

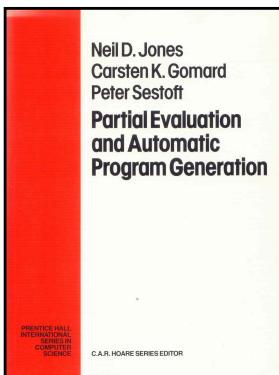
# Parallel Functional Programming in Java 8

Peter Sestoft  
IT University of Copenhagen

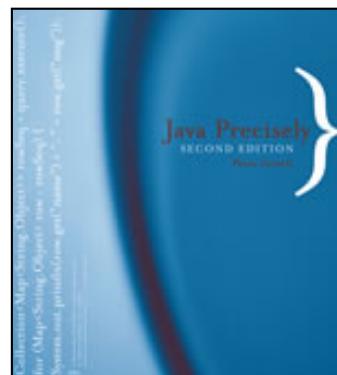
Chalmers Tekniska Högskola  
Monday 2018-04-16

# The speaker

- MSc 1988 computer science and mathematics and PhD 1991, DIKU, Copenhagen University
  - KU, DTU, KVL and ITU; and Glasgow U, AT&T Bell Labs, Microsoft Research UK, Harvard University
  - Programming languages, software development, ...
  - Open source software
    - Moscow ML implementation, 1994...
    - C5 Generic Collection Library, with Niels Kokholm, 2006...
    - Funcalc spreadsheet implementation, 2014



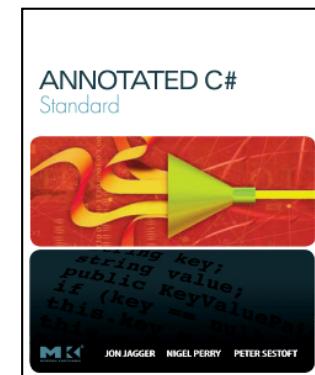
1993



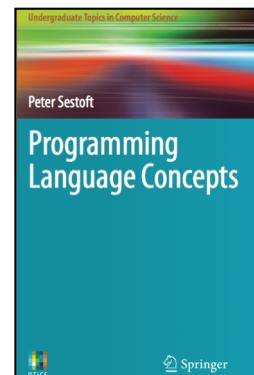
2002, 2005, 2016



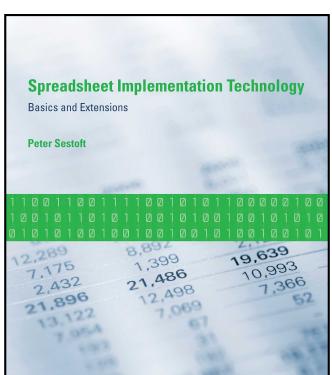
2004 & 2012



2007



2012, 2017



2014

# Plan

- Java 8 functional programming
  - Package `java.util.function`
  - Lambda expressions, method reference expressions
  - Functional interfaces, targeted function type
- Java 8 streams for bulk data
  - Package `java.util.stream`
- High-level parallel programming
  - Streams: primes, queens, van der Corput, ...
  - Array parallel prefix operations
    - Class `java.util.Arrays` static methods
- A multicore performance mystery

# Materials

- *Java Precisely* 3<sup>rd</sup> edition, MIT Press 2016
  - § 11.13: Lambda expressions
  - § 11.14: Method reference expressions
  - § 23: Functional interfaces
  - § 24: Streams for bulk data
  - § 25: Class Optional<T>
- Book examples are called Example154.java etc
  - Get them from the book homepage  
<http://www.itu.dk/people/sestoft/javaprecisely/>

# New in Java 8

- Lambda expressions  
`(String s) -> s.length`
- Method reference expressions  
`String::length`
- Functional interfaces  
`Function<String, Integer>`
- Streams for bulk data  
`Stream<Integer> is = ss.map(String::length)`
- Parallel streams  
`is = ss.parallel().map(String::length)`
- Parallel array operations  
`Arrays.parallelSetAll(arr, i -> sin(i/PI/100.0))`  
`Arrays.parallelPrefix(arr, (x, y) -> x+y)`

# Functional programming in Java

- *Immutable data* instead of objects with state
- *Recursion* instead of loops
- *Higher-order functions* that either
  - take functions as argument
  - return functions as result

```
class FunList<T> {  
    final Node<T> first;  
    protected static class Node<U> {  
        public final U item;  
        public final Node<U> next;  
        public Node(U item, Node<U> next) { ... }  
    }  
    ...  
}
```

