

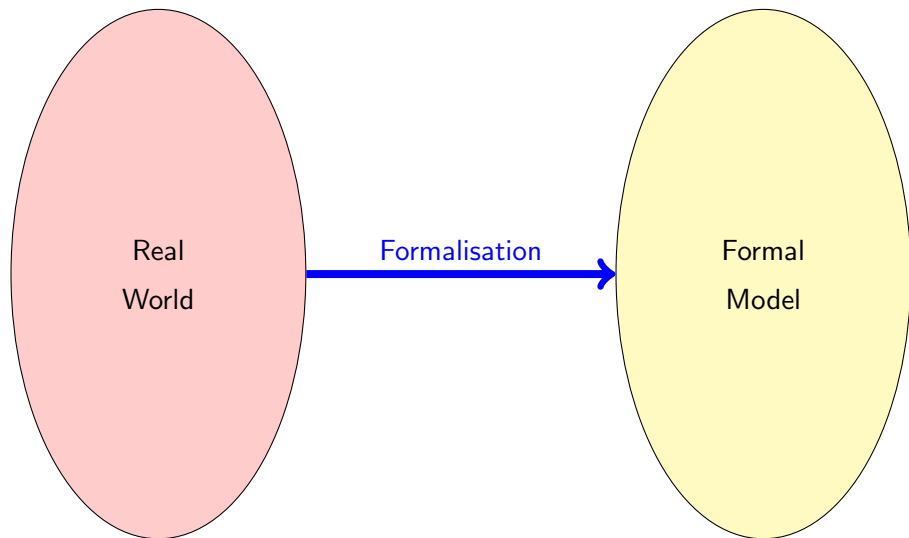
# Software Engineering using Formal Methods

## Formal Modeling with Linear Temporal Logic

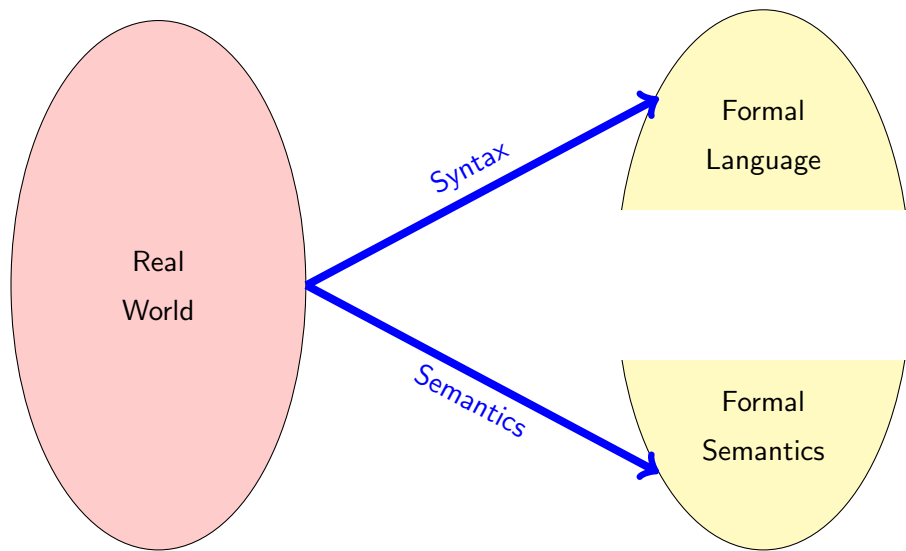
Wolfgang Ahrendt

19th September 2013

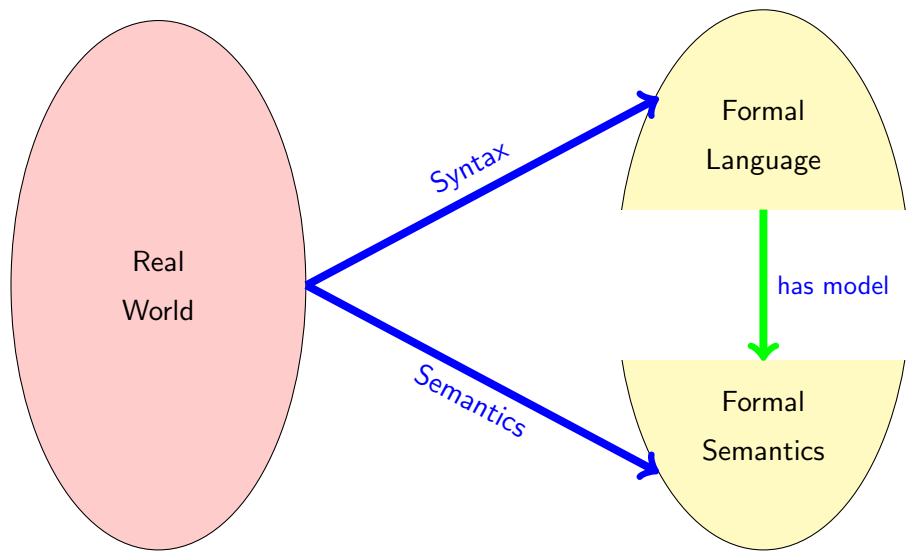
# Recapitulation: Formalisation



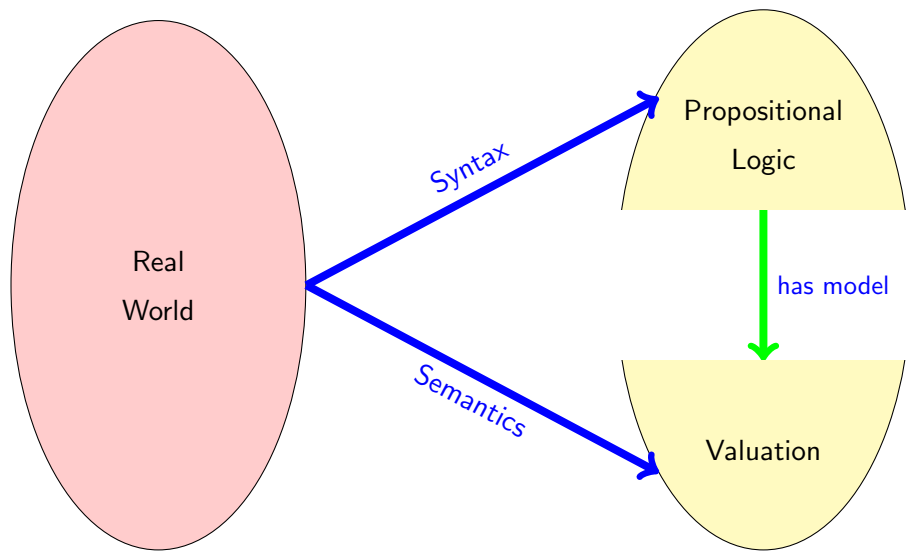
# Formalisation: Syntax, Semantics



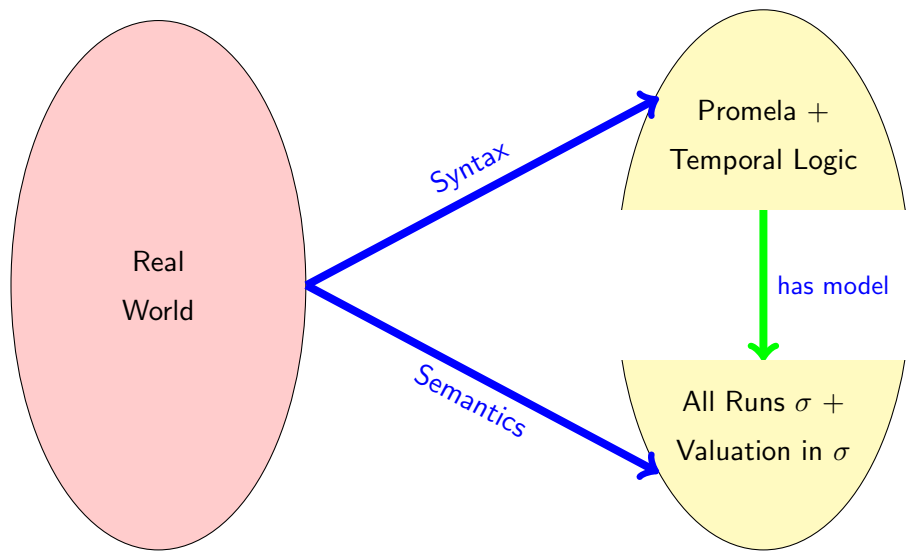
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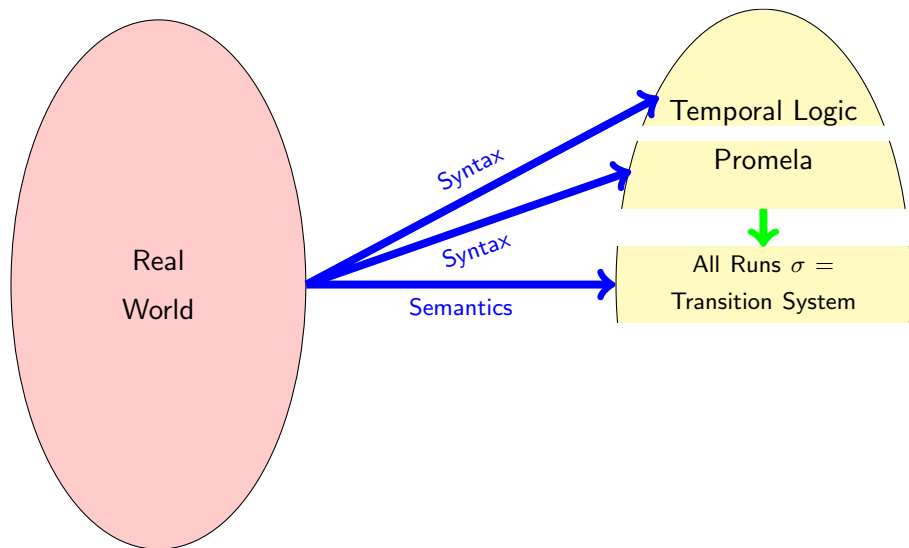
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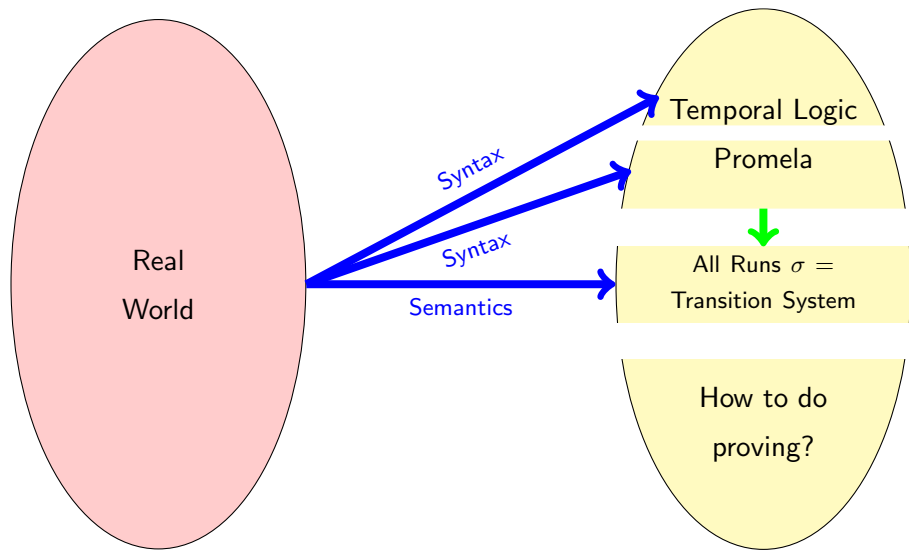
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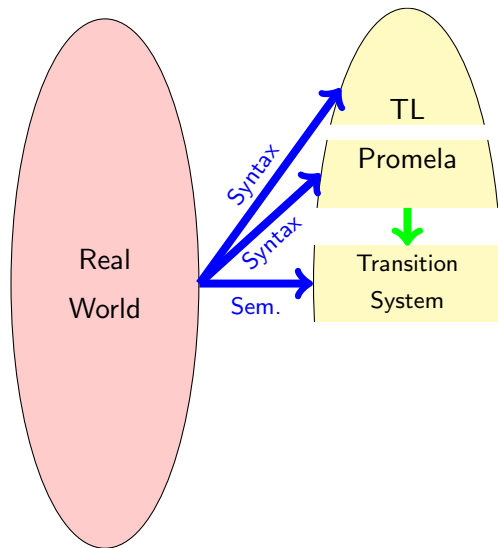


