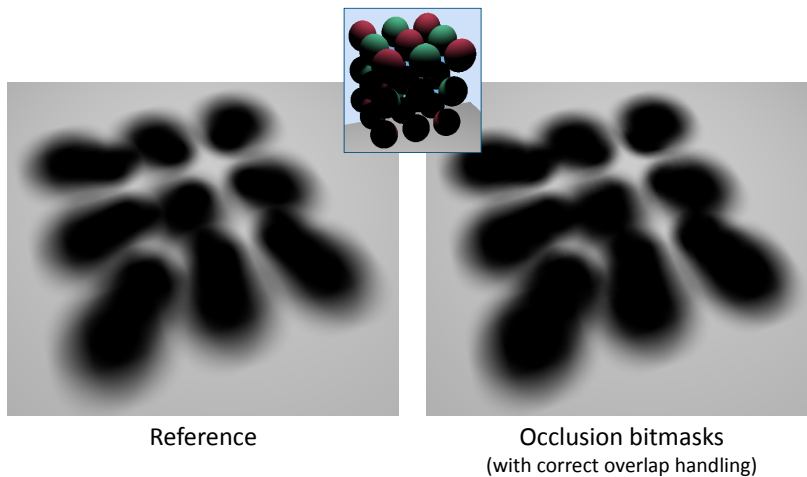
- Backproject micropatches onto light source to determine visibility
- Simple approach: Sum up projections' covered areas
 - Ignores overlaps



Overlapping Artifacts



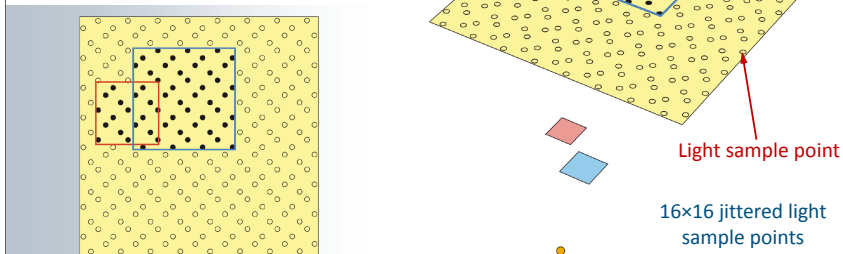
Overlapping Artifacts



Occlusion Bitmaps

[Schwarz & Stamminger, 2007: "Bitmask Soft Shadows"]

- Sample visibility instead of accumulating areas
 - Set of binary point-to-point visibility relations
 - Bit field is employed to track visibilities of sample points on light source



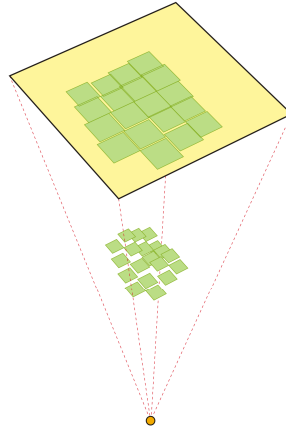
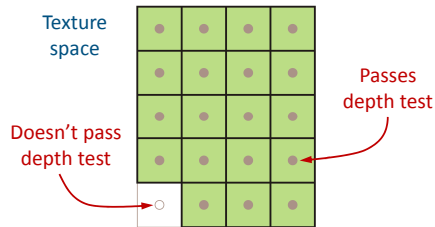
Soft Shadow Mapping

- Generate shadow map
- For each receiver point (pixel shader)
 - Loop over (relevant) occluder approximations (derived on-the-fly from shadow map)
 - Micropatches
 - Microquads (and microtris)
 - Occluder contours
 - Backproject onto light source
 - Determine and accumulate occlusion
 - Area accumulation (heuristic)
 - Occlusion bitmaps (sample-based)

Micropatches

[Atty et al., 2006; Guennebaud et al., 2006]

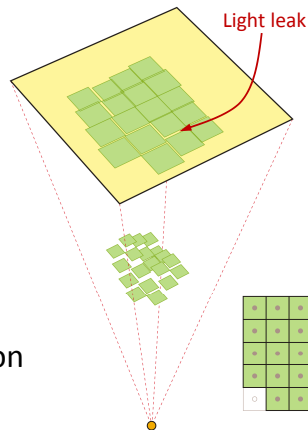
- Texel-sized rectangles constructed around each unprojected sample that passes depth test



Micropatches

[Atty et al., 2006; Guennebaud et al., 2006]

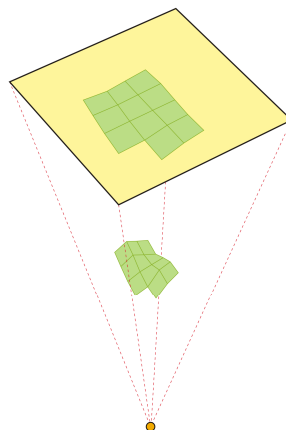
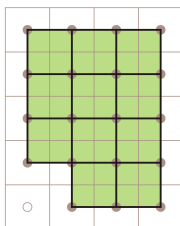
- Texel-sized rectangles constructed around each unprojected sample that passes depth test
- Suffer from light leaks
 - Requires gap filling, e.g. by extending micropatches
- Frequent occluder overestimation
 - But helps capturing fine structures



Microquads & Microtris

[Schwarz & Stamminger, 2007, 2008]

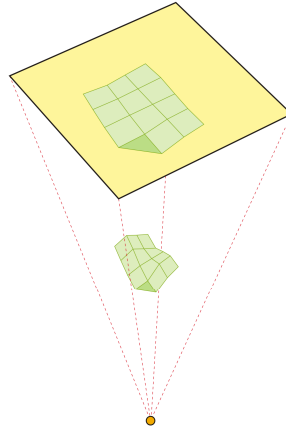
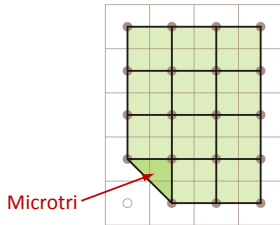
- Quads constructed from 2x2 adjacent unprojected samples that pass depth test



Microquads & Microtris

[Schwarz & Stamminger, 2007, 2008]

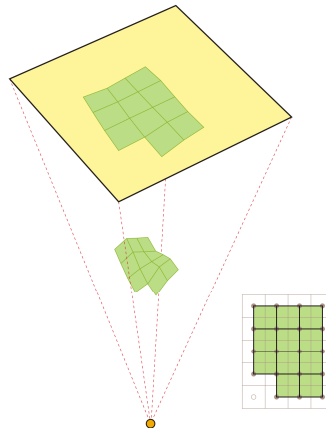
- Quads constructed from 2x2 adjacent unprojected samples that pass depth test



Microquads & Microtris

[Schwarz & Stamminger, 2007, 2008]

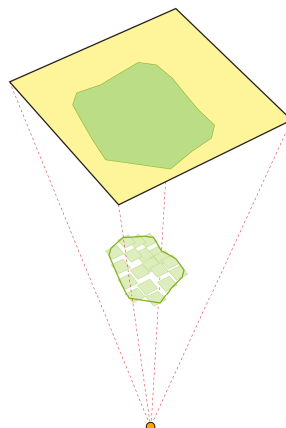
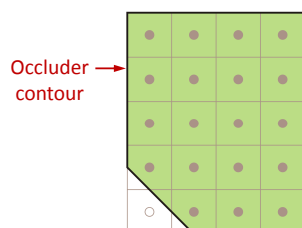
- Quads constructed from 2x2 adjacent unprojected samples that pass depth test
- No light leaks
- Less prone to surface acne
- Tendency to underestimate occluders' extents
 - May miss fine structures



Occluder Contours

[Guennebaud et al., 2007]

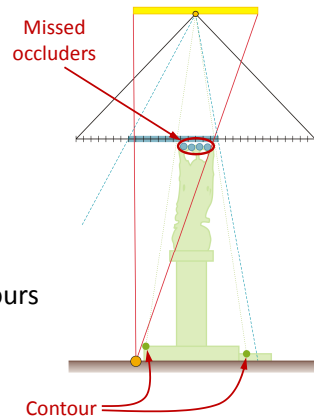
- Contours constructed for each connected region of samples passing depth test



Occluder Contours

[Guennebaud et al., 2007]