Immutable  
list of T

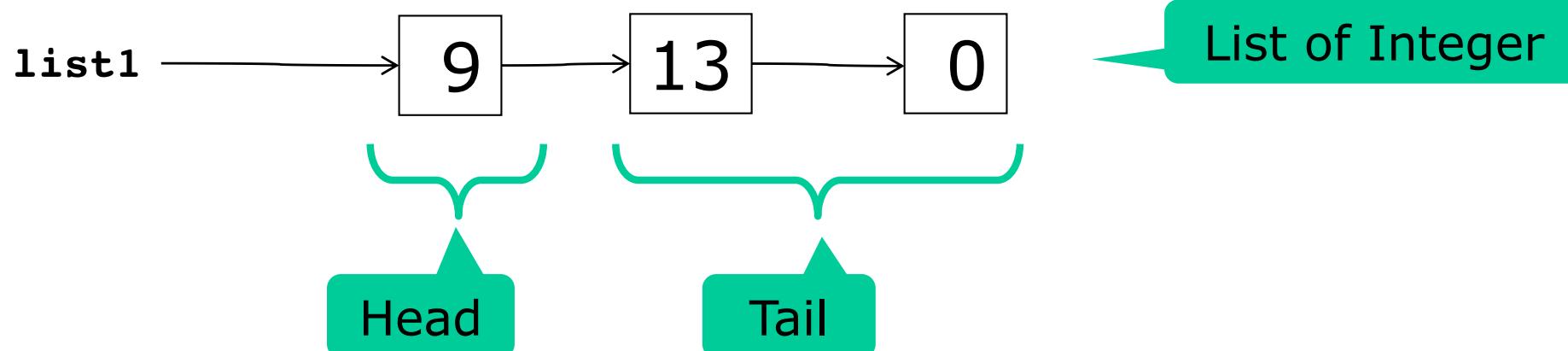
Example154.java

# Immutable data

- `FunList<T>`, linked lists of nodes

```
class FunList<T> {  
    final Node<T> first;  
    protected static class Node<U> {  
        public final U item;  
        public final Node<U> next;  
        public Node(U item, Node<U> next) { ... }  
    }  
}
```

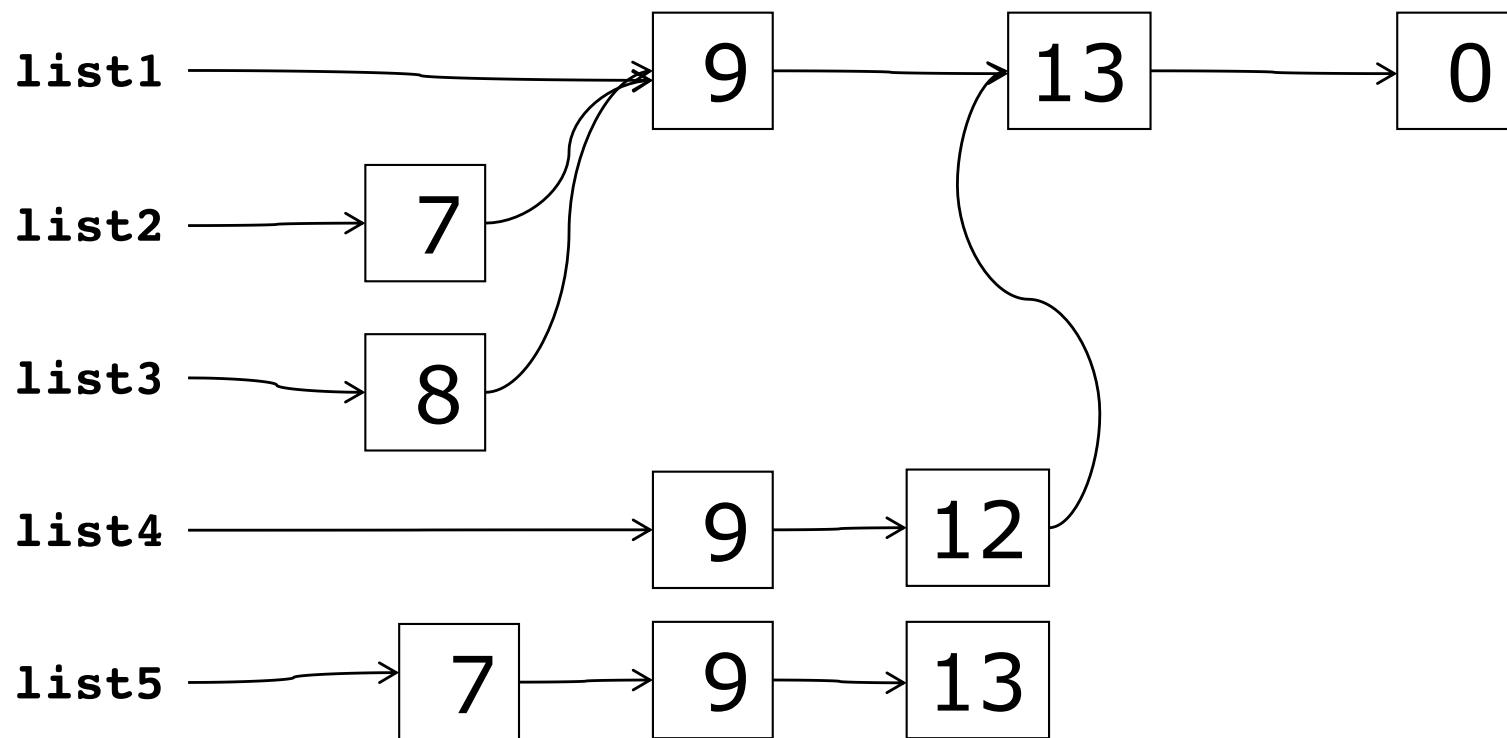
Example154.java



# Existing data do not change

```
FunList<Integer> empty = new FunList<>(null),  
    list1 = cons(9, cons(13, cons(0, empty))),  
    list2 = cons(7, list1),  
    list3 = cons(8, list1),  
    list4 = list1.insert(1, 12),  
    list5 = list2.removeAt(3);
```

Example154.java



# Recursion in insert

Example154.java

```
public FunList<T> insert(int i, T item) {  
    return new FunList<T>(insert(i, item, this.first));  
}  
  
static <T> Node<T> insert(int i, T item, Node<T> xs) {  
    return i == 0 ? new Node<T>(item, xs)  
        : new Node<T>(xs.item, insert(i-1, item, xs.next));  
}
```

