

# Logic and Topology

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## topos

(Tierney-Lawvere) *A topos is a presentable locally cartesian closed category with a subobject classifier*

## «Simple» type theory

1940 A. Church *A Formulation of the Simple Theory of Types*

Extremely simple and natural

A type *bool* as a type of «propositions»

A type *I* for «individuals»

Function type  $A \rightarrow B$

Natural semantics of *types as sets*

## Functions in simple type theory

In set theory, a function is a *functional graph*

In type theory, a function is given by an *explicit definition*

If  $t : B$ , we can introduce  $f$  of type  $A \rightarrow B$  by the definition

$$f(x) = t$$

$f(a)$  «reduces» to  $(a/x)t$  if  $a$  is of type  $A$

## Functions in simple type theory

We have two notions of function

-*functional graph*

-*function explicitly defined* by a term

What is the connection between these two notions?

Church introduces a special operation  $\iota x.P(x)$  and the «axiom of description»

If  $\exists!x : A.P(x)$  then  $P(\iota x.P(x))$

## Functions in simple type theory

We can then define a function from a functional graph

$$\forall x. \exists! y. R(x, y) \rightarrow \exists f. \forall x. R(x, f(x))$$

by taking  $f(x) = \iota y. R(x, y)$

By contrast, Hilbert's operation  $\epsilon x. P(x)$  (also used by Bourbaki) satisfies

if  $\exists x : A. P(x)$  then  $P(\epsilon x. P(x))$

To use  $\exists! x : A. \varphi$  presupposes a notion of equality on the type  $A$

## Rules of equality

Equality can be specified by the following purely logical rules

(1)  $a =_A a$

(2) if  $a_0 =_A a_1$  and  $P(a_0)$  then  $P(a_1)$

## Equality in mathematics

The first axiom of set theory is the axiom of *extensionality* stating that two sets are equal if they have the same element

In Church's system we have two form of the axiom of extensionality

(1) two equivalent propositions are equal

$$(P \equiv Q) \rightarrow P =_{bool} Q$$

(2) two pointwise equal functions are equal

$$(\forall x : A. f(x) =_B g(x)) \rightarrow f =_{A \rightarrow B} g$$

The univalence axiom is a generalization of (1)



## Limitation of simple type theory

We can form

$I \rightarrow bool, (I \rightarrow bool) \rightarrow bool, ((I \rightarrow bool) \rightarrow bool) \rightarrow bool, \dots$

but not talk *internally* about the family of such types

We cannot introduce an *arbitrary* structure (ring, group, ...)

## Dependent types

The basic notion is the one of *family of types*  $B(x)$ ,  $x : A$

We describe directly some *primitive* operations

$(\prod x : A)B(x)$                        $f$     where  $f(x) = b$

$(\sum x : A)B(x)$                        $(a, b)$

$A + B$                                        $i(a), j(b)$

which are *derived* operations in set theory

## Dependent types

Logical operations are reduced to constructions on types by the following dictionary

$$A \wedge B$$

$$A \times B = (\Sigma x : A)B$$

$$A \vee B$$

$$A + B$$

$$A \rightarrow B$$

$$A \rightarrow B = (\Pi x : A)B$$

$$(\forall x : A)B(x)$$

$$(\Pi x : A)B(x)$$

$$(\exists x : A)B(x)$$

$$(\Sigma x : A)B(x)$$

## Dependent types

de Bruijn (1967) notices that this approach is suitable for representation of mathematical proofs on a computer (AUTOMATH)

Proving a proposition is reduced to building an element of a given type

« This reminds me of the very interesting language AUTOMATH, invented by N. G. de Bruijn. AUTOMATH is not a programming language, it is a language for expressing proofs of mathematical theorems. The interesting thing is that AUTOMATH works entirely by type declarations, without any need for traditional logic! I urge you to spend a couple of days looking at AUTOMATH, since it is the epitome of the concept of type. »

D. Knuth (1973, letter to Hoare)

## Dependent types

Two ways of introducing dependent types

- (1) Universes
- (2) Path types

## Universes

A *universe* is a type the element of which are types, and which is closed by the operations

$$(\Pi x : A)B(x)$$

$$(\Sigma x : A)B(x)$$

$$A + B$$

Russell's paradox does not apply directly since one *cannot* express  $X : X$  as a *type*

However, Girard (1971) shows how to represent Burali-Forti paradox if one introduces a type of all types

