

# A Calculus of Definitions

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*The mathematicians will probably raise objections against that, because contemporary mathematics is thoroughly extensional and hence no clear notions of intensions have been developed. But it is nevertheless certain that, at least within the framework of a particular language, completely precise concepts of this kind can be defined. (Gödel, letter to Bernays, 1970)*

## Introduction

### 1 Programs

The programs are usual (untyped)  $\lambda$ -expressions with pairs. We add constants and definitions by cases, like in the language PCF

$$M ::= x \mid \lambda x.M \mid M M \mid M, M \mid M.1 \mid M.2 \mid c \mid M D \mid B$$

the category  $B$  is for *functions defined by cases*

$$B ::= c_1 \rightarrow M_1, \dots, c_k \rightarrow M_k$$

and the category  $D$  is for *mutual recursive definitions*

$$D ::= x_1 = M_1, \dots, x_k = M_k$$

Notice that programs are first-order objects, with an associated decidable equality.

### 2 Denotational semantics

We take the Scott domain solution of the recursive equation

$$\mathbf{V} = [\mathbf{V} \rightarrow_s \mathbf{V}] + \mathbf{V} \times_s \mathbf{V} + \text{Ide}$$

where the sum is the *non* lifted sum. Any element of  $\mathbf{V}$  different from  $\perp$  is thus a *strict* function  $f$  or of the form  $c$  or is a pair  $u_1, u_2$  of two elements  $\neq \perp$ .

One essential point is to consider a *strict* semantics for our language.

We define  $\llbracket M \rrbracket_\rho$  in  $\mathbf{V}$  for  $\rho$  an environment, i.e. a function from variables to  $\mathbf{V}$ .

We introduce first a function  $app$  in  $\mathbf{V} \rightarrow \mathbf{V} \rightarrow \mathbf{V}$  by taking  $app d_1 d_2$  to be  $\perp$  if  $d_1$  is not a function; if  $d_1$  is a function  $f$  and  $app d_1 d_2$  is defined to be  $f(d_2)$ . We define then as usual  $\llbracket M_1 M_2 \rrbracket_\rho$  to be  $app \llbracket M_1 \rrbracket_\rho \llbracket M_2 \rrbracket_\rho$ . We define also  $\llbracket x \rrbracket_\rho = \rho(x)$ .

We define  $\llbracket \lambda x.M \rrbracket_\rho$  to be the function  $f$  such that  $f(\perp) = \perp$  and if  $u \neq \perp$

$$f(u) = \llbracket M \rrbracket_{\rho, x=u}$$

Similarly,  $\llbracket B \rrbracket_\rho$  is the function  $f$  such  $f(c) = \llbracket N \rrbracket_\rho$  if  $c \rightarrow N$  is in  $B$  and  $f(c, u) = \text{app } \llbracket N \rrbracket_\rho u$  if  $c \rightarrow N$  is in  $B^1$ . In all the other cases we have  $f(v) = \perp$ .

We take  $\llbracket M_1, M_2 \rrbracket_\rho = (\llbracket M_1 \rrbracket_\rho, \llbracket M_2 \rrbracket_\rho)$  if both  $\llbracket M_i \rrbracket_\rho \neq \perp$  and  $\llbracket M_1, M_2 \rrbracket_\rho = \perp$  if  $\llbracket M_1 \rrbracket_\rho = \perp$  or  $\llbracket M_2 \rrbracket_\rho = \perp$ . We take  $\llbracket c \rrbracket_\rho = c$ .

Finally let  $D$  be a definition  $x_1 = M_1, \dots, x_k = M_k$ . We define

$$\llbracket M D \rrbracket_\rho = \llbracket M \rrbracket_{\rho'}$$

where the environment  $\rho'$  is the least solution of the recursive equation

$$\rho' = (\rho, x_1 = \llbracket M_1 \rrbracket_{\rho'}, \dots, x_k = \llbracket M_k \rrbracket_{\rho'})$$

### 3 Definitional Equality

The goal of this section is to introduce the notion of definitional equality or convertibility between programs. We want to represent the idea that two terms are convertible if we can get to the same term by unfolding some definitions.