- “If **i** is zero, put **item** in a new node, and let its tail be the old list **xs**”
- “Otherwise, put the first element of **xs** in a new node, and let its tail be the result of inserting **item** in position **i-1** of the tail of **xs**”

# Immutable data: Bad and good

- Immutability leads to more allocation
  - Takes time and space
  - But modern garbage collectors are fast
- Immutable data can be safely shared
  - May actually reduce amount of allocation
- Immutable data are automatically threadsafe
  - No (other) thread can mess with it
  - And also due to visibility effects of `final` modifier



Subtle point

# Lambda expressions 1

Example64.java

- One argument lambda expressions:

```
Function<String, Integer>
  fsi1 = s -> Integer.parseInt(s);
...
  ... fsi1.apply("004711") ...
  
```

Calling the function

Function that takes a string s  
and parses it as an integer

```
Function<String, Integer>
  fsi2 = s -> { return Integer.parseInt(s); },
  fsi3 = (String s) -> Integer.parseInt(s);
  
```

Same, written  
in other ways

- Two-argument lambda expressions:

```
BiFunction<String, Integer, String>
  fsis1 = (s, i) -> s.substring(i, Math.min(i+3, s.length()));
```

# Lambda expressions 2

- Zero-argument lambda expression:

```
Supplier<String>
now = () -> new java.util.Date().toString();
```

Example64.java

- One-argument result-less lambda (“void”):

```
Consumer<String>
show1 = s -> System.out.println("">>>>" + s + "<<<");
```

```
Consumer<String>
show2 = s -> { System.out.println("">>>>" + s + "<<<"); };
```

# Method reference expressions

Example67.java

```
BiFunction<String, Integer, Character> charat  
= String::charAt;
```

Same as (s,i) -> s.charAt(i)

```
System.out.println(charat.apply("ABCDEF", 1));
```

```
Function<String, Integer> parseInt = Integer::parseInt;
```

Same as fsi1, fs2 and fs3

```
Function<Integer, Character> hex1  
= "0123456789ABCDEF"::charAt;
```

Conversion to hex digit

Class and array constructors

```
Function<Integer, C> makeC = C::new;
```

```
Function<Integer, Double[]> make1DArray = Double[]::new;
```

# Targeted function type (TFT)

- A lambda expression or method reference expression does not have a type in itself
- Therefore must have a *targeted function type*
- Lambda or method reference must appear as
  - Assignment right hand side:
    - `Function<String, Integer> f = Integer::parseInt;`
  - Argument to call:
    - `stringList.map(Integer::parseInt)`
  - In a cast:
    - `(Function<String, Integer>) Integer::parseInt`
  - Argument to `return` statement:
    - `return Integer::parseInt;`

TFT

map's argument type is TFT

TFT

Enclosing method's  
return type is TFT

# Functions as arguments: map

Example154.java

```
public <U> FunList<U> map(Function<T,U> f) {  
    return new FunList<U>(map(f, first));  
}  
static <T,U> Node<U> map(Function<T,U> f, Node<T> xs) {  
    return xs == null ? null  
        : new Node<U>(f.apply(xs.item), map(f, xs.next));  
}
```

- Function **map** encodes general behavior
  - Transform each list element to make a new list
  - Argument **f** expresses the specific transformation
- Same effect as OO “template method pattern”

# Calling map

7 9 13

```
FunList<Double> list8 = list5.map(i -> 2.5 * i);
```

17.5 22.5 32.5

```
FunList<Boolean> list9 = list5.map(i -> i < 10);
```

true true false

# Functions as arguments: reduce

```
static <T,U> U reduce(U x0, BiFunction<U,T,U> op, Node<T> xs) {  
    return xs == null ? x0  
        : reduce(op.apply(x0, xs.item), op, xs.next);  
}
```

- **list.reduce(x0, op)**  
=  $x_0 \diamond x_1 \diamond \dots \diamond x_n$   
if we write **op.apply(x, y)** as **x  $\diamond$  y**
- Example: **list.reduce(0, (x, y) -> x+y)**  
=  $0 + x_1 + \dots + x_n$

# Calling reduce

17.5 22.5 32.5

```
double sum = list8.reduce(0.0, (res, item) -> res + item);
```

72.5

```
double product = list8.reduce(1.0, (res, item) -> res * item);
```

12796.875

```
boolean allBig  
= list8.reduce(true, (res, item) -> res && item > 10);
```

true

# Tail recursion and loops

```
static <T,U> U reduce(U x0, BiFunction<U,T,U> op, Node<T> xs) {  
    return xs == null ? x0  
        : reduce(op.apply(x0, xs.item), op, xs.next);  
}
```

Tail call

- A call that is the func's last action is a tail call
- A tail-recursive func can be replaced by a loop

```
static <T,U> U reduce(U x0, BiFunction<U,T,U> op, Node<T> xs) {  
    while (xs != null) {  
        x0 = op.apply(x0, xs.item);  
        xs = xs.next;  
    }  
    return x0;  
}
```

Loop version  
of reduce

Example154.java

- The Java compiler does *not* do that automatically

# Java 8 functional interfaces

- A *functional interface* has exactly one abstract method

```
interface Function<T,R> {  
    R apply(T x);  
}
```

