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Proofs Accompanying
“Fast and Loose Reasoning is
Morally Correct”

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Abstract

This rough and unpolished document contains detailed proofs supporting the theoretical development in “Fast and Loose Reasoning is Morally Correct”.

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1 Introduction

These notes describe the proofs underlying the theory in “Fast and Loose Reasoning is Morally Correct” (Danielsson et al. 2006, hence-forth referred to as “the paper”) in detail.

Note that there are some differences between this text and the paper. In particular, these notes sometimes use different definitions or notation, and the notation is sometimes more sloppy. Furthermore the text is quite rough, with lots of details but little more. There is little point in reading these notes without first consulting the paper.

Note also that the proofs in this text may be overly complicated. In fact, I fully expect that it is possible to simplify (parts of) the development. The aim of this text is not to be beautiful, but to back up the results of the paper.

This document has been compiled by simply including the text from some text files. These files sometimes refer to each other by mentioning a file name (“see definitions”). In order to make this somewhat comprehensible I have listed the names of the files (like definitions) in the table of contents.

The rest of the document is structured as follows:

Section 2 Definitions and proof principles used in the rest of the document.

Section 3 Some properties of functors.

Section 4 Proofs showing that *in* does not need to be defined as a primitive for coinductive types, and similarly that *out* is not needed for inductive types.

Section 5 Proofs relating *in*, *out* and \sim .

Section 6 Proof showing that \sim is a PER.

Section 7 It is shown that \perp is not in the domain of the PER (for most types), and also that most types have a non-empty set-theoretic semantic domain.

Section 8 It is shown that *fix* is not in the domain of the PER.

Section 9 The fundamental theorem.

Section 10 The PER is shown to be monotone.

Section 11 It is shown how sizes can be assigned to some values.

Section 12 The approximation lemma is discussed.

Section 13 Explicit characterisations of recursive type formers (μ and ν).

Section 14 It is shown that, when function spaces are not used, two values are related iff they are equal and total. (Recall that, by definition, x is total iff $x \in \text{dom}(\sim)$.)

Section 15 Proof that the PER model gives rise to a bicartesian closed category.

Section 16 It is shown that the domain of the PER is empty iff the corresponding set-theoretic semantic domain is empty.

Section 17 The partial surjective homomorphism is defined and shown to be well-defined.

Section 18 Various useful properties that the partial surjective homomorphism satisfies.

Section 19 Proof of the main result.

Section 20 Extension of the main result to a strict language.

2 Definitions

Syntax:

L_1 :

$$\begin{aligned}
 t ::= & x \mid t_1 t_2 \mid \lambda x. t \\
 & \mid \text{seq} \\
 & \mid * \\
 & \mid (,) \mid \text{fst} \mid \text{snd} \\
 & \mid \text{inl} \mid \text{inr} \mid \text{case} \\
 & \mid \text{in} \mid \text{out} \mid \text{fold} \mid \text{unfold}
 \end{aligned}$$

$$\sigma ::= \sigma_1 \rightarrow \sigma_2 \mid \sigma_1 \times \sigma_2 \mid \sigma_1 + \sigma_2 \mid 1 \mid \mu F \mid \nu F$$

$$F ::= \text{Id} \mid K_\sigma \mid F_1 \times F_2 \mid F_1 + F_2$$

Syntactic sugar for terms:

$$\begin{aligned}
 \circ & \quad \mapsto \lambda f g x. f (g x) \\
 \text{Id} & \quad \mapsto \lambda f x. f x \\
 K_\sigma & \quad \mapsto \lambda f x. x \\
 F + G & \mapsto \lambda f x. \text{case } x \text{ (inl } \circ F f \text{) (inr } \circ G f \text{)} \\
 F \times G & \mapsto \lambda f x. \text{seq } x \text{ (F } f \text{ (fst } x \text{), G } f \text{ (snd } x \text{))}
 \end{aligned}$$

Syntactic sugar for types:

$$\begin{aligned}
 \text{Id } \sigma & \quad \mapsto \sigma \\
 K_\tau \sigma & \quad \mapsto \tau \\
 (F + G) \sigma & \mapsto F \sigma + G \sigma \\
 (F \times G) \sigma & \mapsto F \sigma \times G \sigma
 \end{aligned}$$

L_2 :

$$t ::= \dots \mid \text{fix}$$

Typing rules:

The obvious ones (see the paper). Note that we allow `in` to be used both for μ -types and ν -types, and similarly for `out`. However, as noted in in-out-proofs we can implement `in` for ν -types using `unfold` and `out`, so we do not need to treat that case when doing proofs over the syntax of terms. Similar considerations apply to `out` for μ -types.

Semantics:

$$\begin{aligned}
 \llbracket 1 \rrbracket & = 1_\perp & \langle\langle 1 \rangle\rangle & = 1 \\
 \llbracket \sigma \rightarrow \tau \rrbracket & = \langle\llbracket \sigma \rrbracket \rightarrow \llbracket \tau \rrbracket\rangle_\perp & \langle\langle \sigma \rightarrow \tau \rangle\rangle & = \langle\langle \sigma \rangle\rangle \rightarrow \langle\langle \tau \rangle\rangle \\
 \llbracket \sigma \times \tau \rrbracket & = (\llbracket \sigma \rrbracket \times \llbracket \tau \rrbracket)_\perp & \langle\langle \sigma \times \tau \rangle\rangle & = \langle\langle \sigma \rangle\rangle \times \langle\langle \tau \rangle\rangle
 \end{aligned}$$

$\llbracket \sigma + \tau \rrbracket = (\llbracket \sigma \rrbracket + \llbracket \tau \rrbracket)_{\perp}$ $\langle\langle \sigma + \tau \rangle\rangle = \langle\langle \sigma \rangle\rangle + \langle\langle \tau \rangle\rangle$
 $\llbracket \mu F \rrbracket$ = The codomain of the initial object in $L(F)\text{-Alg}(\text{CPO}_{\perp})$.
 $\langle\langle \mu F \rangle\rangle$ = The codomain of the initial object in $F\text{-Alg}(\text{SET})$.
 $\llbracket \nu F \rrbracket$ = The domain of the final object in $L(F)\text{-Coalg}(\text{CPO})$.
 $\langle\langle \nu F \rangle\rangle$ = The domain of the final object in $F\text{-Coalg}(\text{SET})$.

Here $\langle \cdot \rightarrow \cdot \rangle$ is the continuous function space, and $1 = \{\star\}$.

$\text{in} : F (\mu/\nu F) \rightarrow \mu/\nu F$ and $\text{out} : \mu/\nu F \rightarrow F (\mu/\nu F)$ are defined in CPO , CPO_{\perp} and SET , and they are each others inverses. (For CPO and CPO_{\perp} , replace F by $L(F)$.)

$L(F)$ is inductively defined as follows:

$L(\text{Id}) = \text{Id}$
 $L(K_{\tau}) = K_{\tau}$
 $L(F \times G) = (L(F) \times L(G))_{\perp}$
 $L(F + G) = (L(F) + L(G))_{\perp}$

Note that $L(F)$ has the same action on morphisms as $\llbracket F \rrbracket$, where F is the syntactic sugar for terms defined above (see functor-properties).

$\llbracket x \rrbracket \Gamma = \Gamma(x)$
 $\langle\langle x \rangle\rangle \Gamma = \Gamma(x)$
 $\llbracket t_1 t_2 \rrbracket \Gamma = \llbracket t_1 \rrbracket \Gamma (\llbracket t_2 \rrbracket \Gamma)$
 $\langle\langle t_1 t_2 \rangle\rangle \Gamma = \langle\langle t_1 \rangle\rangle \Gamma (\langle\langle t_2 \rangle\rangle \Gamma)$
 $\llbracket \lambda x. t \rrbracket \Gamma = \lambda v. \llbracket t \rrbracket \Gamma [x \mapsto v]$
 $\langle\langle \lambda x. t \rangle\rangle \Gamma = \lambda v. \langle\langle t \rangle\rangle \Gamma [x \mapsto v]$
 $\llbracket \text{seq} \rrbracket = \lambda v_1 v_2. \begin{cases} \perp, & v_1 = \perp \\ v_2, & \text{otherwise} \end{cases}$
 $\langle\langle \text{seq} \rangle\rangle = \lambda v_1 v_2. v_2$
 $\llbracket \text{fix} \rrbracket = \lambda f. \bigsqcup_{n \in \omega} f^n \perp$
 $\langle\langle \text{fix} \rangle\rangle$ is not defined
 $\llbracket \star \rrbracket = \star$
 $\langle\langle \star \rangle\rangle = \star$
 $\llbracket (,) \rrbracket = \lambda v_1 v_2. (v_1, v_2)$
 $\langle\langle (,) \rangle\rangle = \lambda v_1 v_2. (v_1, v_2)$
 $\llbracket \text{fst} \rrbracket = \lambda v. \begin{cases} \perp, & v = \perp \\ v_1, & v = (v_1, v_2) \end{cases}$
 $\langle\langle \text{fst} \rangle\rangle = \lambda (v_1, v_2). v_1$
 $\llbracket \text{snd} \rrbracket = \lambda v. \begin{cases} \perp, & v = \perp \\ v_2, & v = (v_1, v_2) \end{cases}$
 $\langle\langle \text{snd} \rangle\rangle = \lambda (v_1, v_2). v_2$
 $\llbracket \text{inl} \rrbracket = \lambda v. \text{inl}(v)$
 $\langle\langle \text{inl} \rangle\rangle = \lambda v. \text{inl}(v)$
 $\llbracket \text{inr} \rrbracket = \lambda v. \text{inr}(v)$
 $\langle\langle \text{inr} \rangle\rangle = \lambda v. \text{inr}(v)$

$$\begin{aligned}
\llbracket \text{case} \rrbracket &= \lambda v f_1 f_2. \begin{cases} \perp, & v = \perp \\ f_1 v_1, & v = \text{inl}(v_1) \\ f_2 v_2, & v = \text{inr}(v_2) \end{cases} \\
\langle\langle \text{case} \rangle\rangle &= \lambda v f_1 f_2. \begin{cases} f_1 v_1, & v = \text{inl}(v_1) \\ f_2 v_2, & v = \text{inr}(v_2) \end{cases} \\
\llbracket \text{in} \rrbracket &= \lambda v. \text{in}(v) \\
\langle\langle \text{in} \rangle\rangle &= \lambda v. \text{in}(v) \\
\llbracket \text{out} \rrbracket &= \lambda v. \text{out}(v) \\
\langle\langle \text{out} \rangle\rangle &= \lambda v. \text{out}(v) \\
\llbracket \text{fold}_F \rrbracket &= \lambda f. \text{fix } (\lambda g. f \circ L(F) g \circ \text{out}) \\
\langle\langle \text{fold}_F \rangle\rangle f &= \text{the unique morphism in } F\text{-Alg}(\text{SET}) \text{ from } \text{in} \text{ to } f, \\
&\quad \text{viewed as a morphism in SET} \\
\llbracket \text{unfold}_F \rrbracket &= \lambda f. \text{fix } (\lambda g. \text{in} \circ L(F) g \circ f) \\
\langle\langle \text{unfold}_F \rangle\rangle f &= \text{the unique morphism in } F\text{-Coalg}(\text{SET}) \text{ from } f \text{ to} \\
&\quad \text{out, viewed as a morphism in SET}
\end{aligned}$$

$$\begin{array}{ccc}
\mu F & \xrightarrow{\quad} & A \\
\uparrow & \text{fold}(f) & \uparrow \\
\text{out} & \text{in} & f \\
\downarrow & & \downarrow \\
F \mu F & \xrightarrow{F \text{ fold}(f)} & F A
\end{array}$$

Note that $\text{out} : \mu F \rightarrow F \mu F = \text{fold}_F (L(F) \text{in})$, and $\text{in} : F \nu F \rightarrow \nu F = \text{unfold}_F (L(F) \text{out})$. (For proofs see in-out-proofs.)

