

A Calculus of Definitions

1 Type theory

We describe how to implement a core type theory. This is very close to a functional programming language with λ abstraction and data types defined by constructors and functions defined by case on these data types. The difference with ordinary functional programming is that we can do *computation on types*.

The canonical *types* are either *dependent product* types or *labelled sums*.

The canonical elements are either *λ -abstraction* or *functions defined by case* or in *constructor form*.

We also have a *universe of small types*, with which we can do computation on types.

Like in any functional programming language we have a *let* (or *where*) construct, with which we can define elements by mutual recursion. It is possible in this language to define in a mutual recursive way (small) types and functions¹.

Interestingly, the language now looks very much like the language Lazy ML (one precursor of Haskell), where data types are also represented as labelled sums.

¹We can represent *induction-recursion* in this way.

2 Some examples

$N : U = 0 \mid S N$

$(/\backslash) : N2 \rightarrow N2 \rightarrow N2 = (0 \rightarrow \backslash b \rightarrow 0 \mid 1 \rightarrow (0 \rightarrow 0 \mid 1 \rightarrow 1))$

$N2 : U = 0 \mid 1$

$N1 : U = 0$

$N0 : U = ()$ -- empty labelled sum

$T : N2 \rightarrow U = (0 \rightarrow N0 \mid 1 \rightarrow N1)$

$neq : N \rightarrow N \rightarrow N2 =$
 $(0 \rightarrow (0 \rightarrow 1 \mid S m \rightarrow 0) \mid S n \rightarrow (0 \rightarrow 1 \mid S m \rightarrow neq n m))$

mutual

$flist : U = Nil \mid Cons (a : N) (as : flist) (T (notin a as))$

$notin : N \rightarrow flist \rightarrow N2 =$
 $\backslash a \rightarrow (Nil \rightarrow 1 \mid Cons b bs \rightarrow neq a b /\ notin a bs)$

$W (A : U) (B : A \rightarrow U) : U = Sup (a : A) (f : B a \rightarrow W A B)$

$tree (A : U) (B : A \rightarrow U) (C : (a : A) \rightarrow B a \rightarrow U)$
 $(d : (a : A) \rightarrow (b : B a) \rightarrow C a b \rightarrow A) (x : A) : U =$
 $Sup (y : B x) ((z : C x y) \rightarrow tree A B C d (d x y z))$

3 Programming language

Programs

$$M, A ::= v_k \mid M M \mid \lambda M \mid \Pi A A \mid M D \mid c \vec{M} \mid B \mid L$$

Definitions, Branches and Labelled Sums

$$D ::= [\vec{M} : \vec{A}] \quad B ::= c_1 M_1, \dots, c_k M_k \quad L ::= c_1 \vec{A}_1, \dots, c_k \vec{A}_k$$

Environments, Contexts and Values

$$\rho ::= () \mid \rho, u \mid D\rho \quad \Gamma ::= () \mid \Gamma, V$$

$$u, V ::= M\rho \mid u u \mid X_l \mid c \vec{u} \mid \Pi V V$$

Access rules

$$v_0(\sigma, u) = u \quad v_{k+1}(\sigma, u) = v_k\sigma$$

and if $\rho = [\vec{M} : \vec{A}]\sigma$ then

$$v_i\rho = v_i(\sigma, \vec{M}\rho)$$

Evaluation rules

$$(M_1 M_2)\rho = M_1\rho (M_2\rho) \quad (M D)\rho = M(D\rho)$$

$$(\Pi A F)\rho = \Pi (A\rho) (F\rho) \quad (c \vec{M})\rho = c (\vec{M}\rho)$$

$$(\lambda M)\rho u = M(\rho, u) \quad (c_1 N_1, \dots, c_k N_k)\rho (c_i \vec{u}) = N_i(\rho, \vec{u})$$