Type of functions  
from T to R

C#: Func<T,R>

F#: T -> R

```
interface Consumer<T> {  
    void accept(T x);  
}
```

Type of functions  
from T to void

C#: Action<T>

F#: T -> unit

# (Too) many functional interfaces

Interface	Sec.	Function Type	Single Abstract Method Signature
One-Argument Functions and Predicates			
Function<T,R>	23.5	T → R	R apply(T)
UnaryOperator<T>	23.6	T → T	T apply(T)
Predicate<T>	23.7	T → boolean	boolean test(T)
Consumer<T>	23.8	T → void	void accept(T)
Supplier<T>	23.9	void → T	T get()
Runnable		void → void	void run()
Two-Argument Functions and Predicates			
BiFunction<T,U,R>	23.10	T * U → R	R apply(T, U)
BinaryOperator<T>	23.11	T * T → T	T apply(T, T)
BiPredicate<T,U>	23.7	T * U → boolean	boolean test(T, U)
BiConsumer<T,U>	23.8	T * U → void	void accept(T, U)
Primitive-Type Specialized Versions of the Generic Functional Interfaces			
DoubleToIntFunction	23.5	double → int	int applyAsInt(double)
DoubleToLongFunction	23.5	double → long	long applyAsLong(double)
IntToDoubleFunction	23.5	int → double	double applyAsDouble(int)
IntToLongFunction	23.5	int → long	long applyAsLong(int)
LongToDoubleFunction	23.5	long → double	double applyAsDouble(long)
LongToIntFunction	23.5	long → int	int applyAsInt(long)
DoubleFunction<R>	23.5	double → R	R apply(double)
IntFunction<R>	23.5	int → R	R apply(int)
LongFunction<R>	23.5	long → R	R apply(long)
ToDoubleFunction<T>	23.5	T → double	double applyAsDouble(T)
ToIntFunction<T>	23.5	T → int	int applyAsInt(T)
ToLongFunction<T>	23.5	T → long	long applyAsLong(T)
ToDoubleBiFunction<T,U>	23.10	T * U → double	double applyAsDouble(T, U)
ToIntBiFunction<T,U>	23.10	T * U → int	int applyAsInt(T, U)
ToLongBiFunction<T,U>	23.10	T * U → long	long applyAsLong(T, U)
DoubleUnaryOperator	23.6	double → double	double applyAsDouble(double)
IntUnaryOperator	23.6	int → int	int applyAsInt(int)
LongUnaryOperator	23.6	long → long	long applyAsLong(long)
DoubleBinaryOperator	23.11	double * double → double	double applyAsDouble(double, double)
IntBinaryOperator	23.11	int * int → int	int applyAsInt(int, int)
LongBinaryOperator	23.11	long * long → long	long applyAsLong(long, long)
DoublePredicate	23.7	double → boolean	boolean test(double)
IntPredicate	23.7	int → boolean	boolean test(int)
LongPredicate	23.7	long → boolean	boolean test(long)
DoubleConsumer	23.8	double → void	void accept(double)
IntConsumer	23.8	int → void	void accept(int)
LongConsumer	23.8	long → void	void accept(long)
ObjDoubleConsumer<T>	23.8	T * double → void	void accept(T, double)
ObjIntConsumer<T>	23.8	T * int → void	void accept(T, int)
ObjLongConsumer<T>	23.8	T * long → void	void accept(T, long)
BooleanSupplier	23.9	void → boolean	boolean getAsBoolean()
DoubleSupplier	23.9	void → double	double getAsDouble()
IntSupplier	23.9	void → int	int getAsInt()
LongSupplier	23.9	void → long	long getAsLong()

```
interface IntFunction<R> {  
    R apply(int x);  
}
```

Use instead of  
Function<Integer,R>  
to avoid (un)boxing

Primitive-type  
specialized  
interfaces

# Primitive-type specialized interfaces for int, double, and long

```
interface Function<T,R> {  
    R apply(T x);  
}
```

```
interface IntFunction<R> {  
    R apply(int x);  
}
```

Why  
both?

What difference?

```
Function<Integer,String> f1 = i -> "#" + i;  
IntFunction<String> f2 = i -> "#" + i;
```

- Calling **f1.apply(i)** will *box* *i* as Integer
  - Allocating object in heap, takes time and memory
- Calling **f2.apply(i)** avoids boxing, is faster
- Purely a matter of performance

# Functions that return functions

- Conversion of n to English numeral, cases

n < 20 : one, two, ..., nineteen

n < 100: twenty-three, ...

Same pattern

n >= 100: two **hundred** forty-three, ...

n >= 1000: three **thousand** two hundred forty-three...

n >= 1 million: ... **million** ...

n >= 1 billion: ... **billion** ...

```
private static String less100(long n) {  
    return n<20 ? ones[(int)n]  
        : tens[(int)n/10-2] + after("-", ones[(int)n%10]);  
}  
  
static LongFunction<String> less(long limit, String unit,  
                                LongFunction<String> conv) {  
    return n -> n<limit ? conv.apply(n)  
        : conv.apply(n/limit) + " " + unit  
        + after(" ", conv.apply(n%limit));  
}
```

Convert n < 100

Example158.java

# Functions that return functions

- Using the general higher-order function

```
static final LongFunction<String>
less1K = less(          100, "hundred", Example158::less100),
less1M = less(      1_000, "thousand", less1K),
less1B = less( 1_000_000, "million", less1M),
less1G = less(1_000_000_000, "billion", less1B);
```

Example158.java

- Converting to English numerals:

```
public static String toEnglish(long n) {
    return n==0 ? "zero" : n<0 ? "minus " + less1G.apply(-n)
                                  : less1G.apply(n);
}
```

toEnglish(2147483647)

two billion one hundred forty-seven million  
four hundred eighty-three thousand six hundred forty-seven