Also note that $\llbracket \text{fold} \rrbracket$, $\llbracket \text{unfold} \rrbracket$, $\langle\langle \text{fold} \rangle\rangle$ and $\langle\langle \text{unfold} \rangle\rangle$ all satisfy universal properties (see Program Calculation Properties of Continuous Algebras, Fokkinga and Meijer, 1991):

\forall strict h and f .

$$h = \llbracket \text{fold} \rrbracket f \Leftrightarrow h \circ \text{in} = f \circ L(F) h$$

$\forall h, f$.

$$h = \llbracket \text{unfold} \rrbracket f \Leftrightarrow \text{out} \circ h = L(F) h \circ f$$

$\forall h, f$.

$$h = \langle\langle \text{fold} \rangle\rangle f \Leftrightarrow h \circ \text{in} = f \circ F h$$

$\forall h, f$.

$$h = \langle\langle \text{unfold} \rangle\rangle f \Leftrightarrow \text{out} \circ h = F h \circ f$$

PER:

We enforce by definition that \perp is not in \sim for function spaces (since $\perp : \mu \text{Id} \rightarrow \mu \text{Id}$ would be in the domain otherwise).

Section 2: Definitions

$$\begin{aligned}
f \sim_{\sigma \rightarrow \tau} g &\Leftrightarrow f \neq \perp \neq g \wedge \forall x, y \in \llbracket \sigma \rrbracket. x \sim_{\sigma} y \Rightarrow f x \sim_{\tau} g y \\
x \sim_{\sigma \times \tau} y &\Leftrightarrow \exists x_1, y_1 \in \llbracket \sigma \rrbracket, x_2, y_2 \in \llbracket \tau \rrbracket. \\
&\quad x = (x_1, x_2) \wedge y = (y_1, y_2) \wedge \\
&\quad x_1 \sim_{\sigma} y_1 \wedge x_2 \sim_{\tau} y_2 \\
x \sim_{\sigma + \tau} y &\Leftrightarrow (\exists x', y' \in \llbracket \sigma \rrbracket. x = \text{inl}(x') \wedge y = \text{inl}(y') \wedge x' \sim_{\sigma} y') \vee \\
&\quad (\exists x', y' \in \llbracket \tau \rrbracket. x = \text{inr}(x') \wedge y = \text{inr}(y') \wedge x' \sim_{\tau} y') \\
x \sim_1 y &\Leftrightarrow x = y = \star \\
x \sim_{\mu F} y &\Leftrightarrow (x, y) \in \mu O(F) \\
x \sim_{\nu F} y &\Leftrightarrow (x, y) \in \nu O(F)
\end{aligned}$$

O is defined as follows:

$$\begin{aligned}
O(F) &: \wp(\llbracket \mu/\nu F \rrbracket^2) \rightarrow \wp(\llbracket \mu/\nu F \rrbracket^2) \\
O(F)(X) &= \{ (\text{in}(a), \text{in}(b)) \mid (a, b) \in O'_F(F)(X) \}
\end{aligned}$$

$$\begin{aligned}
O'_F(G) &: \llbracket \mu/\nu F \rrbracket^2 \rightarrow \wp(\llbracket G(\mu/\nu F) \rrbracket^2) \\
O'_F(\text{Id})(X) &= X \\
O'_F(K_{\sigma})(X) &= \{ (x, y) \mid x, y \in \text{dom}(\sim_{\sigma}), x \sim y \} \\
O'_F(F_1 \times F_2)(X) &= \{ ((a_1, b_1), (a_2, b_2)) \mid (a_1, a_2) \in O'_F(F_1)(X), \\
&\quad (b_1, b_2) \in O'_F(F_2)(X) \} \\
O'_F(F_1 + F_2)(X) &= \{ (\text{inl}(x'), \text{inl}(y')) \mid (x', y') \in O'_F(F_1)(X) \} \cup \\
&\quad \{ (\text{inr}(x'), \text{inr}(y')) \mid (x', y') \in O'_F(F_2)(X) \}
\end{aligned}$$

This definition leads to a PER, see per-per.

Note that $O(F)$ is a monotone operator on a complete lattice. We get the following proof principles:

$$\begin{aligned}
\text{Induction: } O(F)(X) \subseteq X &\Rightarrow \mu O(F) \subseteq X \\
\text{Coinduction: } X \subseteq O(F)(X) &\Rightarrow X \subseteq \nu O(F)
\end{aligned}$$

How can we use the first principle? Let X be the characteristic set of some property on $\wp(\llbracket \mu F \rrbracket^2)$. If we can show that $O(F)(X) \subseteq X$, then we know that the property holds for $\mu O(F)$.

How can we prove that something is in $\mu O(F)$, then? Since $\mu O(F)$ is the least prefix point, we would have to prove that it is in all prefix points:

$$\begin{aligned}
&(x_1, x_2) \in \mu O(F) \\
\Leftrightarrow & \\
&\forall X \in \wp(\llbracket \mu F \rrbracket^2). O(F)(X) \subseteq X \Rightarrow (x_1, x_2) \in X
\end{aligned}$$

Note that we can use the knowledge that $\mu O(F) \subseteq X$ when proving this:

$$\begin{aligned}
&\Leftrightarrow \\
&\forall X \in \wp(\llbracket \mu F \rrbracket^2). O(F)(X) \subseteq X \wedge \mu O(F) \subseteq X \Rightarrow (x_1, x_2) \in X
\end{aligned}$$

For convenience:

Define $[f] [x] = [f x]$ (well-defined).

Using this definition we have extensionality:

$f = g \Leftrightarrow \forall x \in [\sim_\sigma]. f x = g x$
for arbitrary $f, g \in [\sim_\sigma(\sigma \rightarrow \tau)]$.

Further definitions:

More definitions can be found in other files. See e.g. `biccc`,
`partial-surjective-homomorphism` and `size`.

3 Some functor properties

The functors satisfy the following properties:

In CPO and CPO_⊥: $L(F) = \llbracket F \rrbracket$. $L(F) \llbracket \sigma \rrbracket = \llbracket F \sigma \rrbracket$.

In SET: $F = \langle\langle F \rangle\rangle$. $F \langle\langle \sigma \rangle\rangle = \langle\langle F \sigma \rangle\rangle$.

In PER: $F = \llbracket \llbracket F \rrbracket \rrbracket$.

(PER is defined in biccc.)

The proofs for SET are simpler variants of the proofs for CPO and CPO_⊥.

- $L(F) = \llbracket F \rrbracket$ (when $L(F)$ is acting on morphisms):

$$L(\text{Id}) f = \text{Id } f = f = \lambda x. f \ x = \llbracket \text{Id} \rrbracket f$$

$$L(K_\sigma) f = K_\sigma f = \lambda x. x = \llbracket K_\sigma \rrbracket f$$

$$\begin{aligned} & L(G_1 \times G_2) f \\ = & (L(G_1) \times L(G_2))_{\perp} f \\ = & \begin{array}{l} \{\perp, v = \perp \\ \lambda v. \mid \\ \{(L(G_1) f \ x, L(G_2) f \ y), v = (x, y) \\ \text{Inductive hypothesis. } \} \end{array} \\ = & \begin{array}{l} \{\perp, v = \perp \\ \lambda v. \mid \\ \{(\llbracket G_1 \rrbracket f \ x, \llbracket G_2 \rrbracket f \ y), v = (x, y) \\ \} \end{array} \\ = & \llbracket G_1 \times G_2 \rrbracket f \end{aligned}$$

$$\begin{aligned} & L(G_1 + G_2) f \\ = & (L(G_1) + L(G_2))_{\perp} f \\ = & \begin{array}{l} \{\perp, v = \perp \\ \lambda v. \mid \text{inl}(L(G_1) f \ x), v = \text{inl}(x) \\ \{\text{inr}(L(G_2) f \ y), v = \text{inr}(y) \\ \text{Inductive hypothesis. } \} \end{array} \\ = & \begin{array}{l} \{\perp, v = \perp \\ \lambda v. \mid \text{inl}(\llbracket G_1 \rrbracket f \ x), v = \text{inl}(x) \\ \{\text{inr}(\llbracket G_2 \rrbracket f \ y), v = \text{inr}(y) \\ \} \end{array} \\ = & \llbracket G_1 + G_2 \rrbracket f \end{aligned}$$

Section 3: Some functor properties

- $L(F) \llbracket \sigma \rrbracket = \llbracket F \sigma \rrbracket$:

$$\begin{aligned}
 & L(\text{Id}) \llbracket \sigma \rrbracket \\
 = & \\
 & \text{Id} \llbracket \sigma \rrbracket \\
 = & \\
 & \llbracket \sigma \rrbracket \\
 = & \\
 & \llbracket \text{Id } \sigma \rrbracket \\
 \\
 & L(K_\tau) \llbracket \sigma \rrbracket \\
 = & \\
 & K_\tau \llbracket \sigma \rrbracket \\
 = & \\
 & \llbracket \tau \rrbracket \\
 = & \\
 & \llbracket K_\tau \sigma \rrbracket \\
 \\
 & L(G_1 \times G_2) \llbracket \sigma \rrbracket \\
 = & \\
 & (L(G_1) \times L(G_2))_{\perp} \llbracket \sigma \rrbracket \\
 = & \\
 & ((L(G_1) \times L(G_2)) \llbracket \sigma \rrbracket)_{\perp} \\
 = & \\
 & (L(G_1) \llbracket \sigma \rrbracket \times L(G_2) \llbracket \sigma \rrbracket)_{\perp} \\
 = & \{ \text{Inductive hypothesis.} \} \\
 & (\llbracket G_1 \sigma \rrbracket \times \llbracket G_2 \sigma \rrbracket)_{\perp} \\
 = & \\
 & \llbracket G_1 \sigma \times G_2 \sigma \rrbracket
 \end{aligned}$$

And analogously for $+$.

