

Nested induction and coinduction

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Introduction

- ▶ Programming: Introducing hand-crafted types.
- ▶ Mathematics/logic: Defining sets/types.

Introduction

- ▶ Induction: Finite.
- ▶ Coinduction: (Potentially) infinite.
- ▶ Combinations.
Example: Liveness properties.

Induction

Induction

Rough idea

Values of a certain type are constructed by applying certain rules. The rules must only be applied a finite number of times.

Example: The natural numbers

- ▶ 0, 1, 2, 3, ...
- ▶ Two rules:

$$\frac{}{0 : \mathbb{N}}$$

$$\frac{n : \mathbb{N}}{1 + n : \mathbb{N}}$$

Example: The natural numbers

Two *constructors*, zero and successor:

$$\frac{}{\text{zero} : \mathbb{N}}$$

$$\frac{n : \mathbb{N}}{\text{suc } n : \mathbb{N}}$$

Example: The natural numbers

Two *constructors*, zero and successor:

$$\frac{}{\text{zero} : \mathbb{N}} \qquad \frac{n : \mathbb{N}}{\text{suc } n : \mathbb{N}}$$

zero
suc zero
suc (suc zero)
suc (suc (suc zero))
⋮

Example: Finite lists

$$\frac{}{\text{nil} : \textit{List } A} \qquad \frac{x : A \quad xs : \textit{List } A}{\text{cons } x \ xs : \textit{List } A}$$

nil
cons 0 nil
cons 1 nil
cons 0 (cons 1 nil)
⋮

Writing programs

Destruction

program : *Inductive* \rightarrow *Whatever*

Values in inductive types can be destructed using *iteration*, in which each constructor is uniformly replaced by a (total) function.

Writing programs

Destruction

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`cons 1 (cons 2 (cons 3 nil))`

\Downarrow

`add 1 (add 2 (add 3 0))`

Writing programs

Destruction

program : *Inductive* \rightarrow *Whatever*

Values in inductive types can be destructed using *iteration*, in which each constructor is uniformly replaced by a (total) function.

`cons 1 (cons 2 (cons 3 nil))`

\Downarrow

`1 + (2 + (3 + 0))`

Example: Destructuring a list

$sum : List \mathbb{N} \rightarrow \mathbb{N}$

$sum \text{ nil} = 0$

$sum (\text{cons } x \ xs) = x + sum \ xs$

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$sum (\underline{\text{cons}} \ 5 \ (\text{cons } 3 \ \text{nil})) =$

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$5 + (3 + 0) =$

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Iteration

Scheme for lists:

$$f : List\ A \rightarrow X$$

$$f\ \mathit{nil} = n$$

$$f\ (\mathit{cons}\ x\ xs) = c\ x\ (f\ xs)$$

$$f\ (\mathit{cons}\ 5\ (\mathit{cons}\ 3\ \mathit{nil})) = \\ c\ 5\ (c\ 3\ n)$$

Example: Destructuring a list

$primes : List \mathbb{N} \rightarrow List \mathbb{N}$

$primes \text{ nil} = \text{nil}$

$primes (\text{cons } x \text{ } xs) =$
 if $prime \ x$ **then** $\text{cons } x \ (primes \ xs)$
 else $primes \ xs$

Non-example: Destructuring a list

$bad : List\ A \rightarrow \mathbb{N}$

$bad\ nil = 0$

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\vdots

Non-termination

- ▶ Especially bad in (certain) logics: $2 + 2 = 5$.
- ▶ Iteration guarantees termination.
- ▶ Iteration can be awkward.
Many other recursion schemes exist.

Induction

Inductive definitions are very common in computer science:

- ▶ Data types (functional programming).
- ▶ Predicates used to state program correctness: “the list xs contains only primes”.
- ▶ Semantics (meaning) of programs.
- ▶ Syntax of programs.
- ▶ Type systems.
- ▶ ...