## Univers

Martin-Löf (1973), following Grothendieck, introduces of hierarchy of universe

$$U_0 : U_1 : U_2 : \dots$$

Each universe  $U_n$  is closed by the operations

$$(\Pi x : A)B(x)$$

$$(\Sigma x : A)B(x)$$

$$A + B$$

## Universes and dependent sums

We can formally represent the notion of structure

$$(\Sigma X : U_0)((X \times X \rightarrow X) \times X)$$

collection of types with a binary operation and a constant

$$(X \times X \rightarrow X) \times X \text{ family of types for } X : U_0$$

This kind of representation is used by Girard for expressing Burali-Forti paradox



## Equality type

It is now represented by a dependent family of type  $\text{Path}(A, a, b)$

We have the constant path  $1_a : \text{Path}(A, a, a)$  and if  $p : \text{Path}(A, a, b)$  the transport function

$$C(a) \rightarrow C(b)$$

which is reminiscent of the path lifting condition

## Equality type

Voevodsky introduced the definitions

$$\text{isContr}(A) = (\Sigma a : A)(\Pi x : A)\text{Path}(A, a, x)$$

$$\text{Fiber}(f, a) = (\Sigma x : T)\text{Path}(A, f(x), a) \text{ for } f : T \rightarrow A$$

$$\text{isEquiv}(f) = (\Pi a : A)\text{isContr}(\text{Fiber}(f, a))$$

$$\text{Equiv}(T, A) = (\Sigma f : T \rightarrow A)\text{isEquiv}(f)$$

$$\text{isProp}(X) = (\Pi a : X)(\Pi b : X)\text{Path}(X, a, b)$$

$$\text{isSet}(X) = (\Pi a : X)(\Pi b : X)\text{isProp}(\text{Path}(X, a, b))$$

## Equivalence

Voevodsky proves for instance that

given  $\psi : (\prod a : A) B(a) \rightarrow C(a)$

we can define  $\psi' : (\sum a : A) B(a) \rightarrow (\sum a : A) C(a)$

then

$\text{isEquiv}(\psi') \leftrightarrow (\prod a : A) \text{isEquiv}(\psi(a))$

## Equality type

Martin-Löf introduced, for purely formal logical reasons, the law

$$(\prod a : A) \text{isContr}((\sum x : A) \text{Path}(A, a, x))$$

This expresses that the total space of the fibration defined by the space of paths having a given origin is *contractible*

This is exactly the starting point of the loop-space method in algebraic topology (J.P. Serre)

## Univalence axiom

The canonical map

$$\text{Path}(U, A, B) \rightarrow \text{Equiv}(A, B)$$

is itself an equivalence (original statement)

This generalizes the fact that two equivalent propositions are equal!

Another (equivalent) statement is

$$(\prod A : U) \text{isContr}((\sum X : U) \text{Equiv}(A, X))$$

## Equality type

$\text{isContr}((\Sigma x : A)B(x))$  is a uniform generalization of

$(\exists!x : A)B(x)$

and we have a description operator since

$\text{isContr}((\Sigma x : A)B(x)) \rightarrow (\Sigma x : A)B(x)$

*unique existence implies effective existence*

## Algebraic topology

In the 50s, development of a “combinatorial” notion of spaces

D. Kan: first with cubical sets (1955) then with simplicial sets

“A combinatorial definition of homotopy groups” (1958)

These spaces form a cartesian closed category

Moore (1955)

They form a model of type theory with the univalence axiom

Voevodsky (2009)

## Algebraic topology

Proof of Moore's Theorem

“assez technique et délicate” (H. Cartan, Séminaire E.N.S. 56-57)



## Algebraic topology

Existence of dependent product reduces to the fact that trivial cofibrations are stable under pullbacks along Kan fibrations

The proof of which is quite complex (uses minimal fibrations?)

## Algebraic topology

All these *results* are intrinsically *non effective*

If one expresses the definitions as they are in IZF then the following facts are *not* provable

(1) If  $E \rightarrow B$  fibration and  $b_0, b_1$  path-connected then  $E(b_0)$  and  $E(b_1)$  are homotopy equivalent (j.w.w. M. Bezem, 2015)

(2) Moore's Theorem (E. Parmann, 2015)

## Algebraic topology

The “reason” is that all these arguments use reasoning by case whether a complex is degenerate or not

(already in J.P. Serre’s thesis 1951)

This is not decidable in general in an effective framework

The arguments are not “uniform” and non elementary

## Univalent Foundations

I will now present a possible effective combinatorial notion of spaces with higher-order notion of connectedness

This is done in a constructive setting

We can extract from this a purely syntactical type system with no axioms

## Category of cubes

For each finite set  $I$  we introduce a formal representation of the cube  $[0, 1]^I$