- $F = \llbracket \llbracket F \rrbracket \rrbracket$ (when F is acting on morphisms):

$$\begin{aligned}
 & \llbracket \llbracket \text{Id} \rrbracket \rrbracket [f] \\
 = & \\
 & [\lambda f x. f x] [f] \\
 = & \\
 & [\lambda x. f x] \\
 = & \\
 & [f] \\
 = & \\
 & \text{Id } [f] \\
 \\
 & \llbracket \llbracket K_\sigma \rrbracket \rrbracket [f] \\
 = & \\
 & [\lambda f x. x] [f] \\
 = & \\
 & [\text{id}] \\
 = &
 \end{aligned}$$

$$\begin{aligned}
& K_\sigma [f] \\
& \llbracket G_1 \times G_2 \rrbracket [f] \\
= & \llbracket \lambda f x. \llbracket \text{seq} \rrbracket x (\llbracket G_1 \rrbracket f (\llbracket \text{fst} \rrbracket x), \llbracket G_2 \rrbracket f (\llbracket \text{snd} \rrbracket x)) \rrbracket [f] \\
= & \llbracket \lambda x. \llbracket \text{seq} \rrbracket x (\llbracket G_1 \rrbracket f (\llbracket \text{fst} \rrbracket x), \llbracket G_2 \rrbracket f (\llbracket \text{snd} \rrbracket x)) \rrbracket \\
= & \{ \sim\text{-equality.} \} \\
& \llbracket \lambda v. ((\llbracket G_1 \rrbracket f \circ \llbracket \text{fst} \rrbracket) v, (\llbracket G_2 \rrbracket f \circ \llbracket \text{snd} \rrbracket) v) \rrbracket \\
= & \{ \text{See biccc for definitions.} \} \\
& \llbracket \llbracket G_1 \rrbracket f \circ \llbracket \text{fst} \rrbracket \rrbracket \Delta \llbracket \llbracket G_2 \rrbracket f \circ \llbracket \text{snd} \rrbracket \rrbracket \\
= & \{ \text{See biccc for definitions.} \} \\
& (\llbracket \llbracket G_1 \rrbracket \rrbracket [f] \circ \text{fst}) \Delta (\llbracket \llbracket G_2 \rrbracket \rrbracket [f] \circ \text{snd}) \\
= & \{ \text{Inductive hypothesis.} \} \\
& (G_1 [f] \circ \text{fst}) \Delta (G_2 [f] \circ \text{snd}) \\
= & \\
& G_1 [f] \times G_2 [f] \\
= & \\
& (G_1 \times G_2) [f] \\
& \llbracket G_1 + G_2 \rrbracket [f] \\
= & \llbracket \lambda f x. \llbracket \text{case} \rrbracket x (\llbracket \text{inl} \rrbracket \circ \llbracket G_1 \rrbracket f) (\llbracket \text{inr} \rrbracket \circ \llbracket G_2 \rrbracket f) \rrbracket [f] \\
= & \llbracket \lambda x. \llbracket \text{case} \rrbracket x (\llbracket \text{inl} \rrbracket \circ \llbracket G_1 \rrbracket f) (\llbracket \text{inr} \rrbracket \circ \llbracket G_2 \rrbracket f) \rrbracket \\
= & \{ \text{See biccc for definitions.} \} \\
& \llbracket \llbracket \text{inl} \rrbracket \circ \llbracket G_1 \rrbracket f \rrbracket \nabla \llbracket \llbracket \text{inr} \rrbracket \circ \llbracket G_2 \rrbracket f \rrbracket \\
= & \{ \text{See biccc for definitions.} \} \\
& (\text{inl} \circ \llbracket \llbracket G_1 \rrbracket \rrbracket [f]) \nabla (\text{inr} \circ \llbracket \llbracket G_2 \rrbracket \rrbracket [f]) \\
= & \{ \text{Inductive hypothesis.} \} \\
& (\text{inl} \circ G_1 [f]) \nabla (\text{inr} \circ G_2 [f]) \\
= & \\
& G_1 [f] + G_2 [f] \\
= & \\
& (G_1 + G_2) [f]
\end{aligned}$$

4 Proofs for in and out

$\text{out} : \mu F \rightarrow F \mu F = \text{fold}_F (F \text{ in})$

$\text{out} = \text{fold}_F (F \text{ in})$
 $\Leftrightarrow \{ \text{Universality property.} \}$
 $\text{out} \circ \text{in} = F \text{ in} \circ F \text{ out}$
 \Leftrightarrow
 $\text{out} \circ \text{in} = F (\text{in} \circ \text{out})$
 \Leftrightarrow
 $\text{id} = F \text{ id}$
 \Leftrightarrow
 \top

$\text{in} : F \nu F \rightarrow \nu F = \text{unfold}_F (F \text{ out})$

$\text{in} = \text{unfold}_F (F \text{ out})$
 $\Leftrightarrow \{ \text{Universality property.} \}$
 $\text{out} \circ \text{in} = F \text{ in} \circ F \text{ out}$
 $\Leftrightarrow \{ \text{As above.} \}$
 \top

The category-theoretic proofs above imply the set-theoretic results

$\text{out} : \mu F \rightarrow F \mu F = \langle\langle \text{fold}_F (F \text{ in}) \rangle\rangle$

and

$\text{in} : F \nu F \rightarrow \nu F = \langle\langle \text{unfold}_F (F \text{ out}) \rangle\rangle$,
 since $F = \langle\langle F \rangle\rangle$ (see functor-properties), $\text{fold} = \langle\langle \text{fold} \rangle\rangle$ etc.

Proofs of the same structure can also be used to prove the domain-theoretic results

$\text{out} : \mu F \rightarrow F \mu F = \llbracket \text{fold}_F (F \text{ in}) \rrbracket$

and

$\text{in} : F \nu F \rightarrow \nu F = \llbracket \text{unfold}_F (F \text{ out}) \rrbracket$,
 since $L(F) = \llbracket F \rrbracket$ (see functor-properties), etc., and the functions out
 and $L(F) \text{ in}$ are both strict.

For verbosity we also include explicit proofs for the domain-theoretic case:

$\text{out} : \mu F \rightarrow F \mu F = \text{fold}_F (L(F) \text{ in})$

$\text{fold}_F (L(F) \text{ in})$
 $=$
 $\text{fix } (\lambda g. L(F) \text{ in} \circ L(F) g \circ \text{out})$
 $=$
 $\text{fix } (\lambda g. L(F) (\text{in} \circ g) \circ \text{out})$

Section 4: Proofs for in and out

out is a solution to $L(F) (in \circ g) \circ out = g$. Is it the least solution? We need to prove that $L(F) (in \circ g) \circ out = g \Rightarrow out \sqsubseteq g$.

$out \sqsubseteq g \Leftrightarrow id \sqsubseteq in \circ g$, and $in \circ g = in \circ L(F) (in \circ g) \circ out$

$id = \text{fix } (\lambda g. in \circ L(F) g \circ out)$, so $id \sqsubseteq f$ for all f satisfying $f = in \circ L(F) f \circ out$. Done!

$in : F \ \forall F \rightarrow \forall F = \text{unfold}_F (L(F) out)$

$\text{unfold}_F (L(F) out)$
=
 $\text{fix } (\lambda g. in \circ L(F) g \circ L(F) out)$
=
 $\text{fix } (\lambda g. in \circ L(F) (g \circ out))$

in is a solution to $in \circ L(F) (g \circ out) = g$. Is it the least solution? We need to prove that $in \circ L(F) (g \circ out) = g \Rightarrow in \sqsubseteq g$.

$in \sqsubseteq g \Leftrightarrow id \sqsubseteq g \circ out$, and $g \circ out = in \circ L(F) (g \circ out) \circ out$

Done as above!

5 Proofs relating in, out and the PER

$$\begin{aligned} \text{in } x \sim_{\mu F} \text{ in } y &\Leftrightarrow x \sim_{(F \mu F)} y \\ x \sim_{\nu F} y &\Leftrightarrow \text{out } x \sim_{(F \nu F)} \text{out } y \end{aligned}$$

The symmetric variants also hold, since in and out are isomorphisms.

Note first that, by induction over G , if all pairs in X are related, then all pairs in $O'_F(G)(X)$ are also related.

- $\mu F, \Rightarrow$:

$$\begin{aligned} &\text{in } x \sim \text{in } y : \mu F \\ \Leftrightarrow &\{ \text{Def } \sim, \mu F \text{ fixpoint. } \} \\ &(\text{in } x, \text{in } y) \in \mu O(F) = O(F)(\mu O(F)) \\ \Leftrightarrow &\{ \text{Def } O(F). \} \\ &(x, y) \in O'_F(F)(\mu O(F)) \\ \Rightarrow &\{ \text{Initial statement above. } \} \\ &x \sim y : F \mu F \end{aligned}$$

□

- $\mu F, \Leftarrow$:

$$\begin{aligned} &\forall x, y \in [F \mu F]. x \sim y \Rightarrow \text{in } x \sim \text{in } y \\ \Leftrightarrow &\forall x, y \in [F \mu F]. x \sim y \Rightarrow (\text{in } x, \text{in } y) \in \mu O(F) \\ \Leftrightarrow &\{ \text{See discussion in definitions. } \} \\ &\forall x, y \in [F \mu F]. x \sim y \Rightarrow \\ &\quad \forall X \subseteq [\mu F]^2. \mu O(F) \subseteq X \wedge O(F)(X) \subseteq X \Rightarrow (\text{in } x, \text{in } y) \in X \\ \Leftarrow &\{ \text{Transitivity. } \} \\ &\forall x, y \in [F \mu F], X \subseteq [\mu F]^2. \\ &\quad x \sim y \wedge \mu O(F) \subseteq X \Rightarrow (\text{in } x, \text{in } y) \in O(F)(X) \\ \Leftrightarrow &\{ \text{Definition of } O(F). \} \\ &\forall x, y \in [F \mu F], X \subseteq [\mu F]^2. \\ &\quad x \sim y \wedge \mu O(F) \subseteq X \Rightarrow (x, y) \in O'_F(F)(X) \\ \Leftarrow &\{ \text{Generalise. } \} \\ &\forall G \leq F, x, y \in [G \mu F], X \subseteq [\mu F]^2. \\ &\quad x \sim y \wedge \mu O(F) \subseteq X \Rightarrow (x, y) \in O'_F(G)(X) \\ \Leftarrow &\{ \text{Induction over } G. \} \\ &\forall G \leq F, X \subseteq [\mu F]^2. \\ &\quad \mu O(F) \subseteq X \\ &\quad \Rightarrow (\forall G' < G, x, y \in [G' \mu F]. x \sim y \Rightarrow (x, y) \in O'_F(G')(X)) \\ &\quad \Rightarrow \forall x, y \in [G \mu F]. x \sim y \Rightarrow (x, y) \in O'_F(G)(X) \\ \Leftrightarrow &\{ \text{Case analysis. } \} \end{aligned}$$

