

# **Computer Security**

## **Lecture 3**

# **Authentication and Access Control**

---

Erland Jonsson

Department of Computer Science and Engineering

Chalmers University of Technology

Sweden

# **User authentication**

## Authentication – definition

- Authentication is *verifying a user's identity*
- cp: message authentication: is check of message authenticity (Sw. äkthet) and source
- In an OS each account has one **identifier** (e.g. username) and one **authenticator** (e.g. password)
- The identifier tells *who you are*.
- The authenticator *verifies that this is true, i.e. it provides a secure coupling between the user and his account*

## User Authentication

- fundamental security building block
  - basis of access control & user accountability
- is the process of verifying an identity claimed by or for a system entity
- has two steps:
  - identification - specify identifier
  - verification - bind entity (person) and identifier
- distinct from message authentication

## Authentication procedure

The **authentication procedure** consists of 4 stages:

- 1) **identification** of the user (*who is it?*)
- 2) provision of some kind of **authentication information**, which is secret and unforgeable.
- 3) **transmission of the authentication information** to the system through a secure channel.
- 4) **validation** of the authentication information wrt some reference information (proof of correctness)

Problems (errors, attacks) can occur in all those 4 stages

## Authentication information

The authentication information can be of **3 different, generic types**, based on something that is unique for the user:

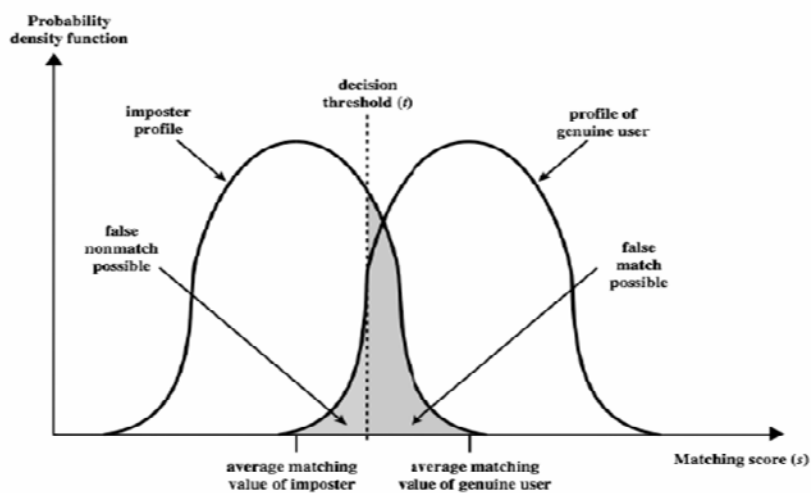
- something you **KNOW** (e.g password, PIN code)
- something you **HAVE** (e.g smartcard)
- something you **ARE (DO)** (e.g fingerprint), (biometrical methods, something characteristic about you)

(**WHERE** you are can also be used in some situations)

In general, something that you *have* is called a **token**. i.e. something that is used for authentication

A **capability** is an **unforgeable token** that gives the possessor certain **rights** (to an object) - **authorization**

## Biometric accuracy – threshold selection



## The transmission channel

- The transmission channel is **often the weakest link**, especially when long distances are involved
- The transmission channel may be very short and still be vulnerable
- The “usual” transmission threats and problems apply, such as:
  - eavesdropping
  - manipulation of routers, gateways
  - replay attacks
- Consequently, the “usual” remedies also apply

## Validation of authentication

- The system must have some kind of **reference information** in order to **validate** the authentication information
- An **attack** can be launched **against the reference info**, e.g.:
  - read stored password
  - change the reference info
- Protection of password reference info:
  - a) store in a file with strong and limited Access Control
  - b) encryption
  - c) (a + b)
- Pros and Cons:
  - a) - cleartext storage and comparison is in cleartext
    - back-up tapes, memory dumps reveals password
  - b) + could be stored in readable files (?)
    - open for brute force attacks