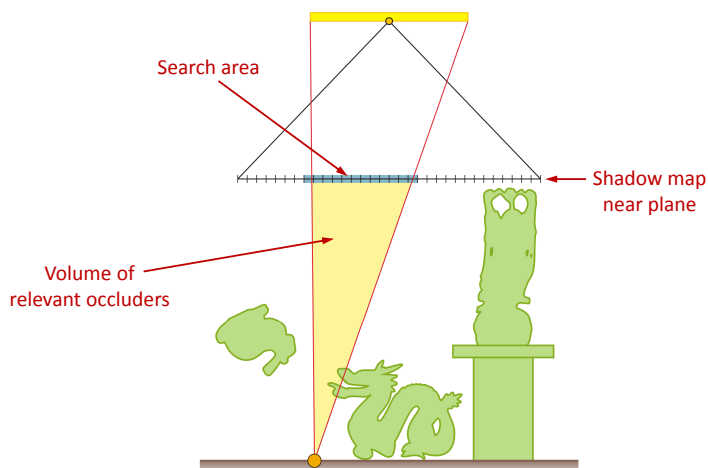
- Almost no light leaks
- Contour \neq Silhouette
 - Occluders recorded in shadow map may be missed
- But also causes coherence
 - Neighboring receiver points often exclusively process identical contours
 - Allows for packet-based approach [Yang et al., 2009]



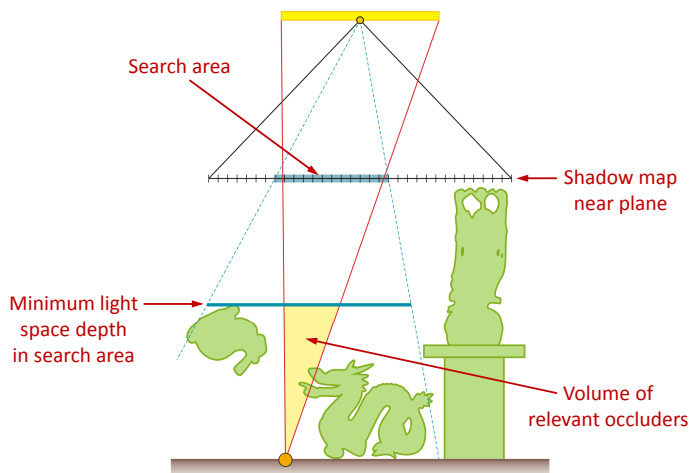
Acceleration

- Avoid useless computations
 - Multi-scale representations
 - Search area pruning
 - Hierarchical occluder construction
- Adapt accuracy
 - Micro-occluder subsampling
 - Coarser occluder approximations
 - Subsampling in screen space

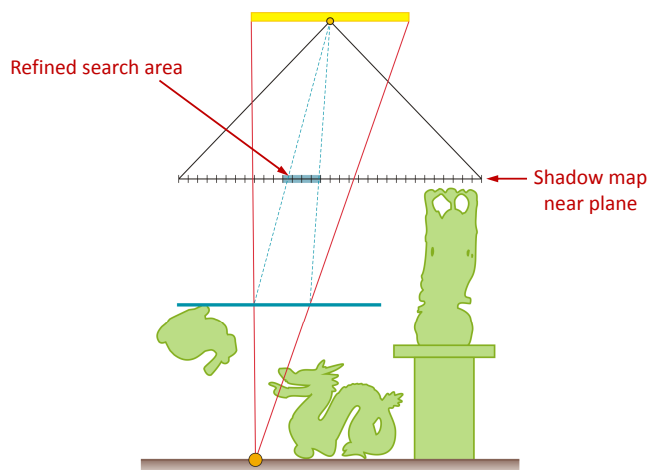
Search Area Determination



Search Area Determination

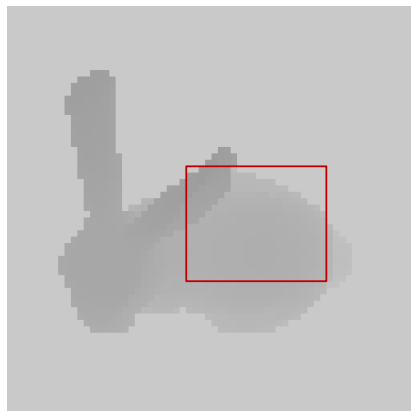


Search Area Determination



Acceleration Structures

How to determine depth range of a shadow map region?



Hierarchical Shadow Map

[Guennebaud et al., 2006]

- Min/max pyramid of shadow map (hierarchical z-buffer)
- Stored in mipmap chain of shadow map



- Cheap, but often yields loose search areas

Multi-scale Shadow Map

[Schwarz & Stamminger, 2007]

- Stack of depth ranges for all power-of-two-sized neighborhoods (similar to N-buffers)
- Stored in array texture



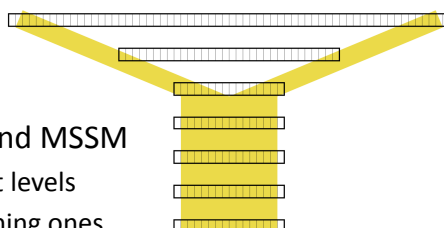
- Tight search areas, but can be costly

Hybrid Y Shadow Map

[Schwarz & Stamminger, 2008]

- Goal: Get the best of both
 - Low cost from HSM
 - Tight search areas from MSSM

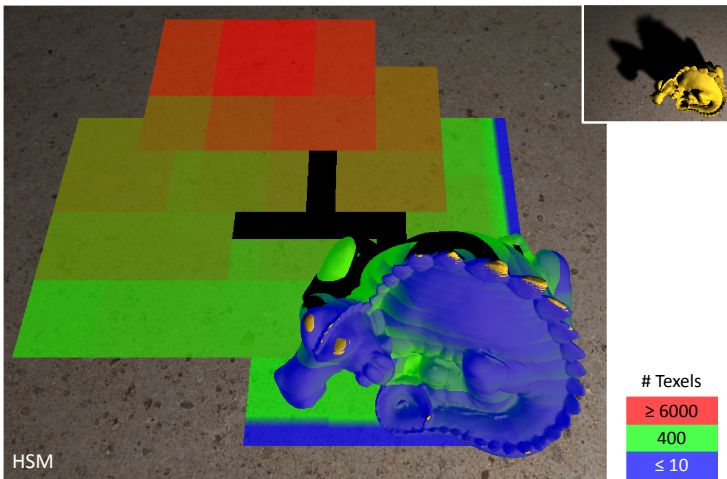
- Hybrid between HSM and MSSM
 - Pyramid (HSM) for finest levels
 - Stack (MSSM) for remaining ones



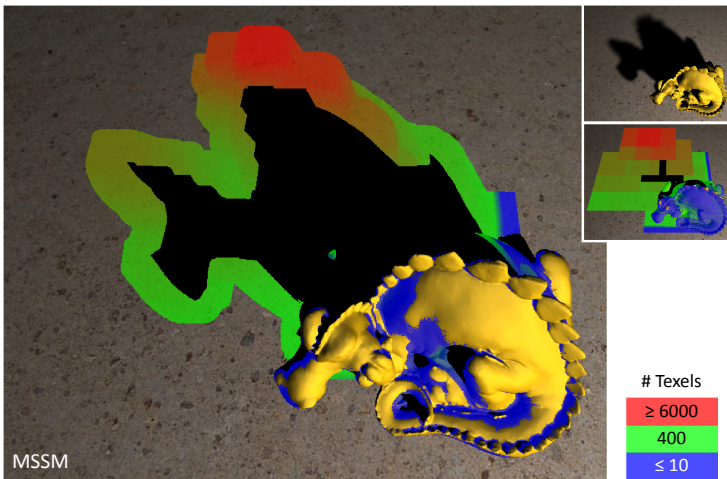
Acceleration Structures



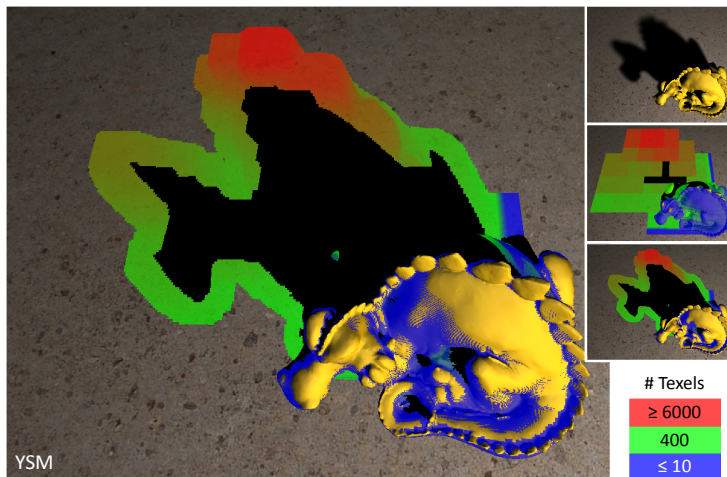
Acceleration Structures



Acceleration Structures



Acceleration Structures



Hierarchical Occluder Construction

- HSM is a quadtree constructed over shadow map
 - Traverse this tree to identify and process relevant micropatches