- $G = \text{Id}$:

$$\begin{aligned} &\forall X \subseteq [\mu F]^2, x, y \in [\mu F]. \\ &\quad \mu O(F) \subseteq X \wedge x \sim y \Rightarrow (x, y) \in X \end{aligned}$$

Section 5: Proofs relating in, out and the PER

$$\Leftrightarrow \{ (x, y) \in \mu 0(F). \}$$

$$\top$$

- $G = K_\sigma$:

$$\forall x, y \in \llbracket \sigma \rrbracket.$$

$$x \sim y \Rightarrow x \sim y$$

$$\Leftrightarrow \top$$

- $G = G_1 \times G_2$:

$$\forall X \subseteq \llbracket \mu F \rrbracket^2.$$

$$\mu 0(F) \subseteq X$$

$$\Rightarrow (\forall G' < G, x, y \in \llbracket G' \mu F \rrbracket. x \sim y \Rightarrow (x, y) \in 0'_F(G')(X))$$

$$\Rightarrow \forall x_1, y_1 \in \llbracket G_1 \mu F \rrbracket, x_2, y_2 \in \llbracket G_2 \mu F \rrbracket.$$

$$x_1 \sim y_1 \wedge x_2 \sim y_2 \Rightarrow (x_1, y_1) \in 0'_F(G_1)(X) \wedge (x_2, y_2) \in 0'_F(G_2)(X)$$

$$\Leftrightarrow \top$$

- $G = G_1 + G_2$:

$$\forall X \subseteq \llbracket \mu F \rrbracket^2.$$

$$\mu 0(F) \subseteq X$$

$$\Rightarrow (\forall G' < G, x, y \in \llbracket G' \mu F \rrbracket. x \sim y \Rightarrow (x, y) \in 0'_F(G')(X))$$

$$\Rightarrow \forall x_1, y_1 \in \llbracket G_1 \mu F \rrbracket.$$

$$x_1 \sim y_1 \Rightarrow (x_1, y_1) \in 0'_F(G_1)(X)$$

$$\wedge \forall x_2, y_2 \in \llbracket G_2 \mu F \rrbracket.$$

$$x_2 \sim y_2 \Rightarrow (x_2, y_2) \in 0'_F(G_2)(X)$$

$$\Leftrightarrow \top$$

□

- $\nu F, \Rightarrow$:

$$x \sim y : \nu F$$

$$\Leftrightarrow \{ \text{Def } \sim, \nu F \text{ fixpoint.} \}$$

$$(x, y) \in \nu 0(F) = 0(F)(\nu 0(F))$$

$$\Leftrightarrow \{ \text{Def } 0(F). \}$$

$$(\text{out } x, \text{out } y) \in 0'_F(F)(\nu 0(F))$$

$$\Rightarrow \{ \text{Initial statement above.} \}$$

$$\text{out } x \sim \text{out } y : F \nu F$$

□

- $\nu F, \Leftarrow$:

$$\forall x, y \in \llbracket \nu F \rrbracket. \text{out } x \sim \text{out } y \Rightarrow x \sim y$$

$$\Leftrightarrow \forall x, y \in \llbracket \nu F \rrbracket. \text{out } x \sim \text{out } y \Rightarrow (x, y) \in \nu 0(F)$$

Section 5: Proofs relating in, out and the PER

\Leftarrow { Use coinduction. Let
 $\{ X = \{ (x, y) \in \llbracket \forall F \rrbracket^2 \mid \text{out } x \sim \text{out } y \}.$
 $X \subseteq \text{O}(F)(X)$
 \Leftrightarrow
 $\forall x, y \in \llbracket \forall F \rrbracket. \text{out } x \sim \text{out } y$
 $\Rightarrow (x, y) \in \text{O}(F)(X)$
 \Leftrightarrow { in/out are inverses, definition of $\text{O}(F).$ }
 $\forall x, y \in \llbracket F \forall F \rrbracket. x \sim y \Rightarrow (x, y) \in \text{O}'_F(F)(X)$
 \Leftarrow { Generalise. }
 $\forall G \leq F, x, y \in \llbracket G \forall F \rrbracket. x \sim y \Rightarrow (x, y) \in \text{O}'_F(G)(X)$
 \Leftarrow { Induction over $G.$ }
 $\forall G \leq F.$
 $(\forall G' < G, x, y \in \llbracket G' \forall F \rrbracket. x \sim y \Rightarrow (x, y) \in \text{O}'_F(G')(X))$
 $\Rightarrow \forall x, y \in \llbracket G \forall F \rrbracket. x \sim y \Rightarrow (x, y) \in \text{O}'_F(G)(X)$
 \Leftrightarrow { Case analysis. }

• $G = \text{Id}:$

$\forall x, y \in \llbracket \forall F \rrbracket. x \sim y \Rightarrow \text{out } x \sim \text{out } y$
 \Leftrightarrow { \Rightarrow part of proof. }
 \top

• $G = K_\sigma:$

$\forall x, y \in \llbracket \sigma \rrbracket. x \sim y \Rightarrow x \sim y$
 \Leftrightarrow
 \top

• $G = G_1 \times G_2:$

$(\forall G' < G, x, y \in \llbracket G' \forall F \rrbracket. x \sim y \Rightarrow (x, y) \in \text{O}'_F(G')(X))$
 $\Rightarrow \forall x_1, y_1 \in \llbracket G_1 \forall F \rrbracket, x_2, y_2 \in \llbracket G_2 \forall F \rrbracket.$
 $x_1 \sim y_1 \wedge x_2 \sim y_2$
 $\Rightarrow (x_1, y_1) \in \text{O}'_F(G_1)(X) \wedge (x_2, y_2) \in \text{O}'_F(G_2)(X)$
 \Leftrightarrow
 \top

• $G = G_1 + G_2:$

$(\forall G' < G, x, y \in \llbracket G' \forall F \rrbracket. x \sim y \Rightarrow (x, y) \in \text{O}'_F(G')(X))$
 $\Rightarrow \forall x_1, y_1 \in \llbracket G_1 \forall F \rrbracket. x_1 \sim y_1 \Rightarrow (x_1, y_1) \in \text{O}'_F(G_1)(X)$
 $\wedge \forall x_2, y_2 \in \llbracket G_2 \forall F \rrbracket. x_2 \sim y_2 \Rightarrow (x_2, y_2) \in \text{O}'_F(G_2)(X)$
 \Leftrightarrow
 \top

□

6 The PER is a PER

Let us now prove that the "PER" is a PER. Since all definitions are symmetric the relation is obviously symmetric. Transitivity follows by induction over the type structure. The only non-trivial cases are those for μF and νF .

μF

$\forall F, x, y, z \in \llbracket \mu F \rrbracket$.

$x \sim y : \mu F \wedge y \sim z : \mu F \Rightarrow x \sim z : \mu F$

\Leftarrow Definition \sim .

$\forall F, x, y, z \in \llbracket \mu F \rrbracket$.

$(x, y) \in \mu 0(F) \wedge y \sim z : \mu F \Rightarrow x \sim z : \mu F$

\Leftarrow Proof by induction. Define $X_F \equiv$

$\{ (x, y) \mid x, y \in \llbracket \mu F \rrbracket \wedge x \sim y \wedge (\forall z \in \llbracket \mu F \rrbracket. y \sim z \Rightarrow x \sim z) \}$.

Prove that $0(F)(X_F) \subseteq X_F$ which implies that $\mu 0(F) \subseteq X_F$.

$\forall F, x, y \in \llbracket \mu F \rrbracket$.

$(x, y) \in 0(F)(X_F) \Rightarrow x \sim y \wedge (\forall z \in \llbracket \mu F \rrbracket. y \sim z \Rightarrow x \sim z)$

\Leftarrow Rewrite, in/out bijections, see also per-and-in-out.

$\forall F, x, y, z \in \llbracket F \mu F \rrbracket$.

$(x, y) \in 0'_F(F)(X_F) \Rightarrow x \sim y \wedge y \sim z \Rightarrow x \sim z$

\Leftarrow Generalise.

$\forall F, G \leq F, x, y, z \in \llbracket G \mu F \rrbracket$.

$(x, y) \in 0'_F(G)(X_F) \Rightarrow x \sim y \wedge y \sim z \Rightarrow x \sim z$

\Leftarrow Induction over G .

$\forall F, G \leq F$.

$(\forall G' < G, x, y, z \in \llbracket G' \mu F \rrbracket$.

$(x, y) \in 0'_F(G')(X_F) \Rightarrow x \sim y \wedge y \sim z \Rightarrow x \sim z)$

$\Rightarrow \forall x, y, z \in \llbracket G \mu F \rrbracket$.

$(x, y) \in 0'_F(G)(X_F) \Rightarrow x \sim y \wedge y \sim z \Rightarrow x \sim z$

\Leftarrow Case on G .

• $G = \text{Id}$:

\Leftarrow Nothing $< \text{Id}$, definition $0'_F(\text{Id})$ and Id .

$\forall F, x, y, z \in \llbracket \mu F \rrbracket$.
 $x \sim y \wedge y \sim z \Rightarrow x \sim z$
 $\Rightarrow x \sim y \wedge y \sim z \Rightarrow x \sim z$

\Leftarrow Assumption.

\top

• $G = K_\sigma \leq F$:

\Leftarrow Nothing $< K_\sigma$, definition $O'_F(K_\sigma)$ and K_σ .

$\forall x, y, z \in \llbracket \sigma \rrbracket$.
 $x \sim y \Rightarrow x \sim y \wedge y \sim z \Rightarrow x \sim z$

\Leftarrow Assumption.

$\forall x, y, z \in \llbracket \sigma \rrbracket$.
 $x \sim y \wedge y \sim z \Rightarrow x \sim z$

\Leftarrow Outer inductive hypothesis ($\sigma < \nu F$).

\top

• $G = G_1 \times G_2$:

\Leftarrow Definition $O'_F(G_1 \times G_2)$.

$\forall F$.
 $(\forall G' < G, x, y, z \in \llbracket G' \mu F \rrbracket$.
 $(x, y) \in O'_F(G')(X_F) \Rightarrow x \sim y \wedge y \sim z \Rightarrow x \sim z$)
 $\Rightarrow \forall x, y, z \in \llbracket G \mu F \rrbracket$.
 $(x, y) \in \{ ((a_1, b_1), (a_2, b_2)) \mid (a_1, a_2) \in O'_F(G_1)(X_F),$
 $(b_1, b_2) \in O'_F(G_2)(X_F) \}$
 $\Rightarrow x \sim y \wedge y \sim z \Rightarrow x \sim z$

\Leftrightarrow Rewrite. $z \in \llbracket (G_1 \times G_2) \mu F \rrbracket$ and $(a_2, b_2) \sim z$ implies that
 $z = (z_1, z_2)$.