# Streams for bulk data

- Stream<T> is a finite or infinite sequence of T
  - Possibly lazily generated
  - Possibly parallel
- Stream methods
  - **map**, **flatMap**, **reduce**, **filter**, ...
  - These take functions as arguments
  - Can be combined into pipelines
  - Java optimizes (and parallelizes) the pipelines well
- Similar to
  - Java Iterators, but very different implementation
  - The extension methods underlying .NET Linq

# Some stream operations

- `Stream<Integer> s = Stream.of(2, 3, 5)`
- `s.filter(p) = the x where p.test(x) holds`  
`s.filter(x -> x%2==0) gives 2`
- `s.map(f) = results of f.apply(x) for x in s`  
`s.map(x -> 3*x) gives 6, 9, 15`
- `s.flatMap(f) = a flattening of the streams created by f.apply(x) for x in s`  
`s.flatMap(x -> Stream.of(x, x+1)) gives 2,3,3,4,5,6`
- `s.findAny() = some element of s, if any, or else the absent Option<T> value`  
`s.findAny() gives 2 or 3 or 5`
- `s.reduce(x0, op) = x0 ♦ s0 ♦ ... ♦ sn` if we write  
`op.apply(x, y) as x ♦ y`  
`s.reduce(1, (x,y)->x*y) gives 1*2*3*5 = 30`

# Similar functions are everywhere

- Java stream **map** is called
  - **map** in Haskell, Scala, F#, Clojure
  - **Select** in C#
- Java stream **flatMap** is called
  - **concatMap** in Haskell
  - **flatMap** in Scala
  - **collect** in F#
  - **SelectMany** in C#
  - **mapcat** in Clojure
- Java **reduce** is a special (assoc. op.) case of
  - **foldl** in Haskell
  - **foldLeft** in Scala
  - **fold** in F#
  - **Aggregate** in C#
  - **reduce** in Clojure

# Counting primes on Java 8 streams

- Our old standard Java for loop:

```
int count = 0;  
for (int i=0; i<range; i++)  
    if (isPrime(i))  
        count++;
```

Classical efficient  
imperative loop

- Sequential Java 8 stream:

```
IntStream.range(0, range)  
.filter(i -> isPrime(i))  
.count()
```

Pure functional  
programming ...

- Parallel Java 8 stream:

```
IntStream.range(0, range)  
.parallel()  
.filter(i -> isPrime(i))  
.count()
```

... and thus  
parallelizable and  
thread-safe

# Performance results (!!)

- Counting the primes in 0 ...99,999

Method	Intel i7 (ms)	AMD Opteron (ms)
Sequential for-loop	9.9	40.5
Sequential stream	9.9	40.8
Parallel stream	2.8	1.7
Best thread-parallel	3.0	4.9
Best task-parallel	2.6	1.9

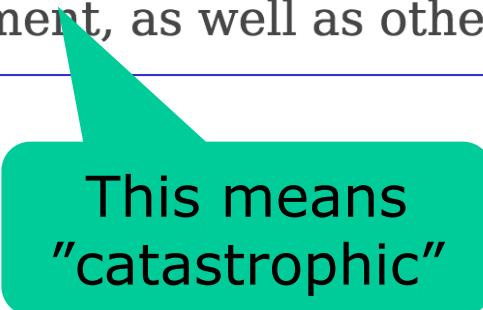
- Functional streams give the simplest solution
- Nearly as fast as tasks and threads, or faster:
  - Intel i7 (4 cores) speed-up: 3.6 x
  - AMD Opteron (32 cores) speed-up: 24.2 x
  - ARM Cortex-A7 (RP 2B) (4 cores) speed-up: 3.5 x
- The future is parallel – and functional ☺

# Side-effect freedom

- From the `java.util.stream` package docs:

## *Side-effects*

Side-effects in behavioral parameters to stream operations are, in general, discouraged, as they can often lead to unwitting violations of the statelessness requirement, as well as other thread-safety hazards.



This means  
“catastrophic”

- Java compiler (type system) cannot enforce side-effect freedom
- Java runtime cannot detect it

# Creating streams 1

- Explicitly or from array, collection or map:

```
IntStream is = IntStream.of(2, 3, 5, 7, 11, 13);
```

```
String[] a = { "Hoover", "Roosevelt", ... };
```

```
Stream<String> presidents = Arrays.stream(a);
```

```
Collection<String> coll = ...;
```

```
Stream<String> countries = coll.stream();
```

```
Map<String, Integer> phoneNumbers = ...;
```

```
Stream<Map.Entry<String, Integer>> phones  
= phoneNumbers.entrySet().stream();
```

Example164.java

- Finite, ordered, sequential, lazily generated

# Creating streams 2

- Useful special-case streams:
- `IntStream.range(0, 10_000)`
- `random.ints(5_000)`
- `bufferedReader.lines()`
- `bitset.stream()`
- Functional iterators for infinite streams
- Imperative generators for infinite streams
- `StreamBuilder<T>`: eager, only finite streams

Example164.java

# Creating streams 3: generators

- Generating 0, 1, 2, 3, ...