# Formalisation: Syntax, Semantics, Proving

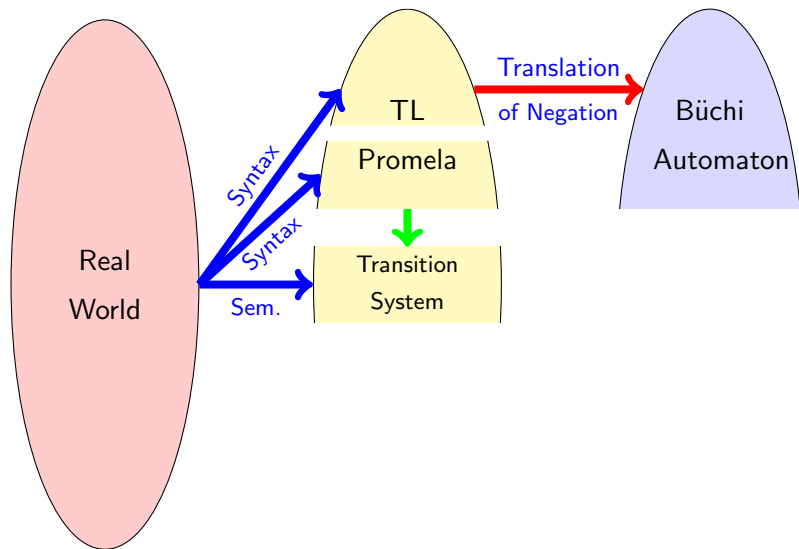




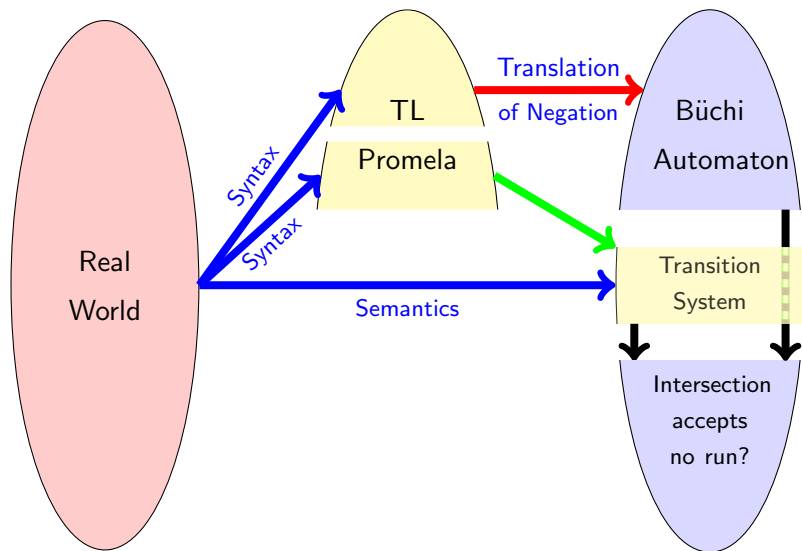
# Formal Verification: Model Checking



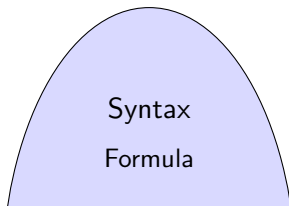
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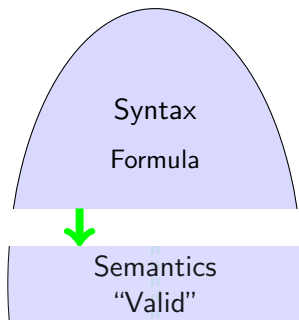
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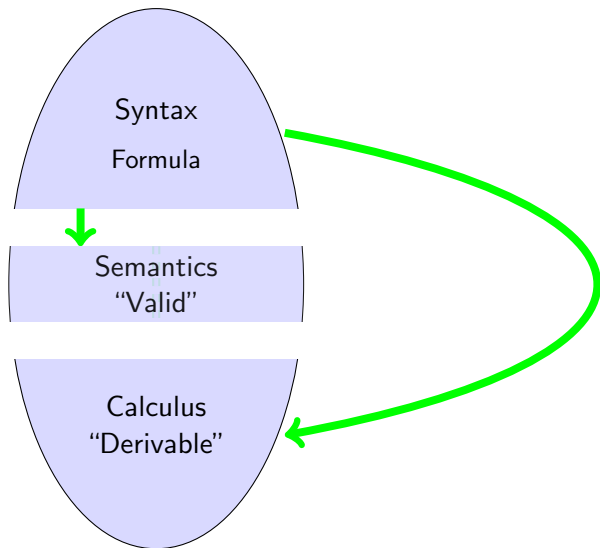
# The Big Picture: Syntax, Semantics, Calculus



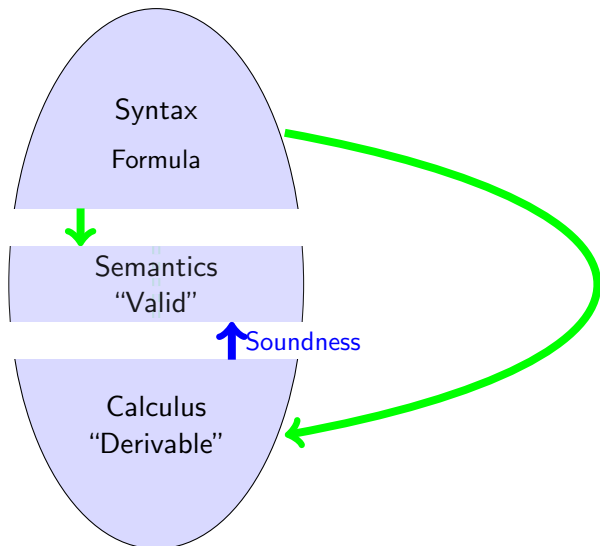
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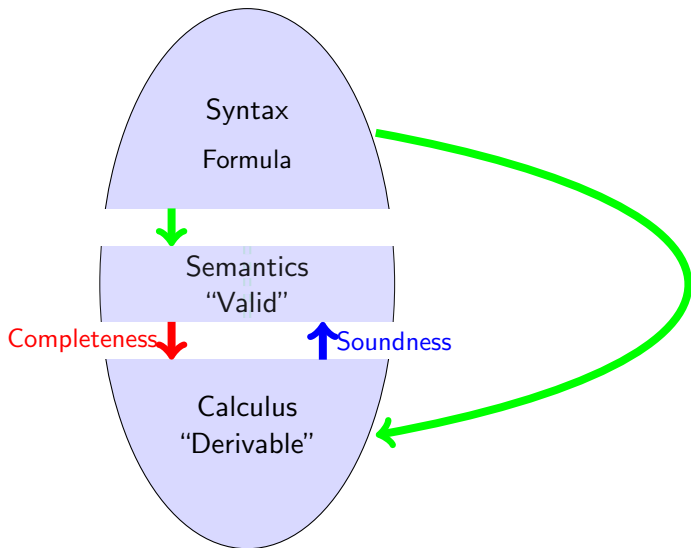
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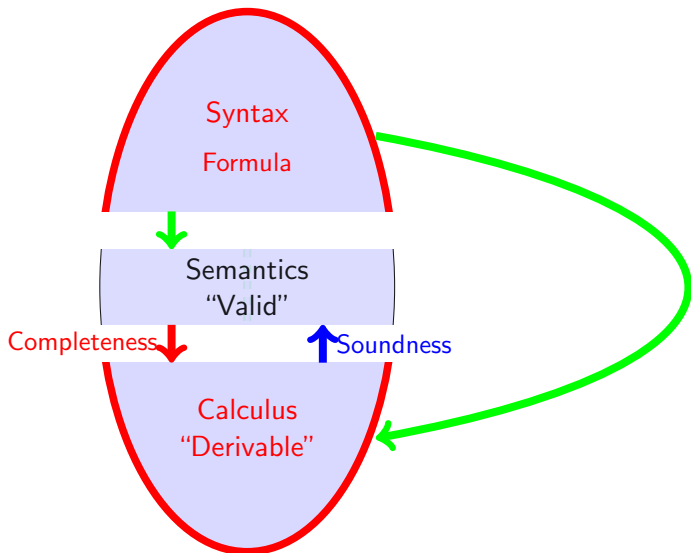


# The Big Picture: Syntax, Semantics, Calculus

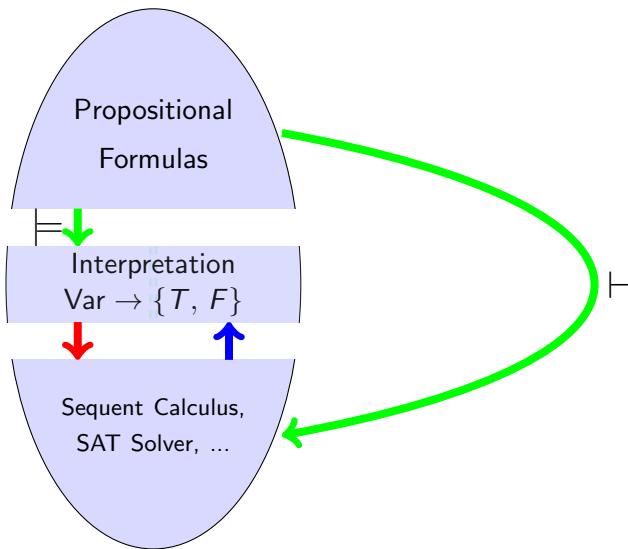




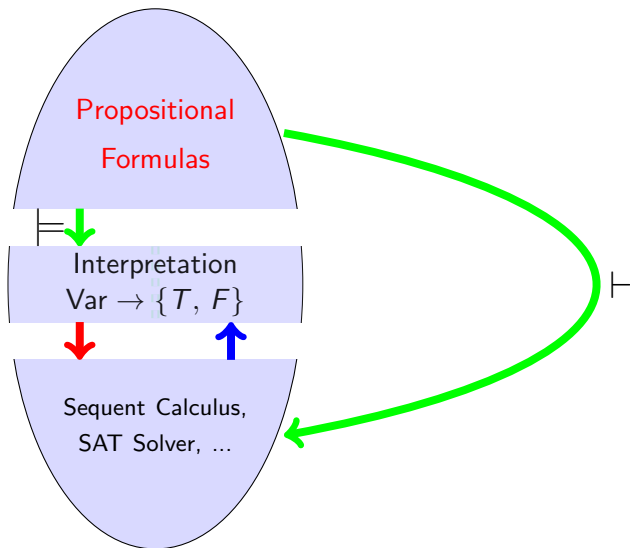
# The Big Picture: Syntax, Semantics, Calculus



# Simplest Case: Propositional Logic



# Simplest Case: Propositional Logic—Syntax



# Syntax of Propositional Logic

## Signature

A set of **Propositional Variables**  $\mathcal{P}$  (with typical elements  $p, q, r, \dots$ )

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true, false,  $\wedge$ ,  $\vee$ ,  $\neg$ ,  $\rightarrow$ ,  $\leftrightarrow$

# Syntax of Propositional Logic

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## Propositional Connectives

true, false,  $\wedge$ ,  $\vee$ ,  $\neg$ ,  $\rightarrow$ ,  $\leftrightarrow$

## Set of Propositional Formulas $For_0$

- ▶ Truth constants true, false and variables  $\mathcal{P}$  are formulas
- ▶ If  $\phi$  and  $\psi$  are formulas then

$$\neg\phi, \quad \phi \wedge \psi, \quad \phi \vee \psi, \quad \phi \rightarrow \psi, \quad \phi \leftrightarrow \psi$$

are also formulas

- ▶ There are no other formulas (inductive definition)

## Remark on Concrete Syntax

	Text book	SPIN
Negation	$\neg$	!
Conjunction	$\wedge$	&&
Disjunction	$\vee$	
Implication	$\rightarrow, \supset$	$\rightarrow$
Equivalence	$\leftrightarrow$	$\leftrightarrow$

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We use mostly the textbook notation  
Except for tool-specific slides, input files



# Propositional Logic Syntax: Examples

Let  $\mathcal{P} = \{p, q, r\}$  be the set of propositional variables

Are the following character sequences also propositional formulas?