Coinduction

Coinduction

Dual to induction:

	Induction	Coinduction
Basic concept	Constructors	Destructors
Programs	Destruct values (recursion)	Construct values (corecursion)

Coinduction

Rough idea

Values of a certain type are *deconstructed* by applying certain rules. The rules must only be applied a finite number of times.

Example: Infinite streams

Two *destructors*, head and tail:

$$\frac{xs : \textit{Stream } A}{\text{head } xs : A}$$

$$\frac{xs : \textit{Stream } A}{\text{tail } xs : \textit{Stream } A}$$

$$\text{head } xs = 0$$

$$\text{head } (\text{tail } xs) = 1$$

$$\text{head } (\text{tail } (\text{tail } xs)) = 2$$

⋮

$$xs = 0, 1, 2, \dots$$

Writing programs

Construction

program : *Whatever* \rightarrow *Coinductive*

Values in coinductive types can be constructed using *coiteration*, in which each destructor is uniformly replaced by a (total) function.

Coiteration

Scheme for streams:

$$f : X \rightarrow \text{Stream } A$$

$$\text{head } (f \ x) = h \ x$$

$$\text{tail } (f \ x) = f \ (t \ x)$$

$$\text{head } (\text{tail } (\text{tail } (f \ x))) =$$
$$h \ (t \ (t \ x))$$

Example: Constructing a stream

$nats : \mathbb{N} \rightarrow Stream \mathbb{N}$

head $(nats\ n) = n$

tail $(nats\ n) = nats\ (1 + n)$

$nats\ n = n, 1 + n, 2 + n, \dots$

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head ($nats\ (1 + (1 + 0))$) =
1 + (1 + 0)

Example: Constructing a stream

$inc : Stream \mathbb{N} \rightarrow Stream \mathbb{N}$

$head (inc \ xs) = 1 + head \ xs$

$tail (inc \ xs) = inc (tail \ xs)$

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$1 + head (tail (nats \ 0)) =$

$1 + 1$

Non-example: Constructing a stream

$bad : Stream \mathbb{N}$

$head\ bad = 0$

$tail\ bad = tail\ bad$

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Non-example: Constructing a stream

$bad : Stream \mathbb{N}$

$head\ bad = 0$

$tail\ bad = tail\ bad$

$head\ (\underline{tail}\ bad) =$

$head\ (\underline{tail}\ bad) =$

\vdots

Coinduction using constructors

Coinduction

Rough idea

Values of a certain type are constructed by applying certain rules.

Example: Infinite streams

$$\frac{x : A \quad xs : \textit{Stream } A}{\text{cons } x \ xs : \textit{Stream } A}$$

cons 0 (cons 1 (cons 2 (cons 3 ...)))
cons 2 (cons 3 (cons 5 (cons 7 ...)))
⋮

Destructors

head (**cons** x xs) = x
tail (**cons** x xs) = xs

Coiteration

Scheme for streams:

$$\begin{aligned} f &: X \rightarrow \text{Stream } A \\ f x &= \text{cons } (h x) (f (t x)) \end{aligned}$$

$$\begin{aligned} f x & & = \\ \text{cons } (h x) (f (t x)) & & = \\ \text{cons } (h x) (\text{cons } (h (t x)) (f (t (t x)))) & = \\ \vdots & & \end{aligned}$$

Coiteration

Productivity

It is always possible to compute the next constructor in a finite number of steps.