The equality  $\llbracket M_1 \rrbracket = \llbracket M_2 \rrbracket$  is not decidable, and does not really coincide with the notion of “having the same definition”. To take a simple example, if we define

$$M_1 = \text{zero}_1(\text{zero}_1 = (0 \rightarrow 0, S \rightarrow \text{zero}_1))$$

$$M_2 = \text{zero}_2(\text{zero}_2 = (0 \rightarrow 0, S \rightarrow (0 \rightarrow 0, S \rightarrow \text{zero}_2)))$$

we do have  $\llbracket M_1 \rrbracket = \llbracket M_2 \rrbracket$  but we cannot say that  $M_1$  and  $M_2$  are convertible, in the sense of having the same definition<sup>2</sup>.

We are going to define a notion of definitional equality, which is *decidable* over programs  $M$  such that  $\llbracket M \rrbracket \neq \perp$  (intuitively, programs that “make sense”). For this, we introduce the notion of *values*, which is convenient to represent what happens when we unfold a definition. Furthermore, this notion is closely connected to what happens in computations of programs with environment machines. Like in any environment machines, the programs are essentially static objects and the computations are done on values. The values can be described in the following way

$$u ::= X_i \mid u, u \mid u u \mid c \mid u.1 \mid u.2 \mid M\sigma, \quad \sigma ::= () \mid \sigma, x = u \mid D\sigma$$

The values  $X_i$ , called *generic* values, are introduced here only to define the notion of normalisable values (see below). The equality on values is not decidable, and is given by the following (weak) conversion rules

$$(M_1 M_2)\sigma = M_1\sigma (M_2\sigma), \quad (\lambda x.M)\sigma u = M(\sigma, x = u),$$

$$c\sigma = c, \quad (M_1, M_2)\sigma = (M_1\sigma, M_2\sigma), \quad (u_1, u_2).i = u_i$$

$$(M D)\sigma = M(D\sigma), \quad (B\sigma) c = M\sigma, \quad (B\sigma) (c, u) = M\sigma u$$

<sup>1</sup>This implicit anticurrification is quite useful in the representation of type theory in our language.

<sup>2</sup>Intuitively, both terms represent the infinite object

$$(0 \rightarrow 0, S \rightarrow (0 \rightarrow 0, S \rightarrow (0 \rightarrow 0, \dots)))$$

It might be that bisimulation on these kind of objects is actually decidable, but this would not capture the notion of definitional equality.

where in the last two rules it is assumed that  $c \rightarrow M$  occurs in  $B$ . The remaining rules are access rules

$$x(\sigma, x = u) = u, \quad x(\sigma, y = v) = x\sigma \quad (x \neq y)$$

and  $x(D\sigma) = x\sigma$  if  $x$  is not declared in  $D$  and (the main rule)

$$x(D\sigma) = M(D\sigma)$$

if  $x = M$  occurs in  $D$ .

It is rather direct that, if we see these conversion rules as a rewriting system, we get a confluent system.

For instance, if  $B = (0 \rightarrow x \mid S \rightarrow \lambda y.(S, \text{add } x \ y))$  and  $D$  is  $\text{add} = \lambda x.B$  we have the following computation

$$(\text{add } 0 \ (S, 0)) \ D = B(D, x = 0) \ (S, 0) = (S, B(D, x = 0) \ 0) = (S, 0)$$

while, if  $D_1$  is the definition  $x = (S, x)$  we have the computation

$$xD_1 = (S, x) \ D_1 = (S, xD_1) = (S, (S, xD_1)) = \dots$$

The *strong* conversion is obtained by adding the  $\xi$ -rule that  $(\lambda x_1.M_1)\sigma_1$  and  $(\lambda x_2.M_2)\sigma_2$  are convertible whenever  $M_1(\sigma_1, x_1 = X_i)$  and  $M_2(\sigma_2, x_2 = X_i)$  are, where  $X_i$  is a “fresh” generic value, i.e. a value which does not occur neither in  $\sigma_1$  nor in  $\sigma_2$ . (It is arguable if this step corresponds really to definitional equality.)