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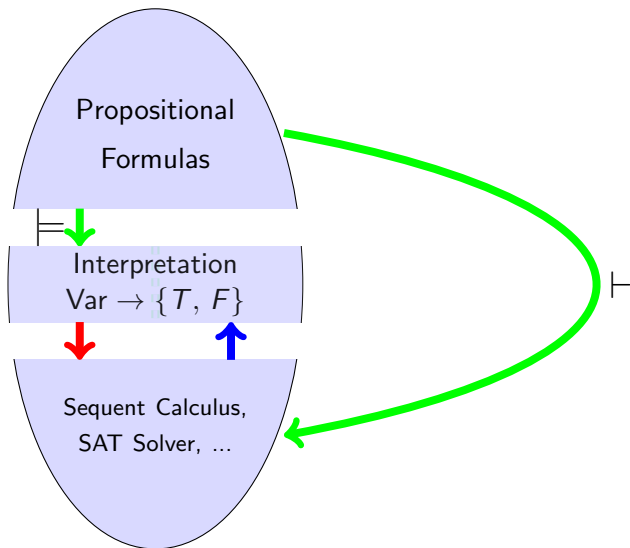
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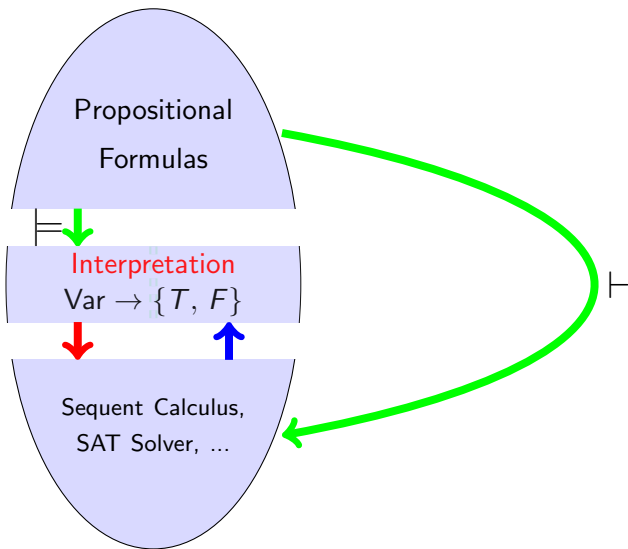
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# Simplest Case: Propositional Logic



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# Semantics of Propositional Logic

## Interpretation $\mathcal{I}$

Assigns a truth value to each propositional variable

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How to evaluate  $p \rightarrow (q \rightarrow p)$  in each interpretation  $\mathcal{I}_i$ ?

# Semantics of Propositional Logic

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## Valuation Function

$val_{\mathcal{I}}$ : Continuation of  $\mathcal{I}$  on  $For_0$

$$val_{\mathcal{I}} : For_0 \rightarrow \{T, F\}$$

$$val_{\mathcal{I}}(\text{true}) = T$$

$$val_{\mathcal{I}}(\text{false}) = F$$

$$val_{\mathcal{I}}(p_i) = \mathcal{I}(p_i)$$

(cont'd next page)

# Semantics of Propositional Logic (Cont'd)

## Valuation function (Cont'd)

$$\text{val}_{\mathcal{I}}(\neg\phi) = \begin{cases} T & \text{if } \text{val}_{\mathcal{I}}(\phi) = F \\ F & \text{otherwise} \end{cases}$$

$$\text{val}_{\mathcal{I}}(\phi \wedge \psi) = \begin{cases} T & \text{if } \text{val}_{\mathcal{I}}(\phi) = T \textbf{ and } \text{val}_{\mathcal{I}}(\psi) = T \\ F & \text{otherwise} \end{cases}$$

$$\text{val}_{\mathcal{I}}(\phi \vee \psi) = \begin{cases} T & \text{if } \text{val}_{\mathcal{I}}(\phi) = T \textbf{ or } \text{val}_{\mathcal{I}}(\psi) = T \\ F & \text{otherwise} \end{cases}$$

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$$\text{val}_{\mathcal{I}}(\phi \leftrightarrow \psi) = \begin{cases} T & \text{if } \text{val}_{\mathcal{I}}(\phi) = \text{val}_{\mathcal{I}}(\psi) \\ F & \text{otherwise} \end{cases}$$

# Valuation Examples

## Example

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How to evaluate  $p \rightarrow (q \rightarrow p)$  in  $\mathcal{I}_2$ ?



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# Semantic Notions of Propositional Logic

Let  $\phi \in For_0$ ,  $\Gamma \subseteq For_0$

## Definition (Satisfying Interpretation, Consequence Relation)

$\mathcal{I}$  satisfies  $\phi$  (write:  $\mathcal{I} \models \phi$ ) iff  $val_{\mathcal{I}}(\phi) = T$

$\phi$  follows from  $\Gamma$  (write:  $\Gamma \models \phi$ ) iff for all interpretations  $\mathcal{I}$ :

If  $\mathcal{I} \models \psi$  for all  $\psi \in \Gamma$  then also  $\mathcal{I} \models \phi$

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If  $\mathcal{I} \models \psi$  for all  $\psi \in \Gamma$  then also  $\mathcal{I} \models \phi$

## Definition (Satisfiability, Validity)

A formula is **satisfiable** if it is satisfied by **some** interpretation.

If **every** interpretation satisfies  $\phi$  (write:  $\models \phi$ ) then  $\phi$  is called **valid**.

# Semantics of Propositional Logic: Examples

Formula (same as before)

$$p \rightarrow (q \rightarrow p)$$

# Semantics of Propositional Logic: Examples

Formula (same as before)

$$p \rightarrow (q \rightarrow p)$$

Is this formula valid?

$$\models p \rightarrow (q \rightarrow p) ?$$

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$$p \wedge ((\neg p) \vee q)$$

Satisfiable?

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Other Satisfying Interpretations?



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Therefore, also not valid!

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Other Satisfying Interpretations?



Therefore, also not valid!

$$p \wedge ((\neg p) \vee q) \models q \vee r$$

Does it hold?

# Semantics of Propositional Logic: Examples

$$p \wedge ((\neg p) \vee q)$$

Satisfiable?



Satisfying Interpretation?

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Other Satisfying Interpretations?



Therefore, also not valid!

$$p \wedge ((\neg p) \vee q) \models q \vee r$$

Does it hold? Yes. Why?

# An Exercise in Formalisation

```
1 byte n;  
2 active proctype [2] P() {  
3   n = 0;  
4   n = n + 1  
5 }
```

Can we characterise the states of P propositionally?



# An Exercise in Formalisation

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```

Can we characterise the states of P propositionally?

Find a propositional formula  $\phi_P$  which is true if and only if (iff) it describes a possible state of P.

# An Exercise in Formalisation

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1 byte n;  
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$\mathcal{P} : N_0, N_1, N_2, \dots, N_7$  8-bit representation of byte  
 $PC_0, PC_1, PC_2, PC_3, PC_4, PC_5, PC_6, PC_7$  next instruction pointer

Which interpretations do we need to “exclude”?

$\phi_P := \left( \right)$

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$\mathcal{P} : N_0, N_1, N_2, \dots, N_7$  8-bit representation of byte  
 $PC0_3, PC0_4, PC0_5, PC1_3, PC1_4, PC1_5$  next instruction pointer

Which interpretations do we need to “exclude”?

