



Analyzing the Performance of Lock-Free Data Structures: A Conflict-based Model

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Motivation

Lock-free Data Structures:

- Literature and industrial applications (Intel's Threading Building Blocks Framework, Java concurrency package)
- Limitations of their lock-based counterparts: deadlocks, convoying and programming flexibility
- Provide high scalability

Motivation

Lock-free Data Structures:

- Literature and industrial applications (Intel's Threading Building Blocks Framework, Java concurrency package)
- Limitations of their lock-based counterparts: deadlocks, convoying and programming flexibility
- Provide high scalability
- Framework to characterize the scalability:
 - Facilitate the lock-free designs
 - Rank implementations within a fair framework



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1	Initialization();	
2 3	while / done do Parallel_Work(); while / success do	<pre>/* Application specific code, conflict-free */</pre>
4 5	current \leftarrow Read(AP):	
6 7	$\begin{array}{l} new \leftarrow Critical_Work(current);\\ success \leftarrow CAS(AP, current, new); \end{array}$	



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Inputs of the analysis:

- Platform parameters: CAS and Read Latencies, in clock cycles
- Algorithm parameters:
 - Critical Work and Parallel Work Latencies, in clock cycles
 - Total number of threads

Overview



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Executions Under Contention Levels



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Impacting Factors



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Logical Conflicts: (*f*)-**Cyclic Executions**

- Periodic: every thread is in the same state as one period before
- Shortest period contains exactly 1 successful attempt and exactly f fails per thread

Inevitable and Wasted Failures



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Hardware Conflicts: CAS Expansion



- Input: P_{rl} threads already in the retry loop
- A new thread attempts to CAS during the retry (Read + Critical_Work + e(P_{rl}) + CAS), within a probability h:

$$\rightsquigarrow e(P_{rl} + h) = e(P_{rl}) + h \times \int_0^{retry} \frac{cost(t)}{retry} dt$$

Throughput: Combining Impacting Factors

Input: P_{rl} (Average number of threads inside retry loop)

- 1. Calculate expansion: $e(P_{rl})$
- 2. Compute amount of work in a retry:

 $Retry = Read + Critical_Work + e(P_{rl}) + CAS$

3. Estimate number of logical conflicts:

LogicalConflicts(Retry, Parallel_Work, Threads)

 \rightsquigarrow Average number of threads inside the retry loop

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Convergence via fixed point iteration

Results: Synthetic Tests



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Back-off Optimization: Michael-Scott Queue



Type Exponential Linear New None

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- ▶ Focus on the cases where parallel work is constant
- An approach based on the estimation of logical and hardware conflicts
- Validate our model using synthetic tests and several reference data structures
- Linear combination of retry loops

Results: Treiber's Stack





Discussion



Case - Av. Fails per Success ---- Model Average --- Normalized Throughput