## 4 Head Normalisable values

We define the head reduction relation  $u \succ u_1$  on values. The rules are the following

$$\begin{aligned} (M_1 \ M_2)\sigma &\succ M_1\sigma \ (M_2\sigma) & (\lambda x.M)\sigma \ u &\succ M(\sigma, x = u) & c\sigma &\succ c \\ (M_1, M_2)\sigma &\succ (M_1\sigma, M_2\sigma) & (u_1, u_2).i &\succ u_i & (M.i)\sigma &\succ (M\sigma).i \\ (M \ D)\sigma &\succ M(D\sigma) & (B\sigma) \ c &\succ N\sigma & (B\sigma) \ (c, u) &\succ N\sigma \ u & (c \ \vec{x} \rightarrow N \ \text{in } B) \\ x(\sigma, x = u) &\succ u & x(\sigma, y = v) &\succ x\sigma & (x \neq y) \\ x(D\sigma) &\succ x\sigma \ (x \ \text{not in } D) & x(D\sigma) &\succ M(D\sigma) & (x = M \ \text{in } D) \\ \frac{v \succ v_1}{v \ u \succ v_1 \ u} & & \frac{u \succ u_1}{B\sigma \ u \succ B\sigma \ u_1} & & \end{aligned}$$

We define next when a value  $u$  is HN. First it has to have a *canonical form*  $u \succ^* v$  which has to be of the following form

$$v ::= (\lambda x.M)\sigma \mid c \mid u, u \mid B\sigma \mid k \quad k ::= X_i \mid k \ u \mid B\sigma \ k \mid k.1 \mid k.2$$

and which has to satisfy

- if  $v = (\lambda x.M)\sigma$  then  $M(\sigma, x = X_i)$  should be HN
- if  $v = B\sigma$  then  $\sigma$  should be HN
- if  $v = k \ v'$  then  $v'$  and  $k$  should be HN
- if  $v = B\sigma \ k$  then  $k$  and  $\sigma$  should be HN
- if  $v = v_1, v_2$  then  $v_1$  and  $v_2$  should be HN
- if  $v = c$  then it is HN

if  $v = X_i$  then it is HN

and we define  $\sigma$  HN by

if  $\sigma = (\sigma_1, x = v)$  then  $v$  and  $\sigma_1$  should be HN

if  $\sigma = D\sigma_1$  then  $\sigma_1$  should be HN

if  $\sigma = ()$  then it is HN

For instance  $x$  ( $x = (S, x)$ ) has no canonical form while  $add\ D$ , where  $D$  is  $add = \lambda x.B$  and  $B$  is  $(0 \rightarrow x \mid S \rightarrow \lambda y.(S, add\ x\ y))$  is HN, since it has a canonical form  $(\lambda x.B)D$  and  $B(D, x = X_i)$  is HN.

**Proposition 4.1** *Strong convertibility is decidable on HN values.*

## 5 Main result

**Theorem 5.1** *If  $M$  is a closed program and  $\llbracket M \rrbracket \neq \perp$  then the value  $M()$  is HN.*

## 6 Representation of Gödel system T

We can embed Gödel system T in the present calculus by using the definition  $D$

$$rec = \lambda f.\lambda a.(0 \rightarrow a, S \rightarrow \lambda x.f\ x\ (rec\ f\ a\ x))$$

Usually, one defines (the untyped version of) Gödel system T by extending untyped  $\lambda$ -calculus with constants  $0$ ,  $S$ ,  $rec$  and conversion equations

$$rec\ f\ a\ 0 = a, \quad rec\ f\ a\ (S\ x) = f\ x\ (rec\ f\ a\ x)$$

**Proposition 6.1** *Let  $M_1, M_2$  be two terms of Gödel system T. They are convertible, as terms of Gödel system T iff the values  $M_1D$  and  $M_2D$  are convertible.*

This shows that this representation is faithful. We can represent in our calculus any system containing functions defined by case analysis. In our calculus we can define *locally* such systems, or we can have such systems depending on parameters, which is essential for modularisation.

Furthermore Theorem 5.1 provides a sufficient condition ensuring normalisation (and hence decidability of convertibility). As usual, we can prove  $\llbracket M \rrbracket \neq \perp$  by introducing *totality subset* on the domain  $\mathbb{V}$ , that are non empty subset of  $\mathbb{V}$  not containing  $\perp$ .