Functional

```
IntStream nats1 = IntStream.iterate(0, x -> x+1);
```

Most efficient (!!),  
and parallelizable

Object  
imperative

Example165.java

```
IntStream nats2 = IntStream.generate(new IntSupplier() {  
    private int next = 0;  
    public int getAsInt() { return next++; }  
});
```

Imperative, using final  
array for mutable state

```
final int[] next = { 0 };  
IntStream nats3 = IntStream.generate(() -> next[0]++);
```

# Creating streams 4: StreamBuilder

- Convert own linked IntList to an IntStream

```
class IntList {  
    public final int item;  
    public final IntList next;  
  
    ...  
    public static IntStream stream(IntList xs) {  
        IntStream.Builder sb = IntStream.builder();  
        while (xs != null) {  
            sb.accept(xs.item);  
            xs = xs.next;  
        }  
        return sb.build();  
    }  
}
```

Example182.java

- Eager: no stream element output until end
- Finite: does not work on cyclic or infinite lists

# Streams for backtracking

- Generate all n-permutations of 0, 1, ..., n-1
  - Eg [2,1,0], [1,2,0], [2,0,1], [0,2,1], [0,1,2], [1,0,2]

Set of numbers  
not yet used

An incomplete  
permutation

```
public static Stream<IntList> perms(BitSet todo, IntList tail) {  
    if (todo.isEmpty())  
        return Stream.of(tail);  
    else  
        return todo.stream().boxed()  
            .flatMap(r -> perms(minus(todo, r), new IntList(r, tail)));  
}
```

Example175.java

```
public static Stream<IntList> perms(int n) {  
    BitSet todo = new BitSet(n); todo.flip(0, n);  
    return perms(todo, null);  
}
```

{ 0, ..., n-1 }

Empty  
permutation [ ]

# A closer look at generation for n=3

( $\{0,1,2\}$ , [])

( $\{1,2\}$ , [0])

( $\{2\}$ , [1,0])

( $\{\}$ , [2,1,0])

Output to stream

( $\{1\}$ , [2,0])

( $\{\}$ , [1,2,0])

Output to stream

( $\{0,2\}$ , [1])

( $\{2\}$ , [0,1])

( $\{\}$ , [2,0,1])

Output to stream

( $\{0\}$ , [2,1])

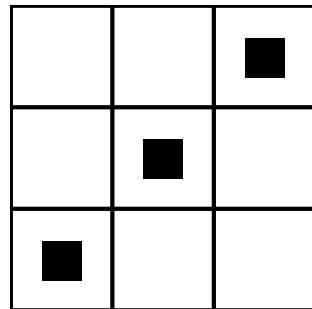
( $\{\}$ , [0,2,1])

Output to stream

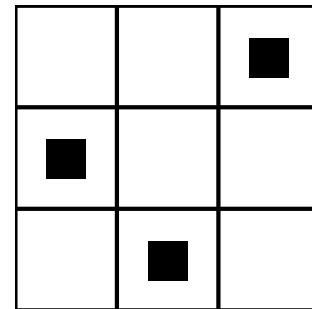
( $\{0,1\}$ , [2])

...

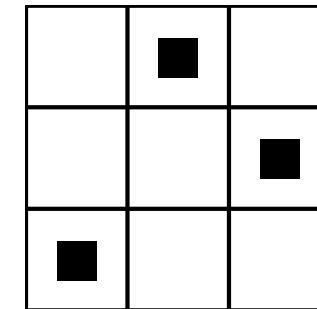
# A permutation is a rook (tårn) placement on a chessboard



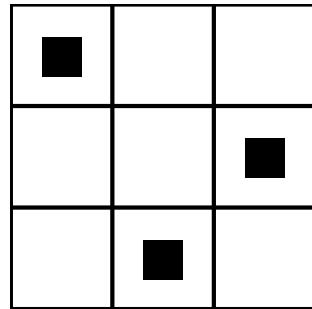
[2, 1, 0]



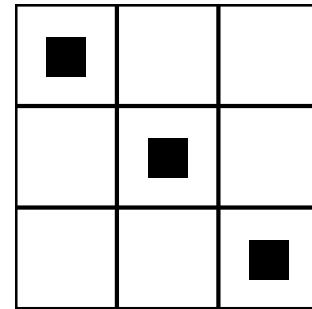
[1, 2, 0]



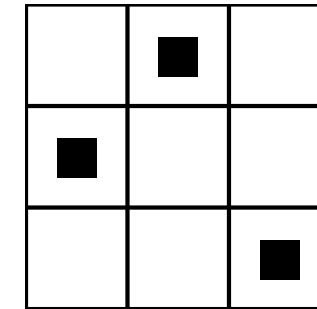
[2, 0, 1]



[0, 2, 1]



[0, 1, 2]



[1, 0, 2]

# Solutions to the n-queens problem

- For queens, just take diagonals into account:
  - consider only r that are safe for the partial solution

```
public static Stream<IntList> queens(BitSet todo, IntList tail) {  
    if (todo.isEmpty())  
        return Stream.of(tail);  
    else  
        return todo.stream()  
            .filter(r -> safe(r, tail)).boxed()  
            .flatMap(r -> queens(minus(todo, r), new IntList(r, tail)));  
}
```

Example176.java

Diagonal  
check

.parallel()

```
public static boolean safe(int mid, IntList tail) {  
    return safe(mid+1, mid-1, tail);  
}  
public static boolean safe(int d1, int d2, IntList tail) {  
    return tail==null || d1!=tail.item && d2!=tail.item && safe(d1+1, d2-1, tail.next);  
}
```

- Simple, and parallelizable for free, 3.5 x faster
- Solve or generate sudokus: much the same