- ▶ The variable  $n$  is represented by eight bits, all values possible

$\phi_P := \left( \right)$

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5 }
```

$\mathcal{P} : N_0, N_1, N_2, \dots, N_7$  8-bit representation of byte  
 $PC0_3, PC0_4, PC0_5, PC1_3, PC1_4, PC1_5$  next instruction pointer

Which interpretations do we need to “exclude”?

- ▶ The variable  $n$  is represented by eight bits, all values possible
- ▶ A process cannot be at two positions at the same time

$$\phi_P := \left( ((PC0_3 \wedge \neg PC0_4 \wedge \neg PC0_5) \vee \dots) \wedge \right)$$

# An Exercise in Formalisation

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- ▶ ...

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# Is Propositional Logic Enough?

Can design for a program  $P$  a formula  $\Phi_P$  describing all reachable states

For a given property  $\Psi$  the consequence relation

$$\Phi_P \models \Psi$$

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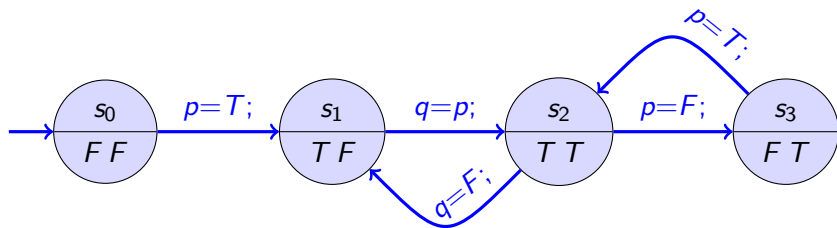
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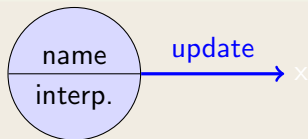
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⇒ Need a more expressive logic: (Linear) Temporal Logic

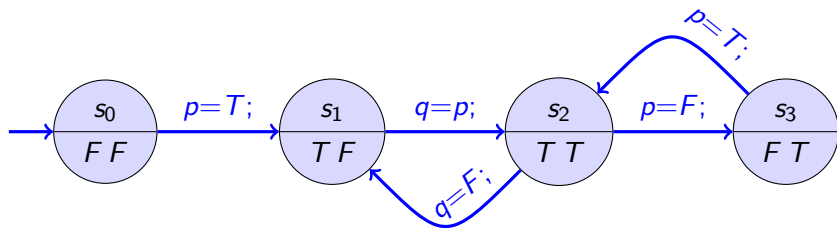
# Transition systems (aka Kripke Structures)



## Notation

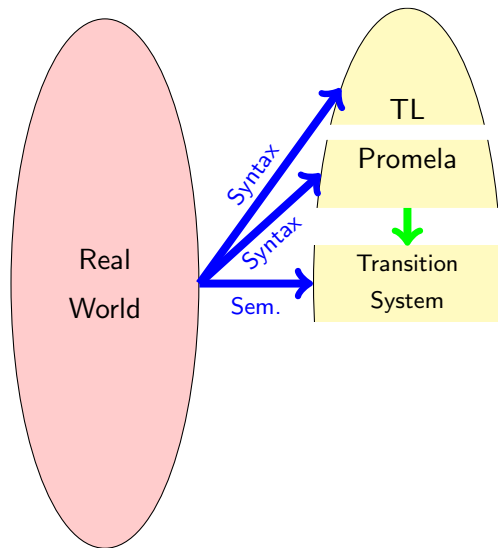


# Transition systems (aka Kripke Structures)



- ▶ Each state  $s_i$  has its own propositional interpretation  $I_i$ 
  - ▶ Convention: list values of variables in ascending lexicographic order
- ▶ Computations, or **runs**, are *infinite* paths through states
  - ▶ Intuitively 'finite' runs modelled by looping on last state
- ▶ How to express (for example) that  $p$  changes its value infinitely often in each run?

# Formal Verification: Model Checking



# (Linear) Temporal Logic

An extension of propositional logic that allows to specify **properties of all runs**

# (Linear) Temporal Logic—Syntax

An extension of propositional logic that allows to specify **properties of all runs**

## Syntax

Based on propositional signature and syntax

Extension with three connectives:

**Always** If  $\phi$  is a formula then so is  $\Box\phi$

**Eventually** If  $\phi$  is a formula then so is  $\Diamond\phi$

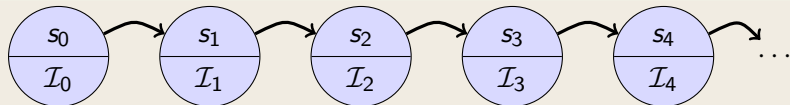
**Until** If  $\phi$  and  $\psi$  are formulas then so is  $\phi\mathcal{U}\psi$

## Concrete Syntax

	text book	SPIN
Always	$\Box$	$[]$
Eventually	$\Diamond$	$\langle \rangle$
Until	$\mathcal{U}$	$\mathcal{U}$

# Temporal Logic—Semantics

A run  $\sigma$  is an infinite chain of states

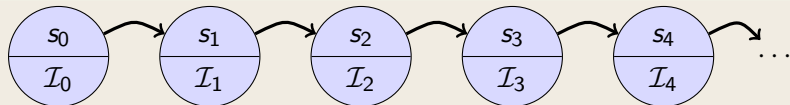


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Write more compactly  $s_0 s_1 s_2 s_3 \dots$

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Write more compactly  $s_0 s_1 s_2 s_3 \dots$

If  $\sigma = s_0 s_1 \dots$ , then  $\sigma|_i$  denotes the **suffix**  $s_i s_{i+1} \dots$  of  $\sigma$ .



## Temporal Logic—Semantics (Cont'd)

Valuation of temporal formula relative to **run**: infinite sequence of states

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- $\sigma \models \phi \wedge \psi$  iff  $\sigma \models \phi$  and  $\sigma \models \psi$

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$\sigma \models \phi \vee \psi$	iff	$\sigma \models \phi$ or $\sigma \models \psi$
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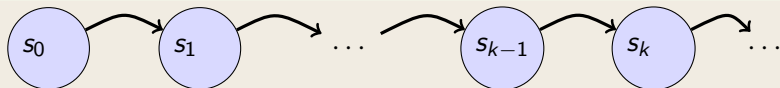
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Temporal connectives?

# Temporal Logic—Semantics (Cont'd)

Run  $\sigma$

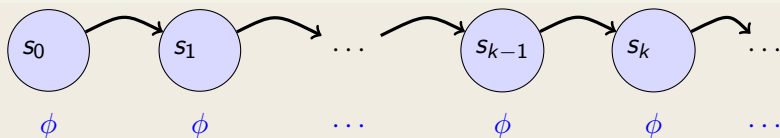


**Definition (Validity Relation for Temporal Connectives)**

Given a run  $\sigma = s_0 s_1 \dots$

# Temporal Logic—Semantics (Cont'd)

Run  $\sigma$



## Definition (Validity Relation for Temporal Connectives)

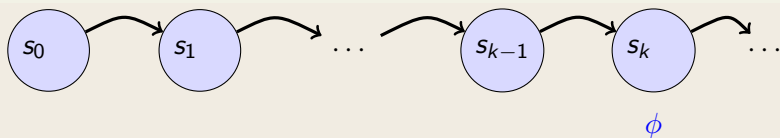
Given a run  $\sigma = s_0 s_1 \dots$

$\sigma \models \Box\phi$  iff  $\sigma|_k \models \phi$  for all  $k \geq 0$



# Temporal Logic—Semantics (Cont'd)

Run  $\sigma$



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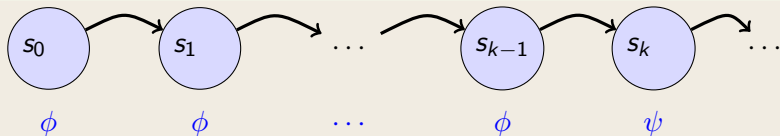
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$\sigma \models \phi\mathcal{U}\psi$     iff     $\sigma|_k \models \psi$  for some  $k \geq 0$ , and  $\sigma|_j \models \phi$  for all  $0 \leq j < k$   
(if  $k = 0$  then  $\phi$  needs never hold)

# Safety and Liveness Properties

## Safety Properties

- ▶ Always-formulas called **safety properties**:  
“something bad never happens”
- ▶ Let `mutex` (“mutual exclusion”) be a variable that is true when two processes do not access a critical resource at the same time
- ▶  $\square \text{mutex}$  expresses that simultaneous access never happens

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## Liveness Properties

- ▶ Eventually-formulas called **liveness properties**:  
“something good happens eventually”
- ▶ Let `s` be variable that is true when a process delivers a service
- ▶  $\diamond s$  expresses that service is eventually provided

What does this mean?

$$\sigma \models \Box \Diamond \phi$$

## Infinitely Often

$$\sigma \models \Box\Diamond\phi$$

“During run  $\sigma$  the formula  $\phi$  becomes true infinitely often”

# Validity of Temporal Logic

## Definition (Validity)

$\phi$  is **valid**, write  $\models \phi$ , iff  $\phi$  is valid in **all** runs  $\sigma = s_0 s_1 \dots$ .

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Recall that each run  $s_0 s_1 \dots$  essentially is an infinite sequence of interpretations  $\mathcal{I}_0 \mathcal{I}_1 \dots$

## Representation of Runs

Can represent a set of runs as a sequence of propositional formulas:

- ▶  $\phi_0 \phi_1, \dots$  represents all runs  $s_0 s_1 \dots$  such that  $s_i \models \phi_i$  for  $i \geq 0$



# Semantics of Temporal Logic: Examples

$\diamond\Box\phi$

Valid?

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$$\diamond \square \phi$$

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No, there is a run where it is not valid:

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$$\Box \phi \rightarrow \phi$$

$$(\neg \Box \phi) \leftrightarrow (\diamond \neg \phi)$$

$$\diamond \phi \leftrightarrow (\text{true } \mathcal{U} \phi)$$

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All are valid! (proof is exercise)

- ▶  $\square$  is reflexive
- ▶  $\square$  and  $\diamond$  are dual connectives
- ▶  $\square$  and  $\diamond$  can be expressed with only using  $\mathcal{U}$