4 Type-checking rules

$$\frac{\rho, \Gamma \vdash_k A \quad (\rho, X_k), (\Gamma, A\rho) \vdash_{k+1} A'}{\rho, \Gamma \vdash_k \Pi A (\lambda A')} \quad \frac{\rho, \Gamma \vdash_k \vec{A}_1 \quad \dots \quad \rho, \Gamma \vdash_k \vec{A}_n}{\rho, \Gamma \vdash_k c_1 \vec{A}_1, \dots, c_n \vec{A}_n}$$

$$\frac{}{\rho, \Gamma \vdash_k () \rightarrow \rho, \Gamma, k} \quad \frac{\rho, \Gamma \vdash_k A \quad (\rho, X_k), (\Gamma, A\rho) \vdash_{k+1} \vec{A} \rightarrow \rho_1, \Gamma_1, l}{\rho, \Gamma \vdash_k A, \vec{A} \rightarrow \rho_1, \Gamma_1, l}$$

Rule for recursive definitions

$$\frac{\rho, \Gamma \vdash_k \vec{A} \rightarrow \rho_1, \Gamma_1, l \quad \rho_1, \Gamma_1 \vdash_l \vec{M} : \vec{A}\rho}{\rho, \Gamma \vdash_k [\vec{M} : \vec{A}]}$$

Rules for elements

$$\frac{}{\rho, \Gamma \vdash_k () : ()\nu} \quad \frac{\rho, \Gamma \vdash M : A\nu \quad \rho, \Gamma \vdash \vec{M} : \vec{A}(\nu, M\rho)}{\rho, \Gamma \vdash_k M, \vec{M} : (A, \vec{A})\nu}$$

$$\frac{\rho, \Gamma \vdash_k N : \Pi V F \quad \rho, \Gamma \vdash_k M : V}{\rho, \Gamma \vdash_k N M : F (M\rho)} \quad \frac{(\rho, X_k), (\Gamma, V) \vdash_{k+1} N : F X_k}{\rho, \Gamma \vdash_k \lambda N : \Pi V F}$$

$$\frac{}{\rho, \Gamma \vdash_k v_n : \Gamma!n} \quad \frac{\rho, \Gamma \vdash_k \vec{M} : \vec{A}_i\nu}{\rho, \Gamma \vdash_k c_i \vec{M} : L\nu}$$

$$\frac{(\rho, \vec{X}_k), \Gamma + \vec{X}_k : \vec{A}_1\nu \vdash_{k+l_1} N_1 : F (c \vec{X}_k) \quad \dots \quad (\rho, \vec{X}_k), \Gamma + \vec{X}_k : \vec{A}_n\nu \vdash_{k+l_n} N_n : F (c \vec{X}_k)}{\rho, \Gamma \vdash_k B : \Pi (L\nu) F}$$

$$\frac{\rho, \Gamma \vdash_k D \quad D\rho, \Gamma + \vec{M}(D\rho) : \vec{A}\rho \vdash_{k+l} N : V}{\rho, \Gamma \vdash_k N D : V}$$

where $B = c_1 N_1, \dots, c_n N_n$, $L = c_1 \vec{A}_1, \dots, c_n \vec{A}_n$, $D = [\vec{M} : \vec{A}]$, $l = |\vec{A}|$, $l_i = |\vec{A}_i|$

$$\Gamma + () : ()\nu = \Gamma \quad \Gamma + u, \vec{u} : (A, \vec{A})\nu = \Gamma, A\nu + \vec{u} : \vec{A}(\nu, u)$$

We can add a universe U of small types with computation rules $U\rho = U$.

5 Reification

Each branch B has a name f_B and each labelled sum L a name d_L associated to it.

$$\begin{aligned}
 R_k X_l &= v_{k-l-1} & R_k ((\lambda M)\rho) &= \lambda R_{k+1}(M(\rho, X_k)) & R_k (u_1 u_2) &= R_k u_1 (R_k u_2) \\
 R_k (\Pi V F) &= \Pi (R_k V) (R_k F) & R_k (c \vec{u}) &= c (R_k \vec{u}) \\
 R_k (B\rho) &= f_B(R_k \rho) & R_k (L\rho) &= d_L(R_k \rho) \\
 R_k () &= () & R_k (\rho, u) &= (R_k \rho, R_k u) & R_k (D\rho) &= R_k \rho
 \end{aligned}$$