$\forall F$.
 $(\forall G' < G, x, y, z \in \llbracket G' \mu F \rrbracket$.
 $(x, y) \in O'_F(G')(X_F) \Rightarrow x \sim y \wedge y \sim z \Rightarrow x \sim z$)
 $\Rightarrow \forall a_1, a_2, z_1 \in \llbracket G_1 \mu F \rrbracket, b_1, b_2, z_2 \in \llbracket G_2 \mu F \rrbracket$.
 $(a_1, a_2) \in O'_F(G_1)(X_F) \wedge (b_1, b_2) \in O'_F(G_2)(X_F)$
 $\Rightarrow (a_1, b_1) \sim (a_2, b_2) \wedge (a_2, b_2) \sim (z_1, z_2) \Rightarrow (a_1, b_1) \sim (z_1, z_2)$

\Leftarrow Specialise.

$$\begin{aligned}
 & \forall F. \\
 & \quad ((\forall a_1, a_2, z_1 \in \llbracket G_1 \mu F \rrbracket. \\
 & \quad \quad (a_1, a_2) \in 0'_F(G_1)(X_F) \Rightarrow a_1 \sim a_2 \wedge a_2 \sim z_1 \Rightarrow a_1 \sim z_1) \\
 & \quad \quad \wedge \\
 & \quad \quad (\forall b_1, b_2, z_2 \in \llbracket G_2 \mu F \rrbracket. \\
 & \quad \quad \quad (b_1, b_2) \in 0'_F(G_2)(X_F) \Rightarrow b_1 \sim b_2 \wedge b_2 \sim z_2 \Rightarrow b_1 \sim z_2) \\
 & \quad \quad) \\
 & \quad) \\
 & \Rightarrow \forall a_1, a_2, z_1 \in \llbracket G_1 \mu F \rrbracket, b_1, b_2, z_2 \in \llbracket G_2 \mu F \rrbracket. \\
 & \quad (a_1, a_2) \in 0'_F(G_1)(X_F) \wedge (b_1, b_2) \in 0'_F(G_2)(X_F) \\
 & \quad \Rightarrow (a_1, b_1) \sim (a_2, b_2) \wedge (a_2, b_2) \sim (z_1, z_2) \Rightarrow (a_1, b_1) \sim (z_1, z_2)
 \end{aligned}$$

\Leftarrow Assumption, definition of \sim .

⊤

• $G = G_1 + G_2$:

\Leftarrow Definition $0'_F(G_1 + G_2)$.

$$\begin{aligned}
 & \forall F. \\
 & \quad (\forall G' < G, x, y, z \in \llbracket G' \mu F \rrbracket. \\
 & \quad \quad (x, y) \in 0'_F(G')(X_F) \Rightarrow x \sim y \wedge y \sim z \Rightarrow x \sim z) \\
 & \Rightarrow (\forall x, y \in \llbracket G_1 \mu F \rrbracket, z \in \llbracket G \mu F \rrbracket. \\
 & \quad \quad (x, y) \in 0'_F(G_1)(X_F) \Rightarrow \text{inl}(x) \sim \text{inl}(y) \wedge \text{inl}(y) \sim z \Rightarrow \text{inl}(x) \sim z) \\
 & \quad \quad \wedge \\
 & \quad \quad (\forall x, y \in \llbracket G_2 \mu F \rrbracket, z \in \llbracket G \mu F \rrbracket. \\
 & \quad \quad \quad (x, y) \in 0'_F(G_2)(X_F) \Rightarrow \text{inr}(x) \sim \text{inr}(y) \wedge \text{inr}(y) \sim z \Rightarrow \text{inr}(x) \sim z)
 \end{aligned}$$

\Leftarrow Definition \sim , $\text{inl}(y) \sim z$ implies that $z = \text{inl}(z')$, and similarly for inr .

$$\begin{aligned}
 & \forall F. \\
 & \quad (\forall G' < G, x, y, z \in \llbracket G' \mu F \rrbracket. \\
 & \quad \quad (x, y) \in 0'_F(G')(X_F) \Rightarrow x \sim y \wedge y \sim z \Rightarrow x \sim z) \\
 & \Rightarrow (\forall x, y, z \in \llbracket G_1 \mu F \rrbracket. \\
 & \quad \quad (x, y) \in 0'_F(G_1)(X_F) \Rightarrow x \sim y \wedge y \sim z \Rightarrow x \sim z) \\
 & \quad \quad \wedge \\
 & \quad \quad (\forall x, y, z \in \llbracket G_2 \mu F \rrbracket. \\
 & \quad \quad \quad (x, y) \in 0'_F(G_2)(X_F) \Rightarrow x \sim y \wedge y \sim z \Rightarrow x \sim z)
 \end{aligned}$$

\Leftarrow Assumption.

⊤

$\forall F$

—

$$\begin{aligned}
 & \forall F, x, y, z \in \llbracket \forall F \rrbracket. \\
 & \quad x \sim y \wedge y \sim z \Rightarrow x \sim z
 \end{aligned}$$

⇔ Definition \sim .

$$\forall F, x, y, z \in \llbracket \nu F \rrbracket. \\ x \sim y \wedge y \sim z \Rightarrow (x, z) \in \nu 0(F)$$

⇔ Rewrite.

$$\forall F, x, z \in \llbracket \nu F \rrbracket. \\ (\exists y \in \llbracket \nu F \rrbracket. x \sim y \wedge y \sim z) \Rightarrow (x, z) \in \nu 0(F)$$

⇐ Proof by coinduction. Define $X_F \equiv$
 $\{ (x, z) \in \llbracket \nu F \rrbracket^2 \mid \exists y \in \llbracket \nu F \rrbracket. x \sim y \wedge y \sim z \}$.
 Prove that $X_F \subseteq 0(F)(X_F)$ which implies that $X_F \subseteq \nu 0(F)$.

$$\forall F, x, z \in \llbracket \nu F \rrbracket. \\ (x, z) \in X_F \Rightarrow (x, z) \in 0(F)(X_F)$$

⇔ Rewrite.

$$\forall F, x, y, z \in \llbracket \nu F \rrbracket. \\ x \sim y \wedge y \sim z \Rightarrow (x, z) \in 0(F)(X_F)$$

⇔ in/out bijections, see also per-and-in-out.

$$\forall F, x, y, z \in \llbracket F \nu F \rrbracket. \\ x \sim y \wedge y \sim z \Rightarrow (x, z) \in 0'_F(F)(X_F)$$

⇐ Generalise.

$$\forall F, G \leq F, x, z \in \llbracket G \nu F \rrbracket. \\ x \sim y \wedge y \sim z \Rightarrow (x, z) \in 0'_F(G)(X_F)$$

⇐ Induction over G .

$$\forall F, G \leq F. \\ (\forall G' < G, x, y, z \in \llbracket G' \nu F \rrbracket. \\ x \sim y \wedge y \sim z \Rightarrow (x, z) \in 0'_F(G')(X_F)) \\ \Rightarrow \forall x, y, z \in \llbracket G \nu F \rrbracket. \\ x \sim y \wedge y \sim z \Rightarrow (x, z) \in 0'_F(G)(X_F)$$

⇐ Case on G .

• $G = \text{Id}$:

⇐ Nothing $< \text{Id}$, definition $0'_F(\text{Id})$ and Id .

$$\forall F, x, y, z \in \llbracket \nu F \rrbracket. \\ x \sim y \wedge y \sim z \Rightarrow (x, z) \in X_F$$

⇔ Definition X_F , assumption.

⊤

• $G = K_\sigma \leq F$:

⇔ Nothing $< K_\sigma$, definition $O'_F(K_\sigma)$ and K_σ .

∀ $x, y, z \in \llbracket \sigma \rrbracket$.
 $x \sim y \wedge y \sim z \Rightarrow x \sim z$

⇔ Outer inductive hypothesis ($\sigma < \nu F$).

⊤

• $G = G_1 \times G_2$:

⇔ Definition $O'_F(G_1 \times G_2)$.

∀ F .
 $(\forall G' < G, x, y, z \in \llbracket G' \nu F \rrbracket$.
 $x \sim y \wedge y \sim z \Rightarrow (x, z) \in O'_F(G')(X_F)$
 $\Rightarrow \forall x, y, z \in \llbracket G \nu F \rrbracket$.
 $x \sim y \wedge y \sim z$
 $\Rightarrow (x, z) \in \{ ((a_1, b_1), (a_2, b_2)) \mid (a_1, a_2) \in O'_F(G_1)(X_F),$
 $(b_1, b_2) \in O'_F(G_2)(X_F) \}$

⇔ $x, y, z \in \llbracket (G_1 \times G_2) \nu F \rrbracket \cap \text{dom}(\sim)$ implies that $x = (a_1, b_1)$,
 $y = (a_2, b_2)$, $z = (a_3, b_3)$. Rewrite.

∀ F .
 $(\forall G' < G, x, y, z \in \llbracket G' \nu F \rrbracket$.
 $x \sim y \wedge y \sim z \Rightarrow (x, z) \in O'_F(G')(X_F)$
 $\Rightarrow \forall a_1, a_2, a_3 \in \llbracket G_1 \nu F \rrbracket, b_1, b_2, b_3 \in \llbracket G_2 \nu F \rrbracket$.
 $(a_1, b_1) \sim (a_2, b_2) \wedge (a_2, b_2) \sim (a_3, b_3)$
 $\Rightarrow (a_1, a_3) \in O'_F(G_1)(X_F) \wedge (b_1, b_3) \in O'_F(G_2)(X_F)$

⇔ Definition \sim .

∀ F .
 $(\forall G' < G, x, y, z \in \llbracket G' \nu F \rrbracket$.
 $x \sim y \wedge y \sim z \Rightarrow (x, z) \in O'_F(G')(X_F)$
 $\Rightarrow \forall a_1, a_2, a_3 \in \llbracket G_1 \nu F \rrbracket, b_1, b_2, b_3 \in \llbracket G_2 \nu F \rrbracket$.
 $a_1 \sim a_2 \wedge a_2 \sim a_3 \wedge b_1 \sim b_2 \wedge b_2 \sim b_3$
 $\Rightarrow (a_1, a_3) \in O'_F(G_1)(X_F) \wedge (b_1, b_3) \in O'_F(G_2)(X_F)$

⇔ Assumption.

⊤

- $G = G_1 + G_2$:

\Leftarrow Definition $O'_F(G_1 + G_2)$.

$\forall F$.

$(\forall G' < G, x, y, z \in \llbracket G' \vee F \rrbracket)$.

$x \sim y \wedge y \sim z \Rightarrow (x, z) \in O'_F(G')(X_F)$

$\Rightarrow \forall x, y, z \in \llbracket G \vee F \rrbracket$.

$x \sim y \wedge y \sim z$

$\Rightarrow (x, z) \in \{ (\text{inl}(x'), \text{inl}(z')) \mid (x', z') \in O'_F(G_1)(X_F) \}$
 $\cup \{ (\text{inr}(x'), \text{inr}(z')) \mid (x', z') \in O'_F(G_2)(X_F) \}$

$\Leftarrow x \sim y : (G_1 + G_2) \vee F$ implies that $x = \text{inl}(x_1)$, $y = \text{inl}(y_1)$ or $x = \text{inr}(x_2)$, $y = \text{inr}(y_2)$, and similarly for y and z . Definition of \sim .