[Dmitriev, 2007: "Soft Shadows", NVIDIA WP-03016-001_v01]
- MSSM is essentially a forest of quadtrees
 - Hierarchically extract occluder contours

[Yang et al., 2009: "Packet-based Hierarchal Soft Shadow Mapping"]

Acceleration

- Avoid useless computations
 - Multi-scale representations
 - Search area pruning
 - Hierarchical occluder construction
- Adapt accuracy
 - Micro-occluder subsampling
 - Coarser occluder approximations
 - Subsampling in screen space

Coarser Occluder Approximations

- Goal: Limit number of processed shadow map texels
- Approach: Use coarser-resolution shadow map
 - Can take appropriate HSM level (min channel)
 - Level selection is done per receiver point
 - Correct depth bias?
- May lower visual quality
 - Decreased smoothness and detail in the shadow shape
 - Changes in shadow region size



Coarser Occluder Approximations



Level 0

Coarser Occluder Approximations



Level 1

Coarser Occluder Approximations



Level 2

Coarser Occluder Approximations



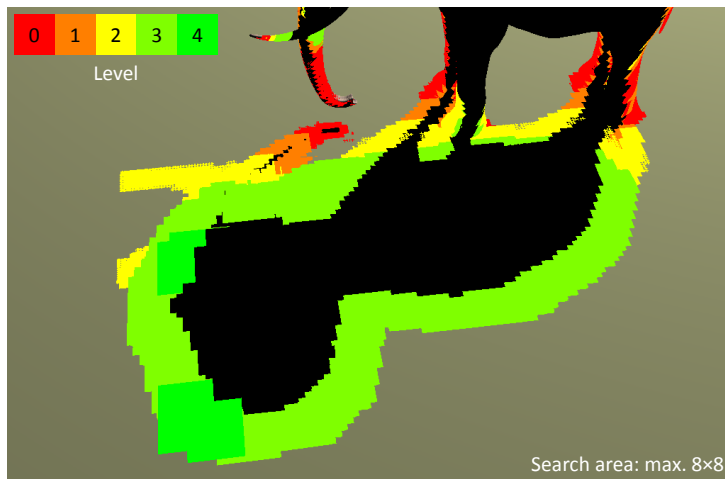
Level 3

Coarser Occluder Approximations

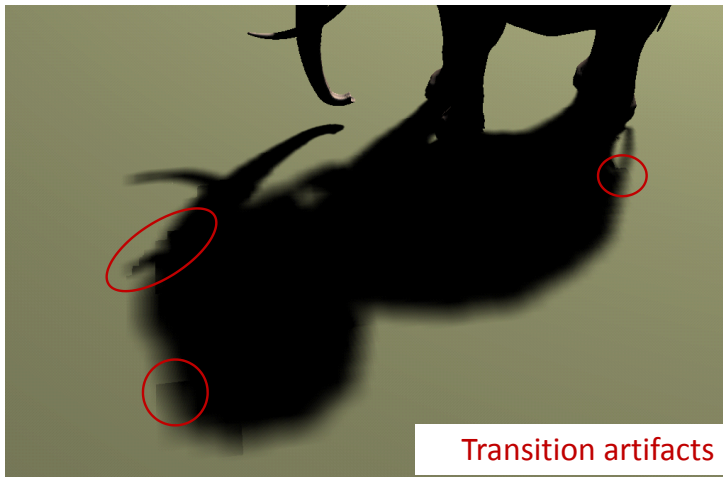


Level 4

Coarser Occluder Approximations



Coarser Occluder Approximations

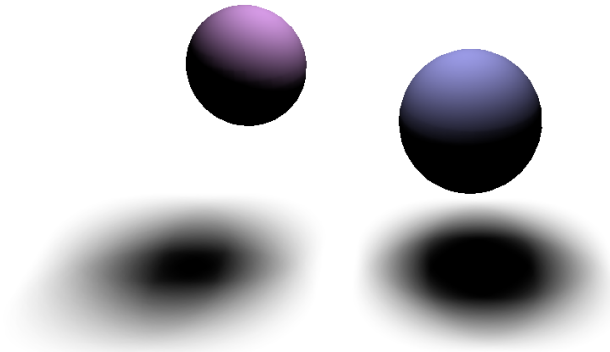


Coarser Occluder Approximations



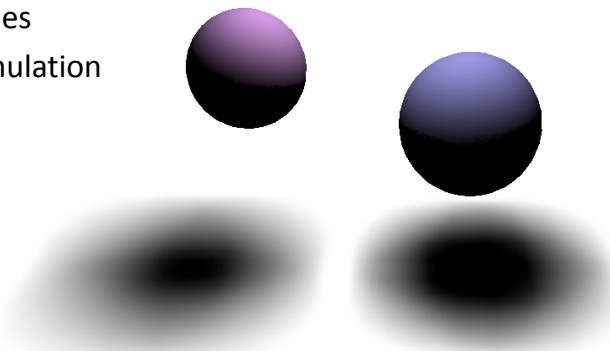
Example

- Reference



Example

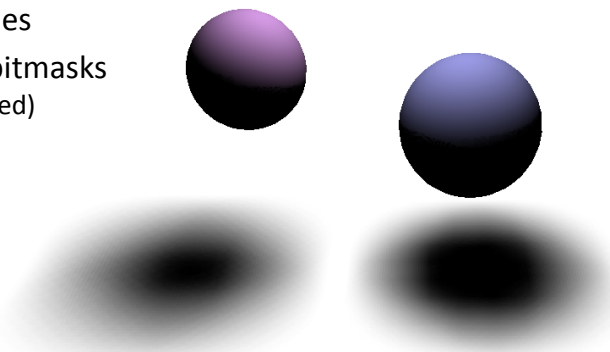
- Search area: max. 20×20
- Micropatches
- Area accumulation
- YSM



105 fps
(1024×1024, GeForce GTX 285)

Example

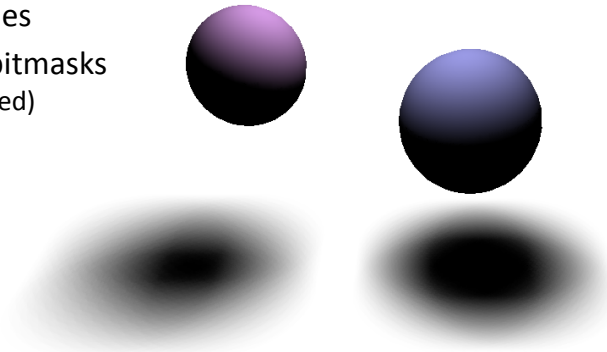
- Search area: max. 20×20
- Micropatches
- Occlusion bitmasks
(16×16, jittered)
- YSM



51 fps
(1024×1024, GeForce GTX 285)

Example

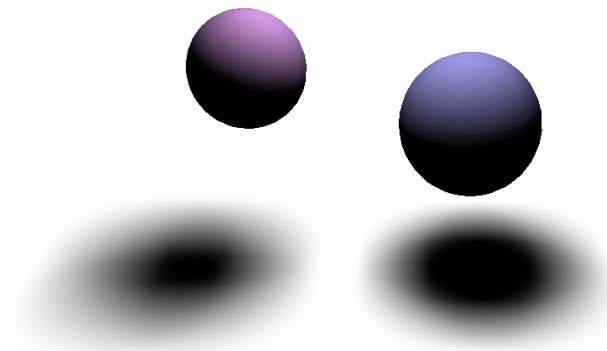
- No imposed search area bound
- Micropatches
- Occlusion bitmasks (16×16, jittered)
- YSM



< 1 fps
(1024×1024, GeForce GTX 285)

Example

- Reference again



Soft Shadow Mapping

- + Physically plausible
- + Rather high quality at real-time frame rates possible
- Performance strongly dependent on
 - Search area size
 - Number of pixels requiring backprojection
- Uses only approximation of subset of occluders
 - Typically those visible from the light source's center
- Usually all gaps are closed invariably