## 7 Proof of Main Theorem

For the proof of Theorem 5.1 we formulate the semantics  $\llbracket M \rrbracket_\rho$  in  $\mathbb{V}$  as a typing relation  $\Gamma \vdash M : U$  where the “types” are finite elements of  $\mathbb{V}$ . The finite elements  $\neq \perp$  of  $\mathbb{V}$  are of the form

$$W ::= \nabla \mid c \mid W, W \mid W \rightarrow W \mid W \cap W$$

We introduce the formal relation of inclusion  $U_1 \subseteq U_2$  between these elements which corresponds to the opposite of the relation in the domain  $\mathbb{V}$ . The elements  $U$  are also called *formal neighbourhood*. We have also an operational interpretation  $[U]$  of each formal neighbourhood. This is a set of HN values defined in such a way that  $[U_1] \subseteq [U_2]$  if  $U_1 \subseteq U_2$ . We have also that  $[\nabla]$  is the set of all neutral values. What is important is that the formal inclusion relation is *decidable*. The element  $\nabla$  correspond to the top element  $\top$  of the domain  $\mathbb{V}$ .

We define  $[W]$  by induction on  $W$ .

$[\nabla]$  is the set of neutral values

$[c]$  is the set of HN values, neutral or of canonical form  $c$

$[U \rightarrow V]$  is the set of HN values  $w$ , neutral or of canonical form  $(\lambda x.N)\sigma$  or  $B\sigma$ , and such that  $w u$  is in  $[V]$  if  $u$  is in  $[U]$

$[U_1, U_2]$  is of HN values, neutral or of canonical form  $u_1, u_2$  and such that  $u_i$  is in  $[U_i]$

$[U_1 \cap U_2]$  is  $[U_1] \cap [U_2]$

We may write  $u \in U$  instead of  $u \in [U]$ .

The typing rules are the following, where  $\Gamma(x)$  represents  $A$  such that  $x : A$  appears last in  $\Gamma$  (this notation requires that  $x$  is declared in  $\Gamma$ ).

$$\frac{\Gamma \vdash M : U \quad U \subseteq V}{\Gamma \vdash M : V} \quad \frac{\Gamma \vdash M : U_1 \quad \Gamma \vdash M : U_2}{\Gamma \vdash M : U_1 \cap U_2}$$

$$\frac{}{\Gamma \vdash x : \Gamma(x)} \quad \frac{\Gamma, x : U \vdash N : V}{\Gamma \vdash \lambda x.N : U \rightarrow V} \quad \frac{\Gamma \vdash N : U \rightarrow V \quad \Gamma \vdash M : U}{\Gamma \vdash N M : V}$$

$$\frac{\Gamma \vdash M_1 : U_1 \quad \Gamma \vdash M_2 : U_2}{\Gamma \vdash M_1, M_2 : (U_1, U_2)} \quad \frac{\Gamma \vdash M : (U_1, U_2)}{\Gamma \vdash M.i : U_i} \quad \frac{}{\Gamma \vdash c : c}$$

If  $c \rightarrow N$  appears in  $B$  we have

$$\frac{\Gamma \vdash N : V}{\Gamma \vdash B : c \rightarrow V} \quad \frac{\Gamma \vdash N : U \rightarrow V}{\Gamma \vdash B : (c, U) \rightarrow V}$$

Finally we have the typing rule for  $\Gamma \vdash MD : U$ . This has the following form.

$$\frac{\Gamma^{(0)} \vdash M_1 : U_1^{(1)} \quad \dots \quad \Gamma^{(0)} \vdash M_k : U_k^{(1)} \quad \dots \quad \Gamma^{(l-1)} \vdash M_1 : U_1^{(l)} \quad \dots \quad \Gamma^{(l-1)} \vdash M_k : U_k^{(l)} \quad \Gamma^{(l)} \vdash M : U}{\Gamma \vdash MD : U}$$

where  $D$  is  $x_1 = M_1, \dots, x_k = M_k$  and  $\Gamma^{(j)}$  is  $\Gamma, x_1 : U_1^{(j)}, \dots, x_k : U_k^{(j)}$  and  $U_i^{(0)}$  is  $\Delta$ . This rule reflects the fact that the semantics  $\llbracket MD \rrbracket_\rho$  is  $\llbracket M \rrbracket_{\rho'}$  where the environment  $\rho'$  is the least solution of the recursive equation  $\rho' = (\rho, x_1 = \llbracket M_1 \rrbracket_{\rho'}, \dots, x_k = \llbracket M_k \rrbracket_{\rho'})$ .