# Versatility of streams

- Many uses of a stream of solutions
  - Print the number of solutions

```
System.out.println(queens(8).count());
```
  - Print all solutions

```
queens(8).forEach(System.out::println);
```
  - Print an arbitrary solution (if there is one)

```
System.out.println(queens(8).findAny());
```
  - Print the 20 first solutions

```
queens(8).limit(20).forEach(System.out::println);
```
- Much harder in an imperative version
- Separation of concerns (Dijkstra): *production* of solutions versus *consumption* of solutions

Example174.java

# Streams for quasi-infinite sequences

- van der Corput numbers
  - $1/2, 1/4, 3/4, 1/8, 5/8, 3/8, 7/8, 1/16, \dots$
  - Dense and uniform in interval  $[0, 1]$
  - For simulation and finance, Black-Scholes options
- Trick: v d Corput numbers as base-2 fractions  
 $0.1, 0.01, 0.11, 0.001, 0.101, 0.011, 0.111 \dots$   
are bit-reversals of  $1, 2, 3, 4, 5, 6, 7, \dots$  in binary

```
public static DoubleStream vanDerCorput() {  
    return IntStream.range(1, 31).asDoubleStream()  
        .flatMap(b -> bitReversedRange((int)b));  
}  
  
private static DoubleStream bitReversedRange(int b) {  
    final long bp = Math.round(Math.pow(2, b));  
    return LongStream.range(bp/2, bp)  
        .mapToDouble(i -> (double)(bitReverse((int)i) >>> (32-b)) / bp);  
}
```

Example183.java

# Collectors: aggregation of streams

- To format an IntList as string “[ 2, 3, 5, 7 ]”
  - Convert the list to an IntStream
  - Convert each element to get Stream<String>
  - Use a predefined Collector to build final result

```
public String toString() {  
    return stream(this).mapToObj(String::valueOf)  
        .collect(Collectors.joining(", ", "[", "]"));  
}
```

Example182.java

```
public static String toString(IntList xs) {  
    StringBuilder sb = new StringBuilder();  
    sb.append("[");  
    boolean first = true;  
    while (xs != null) {  
        if (!first)  
            sb.append(", ");  
        first = false;  
        sb.append(xs.item);  
        xs = xs.next;  
    }  
    return sb.append("]").toString();  
}
```

The alternative “direct” solution requires care and cleverness

# Java 8 stream properties

- Some stream dimensions
  - Finite vs infinite
  - Lazily generated (by `iterate`, `generate`, ...)  
vs eagerly generated (stream builders)
  - Ordered (`map`, `filter`, `limit` ... preserve element order) vs unordered
  - Sequential (all elements processed on one thread)  
vs parallel
- Java streams
  - can be lazily generated, like Haskell lists
  - but are *use-once*, unlike Haskell lists
    - reduces risk of space leaks
    - limits expressiveness, harder to compute average ...

# How are Java streams implemented?

- Spliterators

```
interface Spliterator<T> {  
    long estimateSize();  
    void forEachRemaining(Consumer<T> action);  
    boolean tryAdvance(Consumer<T> action);  
    void Spliterator<T> trySplit();  
}
```

- Many method calls (well inlined/fused by the JIT)
- Parallelization
  - Divide stream into chunks using **trySplit**
  - Process each chunk in a task (Haskell “spark”)
  - Run on thread pool using work-stealing queues
  - ... thus similar to Haskell parBuffer/parListChunk

# Parallel (functional) array operations

- Simulating random motion on a line
  - Take n random steps of length at most [-1, +1]:

```
double[] a = new Random().doubles(n, -1.0, +1.0)
    .toArray();
```

- Compute the positions at end of each step:  
 $a[0], a[0]+a[1], a[0]+a[1]+a[2], \dots$

```
Arrays.parallelPrefix(a, (x,y) -> x+y);
```

NB: Updates array a

- Find the maximal absolute distance from start:

```
double maxDist = Arrays.stream(a).map(Math::abs)
    .max().getAsDouble();
```

- A lot done, fast, without loops or assignments
  - Just arrays and streams and functions

# Array and streams and parallel ...

- Associative array aggregation

```
Arrays.parallelPrefix(a, (x,y) -> x+y);
```

- Such operations can be parallelized well
  - So-called *prefix scans* (Blelloch 1990)
- Streams and arrays complement each other
- Streams: lazy, possibly infinite, non-materialized, use-once, parallel pipelines
- Array: eager, always finite, materialized, use-many-times, parallel prefix scans

# Some problems with Java streams

- Streams are use-once & have other restrictions
  - Probably to permit easy parallelization
- Hard to create lazy finite streams
  - Probably to allow high-performance implementation
- Difficult to control resource consumption
- A single side-effect may mess all up completely
- Sometimes `.parallel()` hurts performance a lot
  - See exercise
  - And strange behavior, in parallel + limit in Sudoku generator
- Laziness in Java is subtle, easily goes wrong:

```
static Stream<String> getPageAsStream(String url) throws IOException {  
    try (BufferedReader in  
        = new BufferedReader(new InputStreamReader(  
            new URL(url).openStream()))) {  
        return in.lines();  
    }  
}
```

Closes the reader too early, so any use of the Stream<String> causes IOException: Stream closed

Example216.java

Useless

# A multicore performance mystery

- K-means clustering 2P: Assign – Update – Assign – Update ... till convergence

```

while (!converged) {
    let taskCount parallel tasks do {
        final int from = ..., to = ...;
        for (int pi=from; pi<to; pi++)
            myCluster[pi] = closest(points[pi], clusters);
    }
    let taskCount parallel tasks do {
        final int from = ..., to = ...;
        for (int pi=from; pi<to; pi++)
            myCluster[pi].addToMean(points[pi]);
    }
    ...
}