$[0, 1]$  has a structure of *de Morgan algebra*

Bounded distributive lattice with a de Morgan involution

We can consider the free de Morgan algebra  $\mathbf{dM}(S)$  on any set  $S$

It is finite if  $S$  is finite

This defines a monad  $\mathbf{dM}$  on the category of finite sets

$\mathcal{C}$  is the opposite of the Kleisli category of  $\mathbf{dM}$

## Cubical sets

A map  $J \rightarrow I$  in  $\mathcal{C}$  is a set theoretic map  $I \rightarrow \mathbf{dM}(J)$

A *cubical set* is a presheaf on  $\mathcal{C}$

Family of sets  $X(I)$  with transition functions

$X(I) \rightarrow X(J)$  for  $f : J \rightarrow I$

$u \longmapsto uf$

If  $I$  finite set, we write also  $I$  the representable functor it defines

So  $I$  represents a cubical set

## Category of cubes

We have direct face maps  $(i_0), (i_1) : I \rightarrow I, i$  that are monos

A *face map* is a composition of direct face maps

Any map  $f : J \rightarrow I$  can be uniquely decomposed

$$f = gh$$

where  $g$  is a face map and  $h : J \rightarrow K$  is *strict* i.e. the corresponding map  $K \rightarrow \mathbf{dM}(J)$  never takes the value 0 or 1

## Singular cubical sets

We have a functor  $\mathcal{C} \rightarrow \mathbf{Top}$

$$I \longmapsto [0, 1]^I$$

Any topological space  $X$  defines a singular cubical set  $S(X)$

$S(X)(I)$  is the set of all continuous maps  $[0, 1]^I \rightarrow X$



## Interval

$$\mathbb{I}(J) = \text{dM}(J)$$

This defines a cubical set, which represents the interval

$\mathbb{I}$  has a de Morgan algebra structure

## Path

If  $X$  cubical set, the path “space” of  $X$  is  $X^{\mathbb{I}}$

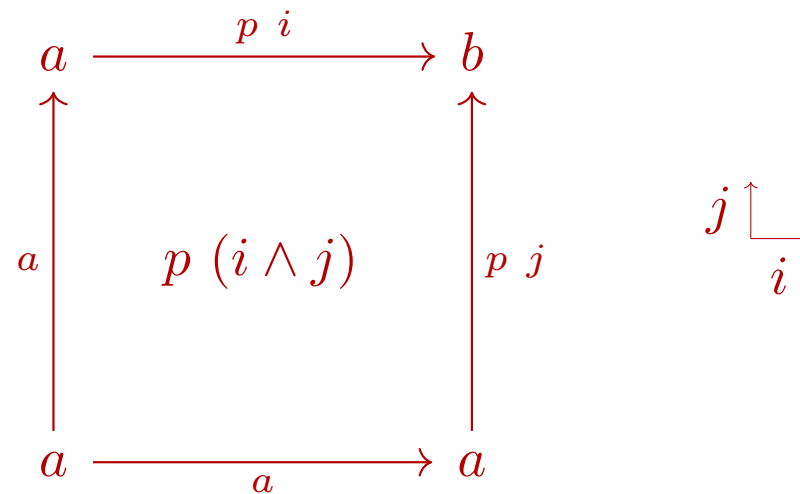
An element of  $X^{\mathbb{I}}(J)$  is defined by an element of  $X(J, i)$  with  $i$  not in  $J$

$u$  in  $X(J, i)$  and  $v$  in  $X(J, k)$  represents the same element if, and only if,

$u(i = k) = v$  in  $X(J, k)$

## Interval

Homotopy between the constant path on  $a$  and any path  $p : \text{Path } A \ a \ b$



This expresses that  $(\sum x : A) \text{Path}(A, a, x)$  is contractible

## Face lattice

$\mathbb{I}$  has two global points  $0$  and  $1$

$\Omega$  subobject classifier

We have a map  $\mathbb{I} \rightarrow \Omega$ ,  $i \mapsto (i = 1)$

This is a lattice map

The image of this map is the *face lattice*  $\mathbb{F} \rightarrow \Omega$

Exactly the sieves that are union of faces

## Face lattice

$\mathbb{F}(I)$  can be defined directly as the free bounded distributive lattice generated by symbols  $(i = 0)$ ,  $(i = 1)$  and relations

$$0 = (i = 0) \wedge (i = 1)$$

Intuitively  $\mathbb{F}$  will classify *cofibrations*

We cannot hope to have *all* monos as cofibrations in an effective way

## Face lattice

Any map  $\psi : I \rightarrow \mathbb{F}$  determines a subpresheaf  $I, \psi$  of  $I$

$(I, \psi)(J)$  set of maps  $f : J \rightarrow I$  such that  $\psi f = 1$

$I, \psi$  is a subpresheaf of  $I$

An map  $g : K \rightarrow I$  determines a map  $K, \psi g \rightarrow I, \psi$  that we write also  $g$