6 Projection and conversion

In order to get η -conversion, we introduce the projection functions

$$\begin{aligned}
 \mathfrak{p} L\rho (c \vec{u}) &= c (\mathfrak{q} \vec{A}\rho \vec{u}) \quad \text{with } c \vec{A} \text{ in } L \\
 \mathfrak{p} L\rho k &= k \\
 \mathfrak{p} (\Pi a f) w &= x \mapsto \mathfrak{p} (f(\mathfrak{p} a x)) (w(\mathfrak{p} a x)) \\
 \mathfrak{p} U_j L\rho &= L\rho \\
 \mathfrak{p} U_j (\Pi a f) &= \Pi (\mathfrak{p} U_j a) ((\mathfrak{p} U_j) \circ f \circ (\mathfrak{p} a)) \\
 \mathfrak{p} U_j U_i &= U_i \quad \text{if } i < j \\
 \mathfrak{p} U_j k &= k \\
 \mathfrak{p} k k' &= k'
 \end{aligned}$$

$$\begin{aligned}
 \mathfrak{q} ()\rho () &= () \\
 \mathfrak{q} (A, \vec{A})\rho (u, \vec{u}) &= v, \mathfrak{q} \vec{A}(\rho, v) \vec{u} \quad \text{where } v = \mathfrak{p} (A\rho) u
 \end{aligned}$$

and when introducing a fresh value v_k of type $A\rho$ we use $\mathfrak{p} (A\rho) v_k$ instead.

7 Infinite structures

The main idea is to use *closure* to represent infinite structures. We use it already to represent recursive data types and recursively defined functions, and we use it now to represent *streams*.

A first attempt would be to have a notion of “lazy” constructors so that $(c M)\rho$ is canonical. For a “strict” constructor $(c M)\rho$ reduces to $c (M\rho)$. From the user point of view, each constructor is used in a “generative” way. This means that if we define

$$\omega = s \omega \quad \omega_1 = s \omega_1$$

then ω and ω_1 are not convertible.

However it is then not possible to give good sense of dependent case. For instance if we define the type $\Omega = s \Omega$ and $\omega : \Omega$ by $\omega = s \omega$ then we cannot typecheck

$$f : (x : \Omega) \rightarrow C(x) \quad f (s y) = b$$

since b should have type $C(s y)$.

8 New terms

We extend the syntax of our language with

$$M, A ::= l_1 : A_1, \dots, l_n : A_n \mid l_1 = M_1, \dots, l_n = M_n \mid M.l$$

with the new computation rules

$$(M.l)\rho = M\rho.l \quad (l_1 = M_1, \dots, l_n = M_n)\rho.l_i = M_i\rho$$

and the new typing rules

$$\frac{\rho, \Gamma \vdash_k A_1 \dots \rho, \Gamma \vdash_k A_n}{\rho, \Gamma \vdash_k (l_1 : A_1, \dots, l_n : A_n)}$$

$$\frac{\rho, \Gamma \vdash_k M_1 : A_1\nu \dots \rho, \Gamma \vdash_k M_n : A_n\nu}{\rho, \Gamma \vdash_k (l_1 = M_1, \dots, l_n = M_n) : (l_1 : A_1, \dots, l_n : A_n)\nu} \quad \frac{\rho, \Gamma \vdash_k M : (l_1 : A_1, \dots, l_n : A_n)\nu}{\rho, \Gamma \vdash_k M.l_i : A_i\nu}$$

This is a good modularity test. We don't have to change the other clauses (in particular the clauses for checking recursive definitions).

9 Examples

We can define the type of streams $stream\ A = (hd : A, tl : stream\ A)$. The constant stream 0 of type $stream\ N$ would be $0s = (hd = 0, tl = 0s)$. Then $0s.tl$ and $0s$ are convertible.

One can define $cons\ a\ as = (hd = a, tl = as)$. But notice then that if we define $us = cons\ 0\ us$ then the semantics of us is \perp . So the function $cons$ cannot be used to define the constant stream 0 .

This corresponds to the syntactical fact that the normal form of $0s$ is finite and is

$$(hd = 0, tl = 0s)D$$

where D is the definition $0s = (hd = 0, tl = 0s)$ while the normal form of us would be the infinite expression

$$(hd = a, tl = as)(a = 0, as = (hd = a, tl = as)(a = 0, as = (hd = a, tl = as)(a = 0, as = \dots)))$$