We generalise the statement of Theorem 5.1 in the following way.

**Lemma 7.1** *If  $\Gamma \vdash M : U$ ,  $\sigma$  is HN and  $x\sigma$  is in  $[\Gamma(x)]$  for each variable  $x$  declared in  $\Gamma$  then  $M\sigma$  is in  $[U]$ .*

*Proof.* The proof is by induction on the proof of  $\Gamma \vdash M : U$ .

The result is clear for the first two rules, and for the variable rule.

For the abstraction rule: if  $\sigma$  is HN and  $x\sigma$  is in  $[\Gamma(x)]$  for each variable  $x$  declared in  $\Gamma$  and  $U$  is  $\neq \Delta$  and  $u \in U$  and we assume  $N(\sigma, x = u)$  in  $V$  then  $(\lambda x.N)\sigma u$  is in  $V$  since it head reduces to  $N(\sigma, x = u)$

For the application rule: if  $N\sigma$  is in  $U \rightarrow V$  and  $M\sigma$  is in  $U$  then  $N\sigma (M\sigma)$  and so  $(N M)\sigma$  is in  $V$ .

The constant rule is clear.

For the case rule: we assume that  $c \rightarrow N$  appears in  $B$  and  $\Gamma \vdash N : V$ . We want to show that  $B\sigma$  is in  $c \rightarrow V$ . Since  $\sigma$  is HN we only have to show that  $B\sigma c$  is in  $V$ . This is the case since  $B\sigma c \succ N\sigma$  and  $N\sigma$  is in  $V$  by induction. If we have  $\Gamma \vdash N : U \rightarrow V$  we show that  $B\sigma$  is in  $(c, U) \rightarrow V$ . Since  $\sigma$  is HN we only have to show that  $B\sigma (c, u)$  is in  $V$ . This is the case since  $B\sigma (c, u) \succ N\sigma u$  and  $N\sigma$  is in  $U \rightarrow V$  by induction.

For the where rule. If we assume that we have  $x\sigma$  in  $[\Gamma(x)]$  for all  $x$  declared in  $\Gamma$  then we prove by induction that  $xD\sigma$  is in  $[\Gamma^{(j)}(x)]$  for all  $j \leq l$  and so  $M(D\sigma)$  is in  $[U]$  and hence also  $(MD)\sigma$  is in  $[U]$ . (Notice that  $D\sigma$  is HN if  $\sigma$  is HN.)  $\square$

## 8 Encoding of type theory

### 8.1 Denotational semantics

We describe first the encoding at the level of denotational semantics.

We suppose that the discrete domain  $\text{Ide}$  contains two special elements  $\Pi$  and  $\Sigma$  and that the other elements are either simple  $c, i, j, \dots$  or encode a finite set of simple identifiers  $I, J, \dots$

We write  $\Pi a f$  instead of  $(\Pi, (a, f))$  and similarly  $\Sigma a f$  instead of  $(\Sigma, (a, f))$ .

A *totality* on  $\mathbb{V}$  is a subset  $X \subseteq \mathbb{V}$  such that  $\perp \notin X$  and  $\top \in X$ . We write  $\text{TP}(\mathbb{V})$  the set of all totality on  $\mathbb{V}$ .

An *interpretation* of type theory is a pair  $(X, El)$  with  $X$  in  $\text{TP}(\mathbb{V})$  and  $El$  in  $X \rightarrow \text{TP}(\mathbb{V})$  such that  $El(\top)$  is the singleton  $\{\top\}$  (we have  $\top$  in  $X$  since  $X$  is a totality) and we have  $I$  in  $X$  and  $El(I) = \{\top\} \cup I$ .

The set of all interpretations  $\mathcal{I}$  is ordered by the relation:  $(X, El) \leq (X', El')$  iff  $X \subseteq X'$  and  $El'$  extends  $El$ . This forms a conditionally complete poset and it has a least element  $X_0, El_0$  where  $X_0$  is the set of all elements  $I$  and  $\top$ .