## Contractible cubical sets

A cubical set is *contractible* (uniformly) if

we have an operation  $\text{ext}(I, \psi, u) : I \rightarrow X$  given

$$\psi : I \rightarrow \mathbb{F}, \quad u : I, \psi \rightarrow X$$

such that

(1)  $\text{ext}(I, \psi, u) f = \text{ext}(J, \psi f, u f) : J \rightarrow X$  whenever  $f : J \rightarrow I$  and

(2)  $\text{ext}(I, 1, u) = u$

$\text{ext}(I, \psi, u)$  is an extension of  $u$  “uniform in  $I$ ”

## Contractible cubical sets

The usual definition only requires the *existence* of an extension of a partial element  $u : I, \psi \rightarrow X$

Here we have a “contractibility structure”

It would *not* work only to require an explicit operation without any uniformity condition



## Contractible cubical sets

$\mathbb{F}$  is a contractible cubical set

$\Omega$  is a contractible cubical set

$\mathbb{I}$  is *not* contractible

Any  $u : I, \psi \rightarrow \mathbb{I}$  can be extended to  $I \rightarrow \mathbb{I}$

but *not* in an uniform way! (Ch. Sattler)

## Fibrant cubical sets

$X$  is fibrant if, and only if, we have an operation  $\text{fill}(J, \psi, u) : J \times \mathbb{I} \rightarrow X$  given

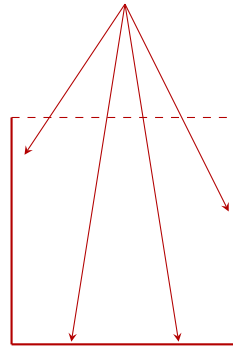
$$u : (J, \psi) \times \mathbb{I} \sqcup J \times 0 \rightarrow X$$

*such that*

- (1)  $\text{fill}(J, \psi, u)(g \times 1_{\mathbb{I}}) = \text{fill}(K, \psi g, u g)$  if  $g : K \rightarrow J$  and
- (2)  $\text{fill}(J, 1, u) = u$

## Fibrant cubical sets

**Theorem:** *Any singular cubical set  $S(X)$  is fibrant*



## Cofibration-trivial fibration factorization

(A. Swan) What happens to the “small object argument”

Given  $\sigma : A \rightarrow B$  where  $A$  and  $B$  are cubical sets

Define  $C(I)$  having for element  $v, \psi, u$  with

$$v : I \rightarrow B$$

$$\psi \text{ in } \mathbb{F}(I)$$

$u : I, \psi \rightarrow A$  such that  $v$  extends  $\sigma u$

$$A \rightarrow C, \quad u \longmapsto (\sigma u, 1, u)$$

$$C \rightarrow B, \quad (v, \psi, u) \longmapsto v$$

## Identity

(A. Swan) We can use this to define  $\text{Id}(A, a, b)$  from  $\text{Path}(A, a, b)$

## Univalence

The definition of  $U_k$  is as usual

We consider  $C = (\Sigma X : U_k) \text{Equiv}(A, X)$

We have the first projection  $p : C \rightarrow U_k$

**Main algorithm:** *For any partial element  $u : I, \psi \rightarrow C$  find a total extension of  $pu : I, \psi \rightarrow U_k$*

A crucial point is the fact that we have an operation  $\forall : \mathbb{F}^{\mathbb{I}} \rightarrow \mathbb{F}$

## Univalence

From this follows

- (1)  $U_k$  is fibrant, since any path  $\text{Path}(U_k, A, B)$  defines an equivalence in  $\text{Equiv}(A, B)$
- (2) Univalence in the form that  $C = (\Sigma X : U_k)\text{Equiv}(A, X)$  is contractible

## Higher-Inductive Types

We can define spheres  $S^n : U_0$  inductively

Propositional truncation  $\mathit{inh} : U_k \rightarrow U_k$



## $\mathbb{Z}$ -Torsors

A  $U_k$ -torsor is a type  $X : U_k$  with a  $\mathbb{Z}$ -action such that

(1) for any  $u$  in  $X$  the map  $n \mapsto u + n, \mathbb{Z} \rightarrow X$  is an equivalence

(2) *and*  $\text{inh}(X)$

If  $X$  is a torsor we cannot in general exhibit one element of  $X$

It follows from (1) and (2) that  $X$  is a set

## $\mathbb{Z}$ -Torsors

The collection of all torsors form a groupoid  $G_k$  which is *equivalent* to  $S^1$

This can be proved without the axiom of choice!

All types  $G_k$  are equivalent to  $S^1 : U_0$

It should be consistent to add  $G_k : U_0$