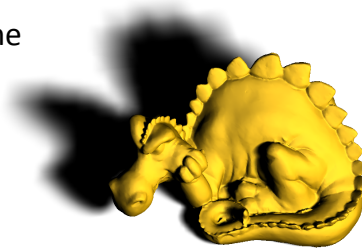
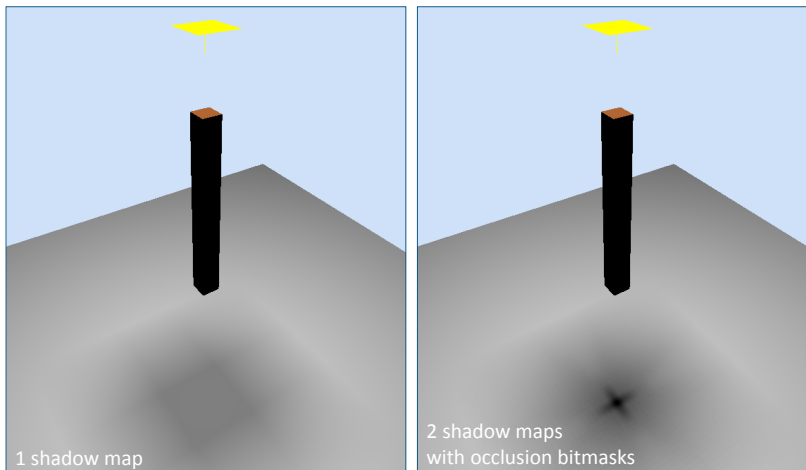


Image-based Approaches

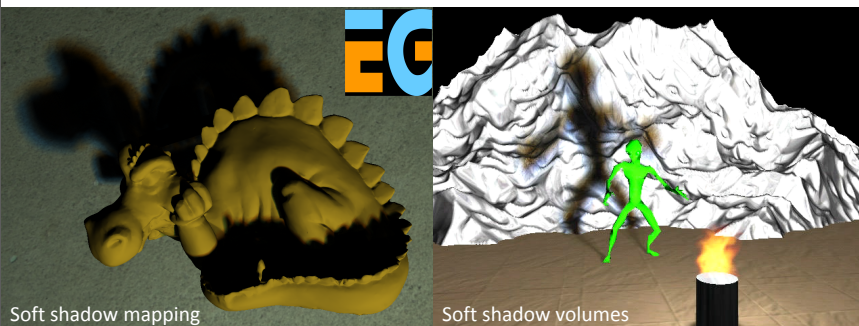
- Percentage-closer soft shadows
- Occlusion textures
- Soft shadow mapping
- Use sampled representation of (subset of) occluders
 - + Easily supports versatile geometry
 - Limits accuracy
- Alternative: **Geometry-based** representation
 - + Can be more accurate and avoids aliasing problems
 - Approaches typically slower

Some vs. All Occluders



Occluder Backprojection

- Identifies part of light source blocked by occluder
 - And thus whether occluder blocks light at all!
- Enables support for textured light sources



Point Sampling of Light Area

- Enables correct occluder fusion
- Enables evaluating direct area lighting equation via Monte-Carlo integration
- Can lead to discretization artifacts
 - Use a sufficiently high number of well-distributed samples



Soft Shadows – Geometry Based Approaches

By Ulf Assarsson

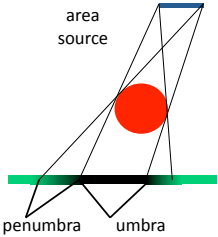
Tutorial Shadow Algorithms for Real-time Rendering eurographics 2010

Geometry Based Approaches

Related algorithms:

- *Soft Planar Shadows Using Plateaus* [Haines 2001]
- *Penumbra Maps* [Wyman and Hansen 03]
- *Smoothies* [Chan and Durand 03]
- *Penumbra Wedges* [Akenine-Möller and Assarsson 02]
- *Soft Shadow Volumes* [Assarsson and Akenine-Möller 03]

Idea:



area source

penumbra umbra

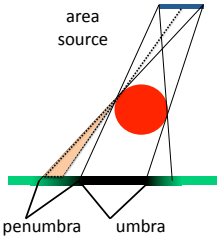
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- *Penumbra Wedges* [Akenine-Möller and Assarsson 02]
- *Soft Shadow Volumes* [Assarsson and Akenine-Möller 03]

Idea:

Outer penumbra

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Geometry Based Approaches

Related algorithms:

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- *Penumbra Maps* [Wyman and Hansen 03]
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- *Soft Shadow Volumes* [Assarsson and Akenine-Möller 03]

Idea:

Outer penumbra Umbra from shadow map

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Geometry Based Approaches

Related algorithms:

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Outer penumbra Umbra from shadow map

Images by Wyman and Hansen 2003

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Geometry Based Approaches

Related algorithms:

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- *Penumbra Wedges* [Akenine-Möller and Assarsson 02]
- *Soft Shadow Volumes* [Assarsson and Akenine-Möller 03]

Idea:

Using whole penumbra region (inner + outer)

area source

penumbra umbra

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Geometry Based Approaches

Related algorithms:

- *Soft Planar Shadows Using Plateaus* [Haines 2001]
- *Penumbra Maps* [Wyman and Hansen 03]
- *Smoothies* [Chan and Durand 03]
- *Penumbra Wedges* [Akenine-Möller and Assarsson 02]
- *Soft Shadow Volumes* [Assarsson and Akenine-Möller 03]

Idea:

Using whole penumbra region (inner + outer)

area source

point source

penumbra Umbra from shadow volumes

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Geometry Based Approaches

Related algorithms:

- *Soft Planar Shadows Using Plateaus* [Haines 2001]
- *Penumbra Maps* [Wyman and Hansen 03]
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- *Penumbra Wedges* [Akenine-Möller and Assarsson 02]
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Idea:

Using whole penumbra region (inner + outer)



area source

penumbra Umbra from shadow volumes

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Outer Penumbra methods

- Penumbra Maps [Wyman and Hansen 03]
- Smoothies [Chan and Durand 03]
- Idea:
 - Add soft shadows by extending shadow map outwards



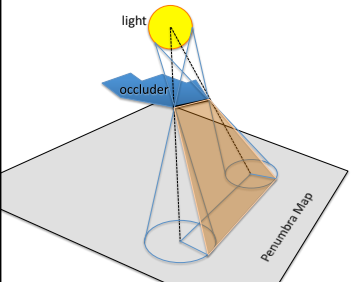
Shadow Map Penumbra Map/Smoothie buffer

Images by Wyman and Hansen 2003

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Outer Penumbra methods

- Penumbra Maps:



light

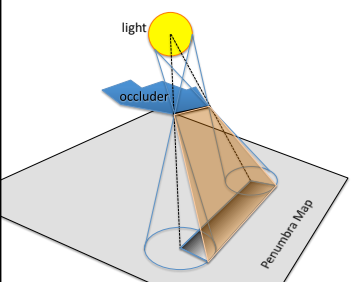
occluder

Penumbra Map

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Outer Penumbra methods

- Penumbra Maps:



light

occluder

Penumbra Map

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Outer Penumbra methods

■ Penumbra Maps:

$$\%Shadow = \frac{z_{rec} - z_{tri}}{z_{rec} - z_{occl}}$$

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Outer Penumbra methods

■ Penumbra Maps:

$$\%Shadow = \frac{z_{rec} - z_{tri}}{z_{rec} - z_{occl}}$$

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Outer Penumbra methods

■ Penumbra Maps:

- For every screen space pixel
 - Lookup soft shadow value in Penumbra Map
 - Lookup hard shadow value in shadow map

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Outer Penumbra methods

- Smoothies:
 - For each silhouette edge
 - Extend a fin (always same extent)

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Outer Penumbra methods

- Smoothies:
 - For each silhouette edge
 - Extend a fin (always same extent)
 - Fin has cosine-falloff shading to simulate soft shadow
 - Render fin to Smoothie Buffer
 - And at the same time: scale its falloff with

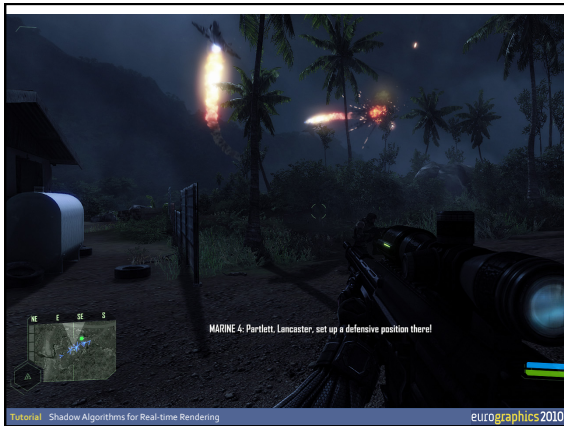
$$falloff = falloff * \left(\frac{z_{occl}}{z_{rec}} > 1 \right) ? 1 : \frac{1}{1 - \frac{z_{occl}}{z_{rec}}}$$