Example: Constructing a stream

$nats : \mathbb{N} \rightarrow Stream \mathbb{N}$

$nats\ n = \mathbf{cons}\ n\ (nats\ (1 + n))$

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$nats\ 0 =$

$\mathbf{cons}\ 0\ (nats\ 1) =$

Example: Constructing a stream

$nats : \mathbb{N} \rightarrow Stream \mathbb{N}$

$nats\ n = \mathbf{cons}\ n\ (nats\ (1 + n))$

$nats\ 0 =$

$\mathbf{cons}\ 0\ (nats\ 1) =$

$\mathbf{cons}\ 0\ (\mathbf{cons}\ 1\ (nats\ 2)) =$

Example: Constructing a stream

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$nats\ 0 =$

$\mathbf{cons}\ 0\ (nats\ 1) =$

$\mathbf{cons}\ 0\ (\mathbf{cons}\ 1\ (nats\ 2)) =$

$\mathbf{cons}\ 0\ (\mathbf{cons}\ 1\ (\mathbf{cons}\ 2\ (nats\ 3))) =$

Example: Constructing a stream

$nats : \mathbb{N} \rightarrow Stream \mathbb{N}$

$nats\ n = \mathbf{cons}\ n\ (nats\ (1 + n))$

$nats\ 0 =$

$\mathbf{cons}\ 0\ (nats\ 1) =$

$\mathbf{cons}\ 0\ (\mathbf{cons}\ 1\ (nats\ 2)) =$

$\mathbf{cons}\ 0\ (\mathbf{cons}\ 1\ (\mathbf{cons}\ 2\ (nats\ 3))) =$

\vdots

Example: Constructing a stream

$inc : Stream \mathbb{N} \rightarrow Stream \mathbb{N}$

$inc (\mathbf{cons} \ x \ xs) = \mathbf{cons} \ (1 + x) \ (inc \ xs)$

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$\mathbf{head} \ (inc \ xs) = 1 + \mathbf{head} \ xs$

$\mathbf{tail} \ (inc \ xs) = inc \ (\mathbf{tail} \ xs)$

Non-example: Constructing a stream

$primes : Stream \mathbb{N} \rightarrow Stream \mathbb{N}$
 $primes (\mathbf{cons} \ x \ xs) =$
 if $prime \ x$ **then** $\mathbf{cons} \ x \ (primes \ xs)$
 else $primes \ xs$

Example: Potentially infinite lists

$$\frac{}{\underline{\underline{\text{nil} : \text{Colist } A}}} \qquad \frac{x : A \quad xs : \text{Colist } A}{\underline{\underline{\text{cons } x \text{ } xs : \text{Colist } A}}}$$

`nil`
`cons 0 nil`
`cons 0 (cons 1 nil)`
`⋮`
`cons 0 (cons 1 (cons 2 (cons 3 ...)))`
`⋮`

Coinduction

Examples of uses of coinductive definitions in computer science:

- ▶ Data types.
- ▶ Predicates used to state program correctness: “the stream xs contains only primes”.
- ▶ Modelling of abstract data types.
- ▶ Non-terminating programs in total languages.
- ▶ Semantics (meaning) of non-termination.
- ▶ ...

Nested induction and coinduction

Example: Stream processors

- ▶ SP : Representation of stream processors.
- ▶ $run : SP \rightarrow Stream\ Bit \rightarrow Stream\ Bit$

Example: Stream processors

$$\frac{x : SP \quad y : SP}{\text{get } x \ y : SP} \qquad \frac{b : Bit \quad x : SP}{\text{put } b \ x : SP}$$

- ▶ **get** $x \ y$: Read one bit, continue as x if 0, y if 1.
- ▶ **put** $b \ x$: Write b , continue as x .

Example: Stream processors

copy : *SP*

copy = **get** (**put** 0 *copy*) (**put** 1 *copy*)

not : *SP*

not = **get** (**put** 1 *not*) (**put** 0 *not*)

Example: Stream processors

$copy : SP$

$copy = \text{get} (\text{put } 0 \text{ copy}) (\text{put } 1 \text{ copy})$

$not : SP$

$not = \text{get} (\text{put } 1 \text{ not}) (\text{put } 0 \text{ not})$

Are these definitions OK?