# Transition Systems: Formal Definition

## Definition (Transition System)

A **transition system**  $\mathcal{T} = (S, Ini, \delta, \mathcal{I})$  is composed of a set of **states**  $S$ , a set  $\emptyset \neq Ini \subseteq S$  of **initial states**, a **transition relation**  $\delta \subseteq S \times S$ , and a **labeling**  $\mathcal{I}$  of each state  $s \in S$  with a propositional interpretation  $\mathcal{I}_s$ .

## Definition (Run of Transition System)

A **run** of  $\mathcal{T}$  is a sequence of states  $\sigma = s_0 s_1 \cdots$  such that  $s_0 \in Ini$  and for all  $i$  is  $s_i \in S$  as well as  $(s_i, s_{i+1}) \in \delta$ .

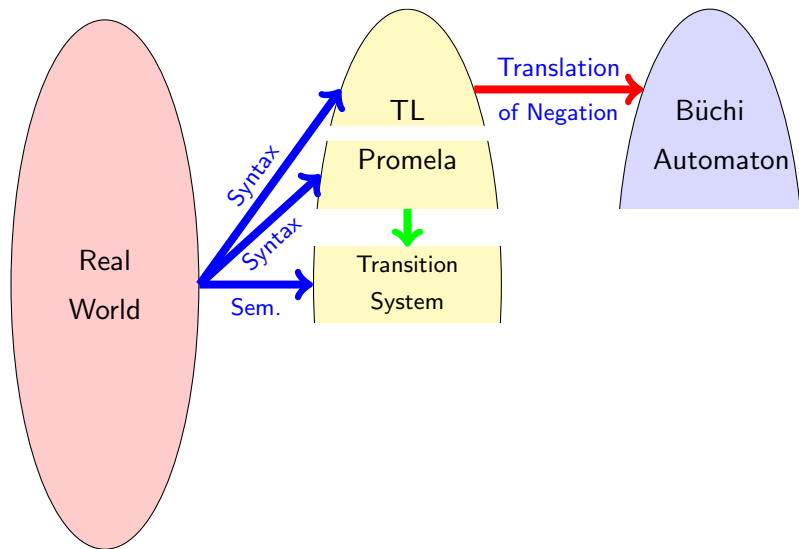
# Temporal Logic—Semantics (Cont'd)

Extension of validity of temporal formulas to **transition systems**:

## Definition (Validity Relation)

Given a transition system  $\mathcal{T} = (S, Ini, \delta, \mathcal{I})$ , a temporal formula  $\phi$  is **valid in  $\mathcal{T}$**  (write  $\mathcal{T} \models \phi$ ) iff  $\sigma \models \phi$  for all runs  $\sigma$  of  $\mathcal{T}$ .

# Formal Verification: Model Checking



Given a finite alphabet (vocabulary)  $\Sigma$

A word  $w \in \Sigma^*$  is a finite sequence

$$w = a_0 \cdots a_n$$

with  $a_i \in \Sigma, i \in \{0, \dots, n\}$

$\mathcal{L} \subseteq \Sigma^*$  is called a **language**

Given a finite alphabet (vocabulary)  $\Sigma$

An  $\omega$ -word  $w \in \Sigma^\omega$  is an infinite sequence

$$w = a_0 \cdots a_k \cdots$$

with  $a_i \in \Sigma, i \in \mathbb{N}$

$\mathcal{L}^\omega \subseteq \Sigma^\omega$  is called an  $\omega$ -language

# Büchi Automaton

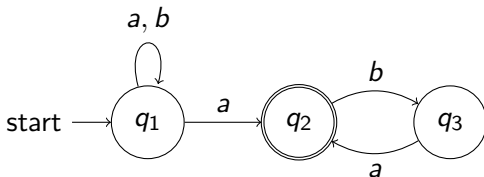
## Definition (Büchi Automaton)

A (non-deterministic) **Büchi automaton** over an alphabet  $\Sigma$  consists of a

- ▶ finite, non-empty set of **locations**  $Q$
- ▶ a non-empty set of **initial/start** locations  $I \subseteq Q$
- ▶ a set of **accepting** locations  $F = \{F_1, \dots, F_n\} \subseteq Q$
- ▶ a transition relation  $\delta \subseteq Q \times \Sigma \times Q$

## Example

$\Sigma = \{a, b\}$ ,  $Q = \{q_1, q_2, q_3\}$ ,  $I = \{q_1\}$ ,  $F = \{q_2\}$



## Definition (Execution)

Let  $\mathcal{B} = (Q, I, F, \delta)$  be a Büchi automaton over alphabet  $\Sigma$ .

An **execution** of  $\mathcal{B}$  is a pair  $(w, v)$ , with

▶  $w = a_0 \cdots a_k \cdots \in \Sigma^\omega$

▶  $v = q_0 \cdots q_k \cdots \in Q^\omega$

where  $q_0 \in I$ , and  $(q_i, a_i, q_{i+1}) \in \delta$ , for all  $i \in \mathbb{N}$

# Büchi Automaton—Executions and Accepted Words

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## Definition (Accepted Word)

A Büchi automaton  $\mathcal{B}$  **accepts** a word  $w \in \Sigma^\omega$ , if there exists an execution  $(w, v)$  of  $\mathcal{B}$  where **some accepting location**  $f \in F$  appears **infinitely** often in  $v$



# Büchi Automaton—Language

Let  $\mathcal{B} = (Q, I, F, \delta)$  be a Büchi automaton, then

$$\mathcal{L}^\omega(\mathcal{B}) = \{w \in \Sigma^\omega \mid w \in \Sigma^\omega \text{ is an accepted word of } \mathcal{B}\}$$

denotes the  $\omega$ -language **recognised** by  $\mathcal{B}$ .

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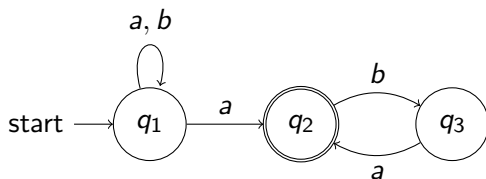
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An  $\omega$ -language for which an accepting Büchi automaton exists is called  **$\omega$ -regular** language.

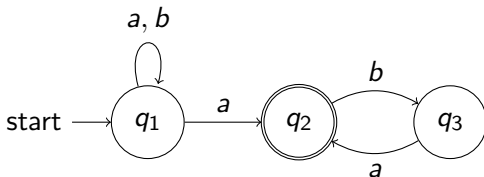
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Which language is accepted by the following Büchi automaton?



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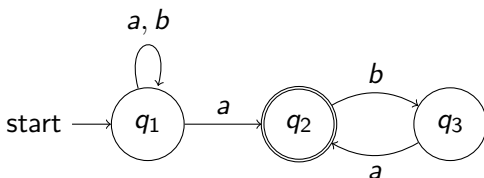


Solution:  $(a + b)^*(ab)^\omega$

[NB:  $(ab)^\omega = a(ba)^\omega$ ]

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Which language is accepted by the following Büchi automaton?



Solution:  $(a + b)^*(ab)^\omega$  [NB:  $(ab)^\omega = a(ba)^\omega$ ]

$\omega$ -regular expressions like standard regular expression

$ab$   $a$  then  $b$

$a + b$   $a$  or  $b$

$a^*$  arbitrarily, but **finitely** often  $a$

**new:**  $a^\omega$  **infinitely** often  $a$

# Decidability, Closure Properties

Many properties for regular finite automata hold also for Büchi automata

## **Theorem (Decidability)**

*It is decidable whether the accepted language  $\mathcal{L}^\omega(\mathcal{B})$  of a Büchi automaton  $\mathcal{B}$  is empty.*

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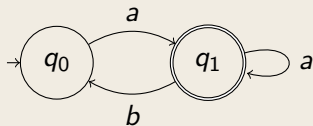
## **But** in contrast to regular finite automata

Non-deterministic Büchi automata are strictly more expressive than deterministic ones



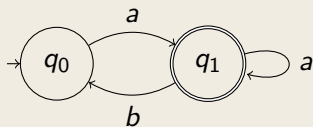
# Büchi Automata—More Examples

Language:



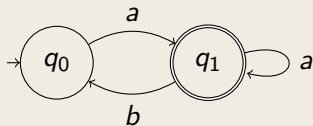
# Büchi Automata—More Examples

Language:  $a(a + ba)^\omega$

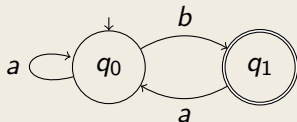


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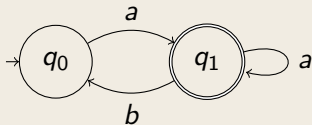


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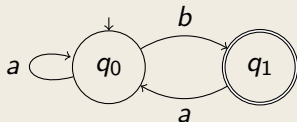


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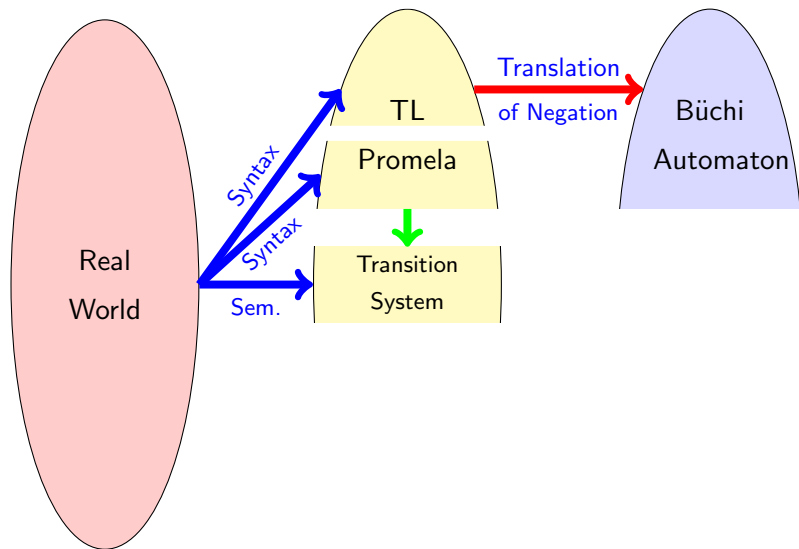
Language:  $a(a + ba)^\omega$



Language:  $(a^*ba)^\omega$



# Formal Verification: Model Checking



# Linear Temporal Logic and Büchi Automata

LTL and Büchi Automata are connected

Recall

## Definition (Validity Relation)

Given a transition system  $\mathcal{T} = (S, Ini, \delta, \mathcal{I})$ , a temporal formula  $\phi$  is **valid in  $\mathcal{T}$**  (write  $\mathcal{T} \models \phi$ ) iff  $\sigma \models \phi$  for all runs  $\sigma$  of  $\mathcal{T}$ .

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## Intended Connection

Given an LTL formula  $\phi$ :

Construct a Büchi automaton accepting exactly those runs (infinite sequences of interpretations) that satisfy  $\phi$

# Encoding an LTL Formula as a Büchi Automaton

$\mathcal{P}$  set of propositional variables, e.g.,  $\mathcal{P} = \{r, s\}$

Alphabet  $\Sigma$  of Büchi automaton

A state transition of Büchi automaton must represent an interpretation

Let  $\Sigma$  (i.e., the alphabet of the automata) be set of all interpretations over  $\mathcal{P}$ , i.e.,  $\Sigma = 2^{\mathcal{P}}$

## Example

$$\Sigma = \{\emptyset, \{r\}, \{s\}, \{r, s\}\}$$

$$I_{\emptyset}(r) = F, I_{\emptyset}(s) = F, I_{\{r\}}(r) = T, I_{\{r\}}(s) = F, \dots$$



# Büchi Automaton for LTL Formula By Example

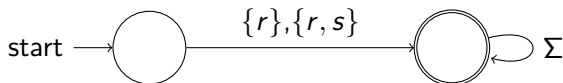
**Example (Büchi automaton for formula  $r$  over  $\mathcal{P} = \{r, s\}$ )**

A Büchi automaton  $\mathcal{B}$  accepting exactly those runs  $\sigma$  satisfying  $r$

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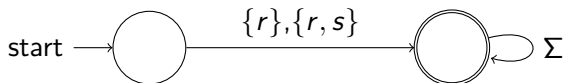


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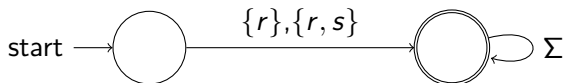
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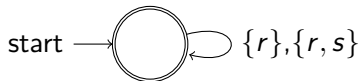