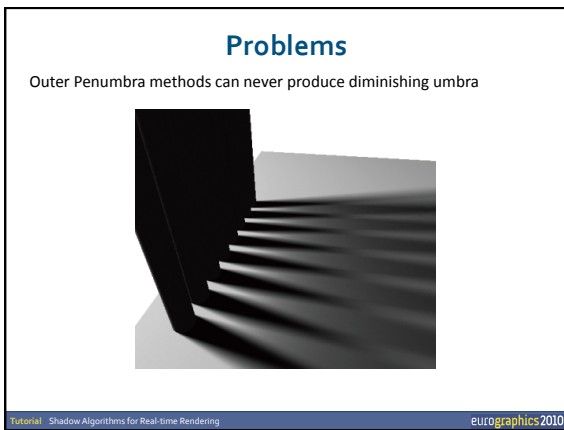
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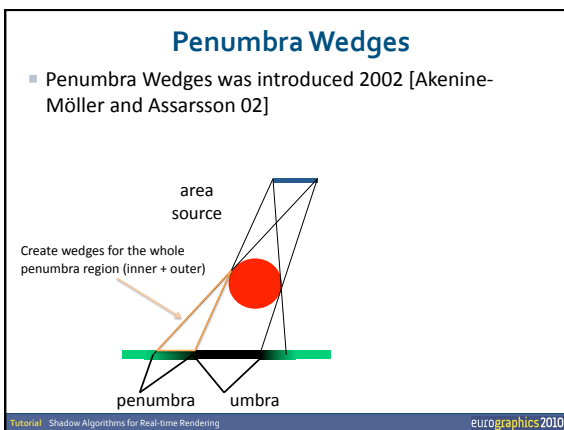
Outer Penumbra methods

- Smoothies:
 - Finally, render scene from camera
 - For each pixel
 - If $z_{pixel} > z_{occl}$
 - lookup soft shadow value in Smoothie buffer

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Penumbra Wedges

- Apply soft shadow value by linear interpolation inside wedge
 - Wedge rasterization done in software

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Penumbra Wedges

■ Simple example:

eurographics 2010


Penumbra Wedges


- Caveat:
 - Separating side plane
- How treat overlapping wedges?

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Penumbra Wedges

- Silhouettes are complex





An edge with a front-facing and a back-facing adjacent triangle is considered a silhouette edge

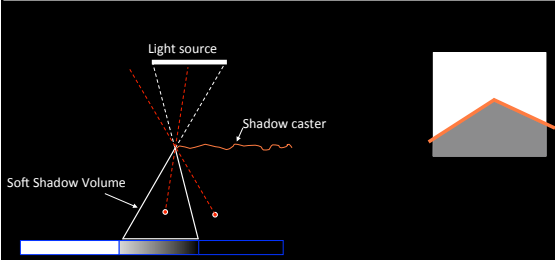
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Soft Shadow Volumes

- Soft Shadow Volumes was introduced 2003
 - [Assarsson and Akenine-Möller 03]
- Idea:
 - Every edge has an influence region enclosed by its wedge
 - Wedges are allowed to overlap
 - Somehow make a wedge's shadow contribution dependent only on its edge and not its edge's neighbors
 - Solution: Compute occluded light source's area by integrating area from the silhouette edges (Green's Theorem)

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What's a Soft Shadow Volume?

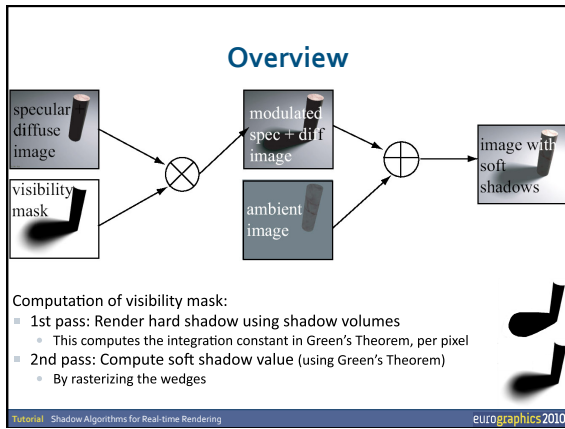




Soft Shadow Volume =

- A. Volume from which an edge projects onto the light source
- B. Region of penumbra caused by an edge

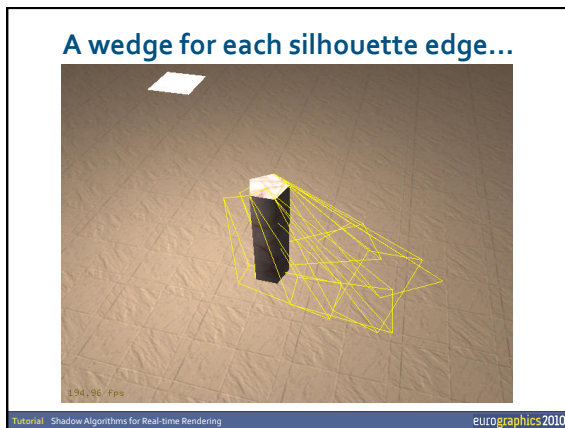
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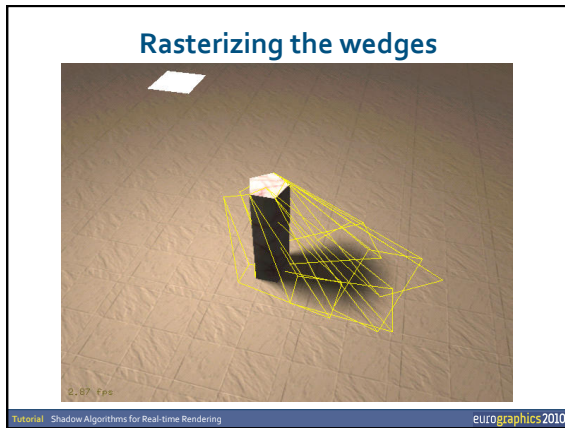


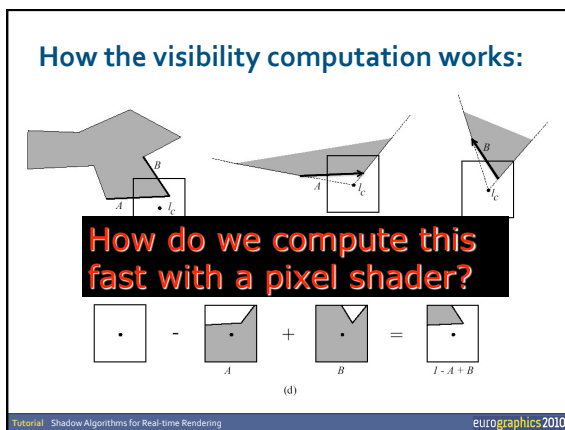
Wedges

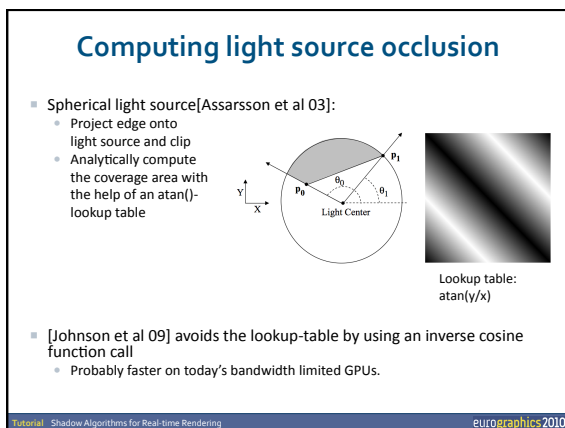
- Each silhouette edge has a corresponding wedge
 - Provides a piece of penumbra contribution
 - Rasterized by a pixelshader
- Example...