We define a *monotone* function  $\Psi : \mathcal{I} \rightarrow \mathcal{I}$ . If  $(X_1, El_1) = \Psi(X, El)$  the intuition is that the elements of  $X_1$  are *productive* trees where the nodes are products or sums, the branching given by elements  $El(a)$  with  $a$  in  $X$ , and the leaves are of the form  $I$ . More formally,  $X_1$  is the *greatest* subset of  $\mathbb{V}$  such that  $b$  is in  $X_1$  iff

$b = I$  or

$b = \Pi a f$  and  $a \in X$  and  $f u$  in  $X_1$  for all  $u$  in  $El(a)$  or

$b = \Sigma a f$  and  $a \in X$  and  $f u$  in  $X_1$  for all  $u$  in  $El(a)$ .

We define then  $v \in El_1(b)$  *inductively* by the clauses

$\top \in El_1(b)$  and

$i \in El_1(I)$  if  $i$  is in  $I$  and

$v \in El_1(\Pi a f)$  if  $v u \in El_1(f u)$  for all  $u$  in  $El(a)$  and

$(u, v) \in El_1(\Sigma a f)$  if  $u$  in  $El(a)$  and  $v \in El_1(f u)$ .

We have the empty type  $N_0 = \{\}$  and the unit type  $N_1 = \{\cdot\}$  and the Boolean type  $N_2 = \{0, 1\}$ . These are in  $X_0$ .

For instance we encode *nat* as the least fixed-point of the equation  $nat = \Sigma I f$  with  $I = \{0, S\}$  and  $f 0 = N_1$  and  $f S = nat$ . We have  $nat$  in  $X_1$  where  $X_1, El_1 = \Psi(X_0, El_0)$  and  $El_1(nat)$  contains  $(0, \cdot), (S, (0, \cdot)), (S, (S, (0, \cdot))), \dots$

**Theorem 8.1** *The least fixed-point  $(\text{Typ}, El)$  of the operator  $\Psi$  (which exists since  $\mathcal{I}$  is conditionally complete and has a least element) is closed under product and sum.*

This interpretation  $\text{Typ}$  contains most of the usual data type we need for type theory. It contains all finite types (obtained at level 0), all first-order types (obtained at level 1), the type of ordinal numbers (obtained at level 2),  $\dots$  We can define  $a + b = \Sigma N_2 f$  with  $f 0 = a$  and  $f 1 = b$  and we have that  $\text{Typ}$  is closed under disjoint sum. It is also closed by list formation: if  $a$  is in  $\text{Typ}$  then the type  $[a]$  of lists over  $a$  is also in  $\text{Typ}$ . We even that  $\text{Typ}$  contains the least-fixed point of the equation  $a = \Sigma N_1 f$  with  $f 0 = [a]$ .

A question is whether  $\text{Typ}$  contains the universe defined by the recursive equations

$$U = \Sigma N_2 f, \quad T = (0 \rightarrow nat, 1 \rightarrow \lambda(a, f).(\Pi x : T a)T (f x))$$

with  $f\ 0 = N_1$  and  $f\ 1 = (\Sigma a : V)T\ a \rightarrow V$ .

More simply, one can ask if  $\mathbf{Typ}$  contains the type recursively defined by

$$S = \Sigma N_2\ f$$

with  $f\ 0 = N_1$  and  $f\ 1 = (\Sigma x : S)S$ .

## 8.2 Syntactical representation

We introduce the syntactic sugar  $(c_1\ A_1 \mid \dots \mid c_k\ A_k)$  for  $\Sigma\ I\ (c_1 \rightarrow A_1, \dots, c_k \rightarrow A_k)$ . We write  $N_1$  for the enumeration type  $\{\cdot\}$  and  $N_0$  for the enumeration type  $\{\}$ . We write also simply for instance  $(c_1\ A_1 \mid c_2)$  if  $A_2$  is  $N_1$ . We can then define  $nat = (0 \mid S\ nat)$ . We write  $c\ M$  instead of  $(c, M)$  and  $c$  instead of  $(c, \cdot)$ . We have then  $0 : nat$  and  $S\ M : nat$  if  $M : nat$ .

We represent in this way type theory. Notice that if  $\llbracket A \rrbracket \in \mathbf{Typ}$  and  $\llbracket a \rrbracket \in El(\llbracket A \rrbracket)$  then we have  $\llbracket A \rrbracket \neq \perp$  and  $\llbracket a \rrbracket \neq \perp$  and hence  $A$  and  $a$  are HN.