```

Pseudocode

Assign

Update

Imperative

TestKMeansSolution.java

- Assign: writes a point to `myCluster[pi]`
- Update: calls `addToMean` on `myCluster[pi]`

# A multicore performance mystery

- “Improved” version 2Q:
  - call **addToMean** directly on point
  - instead of first writing it to **myCluster** array

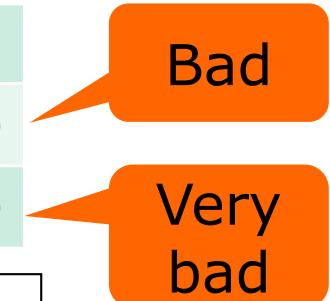
```
while (!converged) {  
    let taskCount parallel tasks do {  
        final int from = ..., to = ...;  
        for (int pi=from; pi<to; pi++)  
            closest(points[pi], clusters).addToMean(points[pi]);  
    }  
    ...  
}
```

# Performance of k-means clustering

- Sequential: as you would expect, 5% speedup
- Parallel: surprisingly bad!

	2P	2Q	2Q/2P
Sequential	4.240	4.019	0.95
4-core parallel	1.310	2.234	1.70
24-core parallel	0.852	6.587	7.70

Time in seconds for 200,000 points, 81 clusters, 1/8/48 tasks, 108 iterations



Bad

Very bad

- Q: WHY is the “improved” code slower?
- A: Cache invalidation and false sharing

# The Point and Cluster classes

```
class Point {  
    public final double x, y;  
}
```

```
static class Cluster extends ClusterBase {  
    private volatile Point mean;  
    private double sumx, sumy;  
    private int count;  
    public synchronized void addToMean(Point p) {  
        sumx += p.x;  
        sumy += p.y;  
        count++;  
    }  
    ...  
}
```

<b>mean</b>	<b>sumx</b>	<b>sumy</b>	<b>count</b>
-------------	-------------	-------------	--------------

Cluster object  
layout (maybe)

# KMeans 2P

- Assignment step
  - Reads each Cluster's `mean` field 200,000 times
  - Writes only `myCluster` array segments, separately
  - Takes no locks at all
- Update step
  - Calls `addToMean` 200,000 times
  - Writes the 81 clusters' `sumx`, `sumy`, `count` fields 200,000 times in total
  - Takes Cluster object locks 200,000 times

# KMeans 2Q

- Unified loop
  - Reads each Cluster's **mean** field 200,000 times
  - Calls **addToMean** 200,000 times and writes the **sumx**, **sumy**, **count** fields 200,000 times in total
  - Takes Cluster object locks 200,000 times
- Problem in 2Q:
  - **mean** reads are mixed with **sumx**, **sumy**, ... writes
  - The writes invalidate the cached **mean** field
  - The 200,000 **mean** field reads become slower
  - False sharing: **mean** and **sumx** on same cache line
  - (A problem on Intel i7, not on 20 x slower ARM A7)
- See <http://www.itu.dk/people/sestoft/papers/cpucache-20170319.pdf>

# Parallel streams to the rescue, 3P

```

while (!converged) {
    final Cluster[] clustersLocal = clusters;
    Map<Cluster, List<Point>> groups =
        Arrays.stream(points).parallel()
            .collect(Collectors.groupingBy(p -> closest(p,clustersLocal)));
    clusters = groups.entrySet().stream().parallel()
        .map(kv -> new Cluster(kv.getKey().getMean(), kv.getValue()))
        .toArray(Cluster[]::new);
    Cluster[] newClusters =
        Arrays.stream(clusters).parallel()
            .map(Cluster::computeMean).toArray(Cluster[]::new);
    converged = Arrays.equals(clusters, newClusters);
    clusters = newClusters;
}

```

Assign

Update

Functional

	2P	2Q	3P
Sequential	4.240	4.019	5.353
4-core parallel i7	1.310	2.234	1.350
24-core parallel Xeon	0.852	6.587	0.553

Time in seconds for 200,000 points, 81 clusters, 1/8/48 tasks, 108 iterations

# Exercise: Streams & floating-point sum

- Compute series sum:  
for N=999,999,999

$$\frac{1}{1} + \frac{1}{2} + \frac{1}{3} + \cdots + \frac{1}{N}$$

- For-loop, forwards summation

```
double sum = 0.0;  
for (int i=1; i<N; i++)  
    sum += 1.0/i;
```

- For-loop, backwards summation

```
double sum = 0.0;  
for (int i=1; i<N; i++)  
    sum += 1.0/ (N-i) ;
```

Different results!

TestStreamSums.java

- Could make a DoubleStream, and use `.sum()`
- Or *parallel* DoubleStream and `.sum()`

Different results?

# This week

- Reading
  - Java Precisely 3<sup>rd</sup> ed. § 11.13, 11.14, 23, 24, 25
  - Optional:
    - <http://www.itu.dk/people/sestoft/papers/benchmarking.pdf>
    - <http://www.itu.dk/people/sestoft/papers/cpucache-20170319.pdf>
- Exercises
  - Extend immutable list class with functional programming; use parallel array operations; use streams of words and streams of numbers
  - Alternatively: Make a faster and more scalable k-means clustering implementation, if possible, in any language