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Artifacts and a Solution

Two major approximation:

- Assume constant silhouette
 - I.e., one single silhouette as seen from all locations on the light source
- Ignore overlap between occluders

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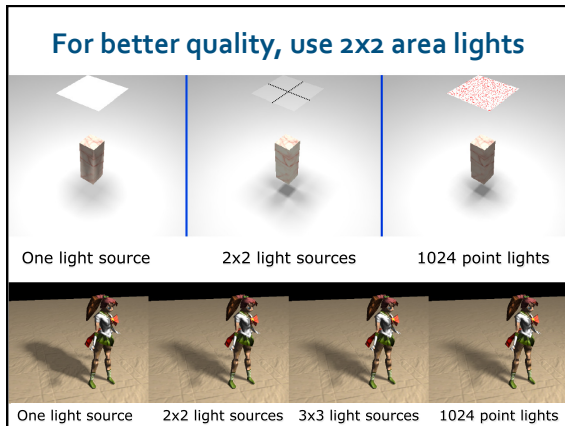
For better quality, use 2x2 area lights

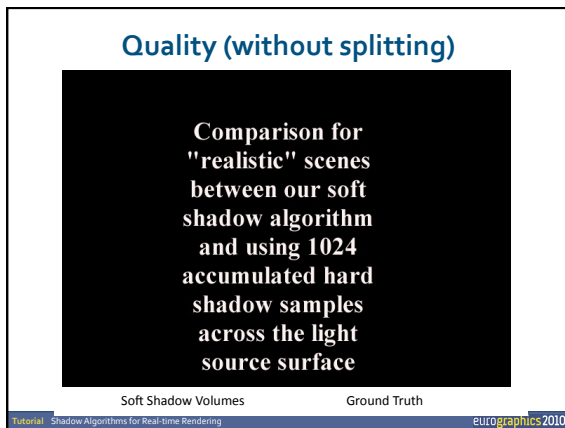
One light source 2x2 light sources 1024 point lights

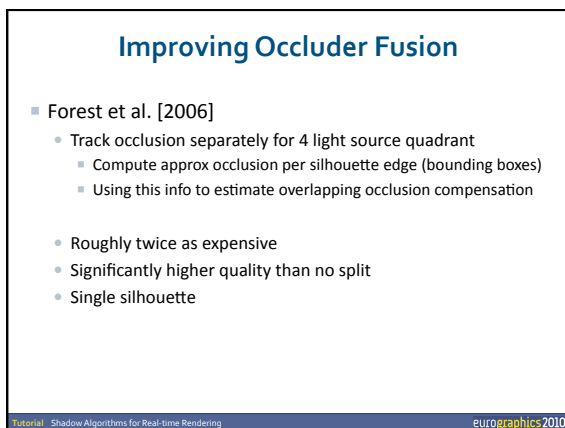
For better quality, use 2x2 area lights

One light source 2x2 light sources 1024 point lights

One light source 1024 point lights







Correct Depth Complexity Sampling

Soft Shadow Volumes with correct depth complexity sampling was introduced for Ray Tracing in 2005 [Laine et al. 05] and improved 2006 [Lehtinen et al. 06]

It features:

- Exact silhouettes
- Depth counters

Tutorial Shadow Algorithms for Real-time Rendering

Soft Shadow Volumes for Ray Tracing - overview

- Replace the shadow rays
 - With soft shadow volume computations
 - Plus one reference shadow ray

lots of shadow rays per pixel
Classic approach

One shadow ray + soft shadow volume computations
Our approach

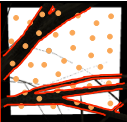
Correct Depth Complexity Sampling

Overview:

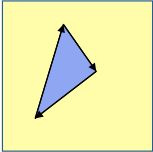
- a) A depth counter per light sample
- b) Two occluders located between point p and the light
- c) The occluders projected onto the light source from p
- d) The depth complexity function (#surface overlaps)
- e) The visibility function

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Integration: Example

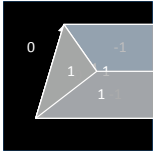


- Left-to-right integration of a triangular silhouette



Light source as seen from p

→

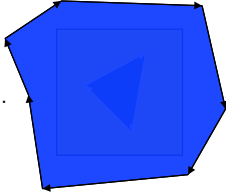


Depth complexity function

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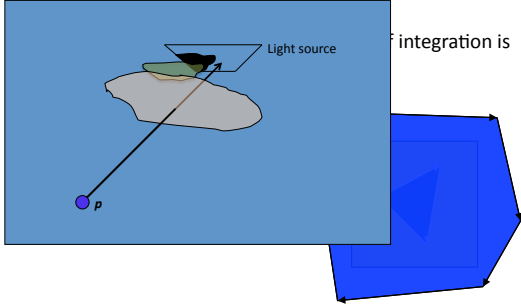
From Relative Depth Complexity to Visibility

- We are not done yet, since the constant of integration is not known → cannot solve visibility
- Solution: cast a shadow ray ray to one s_i with lowest relative depth complexity
- If blocked, all s_i are blocked
- Otherwise, all s_i with lowest rel. depth complexity are visible



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From Relative Depth Complexity to Visibility



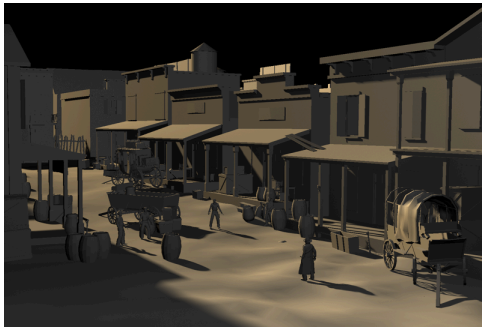
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Real-time version

- Forest et al [08]
 - Shadow volumes to measure integration constant
 - Instead of a shadow ray to the sample with lowest depth complexity, they measure the depth complexity to the center sample using Shadow Volumes (as the original SSV-algorithm [Assarsson and Akenine-Möller 03])
 - Fragment shaders handles depth complexity sampling
 - 16-64 samples
 - (The CPU-versions by [Laine05,Lehtinen06] used 256-1024 samples)
 - Single silhouette
 - Doom3-scene, 18k triangles, ~3 fps, (small penumbra), 16 samples

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Soft irregular shadow mapping: Fast, high-quality, and robust soft shadows [Johnson et al. 2009]

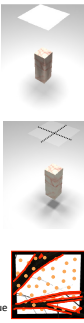


Larrabee sim: 27 fps Tutorial eurographics 2010

Pros & Cons

Options:

- **One light source with continuous (smooth) coverage value [0-1]**
 - Advantage:
 - cheapest fragment shader (16 instr [Forest et al. 06]),
 - smooth penumbra without undesirable discontinuities.
 - Disadvantage:
 - Overlapping artifact (pure additive occluder)
 - » This can easily result in too dark penumbra regions.
 - Single silhouette artifact
 - » Can lead to too bright and wide penumbra
- **Splitting light source area into four or more parts, with continuous (smooth) coverage value [0-1] :**
 - Advantage:
 - Drastically improved quality
 - Often indistinguishable from ground truth even for complicated cases
 - Disadvantage:
 - More expensive (~sqrt(#lights))
- **16-64 light samples with binary coverage values**
 - Advantage:
 - Physically accurate sample based soft shadows
 - Disadvantage:
 - An order of magnitude slower than just using one light with smooth coverage value
 - Discretization artifacts unless a huge number of samples is used
 - Higher GPU-memory cost for storing all samples during rendering



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**Soft Shadows –
Geometry Based Approaches**

By Ulf Assarsson

THE END

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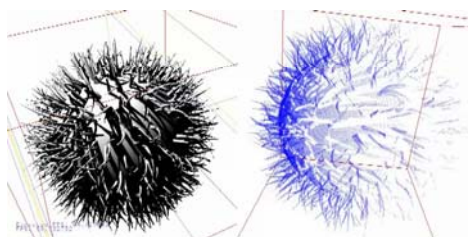
View-Sample Mapping

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Background

- View-Sample Mapping for hard shadows

Camera View Light View



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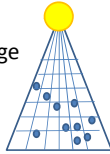
Why compute Soft Shadows with this?

- Reduce overdraw for Soft Shadow Volumes [Johnson et al. 2009]
- Compute truly accurate soft shadows [Eisemann&Décoret2007, Sintorn et al. 2008]

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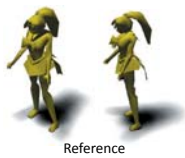
Silhouette-based Solution [Johnson et al. 2009]

- Compute Hard Shadows [Johnson et al. 2005]
- Detect silhouettes from light's center
- Test view samples against silhouette wedge
- Additive silhouette contributions [Assarsson and Akenine-Möller 2003]



Silhouette-based Solution [Johnson et al. 2009]

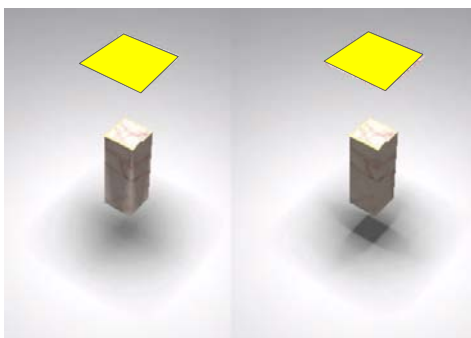
- Soft-shadow volume like shadow calculation
 - + No sampling artifacts
 - Additive Occluder fusion
 - Light center assumption



- Intelligent Mapping on Larrabee architecture

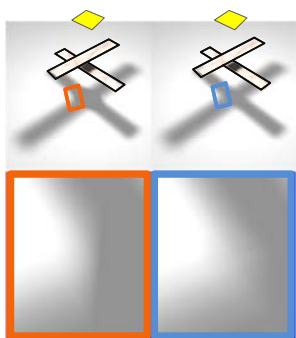
Truly Accurate Real-time Soft Shadows

Single Silhouette Problem



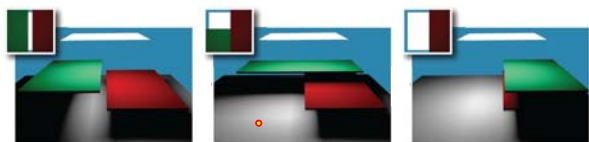
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Occluder Fusion Problem



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Occluder Fusion Problem



- What does a point see?