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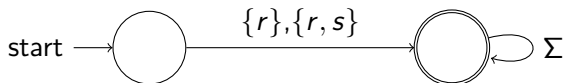


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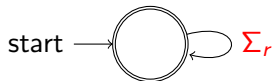
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## Example (Büchi automaton for formula $\Box r$ over $\mathcal{P} = \{r, s\}$ )



$$\Sigma_r := \{l \mid l \in \Sigma, r \in l\}$$

In **all** states  $s$  (of  $\sigma$ ) at least  $r$  must hold

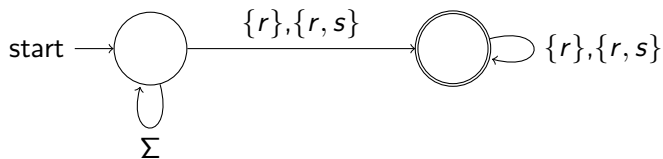
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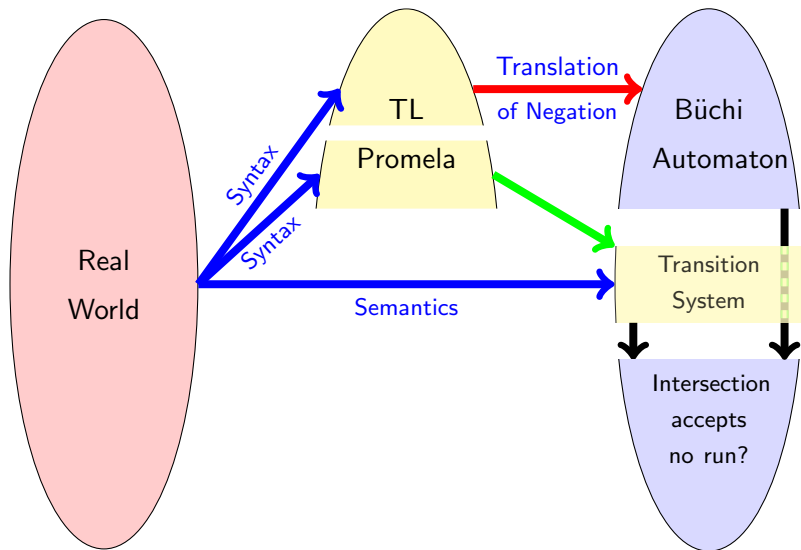


# Büchi Automaton for LTL Formula By Example

Example (Büchi automaton for formula  $\diamond\Box r$  over  $\mathcal{P} = \{r, s\}$ )



# Formal Verification: Model Checking





# Model Checking

Check whether a formula is valid in all runs of a transition system

Given a transition system  $\mathcal{T}$  (e.g., derived from a PROMELA program)

**Verification task:** is the LTL formula  $\phi$  satisfied in all runs of  $\mathcal{T}$ , i.e.,

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Temporal model checking with SPIN: Topic of next lecture

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Temporal model checking with SPIN: Topic of next lecture

Today: Basic principle behind SPIN model checking

$$\mathcal{T} \models \phi \quad ?$$

1. Represent transition system  $\mathcal{T}$  as Büchi automaton  $\mathcal{B}_{\mathcal{T}}$  such that  $\mathcal{B}_{\mathcal{T}}$  accepts exactly those words corresponding to runs through  $\mathcal{T}$

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then  $\phi$  holds.

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To check  $\mathcal{L}^{\omega}(\mathcal{B}_{\mathcal{T}}) \cap \mathcal{L}^{\omega}(\mathcal{B}_{\neg\phi})$  construct intersection automaton and search for cycle through accepting state



# Representing a Model as a Büchi Automaton

**First Step:** Represent transition system  $\mathcal{T}$  as Büchi automaton  $\mathcal{B}_{\mathcal{T}}$  accepting exactly those words representing a run of  $\mathcal{T}$

## Example

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active proctype P () {
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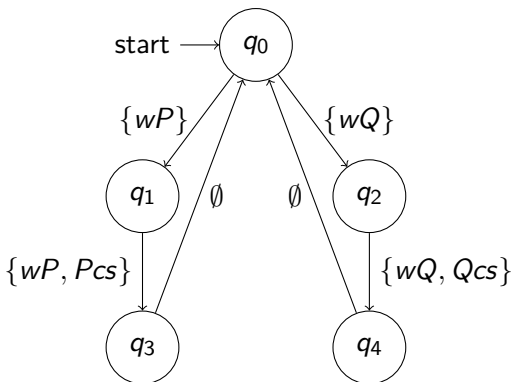
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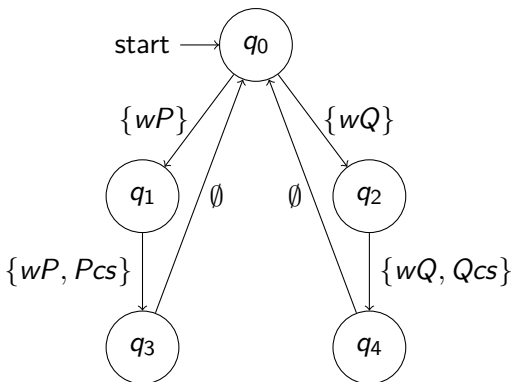
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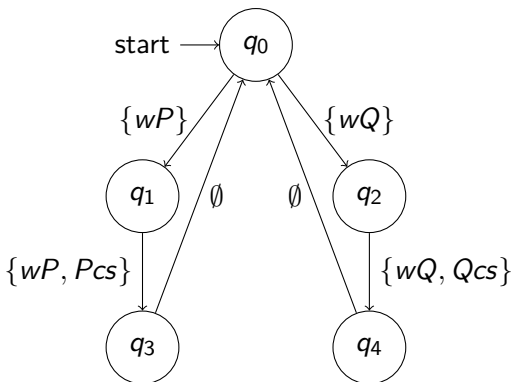
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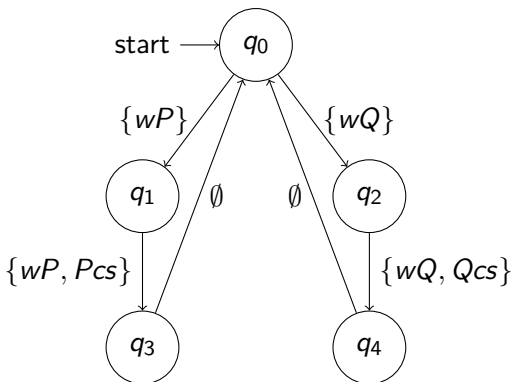
Which are the accepting locations? **All!**

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The property we want to check is  $\phi = \square \neg Pcs$  (which does not hold)

# Büchi Automaton $B_{\neg\phi}$ for $\neg\phi$

## Second Step:

Construct Büchi Automaton corresponding to negated LTL formula

$\mathcal{T} \models \phi$  holds iff there is **no** accepting run of  $\mathcal{T}$  for  $\neg\phi$

Simplify  $\neg\phi = \neg\Box\neg Pcs = \Diamond Pcs$

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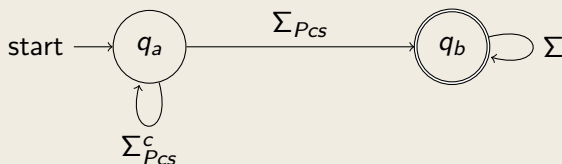
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## Büchi Automaton $B_{\neg\phi}$

$$\mathcal{P} = \{wP, wQ, Pcs, Qcs\}, \Sigma = 2^{\mathcal{P}}$$



$$\Sigma_{Pcs} = \{I \mid I \in \Sigma, Pcs \in I\}, \quad \Sigma_{Pcs}^c = \Sigma - \Sigma_{Pcs}$$

# Checking for Emptiness of Intersection Automaton

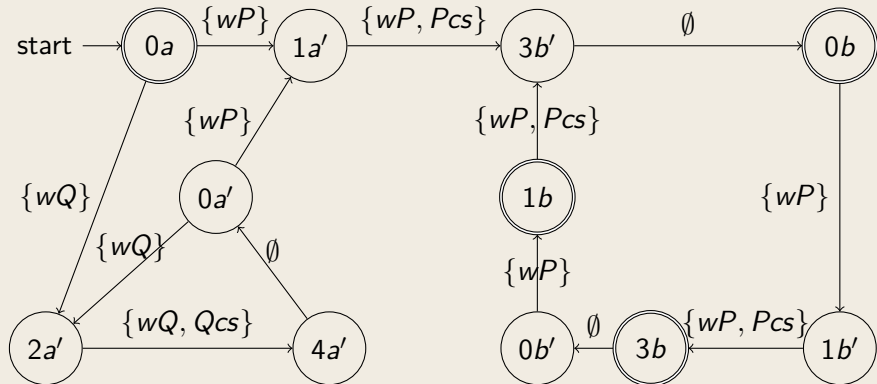
Third Step:  $\mathcal{L}^\omega(\mathcal{B}_T) \cap \mathcal{L}^\omega(\mathcal{B}_{\neg\phi}) = \emptyset$  ?



# Checking for Emptiness of Intersection Automaton

Third Step:  $\mathcal{L}^\omega(\mathcal{B}_T) \cap \mathcal{L}^\omega(\mathcal{B}_{-\phi}) = \emptyset$  ?

## Intersection Automaton

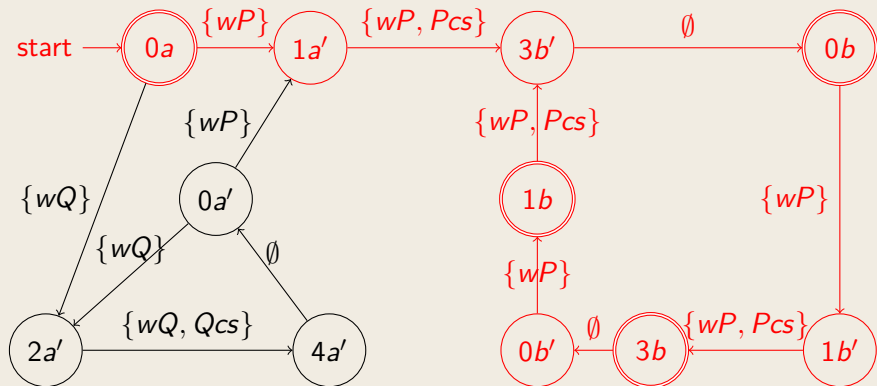


# Checking for Emptiness of Intersection Automaton

Third Step:  $\mathcal{L}^\omega(\mathcal{B}_T) \cap \mathcal{L}^\omega(\mathcal{B}_{\neg\phi}) \neq \emptyset$

Counterexample

## Intersection Automaton

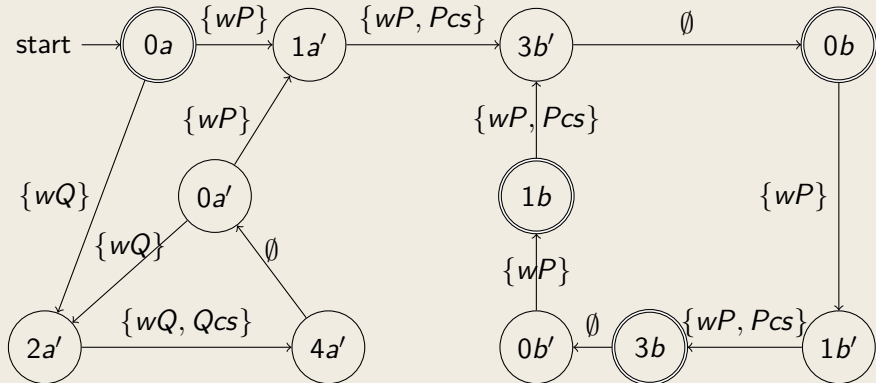


# Checking for Emptiness of Intersection Automaton

Third Step:  $\mathcal{L}^\omega(\mathcal{B}_T) \cap \mathcal{L}^\omega(\mathcal{B}_{-\phi}) \neq \emptyset$

Counterexample Construction of intersection automaton: Appendix

## Intersection Automaton



# Literature for this Lecture

**Ben-Ari** Section 5.2.1  
(only syntax of LTL)

**Baier and Katoen** Principles of Model Checking, May 2008, The MIT Press, ISBN: 0-262-02649-X

# Appendix I:

## Intersection Automaton

—

## Construction

# Construction of Intersection Automaton

**Given:** two Büchi automata  $\mathcal{B}_i = (Q_i, \delta_i, l_i, F_i)$ ,  $i = 1, 2$

**Wanted:** a Büchi automaton

$$\mathcal{B}_{1 \cap 2} = (Q_{1 \cap 2}, \delta_{1 \cap 2}, l_{1 \cap 2}, F_{1 \cap 2})$$

accepting a word  $w$  iff  $w$  is accepted by  $\mathcal{B}_1$  **and**  $\mathcal{B}_2$

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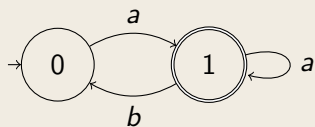
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Maybe just the product automaton as for regular automata?

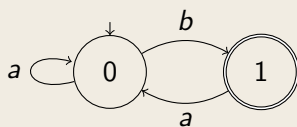
# Product Automata for Intersection

$$\Sigma = \{a, b\}$$

$a(a + ba)^\omega :$



$(a^*ba)^\omega :$

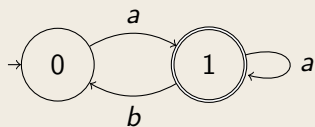




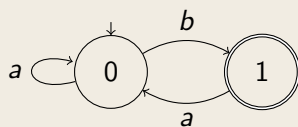
# Product Automata for Intersection

$$\Sigma = \{a, b\}, a(a + ba)^\omega \cap (a^*ba)^\omega = \emptyset?$$

$a(a + ba)^\omega$  :



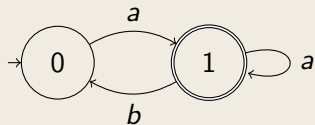
$(a^*ba)^\omega$  :



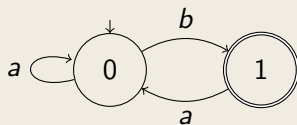
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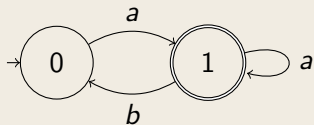
$(a^*ba)^\omega$  :



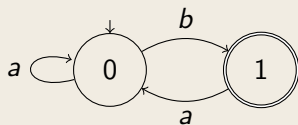
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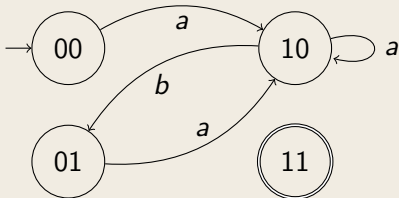
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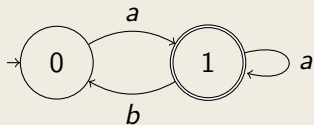
Product Automaton:



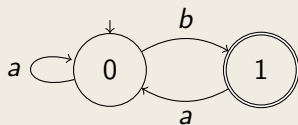
# First Attempt: Product Automata for Intersection

$\Sigma = \{a, b\}$ ,  $a(a + ba)^\omega \cap (a^*ba)^\omega = \emptyset$ ? No, e.g.,  $a(ba)^\omega$

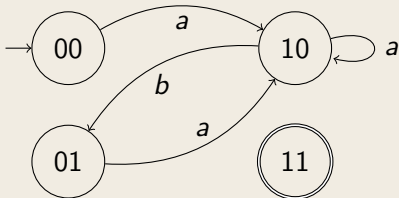
$a(a + ba)^\omega$  :



$(a^*ba)^\omega$  :

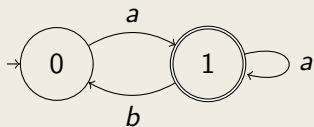


Product Automaton: **accepting location 11 never reached**

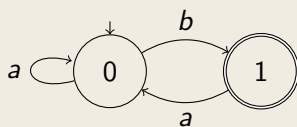


# Explicit Construction of Intersection Automaton

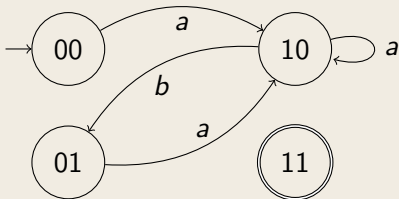
$a(a + ba)^\omega$  :



$(a^*ba)^\omega$  :



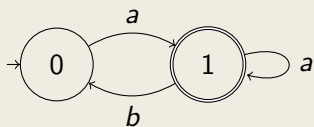
## (i) Product Automaton



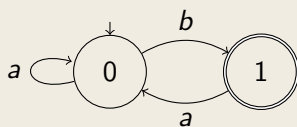
$$Q_\cap = Q_1 \times Q_2$$