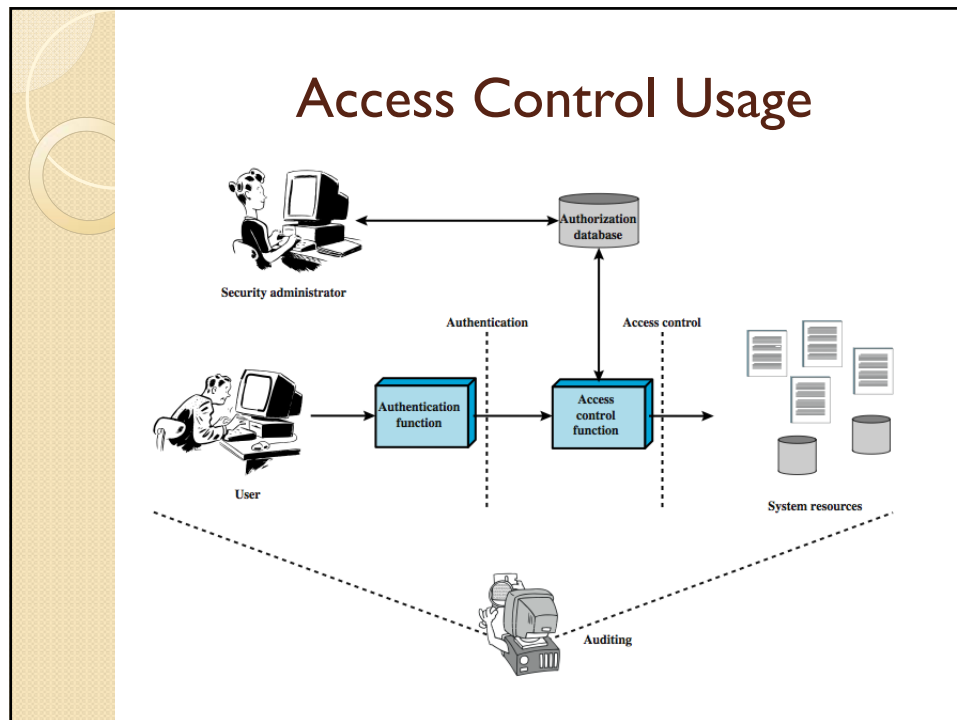
## Access Control

## Access Control

- Definition of Access Control:  
**The prevention of unauthorized use of a resource** (including the prevention of use of a resource in an unauthorized manner)
- central element of computer security
  - used for boundary protection
- access control permits users and groups
  - to authenticate to system
  - to be assigned access rights to certain resources in the system i.e. authorized

## Access Control Elements

- **subject** - entity that can access objects
  - a process representing user/application
  - often have 3 classes: owner, group, world
- **object** - access controlled resource
  - e.g. files, directories, records, programs etc
  - number/type depend on environment
- **access right** - way in which subject accesses an object
  - e.g. read, write, execute, delete, create, search



## Access Control

- provided using an **access control matrix**
  - **lists of subjects** in one dimension (rows)
  - **lists of objects** in the other dimension (columns)
  - each entry specifies access rights of the specified subject to that object
- access control matrix is often sparse
- can decompose by either row, leading to an **access control list (ACL)** or column, leading to **capability tickets**

## Access Control Matrix

		OBJECTS								
		subjects			files		processes		disk drives	
		S <sub>1</sub>	S <sub>2</sub>	S <sub>3</sub>	F <sub>1</sub>	F <sub>1</sub>	P <sub>1</sub>	P <sub>2</sub>	D <sub>1</sub>	D <sub>2</sub>
SUBJECTS	S <sub>1</sub>	control	owner	owner control	read *	read owner	wakeup	wakeup	seek	owner
	S <sub>2</sub>		control		write *	execute			owner	seek *
	S <sub>3</sub>			control		write	stop			

\* - copy flag set

## Access Control 2

- The **access control list** provides a list of subjects, who can access a single object (one list “per file” or object)<sup>1</sup>
- The **capability ticket approach** presents a list of objects accessible by a single subject (one list “per user” or subject)<sup>1</sup>
- A **capability ticket** is an unforgeable token that gives the possessor certain rights to an object, i.e. it **specifies the authorization** for a particular user