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Simpler Problem

- What are the shadows on the plane?

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Simpler Problem

- What are the shadows on the plane?

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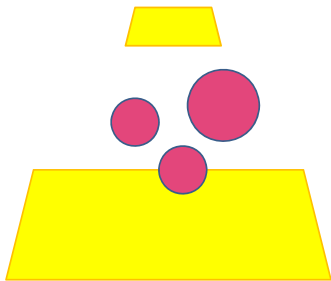
Simpler Problem

- What are the shadows on the plane?

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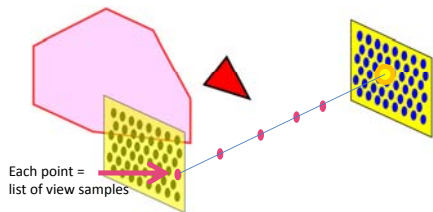
Simpler Problem

- What is the visibility between the planes?



General Scene

- Store list of view samples
- Far-Light plane = conservative distance for penumbra



- Assume a single receiver point per texel

Introduction

- Our Approach:
 - Reformulate Visibility Sampling
 - No special structures
 - Decorrelate sampling
 - Output: complete rays

▶ GPU adapted

- Applications:
 - Soft Shadows
 - Visibility visualization for level design



Visibility Sampling on the GPU [Eisemann&Décoret07]

- Compute **blocked samples**

$$B_j := \{S_i | \exists k [S_i, R_j] \cap T_k \neq \emptyset\}$$

Principle

- Compute **blocked samples**

$$B_j := \{S_i | \exists k [S_i, R_j] \cap T_k \neq \emptyset\}$$

$$\forall i \forall j \forall k [S_i, R_j] \cap T_k \neq \emptyset$$

Principle

Raytracing

$$\forall i \forall j \forall k [S_i, R_j] \cap T_k \neq \emptyset$$

Shadow/Occlusion Mapping

$$\forall k \forall i \forall j [S_i, R_j] \cap T_k \neq \emptyset$$

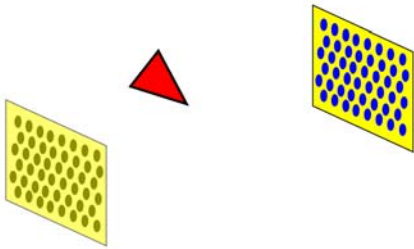
Treat triangles separately

Info available in a vertex/geometry/fragment

See [LA05]

Principle

- Basic algorithm



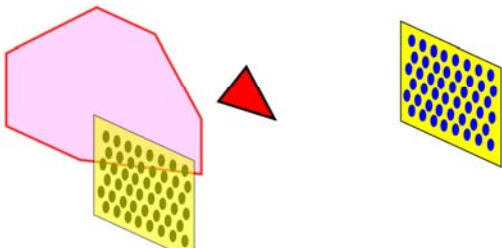
Two patches with samples and occluding triangle

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The diagram illustrates a scene with a red triangle in the center. To its left is a yellow rectangular patch containing a grid of green circular samples. To its right is another yellow rectangular patch containing a grid of blue circular samples. The red triangle is positioned between the two patches, partially overlapping the left one.

Principle

- Basic algorithm



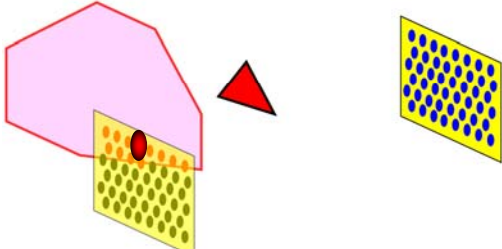
Consider one patch as source and find penumbra region

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The diagram shows a pink polygon on the left. A yellow rectangular patch with a grid of green samples is positioned in front of the bottom part of the polygon. A red triangle is to the right of the patch, partially overlapping it. To the right of the triangle is a yellow rectangular patch with a grid of blue samples.

Principle

- Basic algorithm



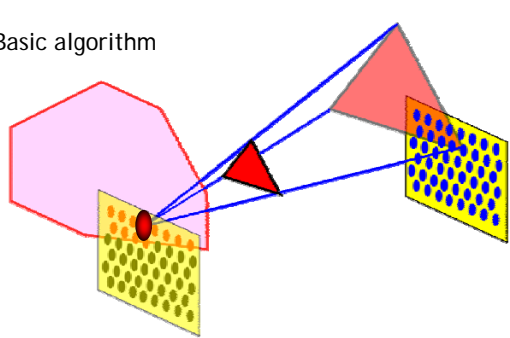
Each sample in penumbra is treated

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The diagram is similar to the previous one, but with a red dot placed on one of the green samples in the yellow patch. This red dot is located in the area where the patch is partially obscured by the pink polygon and the red triangle, representing a sample in the penumbra.

Principle

- Basic algorithm



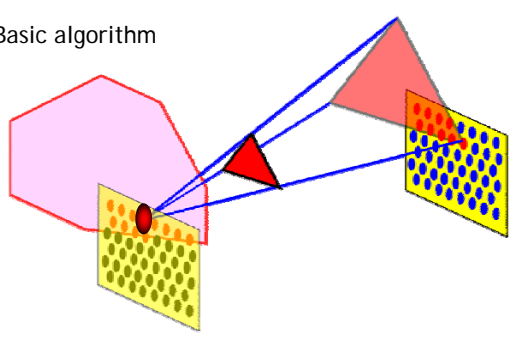
Backproject triangle from sample point

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The diagram illustrates the backprojection step of shadow ray casting. A pink polygon is on the left, and a yellow grid with green dots is in the foreground. A red dot on the grid is the sample point. A red triangle is in the background. Blue lines represent rays originating from the sample point and passing through the triangle. A yellow grid with blue dots is on the right, representing the shadow plane.

Principle

- Basic algorithm



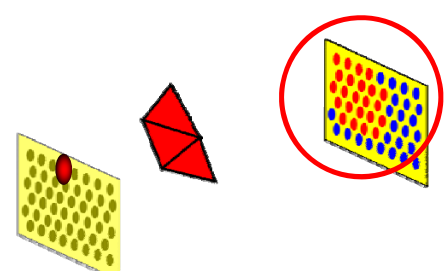
Determine blocked samples

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This diagram shows the process of identifying blocked samples. The scene is similar to the first slide, but the yellow grid on the right now has some red dots, indicating samples that are blocked by the foreground object (the pink polygon).

Principle

- Basic algorithm



Accumulate over all triangles

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The diagram shows the final accumulation step. The foreground grid has green dots, and the background grid has a mix of red and blue dots. A red circle highlights the background grid, indicating that shadows from all visible triangles are being accumulated onto the shadow plane.

Our approach

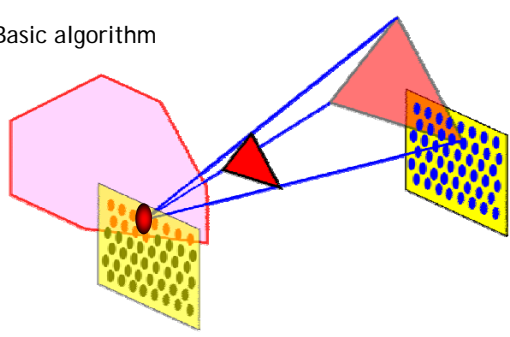
Overview:

Vertex/Geom Shader	Find influence region
	Backproject triangle
Fragment Shader	Determine blocked samples
Blending	Accumulate over all triangles

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Where are we?

- Basic algorithm

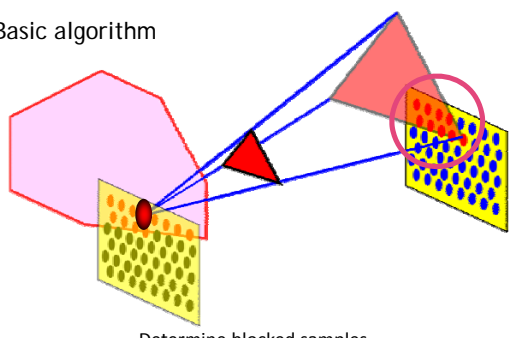


Backproject triangle from sample point

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Where are we?