# Explicit Construction of Intersection Automaton

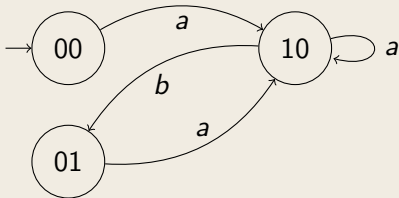
$a(a + ba)^\omega$  :



$(a^*ba)^\omega$  :



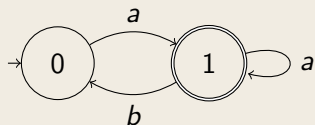
## (ii) Reachable States



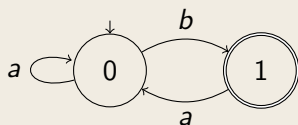
$$Q_\cap = Q_1 \times Q_2$$

# Explicit Construction of Intersection Automaton

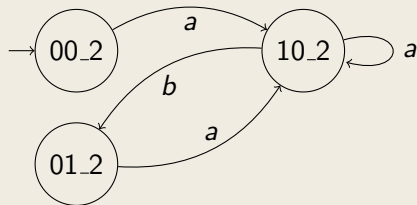
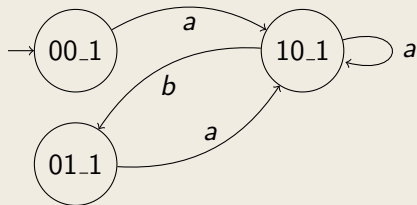
$a(a + ba)^\omega$  :



$(a^*ba)^\omega$  :



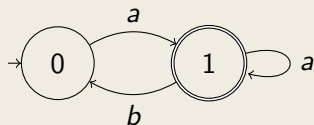
(iii) Clone



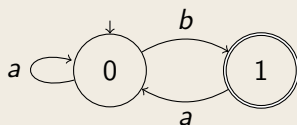
$$Q_\cap = Q_1 \times Q_2 \times \{1, 2\}$$

# Explicit Construction of Intersection Automaton

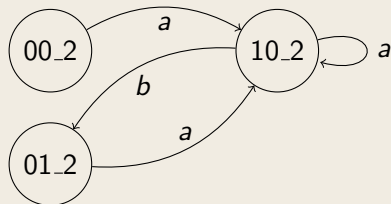
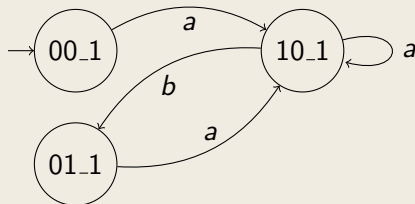
$a(a + ba)^\omega$  :



$(a^*ba)^\omega$  :



## (iv) Initial States Restricted to First Copy

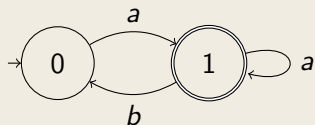


$$Q_n = Q_1 \times Q_2 \times \{1, 2\}, I_n = I_1 \times I_2 \times \{1\}$$

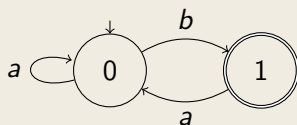


# Explicit Construction of Intersection Automaton

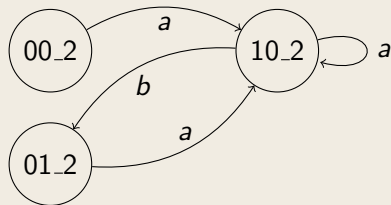
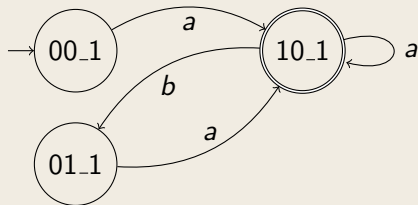
$a(a + ba)^\omega$  :



$(a^*ba)^\omega$  :



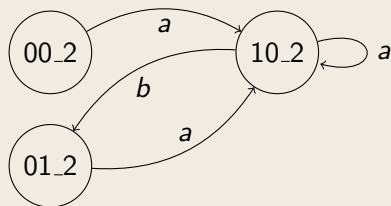
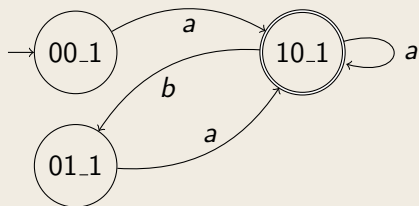
## (v) Final States Restricted to First Automaton of First Copy



$$Q_n = Q_1 \times Q_2 \times \{1, 2\}, I_n = I_1 \times I_2 \times \{1\}, F = F_1 \times Q_2 \times \{1\}$$

# Explicit Construction of Intersection Automaton

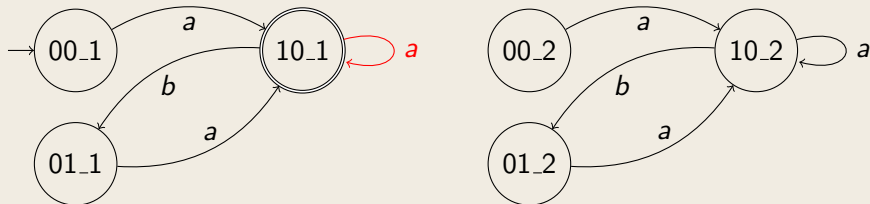
## (v) Final States Restricted to First Automaton of First Copy



$$Q_n = Q_1 \times Q_2 \times \{1, 2\}, I_n = I_1 \times I_2 \times \{1\}, F = F_1 \times Q_2 \times \{1\}$$

# Explicit Construction of Intersection Automaton

(vi) Ensure Acceptance in Both Copies 1  $\rightarrow$  2



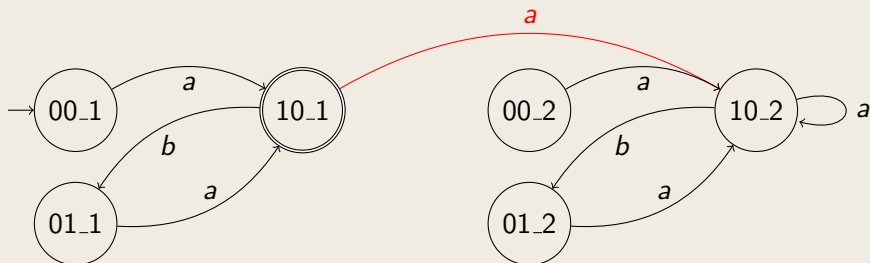
$$Q_n = Q_1 \times Q_2 \times \{1, 2\}, I_n = I_1 \times I_2 \times \{1\}, F = F_1 \times Q_2 \times \{1\}$$

$$s_1 \in Q_1, s_2 \in Q_2, \alpha \in \Sigma :$$

$$\text{if } s_1 \in F_1 : \quad \delta_n((s_1, s_2, 1), \alpha) = \{(s'_1, s'_2, 2) \mid s'_1 \in \delta_1(s_1, \alpha), s'_2 \in \delta_2(s_2, \alpha)\}$$

# Explicit Construction of Intersection Automaton

(vi) Ensure Acceptance in Both Copies 1  $\rightarrow$  2



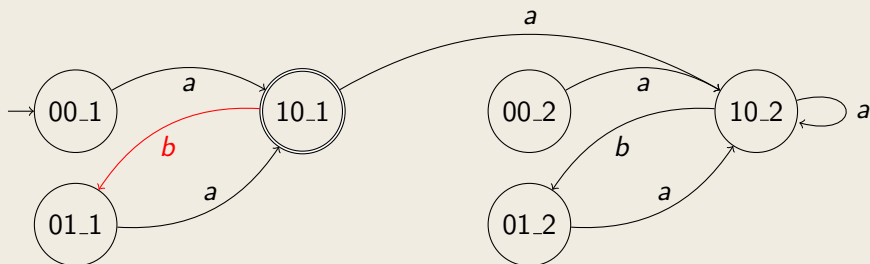
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# Explicit Construction of Intersection Automaton

(vi) Ensure Acceptance in Both Copies 1  $\rightarrow$  2



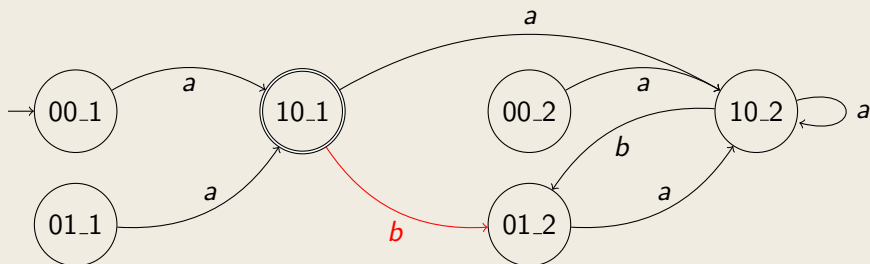
$$Q_{\cap} = Q_1 \times Q_2 \times \{1, 2\}, I_{\cap} = I_1 \times I_2 \times \{1\}, F = F_1 \times Q_2 \times \{1\}$$

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# Explicit Construction of Intersection Automaton

(vi) Ensure Acceptance in Both Copies 1  $\rightarrow$  2



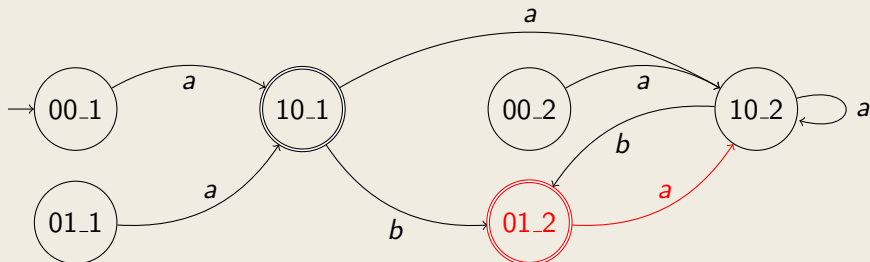
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# Explicit Construction of Intersection Automaton

(vii) Ensure Acceptance in Both Copies  $2 \rightarrow 1$



$$Q_n = Q_1 \times Q_2 \times \{1, 2\}, I_n = I_1 \times I_2 \times \{1\}, F = F_1 \times Q_2 \times \{1\}$$

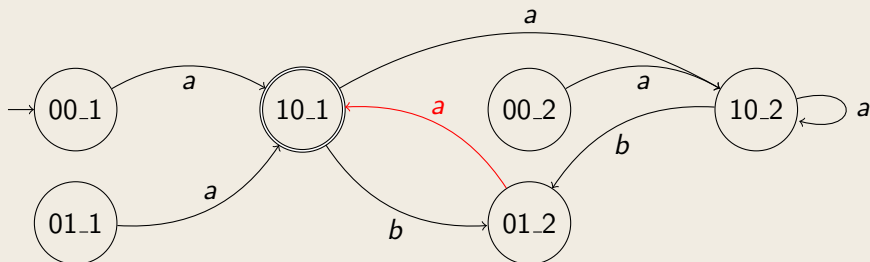
$$s_1 \in Q_1, s_2 \in Q_2, \alpha \in \Sigma :$$

$$\text{if } s_1 \in F_1 : \quad \delta_n((s_1, s_2, 1), \alpha) = \{(s'_1, s'_2, 2) \mid s'_1 \in \delta_1(s_1, \alpha), s'_2 \in \delta_2(s_2, \alpha)\}$$

$$\text{if } s_2 \in F_2 : \quad \delta_n((s_1, s_2, 2), \alpha) = \{(s'_1, s'_2, 1) \mid s'_1 \in \delta_1(s_1, \alpha), s'_2 \in \delta_2(s_2, \alpha)\}$$

# Explicit Construction of Intersection Automaton

(vii) Ensure Acceptance in Both Copies  $2 \rightarrow 1$



$$Q_n = Q_1 \times Q_2 \times \{1, 2\}, I_n = I_1 \times I_2 \times \{1\}, F = F_1 \times Q_2 \times \{1\}$$

$$s_1 \in Q_1, s_2 \in Q_2, \alpha \in \Sigma :$$

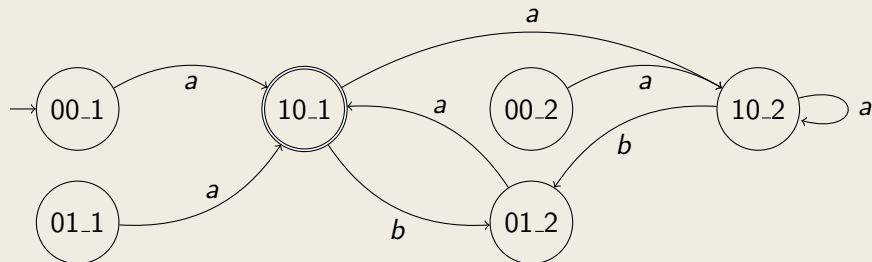
$$\text{if } s_1 \in F_1 : \quad \delta_n((s_1, s_2, 1), \alpha) = \{(s'_1, s'_2, 2) \mid s'_1 \in \delta_1(s_1, \alpha), s'_2 \in \delta_2(s_2, \alpha)\}$$

$$\text{if } s_2 \in F_2 : \quad \delta_n((s_1, s_2, 2), \alpha) = \{(s'_1, s'_2, 1) \mid s'_1 \in \delta_1(s_1, \alpha), s'_2 \in \delta_2(s_2, \alpha)\}$$



# Explicit Construction of Intersection Automaton

## (viii) Transitions of Product Automaton



$$Q_n = Q_1 \times Q_2 \times \{1, 2\}, I_n = I_1 \times I_2 \times \{1\}, F = F_1 \times Q_2 \times \{1\}$$

$s_1 \in Q_1, s_2 \in Q_2, \alpha \in \Sigma :$

if  $s_1 \in F_1$  :  $\delta_n((s_1, s_2, 1), \alpha) = \{(s'_1, s'_2, 2) \mid s'_1 \in \delta_1(s_1, \alpha), s'_2 \in \delta_2(s_2, \alpha)\}$

if  $s_2 \in F_2$  :  $\delta_n((s_1, s_2, 2), \alpha) = \{(s'_1, s'_2, 1) \mid s'_1 \in \delta_1(s_1, \alpha), s'_2 \in \delta_2(s_2, \alpha)\}$

else:  $\delta_n((s_1, s_2, i), \alpha) = \{(s'_1, s'_2, i) \mid s'_1 \in \delta_1(s_1, \alpha), s'_2 \in \delta_2(s_2, \alpha)\}$

# Appendix II:

Construction of a Büchi  
Automaton  $\mathcal{B}_\phi$   
for an  
LTL-Formula  $\phi$

# The General Case: Generalised Büchi Automata

Generalize Büchi automata so that **sets** of interpretations accepted

A **generalised** Büchi automaton is defined as:

$$\mathcal{B}^g = (Q, \delta, I, \mathbb{F})$$

$Q, \delta, I$  as for standard Büchi automata

$\mathbb{F} = \{\mathcal{F}_1, \dots, \mathcal{F}_n\}$ , where  $\mathcal{F}_i = \{q_{i1}, \dots, q_{im_i}\} \subseteq Q$

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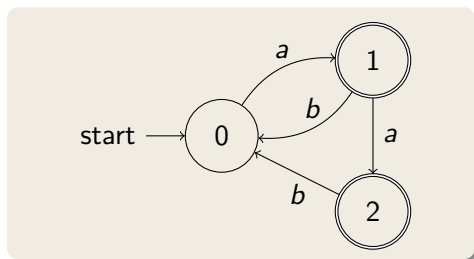
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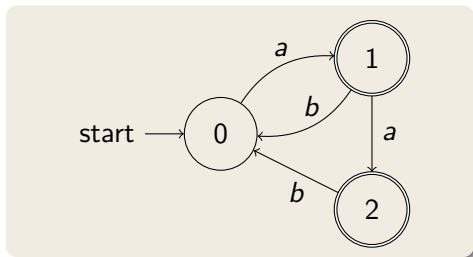
## Definition (Acceptance for generalised Büchi automata)

A generalised Büchi automaton **accepts** an  $\omega$ -word  $w \in \Sigma^\omega$  iff for every  $i \in \{1, \dots, n\}$  **at least one**  $q_{ik} \in \mathcal{F}_i$  is visited infinitely often.

# Normal vs. Generalised Büchi Automata: Example

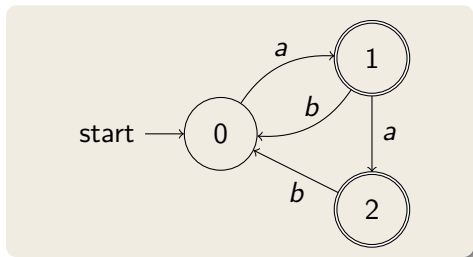


# Normal vs. Generalised Büchi Automata: Example



$\mathcal{B}^{normal}$  with  $\mathcal{F} = \{1, 2\}$ ,       $\mathcal{B}^{general}$  with  $\mathbb{F} = \{\overbrace{\{1\}}^{\mathcal{F}_1}, \overbrace{\{2\}}^{\mathcal{F}_2}\}$

# Normal vs. Generalised Büchi Automata: Example

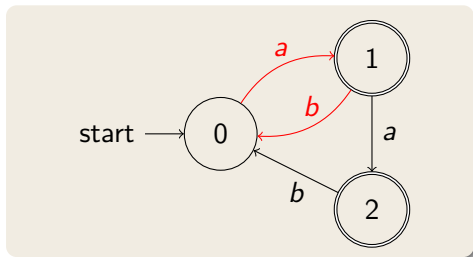


$\mathcal{B}^{normal}$  with  $\mathcal{F} = \{1, 2\}$ ,      $\mathcal{B}^{general}$  with  $\mathbb{F} = \{\overbrace{\{1\}}^{\mathcal{F}_1}, \overbrace{\{2\}}^{\mathcal{F}_2}\}$

Which  $\omega$ -word is accepted by which automaton?

$\omega$ -word	$\mathcal{B}^{normal}$	$\mathcal{B}^{general}$
----------------	------------------------	-------------------------

# Normal vs. Generalised Büchi Automata: Example



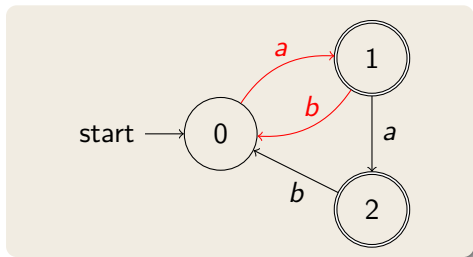
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$(ab)^\omega$		