1. See book fig. 4.3

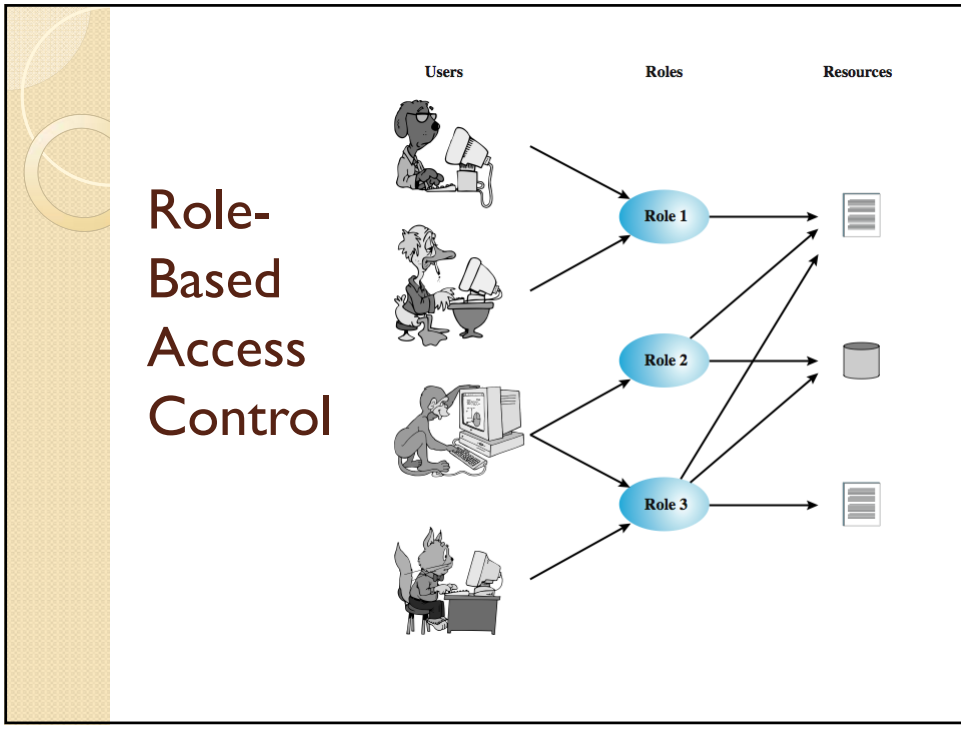


## Mandatory and Discretionary Access Control

- **MANDATORY ACCESS CONTROL (MAC)** means that some central authority (e.g. the security officer) determines what information is accessible to whom
- **DISCRETIONARY ACCESS CONTROL (DAC)** means that the owner of the file (i.e. the user) determines what information is accessible to whom
- MAC and DAC can both be applied at the same time
- MAC is most commonly used in the multi-level security mechanism (MLS) in the Military Security Policy
- DAC is used in many operating systems, e.g. UNIX.

## Role-Based Access Control

- In **ROLE-BASED ACCESS CONTROL (RBAC)** the rights are assigned to roles rather than to the users. For example in a hospital: surgeon, medical practitioner, nurse, janitor, etc
- RBAC employs MAC and has been developed to meet the needs from commercial and societal systems.
- Procedure:  
identification - authentication - selection of role - access to information (according to role).
- Advantages:
  - easy to enforce enterprise-specific security policies
  - security management is simplified
- Other policies exist, e.g. Team-Based Access Control, etc



## Role-Based Access Control

**User to Role:**

	R <sub>1</sub>	R <sub>2</sub>	...	R <sub>n</sub>
U <sub>1</sub>	×			
U <sub>2</sub>	×			
U <sub>3</sub>		×		×
U <sub>4</sub>				×
U <sub>5</sub>				×
U <sub>6</sub>				×
...				
U <sub>m</sub>	×			

**Role to Access Right:**

	OBJECTS								
	R <sub>1</sub>	R <sub>2</sub>	R <sub>n</sub>	F <sub>1</sub>	F <sub>1</sub>	F <sub>1</sub>	F <sub>2</sub>	D <sub>1</sub>	D <sub>2</sub>
R <sub>1</sub>	control	owner	owner control	read *	read owner	wakeup	wakeup	seek	owner
R <sub>2</sub>		control		write *	execute			owner	seek *
...									
R <sub>n</sub>			control		write	stop			