$\forall F$.

$(\forall G' < G, x, y, z \in \llbracket G' \vee F \rrbracket)$.

$x \sim y \wedge y \sim z \Rightarrow (x, z) \in O'_F(G')(X_F)$

$\Rightarrow ((\forall x_1, y_1, z_1 \in \llbracket G_1 \vee F \rrbracket)$

$x_1 \sim y_1 \wedge y_1 \sim z_1 \Rightarrow (x_1, z_1) \in O'_F(G_1)(X_F))$

\wedge

$(\forall x_2, y_2, z_2 \in \llbracket G_2 \vee F \rrbracket)$.

$x_2 \sim y_2 \wedge y_2 \sim z_2 \Rightarrow (x_2, z_2) \in O'_F(G_2)(X_F))$

)

\Leftarrow Assumption.

\top

7 Some types are troublesome

Theorem: $\perp \in \text{dom}(\sim_\sigma)$ iff σ is generated by the following grammar:

$$\chi ::= \nu \text{Id} \mid \mu K_\chi \mid \nu K_\chi$$

Corollary: If νId isn't used, then $\perp \notin \text{dom}(\sim)$.

Note that $\llbracket \chi \rrbracket = \{\perp\}$ for all these types.

Proof:

\Rightarrow : By induction over the structure of σ .

For all types except μF , νF we have $\perp \notin \text{dom}(\sim_\sigma)$ by definition.

For μ/ν we have the following:

$$\perp \in \text{dom}(\sim)$$

\Rightarrow

$$(\perp, \perp) \in \mu/\nu O(F)$$

$$\Rightarrow \{ \text{Fixpoint}, \mu/\nu O(F) = O(F)(\mu/\nu O(F)). \}$$

$$(\perp, \perp) \in O(F)(\mu/\nu O(F))$$

$$\Rightarrow \{ \text{out is strict.} \}$$

$$(\perp, \perp) \in O'_F(F)(\mu/\nu O(F))$$

Now let us proceed by case analysis on F :

- $F = \text{Id}$:

νId is generated by the grammar.

μId is not, but $\perp \notin \text{dom}(\sim_{\mu \text{Id}})$ since the empty set is a fixpoint of $O(\text{Id})$, so we get a contradiction.

- $F = K_\tau$:

We have $O'_F(F)(\mu/\nu O(F)) = \{ (x, y) \mid x, y \in \text{dom}(\sim_\tau), x \sim y \}$, so $(\perp, \perp) \in O'_F(F)(\mu/\nu O(F))$ implies that $\perp \in \text{dom}(\sim_\tau)$. By the inductive hypothesis we get that τ is generated by the grammar, and this implies that $\mu/\nu K_\tau$ is.

Section 7: Some types are troublesome

- $F = F_1 \times F_2$ or $F_1 + F_2$:

By the definition of $O'_F(F)$ we immediately get that $(\perp, \perp) \notin O'_F(F)(\mu/\nu O(F))$, so we have a contradiction.

\Leftarrow : By induction over the structure of the grammar.

- $\chi = \nu \text{Id}$:

We need to show that $(\perp, \perp) \in \nu O(\text{Id})$, and by coinduction this is true if $X \subseteq O(\text{Id})(X)$ for some $X \in \wp(\llbracket \nu \text{Id} \rrbracket^2)$ with $(\perp, \perp) \in X$. This is satisfied by $X = \{(\perp, \perp)\}$.

- $\chi = \mu K_\chi$:

By inductive hypothesis we get that $\perp \in \text{dom}(\sim_\chi)$. This implies that $(\perp, \perp) \in O'_K(K_\chi)(X)$ for any X , in particular for $\mu O(K_\chi)$. Hence, by strictness of in , $(\perp, \perp) \in O(K_\chi)(\mu K_\chi) = \mu K_\chi$.

- $\chi = \nu K_\chi$:

Analogously to the μK_χ case.

Theorem: $\langle\langle \sigma \rangle\rangle \neq \emptyset$ for types defined according to the following grammar:

$\sigma ::= 1 \mid \sigma_1 \times \sigma_2 \mid \sigma_1 + \sigma_2 \mid \sigma_1 \rightarrow \sigma_2 \mid \mu F' \mid \nu F'$

$F' ::= K_\sigma \mid F' \times F \mid F \times F' \mid F' + F \mid F + F'$

$F ::= \text{Id} \mid K_\sigma \mid F \times F \mid F + F$

Note that a type belongs to this grammar iff it syntactically contains 1 (proof by induction).

Proof: By induction over the type structure.

Easy for 1, \times , $+$, \rightarrow .

For $\mu F'$:

Recall:

$\langle\langle \mu F \rangle\rangle = \text{The codomain of the initial object in } F\text{-Alg}(\text{SET}).$

Since the total functions in and out both exist, we know that $\langle\langle \mu F' \rangle\rangle = \emptyset \Leftrightarrow \langle\langle F' \mu F' \rangle\rangle = \emptyset$. We will now prove that $\langle\langle \mu F' \rangle\rangle = \emptyset \Leftrightarrow \langle\langle F'' \mu F' \rangle\rangle = \emptyset$ is impossible for functors F'' of the restricted kind

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defined above.

Proof by induction over structure of F'' :

$F'' = \text{Id}$: Impossible.

$F'' = K_\tau$ (with $\langle\tau\rangle = \emptyset$): Impossible (by inductive hypothesis).

$F'' = K_\tau$ (with $\langle\tau\rangle \neq \emptyset$): Done.

$F'' = F_1 \times F_2$ or $F_1 + F_2$ (with at least one of F_1 and F_2 restricted as above): Done by inductive hypothesis since $\langle\langle F'' \ \mu F' \rangle\rangle = \emptyset$ iff $\langle\langle F_1 \ \mu F' \rangle\rangle = \emptyset$ and $\langle\langle F_2 \ \mu F' \rangle\rangle = \emptyset$.

For $\forall F$: Similarly.

8 The function `fix` is not in the PER

For most types σ . `fix` \notin `dom(\sim_σ)`

Proof:

`id` \in `dom(\sim)`, so `fix` \in `dom(\sim)` would imply that `fix id = \perp` \in `dom(\sim)`, which it does not for most types (see `troublesome-types`).

9 The fundamental theorem

If t does not contain uses of seq at type $\sigma \rightarrow \tau \rightarrow \tau$, where $\text{dom}(\sim_\sigma)$ includes \perp , then the following is true:

$$\begin{aligned} & (\forall x \in \text{FV}(t). \Gamma_1(x) \sim \Gamma_2(x)) \\ \Rightarrow & \llbracket t \rrbracket \Gamma_1 \sim \llbracket t \rrbracket \Gamma_2. \end{aligned}$$

Proof by induction over structure of t .

Inductive hypothesis:

$$\begin{aligned} & \forall t' < t, \Gamma_1', \Gamma_2'. \\ & t' \neq \text{seq at the wrong types} \wedge \\ & (\forall x \in \text{FV}(t'). \Gamma_1'(x) \sim \Gamma_2'(x)) \\ \Rightarrow & \llbracket t' \rrbracket \Gamma_1' \sim \llbracket t' \rrbracket \Gamma_2'. \end{aligned}$$

• $t = x$: By assumption.

• $t = t_1 t_2$:

$$\begin{aligned} & \llbracket t_1 t_2 \rrbracket \Gamma_1 \\ = & (\llbracket t_1 \rrbracket \Gamma_1) (\llbracket t_2 \rrbracket \Gamma_1) \\ \sim & \text{Inductive hypothesis twice, definition of } \sim. \\ & (\llbracket t_1 \rrbracket \Gamma_2) (\llbracket t_2 \rrbracket \Gamma_2) \\ = & \llbracket t_1 t_2 \rrbracket \Gamma_2 \end{aligned}$$

• $t = \lambda x. t_1$:

$$\begin{aligned} & \llbracket \lambda x. t_1 \rrbracket \Gamma_1 \\ = & \lambda v. \llbracket t_1 \rrbracket \Gamma_1[x \mapsto v] \\ \sim & \text{Inductive hypothesis, definition of } \sim. \\ & \lambda v. \llbracket t_1 \rrbracket \Gamma_2[x \mapsto v] \\ = & \llbracket \lambda x. t_1 \rrbracket \Gamma_2 \end{aligned}$$

For the rest we don't have to use the inductive hypothesis.

- $\llbracket \text{seq} \rrbracket \sim \llbracket \text{seq} \rrbracket$
 $\Leftrightarrow \forall x_1 \sim x_2 : \sigma, y_1 \sim y_2 : \sigma'. \llbracket \text{seq} \rrbracket x_1 y_1 \sim \llbracket \text{seq} \rrbracket x_2 y_2$
 $\Leftrightarrow \perp \notin \text{dom}(\sim_\sigma)$ by assumption.
 \top
- $\llbracket 1 \rrbracket \sim \llbracket 1 \rrbracket$
 \Leftrightarrow By definition.
 \top

- $\llbracket (\cdot, \cdot) \rrbracket \sim \llbracket (\cdot, \cdot) \rrbracket$
 $\Leftrightarrow \forall x_1 \sim x_2, y_1 \sim y_2. (x_1, x_2) \sim (y_1, y_2)$
 $\Leftrightarrow \top$

- $\llbracket \text{fst} \rrbracket \sim \llbracket \text{fst} \rrbracket$
 $\Leftrightarrow \forall p \sim q. \llbracket \text{fst} \rrbracket p \sim \llbracket \text{fst} \rrbracket q$
 $\Leftrightarrow p \sim q : \sigma \times \tau \Rightarrow p \neq \perp \neq q.$
 \top

- `snd` analogous.

- $\llbracket \text{inl} \rrbracket \sim \llbracket \text{inl} \rrbracket$
 $\Leftrightarrow \forall x \sim y. \text{inl}(x) \sim \text{inl}(y)$
 $\Leftrightarrow \top$

- `inr` analogous.

- $\llbracket \text{case} \rrbracket \sim \llbracket \text{case} \rrbracket$
 $\Leftrightarrow \forall x_1 \sim x_2, f_1 \sim f_2, g_1 \sim g_2.$
 $\llbracket \text{case} \rrbracket x_1 f_1 g_1 \sim \llbracket \text{case} \rrbracket x_2 f_2 g_2$
 $\Leftrightarrow x_1 \sim x_2 : \sigma + \tau \Rightarrow x_1 \neq \perp \neq x_2.$
 \top

- $\llbracket \text{in} \rrbracket \sim \llbracket \text{in} \rrbracket$
 $\Leftrightarrow \forall x \sim y. \llbracket \text{in} \rrbracket x \sim \llbracket \text{in} \rrbracket y$
 $\Leftrightarrow \text{See per-and-in-out.}$
 $\forall x \sim y. x \sim y$
 $\Leftrightarrow \top$

- $\llbracket \text{out} \rrbracket \sim \llbracket \text{out} \rrbracket$
 $\Leftrightarrow \forall x \sim y. \llbracket \text{out} \rrbracket x \sim \llbracket \text{out} \rrbracket y$
 $\Leftrightarrow \text{See per-and-in-out.}$
 $\forall x \sim y. x \sim y$
 $\Leftrightarrow \top$

- `fold`:

F: Polynomial functor on CPO_\perp .
 $\text{fold}_F = \lambda f. \text{fix } (\lambda g. f \circ L(F) g \circ \text{out})$

Section 9: The fundamental theorem

$\forall F. \text{fold} \sim \text{fold}$

\Leftrightarrow Def \sim .