So we have *decidable conversion* as long as we form only expressions semantically justified by  $\mathbf{Typ}, El$ .

## 9 Representation of infinite objects

We have a type of streams

$$S\ A = \Pi\ I\ (hd \rightarrow A, tl \rightarrow S\ A)$$

where  $I = \{hd, tl\}$ . The semantics of  $S\ A$  is in  $\mathbf{Typ}$  if the semantics of  $A$  is. However with the definition of  $El\ (S\ A)$  as well-founded trees, then this semantics will be empty.

It makes sense however to consider the productive elements of this type, which contains for instance the semantics of

$$as = (hd \rightarrow a, tl \rightarrow as)$$

if  $a$  is in  $El(A)$ .

## 10 Implementation in Haskell

We use a nameless representation and using sigma types, we can replace mutual recursive definition by one recursive definition. We can also merge the type of expression and the type of environment. We obtain in this way the following implementation.

```
-- nameless miniTT, with recursive definitions

module Enum1 where

type Brc = [(String,Exp)]

type Name = String

data Exp =
  Comp Exp Exp
  | App Exp Exp
  | Pi Exp Exp
```

```

| Sig Exp Exp
| Pair Exp Exp
| Lam Exp
| Fst Exp
| Snd Exp
| Var Int          -- de Bruijn level or generic values
| Ref Int          -- de Bruijn index
| Def Exp Exp      -- unit substitutions
| Fun Brc
| Con Name
| Enum [Name]
    deriving (Show,Eq)

eval :: Exp -> Exp -> Exp      -- eval is also composition!

eval (Comp t1 t2) s = eval t1 (eval t2 s)
eval (Pair t1 t2) s = Pair (eval t1 s) (eval t2 s)
eval (App t1 t2) s = app (eval t1 s) (eval t2 s)
eval (Pi a b) s = Pi (eval a s) (eval b s)
eval (Sig a b) s = Sig (eval a s) (eval b s)
eval (Fst t) s = fstE (eval t s)
eval (Snd t) s = sndE (eval t s)
eval (Ref k) s = getE k s
eval e@(Con _) s = e
eval e@(Enum _) s = e
eval t s = Comp t s

app :: Exp -> Exp -> Exp
app (Comp (Lam b) s) u = eval b (Pair s u)
app (Comp (Fun ces) s) (Con c) = eval (get c ces) s
app (Comp (Fun ces) s) (Pair (Con c) u) = app (eval (get c ces) s) u
app f u = App f u

getE 0 s@(Comp (Def m _) a) = eval m s
getE 0 (Pair _ u) = u
getE (k+1) (Comp _ s) = getE k s
getE (k+1) (Pair s _) = getE k s

fstE (Pair u1 u2) = u1
fstE u = Fst u

sndE (Pair u1 u2) = u2
sndE u = Snd u

data G a = Success a | Fail Name

instance Monad G where
    (Success x) >>= k      = k x
    Fail s    >>= k      = Fail s

```



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    return          = Success
    fail           = Fail

eqG s1 s2 | s1 == s2 = return ()
eqG s1 s2           = Fail ("eqG " ++ show s1 ++ " /= " ++ show s2)

check :: Int -> Exp -> [Exp] -> Exp -> Exp -> G ()
checkT :: Int -> Exp -> [Exp] -> Exp -> G ()
checkI :: Int -> Exp -> [Exp] -> Exp -> G Exp
checkD :: Int -> Exp -> [Exp] -> Exp -> G (Exp,[Exp])

checkD k rho gam d@(Def m a) =
  do
    checkT k rho gam a
    check (k+1) (Pair rho (Var k)) (v:gam) v m
    return (Comp d rho,v:gam)
    where v = eval a rho
checkD k rho gam u = Fail ("checkD " ++ show u)

checkT k rho gam t = case t of
  Con "U" -> return ()
  Enum _ -> return ()
  Pi a (Lam b) -> do
    checkT k rho gam a
    checkT (k+1) (Pair rho (Var k)) ((eval a rho):gam) b
  Sig a (Lam b) -> do
    checkT k rho gam a
    checkT (k+1) (Pair rho (Var k)) ((eval a rho):gam) b
  Pi (Enum _) (Fun es) -> sequence_ [checkT k rho gam e | (_,e) <- es]
  Sig (Enum _) (Fun es) -> sequence_ [checkT k rho gam e | (_,e) <- es]
  _ -> checkI k rho gam t >>= eqG (Con"U")