Example: Stream processors

How should this mixed definition be interpreted?

$$\frac{x : SP \quad y : SP}{\text{get } x \ y : SP} \qquad \frac{b : Bit \quad x : SP}{\text{put } b \ x : SP}$$

Example: Stream processors

How should this mixed definition be interpreted?

$$\frac{x : SP \quad y : SP}{\text{get } x \ y : SP} \qquad \frac{b : Bit \quad x : SP}{\text{put } b \ x : SP}$$

Outer inductive definition, inner coinductive one:

- ▶ Only finite number of **gets**.
- ▶ Cannot define *copy* or *not*.

Example: Stream processors

How should this mixed definition be interpreted?

$$\frac{x : SP \quad y : SP}{\text{get } x \ y : SP} \qquad \frac{b : Bit \quad x : SP}{\text{put } b \ x : SP}$$

Outer coinductive definition, inner inductive one:

- ▶ Only finite number of *consecutive gets*.
- ▶ Total number of *gets* can be infinite.
- ▶ Can define *copy* and *not*.

Example: Stream processors

$run : SP \rightarrow Stream\ Bit \rightarrow Stream\ Bit$

$run\ (\mathit{get}\ x\ y)\ (\mathit{cons}\ 0\ bs) = run\ x\ bs$

$run\ (\mathit{get}\ x\ y)\ (\mathit{cons}\ 1\ bs) = run\ y\ bs$

$run\ (\mathit{put}\ b\ x)\ bs = \mathit{cons}\ b\ (run\ x\ bs)$

Example: Stream processors

What if `get` were coinductive?

$$\frac{x : SP \quad y : SP}{\text{get } x \ y : SP} \qquad \frac{b : Bit \quad x : SP}{\text{put } b \ x : SP}$$

Could define *sink*:

$$\begin{aligned} \textit{sink} &: SP \\ \textit{sink} &= \text{get } \textit{sink} \ \textit{sink} \end{aligned}$$

Could not define *run*: not productive.

Example: Stream processors

By combining induction and coinduction, rather than using only coinduction:

- ▶ Fewer stream processors allowed.
- ▶ But can define *run*.

Trade-off: Less data, more functions.

Nested induction and coinduction

Other examples:

- ▶ Parser combinators.
- ▶ Program equivalences.
- ▶ Subtyping.
- ▶ ...

Summary

- ▶ Induction: Finite.
- ▶ Coinduction: (Potentially) infinite.
- ▶ Nested induction and coinduction:
Both finite and infinite.
Precise control over size of data.

Bonus slides

Example: Potentially infinite lists

$$\frac{}{\mathbf{nil} : \mathit{Colist}_i A O} \quad \frac{x : A \quad xs : O}{\mathbf{cons} x xs : \mathit{Colist}_i A O}$$
$$\frac{xs : \mathit{Colist} A}{\mathbf{destruct} xs : \mathit{Colist}_i A (\mathit{Colist} A)}$$

$\mathbf{destruct} xs = \mathbf{cons} 0 ys$

$\mathbf{destruct} ys = \mathbf{cons} 1 zs$

$\mathbf{destruct} zs = \mathbf{nil}$

$xs = 0, 1$

Example: Stream processors

Outer coinductive definition, inner inductive one:

$$\frac{x : SP_i O \quad y : SP_i O}{\text{get } x y : SP_i O} \quad \frac{b : Bit \quad x : O}{\text{put } b x : SP_i O}$$

$$\frac{x : SP}{\text{destruct } x : SP_i SP}$$

Example: Stream processors

Outer inductive definition, inner coinductive one:

$$\frac{x : O \quad y : O}{\text{get } x \ y : SP_i O} \quad \frac{b : Bit \quad x : SP_i O}{\text{put } b \ x : SP_i O}$$

$$\frac{x : SP_i SP}{\text{construct } x : SP}$$

Example:

Bit streams with a finite number of ones

Outer inductive definition, inner coinductive one:

$$\frac{x s : \textit{Stream}}{\text{cons-zero } x s : \textit{Stream}}$$
$$\frac{x s : \textit{Stream}}{\text{cons-one } x s : \textit{Stream}}$$