$\forall F, f \sim g : F \tau \rightarrow \tau, x \sim y : \mu F. \text{fold } f \ x \sim \text{fold } g \ y$

\Leftarrow Given F, f, g , let $X \subseteq \wp(\llbracket \mu F \rrbracket^2)$ be the set of all pairs (x, y) with $x, y : \mu F$ for which $\text{fold } f \ x \sim \text{fold } g \ y$. Use induction, i.e. prove that $0(F)(X) \subseteq X$, which implies that $\mu 0(F) \subseteq X$, i.e. $\text{fold } f \ x \sim \text{fold } g \ y$ for all $(x, y) \in \mu 0(F) \supseteq \text{dom}(\sim_{\mu F})^2$.

$\forall F, f \sim g : F \tau \rightarrow \tau, x, y : \mu F.$
 $\text{fold } f \ x \sim \text{fold } g \ y$
 $\Rightarrow \forall x', y' : \mu F.$
 $(x', y') \in 0(F)(x, y) \Rightarrow \text{fold } f \ x' \sim \text{fold } g \ y'$

\Leftrightarrow Def fold, property of fix.

$\forall F, f \sim g : F \tau \rightarrow \tau, x, y : \mu F.$
 $\text{fold } f \ x \sim \text{fold } g \ y$
 $\Rightarrow \forall x', y' : \mu F.$
 $(x', y') \in 0(F)(x, y)$
 $\Rightarrow (f \circ L(F) (\text{fold } f) \circ \text{out}) \ x' \sim (g \circ L(F) (\text{fold } g) \circ \text{out}) \ y'$

\Leftarrow Def $\sim, f \sim g$.

$\forall F, f \sim g : F \tau \rightarrow \tau, x, y : \mu F.$
 $\text{fold } f \ x \sim \text{fold } g \ y$
 $\Rightarrow \forall x', y' : \mu F.$
 $(x', y') \in 0(F)(x, y)$
 $\Rightarrow (L(F) (\text{fold } f) \circ \text{out}) \ x' \sim (L(F) (\text{fold } g) \circ \text{out}) \ y'$

\Leftrightarrow Def $0(F)$, out, in isomorphisms.

$\forall F, f \sim g : F \tau \rightarrow \tau, x, y : \mu F.$
 $\text{fold } f \ x \sim \text{fold } g \ y$
 $\Rightarrow \forall x', y' : F \mu F.$
 $(x', y') \in 0'_{\text{F}}(F)(x, y) \Rightarrow L(F) (\text{fold } f) \ x' \sim L(F) (\text{fold } g) \ y'$

\Leftarrow Generalise.

$\forall F, f \sim g : F \tau \rightarrow \tau, x, y : \mu F.$
 $\text{fold } f \ x \sim \text{fold } g \ y$
 $\Rightarrow \forall G, x', y' : G \mu F.$
 $(x', y') \in 0'_{\text{F}}(G)(x, y) \Rightarrow L(G) (\text{fold } f) \ x' \sim L(G) (\text{fold } g) \ y'$

\Leftarrow Induction over structure of G .

Section 9: The fundamental theorem

$\forall F, f \sim g : F \tau \rightarrow \tau, x, y : \mu F.$
 $\text{fold } f \ x \sim \text{fold } g \ y$
 $\Rightarrow \forall G.$
 $(\forall G' < G, x', y' : G' \mu F.$
 $(x', y') \in O'_F(G')(x, y) \Rightarrow L(G') (\text{fold } f) \ x' \sim L(G') (\text{fold } g) \ y')$
 $\Rightarrow \forall x', y' : G \mu F.$
 $(x', y') \in O'_F(G)(x, y) \Rightarrow L(G) (\text{fold } f) \ x' \sim L(G) (\text{fold } g) \ y')$

\Leftarrow Case over G .

o $G = \text{Id}$.

\Leftrightarrow Def $L(\text{Id}), O'_F(\text{Id}), \text{nothing} < \text{Id}$.

$\forall F, f \sim g : F \tau \rightarrow \tau, x, y : \mu F.$
 $\text{fold } f \ x \sim \text{fold } g \ y$
 $\Rightarrow \forall x', y' : \mu F.$
 $(x', y') \in \{ (x, y) \} \Rightarrow (\text{fold } f) \ x' \sim (\text{fold } g) \ y'$

\Leftrightarrow Assumption. (Top-level inductive hypothesis.)

\top

o $G = K_\sigma$:

\Leftrightarrow Def $L(K_\sigma), O'_F(K_\sigma), \text{nothing} < K_\sigma$.

$\forall f \sim g : F \tau \rightarrow \tau, x, y : \mu F.$
 $\text{fold } f \ x \sim \text{fold } g \ y$
 $\Rightarrow \forall x', y' : \sigma.$
 $(x', y') \in \{ (a, b) \mid a, b \in \text{dom}(\sim_\sigma), a \sim b \} \Rightarrow x' \sim y'$

\Leftrightarrow Assumption.

\top

o $G = G_1 \times G_2$.

\Leftarrow Def $O'_F(G_1 \times G_2),$
 $L(G_1 \times G_2) \ f \ p = \text{seq } p \ (L(G_1) \ f \ (\text{fst } p), L(G_2) \ f \ (\text{snd } p)),$
 $\text{and } \text{seq } p = \text{id} \ \text{when } p \neq \perp.$

Section 9: The fundamental theorem

$$\begin{aligned}
& \forall f \sim g : F \tau \rightarrow \tau, x, y : \mu F. \\
& \text{fold } f \ x \sim \text{fold } g \ y \\
& \Rightarrow (\forall a_1, b_1 : G_1 \ \mu F. (a_1, b_1) \in O'_F(G_1)(x, y) \\
& \quad \Rightarrow L(G_1) (\text{fold } f) \ a_1 \sim L(G_1) (\text{fold } g) \ b_1 \\
& \quad \wedge \\
& \quad \forall a_2, b_2 : G_2 \ \mu F. (a_2, b_2) \in O'_F(G_2)(x, y) \\
& \quad \Rightarrow L(G_2) (\text{fold } f) \ a_2 \sim L(G_2) (\text{fold } g) \ b_2 \\
& \quad) \\
& \Rightarrow \forall x', y' : G \ \mu F. \\
& \quad (x', y') \in \{ ((a_1, a_2), (b_1, b_2)) \mid (a_1, b_1) \in O'_F(G_1)(x, y), \\
& \quad \quad \quad (a_2, b_2) \in O'_F(G_2)(x, y) \} \\
& \Rightarrow (L(G_1) (\text{fold } f) (\text{fst } x'), L(G_2) (\text{fold } f) (\text{snd } x')) \sim \\
& \quad (L(G_1) (\text{fold } g) (\text{fst } y'), L(G_2) (\text{fold } g) (\text{snd } y'))
\end{aligned}$$

\Leftrightarrow Assumption.

\top

o $G = G_1 + G_2$:

\Leftrightarrow Def $O'_F(G_1 + G_2)$.

$$\begin{aligned}
& \forall f \sim g : F \tau \rightarrow \tau, x, y : \mu F. \\
& \text{fold } f \ x \sim \text{fold } g \ y \\
& \Rightarrow (\forall a_1, b_1 : G_1 \ \mu F. (a_1, b_1) \in O'_F(G_1)(x, y) \\
& \quad \Rightarrow L(G_1) (\text{fold } f) \ a_1 \sim L(G_1) (\text{fold } g) \ b_1 \\
& \quad \wedge \\
& \quad \forall a_2, b_2 : G_2 \ \mu F. (a_2, b_2) \in O'_F(G_2)(x, y) \\
& \quad \Rightarrow L(G_2) (\text{fold } f) \ a_2 \sim L(G_2) (\text{fold } g) \ b_2 \\
& \quad) \\
& \Rightarrow \forall x', y' : G \ \mu F. \\
& \quad (x', y') \in \{ (\text{inl}(x'), \text{inl}(y')) \mid (x', y') \in O'_F(G_1)(x, y) \} \cup \\
& \quad \quad \quad \{ (\text{inr}(x'), \text{inr}(y')) \mid (x', y') \in O'_F(G_2)(x, y) \} \\
& \Rightarrow L(G) (\text{fold } f) \ x' \sim L(G) (\text{fold } g) \ y'
\end{aligned}$$

\Leftrightarrow Def $L(G_1 + G_2)$.

$$\begin{aligned}
 & \forall f \sim g : F \tau \rightarrow \tau, x, y : \mu F. \\
 & \quad \text{fold } f \ x \sim \text{fold } g \ y \\
 & \Rightarrow (\forall a_1, b_1 : G_1 \ \mu F. (a_1, b_1) \in O'_F(G_1)(x, y) \\
 & \quad \Rightarrow L(G_1) (\text{fold } f) \ a_1 \sim L(G_1) (\text{fold } g) \ b_1 \\
 & \quad \wedge \\
 & \quad \forall a_2, b_2 : G_2 \ \mu F. (a_2, b_2) \in O'_F(G_2)(x, y) \\
 & \quad \Rightarrow L(G_2) (\text{fold } f) \ a_2 \sim L(G_2) (\text{fold } g) \ b_2 \\
 & \quad) \\
 & \Rightarrow \forall x', y' : G \ \mu F. \\
 & \quad (x', y') \in O'_F(G_1)(x, y) \\
 & \quad \Rightarrow \text{inl}(L(G_1) (\text{fold } f) \ x') \sim \text{inl}(L(G_1) (\text{fold } g) \ y') \\
 & \quad \wedge \\
 & \quad (x', y') \in O'_F(G_2)(x, y) \\
 & \quad \Rightarrow \text{inr}(L(G_2) (\text{fold } f) \ x') \sim \text{inr}(L(G_2) (\text{fold } g) \ y')
 \end{aligned}$$

\Leftrightarrow Assumption, def \sim .

⊤

• **unfold:**

F: Polynomial functor on CP0.

`unfold_F = λf. fix (λg. in ∘ L(F) g ∘ f)`

$\forall F. \text{unfold} \sim \text{unfold}$

\Leftrightarrow Def \sim .

$\forall F, f \sim g : \tau \rightarrow F \tau, x \sim y : \tau. \text{unfold } f \ x \sim \text{unfold } g \ y$

\Leftarrow Given F, f, g, let $X \subseteq \wp(\llbracket \nu F \rrbracket^2)$ be

$\{ (\text{unfold } f \ x', \text{unfold } g \ y') \mid x', y' : \tau, x' \sim y' \}$.