upd k rho = Pair rho (Var k)

check k rho gam a t = case (a,t) of
  (_,Con c) -> extEnG c a
  (Con"U",Pi a (Lam b)) -> do
    check k rho gam (Con"U") a
    check (k+1) (upd k rho) ((eval a rho):gam) (Con"U") b
  (Con"U",Sig a (Lam b)) -> do
    check k rho gam (Con"U") a
    check (k+1) (upd k rho) ((eval a rho):gam) (Con"U") b
  (Con"U",Pi (Enum _) (Fun es)) -> sequence_ [check k rho gam (Con"U") e | (_,e) <- es]
  (Con"U",Sig (Enum _) (Fun es)) -> sequence_ [check k rho gam (Con"U") e | (_,e) <- es]
  (Pi (Enum en) f, Fun es) -> sequence_ [check k rho gam (app f (Con i)) e | (i,e) <- es]
  (Pi a f, Lam t) -> check (k+1) (upd k rho) (a:gam) (app f (Var k)) t
  (Sig a f, Pair m1 m2) -> do
    check k rho gam a m1
    check k rho gam (app f (eval m1 rho)) m2

```

```

(Pi (Sig (Enum en) f) g, Fun es) ->
  if map fst es == en
  then sequence_ [check (k+1) (upd k rho) ((app f (Con i)):gam)
                  (app g (Pair (Con i) (Var k))) e
                  | (i, Lam e) <- es]
  else fail ("case branches does not match the data type ")
(a, Fun es) -> Fail ("checkFun " ++ show a)
(_, Comp t d) -> do
  (rho1, gam1) <- checkD k rho gam d
  check k rho1 gam1 a t
_ -> do
  a' <- checkI k rho gam t
  eqG (reif k a) (reif k a')

checkI k rho gam e = case e of
  Ref k -> return (gam !! k)
  Fst t -> do
    c <- checkI k rho gam t
    (a,b) <- extSig c
    return a
  Snd t -> do
    c <- checkI k rho gam t
    (a,f) <- extSig c
    return (app f (fstE (eval t rho)))
  App n m -> do
    c <- checkI k rho gam n
    (a,f) <- extPi c
    check k rho gam a m
    return (app f (eval m rho))
  Comp t d -> do
    (rho1, gam1) <- checkD k rho gam d
    checkI k rho1 gam1 t
  Enum _ -> return (Con"U")
  _ -> Fail ("checkI " ++ show e)

extPi (Pi a b) = return (a,b)
extPi t = Fail (show t ++ " is not a product")

extSig (Sig a b) = return (a,b)
extSig t = Fail (show t ++ " is not a sigma")

extEnG c (Enum cs) =
  if elem c cs then return () else Fail ("extEnG " ++ c ++ " " ++ show cs)
extEnG c a = Fail (show a ++ " is not an enumeration type")

reif :: Int -> Exp -> Exp      -- reify function
reif k (App e1 e2) = App (reif k e1) (reif k e2)
reif k (Pair e1 e2) = Pair (reif k e1) (reif k e2)
reif k (Pi e1 e2) = Pi (reif k e1) (reif k e2)

```

```

reif k (Sig e1 e2) = Sig (reif k e1) (reif k e2)
reif k (Fst e) = Fst (reif k e)
reif k (Snd e) = Snd (reif k e)
reif k f@(Comp (Lam _) _) = Lam (reif (k+1) (app f (Var k)))
reif k (Comp e r) = Comp e (reif k r)
reif k (Var l) = Ref (k-1-1)
reif k e@(Con _) = e
reif k e@(Enum _) = e

get s [] = error ("get " ++ show s)      -- should never occur
get s ((s1,u):us) | s == s1 = u
get s ((s1,u):us)          = get s us

getG s [] = Fail ("getG " ++ show s)    -- should never occur
getG s ((s1,u):us) | s == s1 = return u
getG s ((s1,u):us)          = getG s us

```