- Basic algorithm

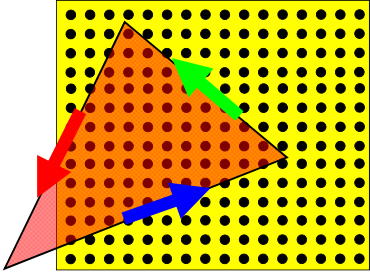


Determine blocked samples

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Blocked Samples

- The problem is 2D:

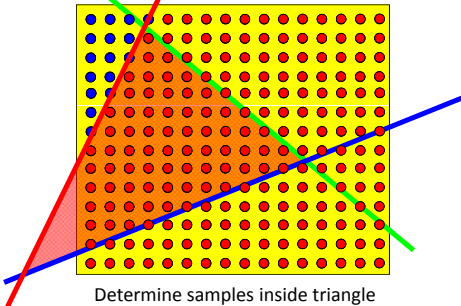


Determine samples inside triangle

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Blocked Samples

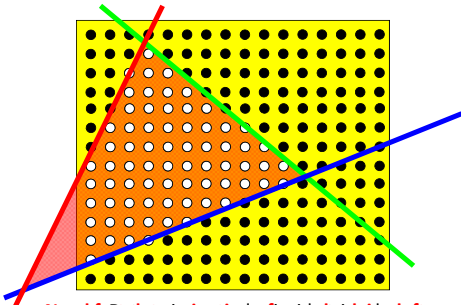
- Determine samples lying left of edge:



Determine samples inside triangle

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Blocked Samples



Need fast determination of samples inside triangle left

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Hough Transformation

- Dual line representation:

$$L_{\theta\rho}(\alpha) = (\cos \theta, \sin \theta) \alpha + \rho (-\sin \theta, \cos \theta)$$

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Hough Transformation

- Dual line representation:

$$L_{\theta\rho}(\alpha) = (\cos \theta, \sin \theta) \alpha + \rho (-\sin \theta, \cos \theta)$$

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Hough Transformation

- Dual line representation:

$$L_{\theta\rho}(\alpha) = (\cos \theta, \sin \theta) \alpha + \rho (-\sin \theta, \cos \theta)$$

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Hough Transformation

- Dual line representation:

$$L_{\theta\rho}(\alpha) = (\cos \theta, \sin \theta) \alpha + \rho (-\sin \theta, \cos \theta)$$

The diagram shows a red line in the alpha space with a yellow dot representing a point. A blue dot at the origin is also shown. To the right, a graph of rho vs theta shows a red curve with a peak at the same theta value as the line's normal vector.

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Hough Transformation

- Dual line representation:

$$L_{\theta\rho}(\alpha) = (\cos \theta, \sin \theta) \alpha + \rho (-\sin \theta, \cos \theta)$$

Goal: LU table for many samples

Lines such that point lies to the left

The diagram shows a blue line and a red line in the alpha space. A yellow dot is on the red line. A pink circle highlights the peak in the Hough space graph.

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Lookup Table

- LU table for fast sample test:

The diagram shows a Hough space graph, a grid of points (black dots on a yellow background), and a bitmask table with columns for R, G, B, and A.

Associate a bit of a bitmask per sample

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Lookup Table

- LU table for fast sample test:

ρ

θ

Bitmask

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Lookup Table

- Store an unlimited space in a texture:

ρ

θ

Dual Space

- Periodic in angle
- Clamp if *distance* > *patch size*
(patch center = origin)

origin

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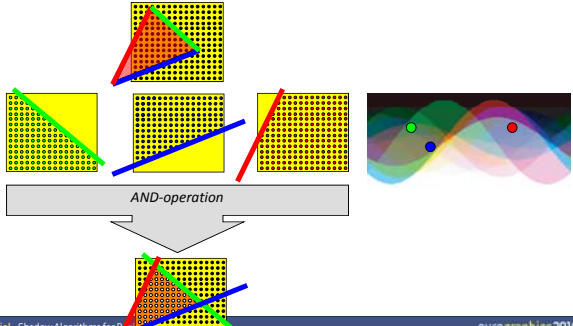
Lookup Table stored as Texture

- **Clamp mode** for **distance**
(virtually scale patch to uniform size)
- **Repeat mode** for **angle**
- Binary info: (**128 bits per texture** ~1024=8*128 possible)
„one lookup“ = result for all samples

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Blocked Samples in Triangle

- Combining edges is a simple AND-operation



The diagram illustrates the process of combining edges using an AND-operation. It shows a grid of yellow samples with a triangle overlaid. An arrow labeled 'AND-operation' points to a resulting image where the triangle's edges are highlighted in red and blue, and the interior is filled with a pattern of colored dots. A small inset image shows a scene with a green circle, a blue circle, and a red circle, representing different regions or samples.

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Accurate Real-Time Shadows



The screenshot shows a 3D rendered scene with several characters on a white, curved surface. The characters are casting shadows on the surface, demonstrating accurate real-time shadow rendering. The background is a dark blue sky.

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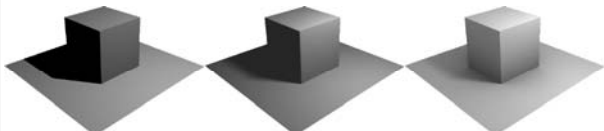
Accurate View-Sampling Methods

- + Fastest solution for accurate soft shadows
- + Artifact free
- + Physically correct (enough)
- Relatively slow

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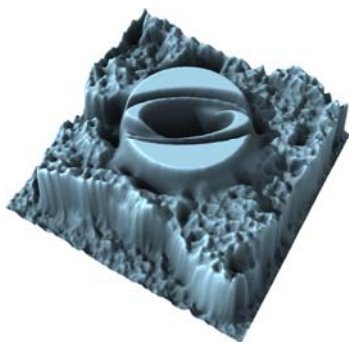
What will happen in the future?

- Soft shadows will “extend”
- More general sources:
 - Entire environments
 - Many surfaces
 - Many point lights
 - ...



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Shadows will still be needed!



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Environmental Lighting

- Environment lighting received much attention
- Two examples:
- All-frequency Soft Shadows [Annen et al. 2008]
 - Ambient Occlusion [Miller 1994]

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All-frequency Soft Shadows [Annen et al. 2008]

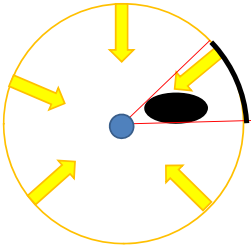
- General option:
 - Approximate environment map with several area lights



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Ambient Occlusion [Miller 1994]

- Simpler Situation: Uniform lighting from environment

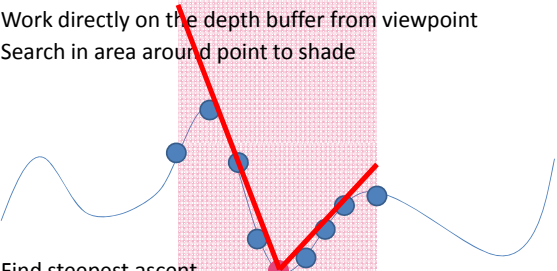


- Costly if solved via ray-tracing, alternatives exist see survey: [Méndez-Feliu and Sbert, 2009]

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Screen-Space Ambient Occlusion [Crytek2007, Bavoil&Sainz2008]

- Work directly on the depth buffer from viewpoint
- Search in area around point to shade



- Find steepest ascent
-> defines cone that in turn defines light accessibility

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Screen-Space Ambient Occlusion

- + Surprisingly good results
- + Relatively fast
- Inconsistencies

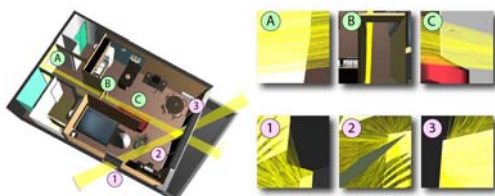


Environmental Lighting: Summary

- Uniform illumination: simple solutions work well
 - It is a good complement to soft shadows
- Complex lights: Next big challenge
- New applications for visibility algorithms?

E.g., Level Design based on Visibility

- [Eisemann and Décoret EG 2007]



Now, it is up to you...

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**Thank you very much
for your attention!**

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- **T. Annen, P. Beckaert, Z. Dong, E. Flerakers, J. Kautz, T. Mertens, H.-P. Seidel** for providing figures and animations that helped illustrate PCF, CSM, and ESM
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- **L. Baboud** for the height-field illustration
- **Betuca** for the dessert shadow photos
- **H. Bezerra** for discussions and support

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Thank you very much
for your attention!