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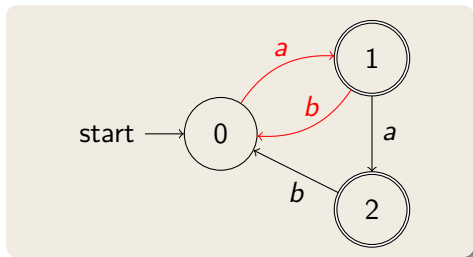


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$(ab)^\omega$	✓	

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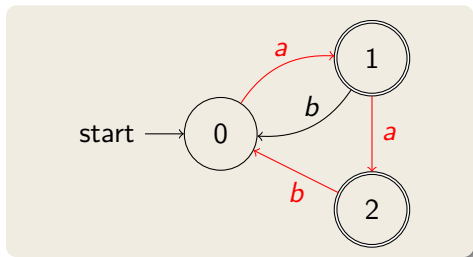


$\mathcal{B}^{normal}$  with  $\mathcal{F} = \{1, 2\}$ ,     $\mathcal{B}^{general}$  with  $\mathbb{F} = \{\overbrace{\{1\}}^{\mathcal{F}_1}, \overbrace{\{2\}}^{\mathcal{F}_2}\}$

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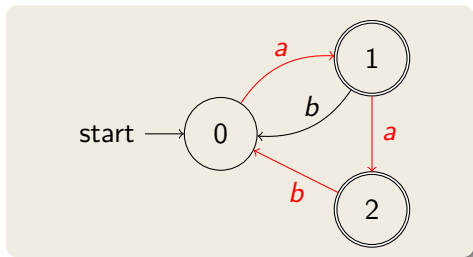


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$(ab)^\omega$	✓	✗
$(aab)^\omega$		

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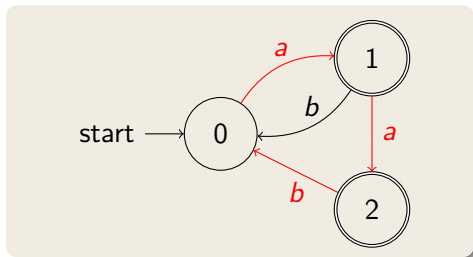


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$(aab)^\omega$	✓	

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# Fischer-Ladner Closure

Fischer-Ladner closure of an LTL-formula  $\phi$

$$FL(\phi) = \{\varphi \mid \varphi \text{ is subformula or negated subformula of } \phi\}$$

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( $\neg\neg\varphi$  is identified with  $\varphi$ )

## Example

$$FL(rUs) = \{r, \neg r, s, \neg s, rUs, \neg(rUs)\}$$



# $\mathcal{B}_\phi$ -Construction: Locations

## Assumption:

$\mathcal{U}$  only temporal logic operator in LTL-formula (can express  $\square, \diamond$  with  $\mathcal{U}$ )

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Locations of  $\mathcal{B}_\phi$  are  $Q \subseteq 2^{FL(\phi)}$  where each  $q \in Q$  satisfies:

- Consistent, Total**
  - ▶  $\psi \in FL(\phi)$ : exactly one of  $\psi$  and  $\neg\psi$  in  $q$
  - ▶  $\psi_1 \mathcal{U} \psi_2 \in (FL(\phi) \setminus q)$  then  $\psi_2 \notin q$
- Downward Closed**
  - ▶  $\psi_1 \wedge \psi_2 \in q$ :  $\psi_1 \in q$  and  $\psi_2 \in q$
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$$\begin{array}{c} FL(rUs) = \{r, \neg r, s, \neg s, rUs, \neg(rUs)\} \\ \hline \in Q \end{array}$$

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$$FL(rUs) = \{r, \neg r, s, \neg s, rUs, \neg(rUs)\}$$

$$\frac{\{rUs, \neg r, s\} \in Q}{\{rUs, \neg r, s\}} \quad \checkmark$$

# $\mathcal{B}_\phi$ -Construction: Locations

## Assumption:

$\mathcal{U}$  only temporal logic operator in LTL-formula (can express  $\Box, \Diamond$  with  $\mathcal{U}$ )

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$$FL(rUs) = \{r, \neg r, s, \neg s, rUs, \neg(rUs)\}$$

	$\in Q$
$\{rUs, \neg r, s\}$	✓
$\{rUs, \neg r, \neg s\}$	✗

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## Assumption:

$\mathcal{U}$  only temporal logic operator in LTL-formula (can express  $\Box, \Diamond$  with  $\mathcal{U}$ )

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$$FL(rUs) = \{r, \neg r, s, \neg s, rUs, \neg(rUs)\}$$

	$\in Q$
$\{rUs, \neg r, s\}$	✓
$\{rUs, \neg r, \neg s\}$	✗
$\{\neg(rUs), r, s\}$	✗

# $\mathcal{B}_\phi$ -Construction: Locations

## Assumption:

$\mathcal{U}$  only temporal logic operator in LTL-formula (can express  $\Box, \Diamond$  with  $\mathcal{U}$ )

Locations of  $\mathcal{B}_\phi$  are  $Q \subseteq 2^{FL(\phi)}$  where each  $q \in Q$  satisfies:

- Consistent, Total**
- ▶  $\psi \in FL(\phi)$ : exactly one of  $\psi$  and  $\neg\psi$  in  $q$
  - ▶  $\psi_1 \mathcal{U} \psi_2 \in (FL(\phi) \setminus q)$  then  $\psi_2 \notin q$
- Downward Closed**
- ▶  $\psi_1 \wedge \psi_2 \in q$ :  $\psi_1 \in q$  and  $\psi_2 \in q$
  - ▶ ... other propositional connectives similar
  - ▶  $\psi_1 \mathcal{U} \psi_2 \in q$  then  $\psi_1 \in q$  or  $\psi_2 \in q$

$$FL(rUs) = \{r, \neg r, s, \neg s, rUs, \neg(rUs)\}$$

	$\in Q$
$\{rUs, \neg r, s\}$	✓
$\{rUs, \neg r, \neg s\}$	✗
$\{\neg(rUs), r, s\}$	✗
$\{\neg(rUs), r, \neg s\}$	✓

# $\mathcal{B}_\phi$ -Construction: Transitions

$$\underbrace{\{rUs, \neg r, s\}}_{q_1}, \underbrace{\{rUs, r, \neg s\}}_{q_2}, \underbrace{\{rUs, r, s\}}_{q_3}, \underbrace{\{\neg(rUs), r, \neg s\}}_{q_4}, \underbrace{\{\neg(rUs), \neg r, \neg s\}}_{q_5}$$





# $\mathcal{B}_\phi$ -Construction: Transitions

$$\underbrace{\{rUs, \neg r, s\}}_{q_1}, \underbrace{\{rUs, r, \neg s\}}_{q_2}, \underbrace{\{rUs, r, s\}}_{q_3}, \underbrace{\{\neg(rUs), r, \neg s\}}_{q_4}, \underbrace{\{\neg(rUs), \neg r, \neg s\}}_{q_5}$$



Transitions  $(q, \alpha, q') \in \delta_\phi$ :

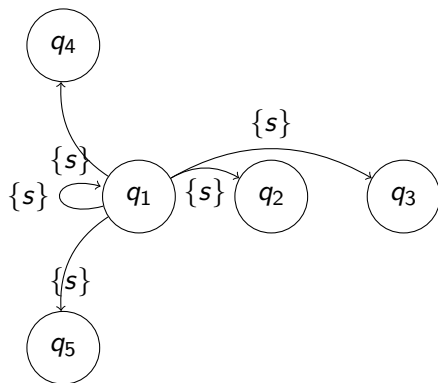
$$\alpha = q \cap \mathcal{P}$$

$\mathcal{P}$  set of propositional variables  
outgoing edges of  $q_1$  labeled  $\{s\}$ ,  
of  $q_2$  labeled  $\{r\}$ , etc.

1. If  $\psi_1 \mathcal{U} \psi_2 \in q$  and  $\psi_2 \notin q$   
then  $\psi_1 \mathcal{U} \psi_2 \in q'$
2. If  $\psi_1 \mathcal{U} \psi_2 \in (FL(\phi) \setminus q)$  and  
 $\psi_1 \in q$  then  $\psi_1 \mathcal{U} \psi_2 \notin q'$

# $\mathcal{B}_\phi$ -Construction: Transitions

$$\underbrace{\{rUs, \neg r, s\}}_{q_1}, \underbrace{\{rUs, r, \neg s\}}_{q_2}, \underbrace{\{rUs, r, s\}}_{q_3}, \underbrace{\{\neg(rUs), r, \neg s\}}_{q_4}, \underbrace{\{\neg(rUs), \neg r, \neg s\}}_{q_5}$$



Transitions  $(q, \alpha, q') \in \delta_\phi$ :

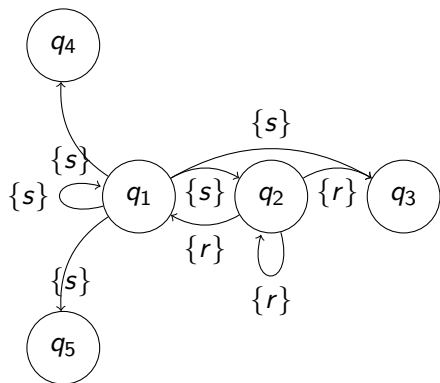
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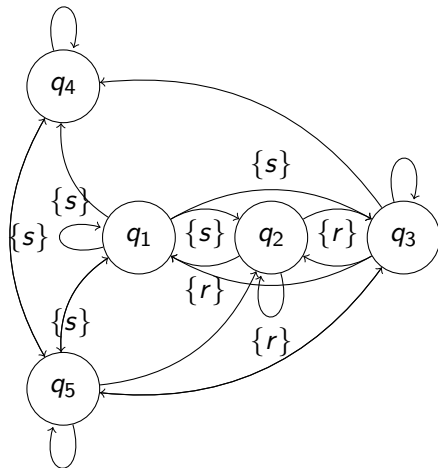
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Transitions  $(q, \alpha, q') \in \delta_\phi$ :

$$\alpha = q \cap \mathcal{P}$$

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 of  $q_2$  labeled  $\{r\}$ , etc.

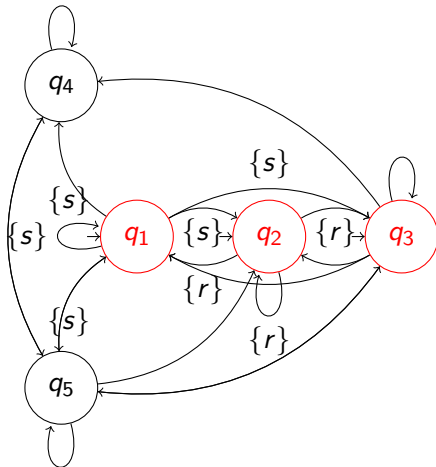
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# $B_\phi$ -Construction: Transitions

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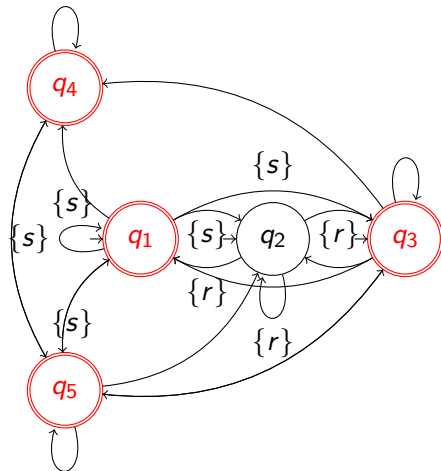
Initial locations

$$q \in I_\phi \text{ iff } \phi \in q$$



# $\mathcal{B}_\phi$ -Construction: Transitions

$$\underbrace{\{rUs, \neg r, s\}}_{q_1}, \underbrace{\{rUs, r, \neg s\}}_{q_2}, \underbrace{\{rUs, r, s\}}_{q_3}, \underbrace{\{\neg(rUs), r, \neg s\}}_{q_4}, \underbrace{\{\neg(rUs), \neg r, \neg s\}}_{q_5}$$



## Initial locations

$$q \in I_\phi \text{ iff } \phi \in q$$

## Accepting locations

$$\mathbb{F} = \{\mathcal{F}_1, \dots, \mathcal{F}_n\}$$

- ▶ One  $\mathcal{F}_i$  for each  $\psi_{i1} \mathcal{U} \psi_{i2} \in FL(\phi)$ ;  
Example:  $\mathbb{F} = \{\mathcal{F}_1\}$
- ▶  $\mathcal{F}_i$  set of locations that do *not* contain  $\psi_{i1} \mathcal{U} \psi_{i2}$  **or** that contain  $\psi_{i2}$   
Ex.:  $\mathcal{F}_1 = \{q_1, q_3, q_4, q_5\}$

# Remarks on Generalized Büchi Automata

- ▶ Construction **always** gives exponential number of states in  $|\phi|$
- ▶ Satisfiability checking of LTL is PSPACE-complete
- ▶ There exist (more complex) constructions that minimize number of required states
  - ▶ One of these is used in SPIN, which moreover computes the states lazily