Use coinduction, i.e. prove that $X \subseteq O(F)(X)$, which implies that $X \subseteq \nu O(F)$, i.e. $(\text{unfold } f \ x, \text{unfold } g \ y) \in \nu O(F)$ for all $x \sim y : \tau$.

$\forall F, f \sim g : \tau \rightarrow F \tau, x \sim y : \tau.$

$(\text{unfold } f \ x, \text{unfold } g \ y) \in$

$O(F)(\{ (\text{unfold } f \ x', \text{unfold } g \ y') \mid x', y' : \tau, x' \sim y' \})$

\Leftrightarrow Def $O(F)$.

$\forall F, f \sim g : \tau \rightarrow F \tau, x \sim y : \tau.$

$(\text{unfold } f \ x, \text{unfold } g \ y) \in$

$\{ (\text{in}(a), \text{in}(b)) \mid (a, b) \in O'_F(F)(\{ (\text{unfold } f \ x', \text{unfold } g \ y') \mid x', y' : \tau, x' \sim y' \}) \}$

\Leftrightarrow Def `unfold`, property of `fix`.

Section 9: The fundamental theorem

$$\begin{aligned} &\forall F, f \sim g : \tau \rightarrow F \tau, x \sim y : \tau. \\ &\quad (\text{in } (L(F) (\text{unfold } f) (f \ x)), \text{in } (L(F) (\text{unfold } g) (g \ y))) \\ &\quad \in \{ (\text{in}(a), \text{in}(b)) \mid (a, b) \in O'_F(F) (\{ (\text{unfold } f \ x', \text{unfold } g \ y') \\ &\quad \quad \quad \mid x', y' : \tau, x' \sim y' \}) \} \end{aligned}$$

\Leftarrow Rewrite. (Note that the part below is slightly stronger than the one above for purely historical reasons...)

$$\begin{aligned} &\forall F, f \sim g : \tau \rightarrow F \tau, x \sim y : \tau. \\ &\quad \exists x' \sim y' : \tau. \\ &\quad (L(F) (\text{unfold } f) (f \ x), L(F) (\text{unfold } g) (g \ y)) \\ &\quad \in O'_F(F) (\text{unfold } f \ x', \text{unfold } g \ y') \end{aligned}$$

\Leftarrow Generalise.

$$\begin{aligned} &\forall F, f \sim g : \tau \rightarrow F \tau, x \sim y : \tau. \\ &\quad \exists x' \sim y' : \tau. \\ &\quad \forall G. \\ &\quad \quad \forall f' \sim g' : \tau \rightarrow G \tau. \\ &\quad \quad (L(G) (\text{unfold } f) (f' \ x), L(G) (\text{unfold } g) (g' \ y)) \\ &\quad \quad \in O'_F(G) (\text{unfold } f \ x', \text{unfold } g \ y') \end{aligned}$$

\Leftarrow Induction over structure of G .

$$\begin{aligned} &\forall F, f \sim g : \tau \rightarrow F \tau, x \sim y : \tau. \\ &\quad \exists x' \sim y' : \tau. \\ &\quad \forall G. \\ &\quad \quad \forall G' < G. \\ &\quad \quad \quad \forall f' \sim g' : \tau \rightarrow G' \tau. \\ &\quad \quad \quad (L(G') (\text{unfold } f) (f' \ x), L(G') (\text{unfold } g) (g' \ y)) \\ &\quad \quad \quad \in O'_F(G') (\text{unfold } f \ x', \text{unfold } g \ y') \\ &\quad \quad \Rightarrow \\ &\quad \quad \forall f' \sim g' : \tau \rightarrow G \tau. \\ &\quad \quad (L(G) (\text{unfold } f) (f' \ x), L(G) (\text{unfold } g) (g' \ y)) \\ &\quad \quad \in O'_F(G) (\text{unfold } f \ x', \text{unfold } g \ y') \end{aligned}$$

\Leftarrow Case over G .

o $G = \text{Id}$:

\Leftarrow Def $L(\text{Id})$, $O'_F(\text{Id})$, nothing $< \text{Id}$:

$$\begin{aligned} &\forall F, f \sim g : \tau \rightarrow F \tau, x \sim y : \tau. \\ &\quad \exists x' \sim y' : \tau. \\ &\quad \quad \forall f' \sim g' : \tau \rightarrow \tau. \\ &\quad \quad (\text{unfold } f (f' \ x), \text{unfold } g (g' \ y)) \\ &\quad \quad \in \{ (\text{unfold } f \ x', \text{unfold } g \ y') \} \end{aligned}$$

\Leftarrow $f' \ x \sim g' \ y : \tau$ by definition of \sim . For the existential quantifier we choose $x' = f' \ x$, $y' = g' \ y$.

⊤

◦ $G = K_\sigma$:

\Leftrightarrow Def $L(K_\sigma)$, $O'_F(K_\sigma)$, $\text{nothing} < K_\sigma$:

$\forall F, f \sim g : \tau \rightarrow F \tau, x \sim y : \tau.$

$\exists x' \sim y' : \tau.$

$\forall f' \sim g' : \tau \rightarrow \sigma.$

$(f' x, g' y) \in \{ (x'', y'') \mid x'', y'' : \sigma, x'' \sim y'' \}$

$\Leftrightarrow f' x \sim g' y$ by definition of \sim . For the existential quantifier we can choose $x' = x, y' = y$.

⊤

◦ $G = G_1 \times G_2$:

\Leftrightarrow Def $L(G_1 \times G_2)$, $O'_F(G_1 \times G_2)$,

$f' x \sim g' y : (G_1 \times G_2) \tau \Rightarrow f' x \neq \perp \neq g' y.$

$\forall F, f \sim g : \tau \rightarrow F \tau, x \sim y : \tau.$

$\exists x' \sim y' : \tau.$

$\forall G' < G.$

$\forall f' \sim g' : \tau \rightarrow G' \tau.$

$(L(G') (\text{unfold } f) (f' x), L(G') (\text{unfold } g) (g' y))$

$\in O'_F(G')(\text{unfold } f x', \text{unfold } g y')$

\Rightarrow

$\forall f' \sim g' : \tau \rightarrow G \tau.$

$((L(G_1) (\text{unfold } f) (\text{fst } (f' x))$

$, L(G_2) (\text{unfold } f) (\text{snd } (f' x)))$

$, (L(G_1) (\text{unfold } g) (\text{fst } (g' x))$

$, L(G_2) (\text{unfold } g) (\text{snd } (g' x))))$

$\in \{ ((a_1, b_1), (a_2, b_2))$

$\mid (a_1, a_2) \in O'_F(G_1)(\text{unfold } f x', \text{unfold } g y'),$

$(b_1, b_2) \in O'_F(G_2)(\text{unfold } f x', \text{unfold } g y') \}$

\Leftarrow Rewrite, specialise.

Section 9: The fundamental theorem

$$\begin{aligned}
& \forall F, f \sim g : \tau \rightarrow F \tau, x \sim y : \tau. \\
& \exists x' \sim y' : \tau. \\
& \quad (\forall f' \sim g' : \tau \rightarrow G_1 \tau. \\
& \quad \quad (L(G_1) (\text{unfold } f) (f' x), L(G_1) (\text{unfold } g) (g' y)) \\
& \quad \quad \in O'_F(G_1)(\text{unfold } f x', \text{unfold } g y') \\
& \quad \quad \wedge \\
& \quad \quad \forall f' \sim g' : \tau \rightarrow G_2 \tau. \\
& \quad \quad \quad (L(G_2) (\text{unfold } f) (f' x), L(G_2) (\text{unfold } g) (g' y)) \\
& \quad \quad \quad \in O'_F(G_2)(\text{unfold } f x', \text{unfold } g y') \\
& \quad) \\
& \Rightarrow \\
& \forall f' \sim g' : \tau \rightarrow G \tau. \\
& \quad ((L(G_1) (\text{unfold } f) (\text{fst } (f' x)) \\
& \quad \quad , L(G_2) (\text{unfold } f) (\text{snd } (f' x))) \\
& \quad , (L(G_1) (\text{unfold } g) (\text{fst } (g' x)) \\
& \quad \quad , L(G_2) (\text{unfold } g) (\text{snd } (g' x)))) \\
& \quad \in \{ ((a_1, b_1), (a_2, b_2)) \\
& \quad \quad | (a_1, a_2) \in O'_F(G_1)(\text{unfold } f x', \text{unfold } g y'), \\
& \quad \quad \quad (b_1, b_2) \in O'_F(G_2)(\text{unfold } f x', \text{unfold } g y') \}
\end{aligned}$$

\Leftrightarrow Assumption, $\text{fst} \circ f' \sim \text{fst} \circ g' : \tau \rightarrow G_1 \tau$ and $\text{snd} \circ f' \sim \text{snd} \circ g' : \tau \rightarrow G_2 \tau$ by def \sim .

⊤

○ $G = G_1 + G_2$:

\Leftrightarrow Def $O'_F(G_1 + G_2)$.

$$\begin{aligned}
& \forall F, f \sim g : \tau \rightarrow F \tau, x \sim y : \tau. \\
& \exists x' \sim y' : \tau. \\
& \quad \forall G' < G. \\
& \quad \quad \forall f' \sim g' : \tau \rightarrow G' \tau. \\
& \quad \quad \quad (L(G') (\text{unfold } f) (f' x), L(G') (\text{unfold } g) (g' y)) \\
& \quad \quad \quad \in O'_F(G')(\text{unfold } f x', \text{unfold } g y') \\
& \quad \Rightarrow \\
& \quad \forall f' \sim g' : \tau \rightarrow G \tau. \\
& \quad \quad (L(G) (\text{unfold } f) (f' x), L(G) (\text{unfold } g) (g' y)) \\
& \quad \quad \in \{ (\text{inl}(x'), \text{inl}(y')) \\
& \quad \quad \quad | (x', y') \in O'_F(G_1)(\text{unfold } f x', \text{unfold } g y') \} \cup \\
& \quad \quad \quad \{ (\text{inr}(x'), \text{inr}(y')) \\
& \quad \quad \quad | (x', y') \in O'_F(G_2)(\text{unfold } f x', \text{unfold } g y') \}
\end{aligned}$$

\Leftarrow Two cases. $f' x \sim g' y \Rightarrow$ both inl or both inr.

○ $f' x = \text{inl}(a), g' y = \text{inl}(b)$:

\Leftarrow Def $L(G_1 + G_2)$.

Section 9: The fundamental theorem

$$\begin{aligned}
 & \forall F, f \sim g : \tau \rightarrow F \tau, x \sim y : \tau. \\
 & \quad \exists x' \sim y' : \tau. \\
 & \quad \forall G' < G. \\
 & \quad \quad \forall f' \sim g' : \tau \rightarrow G' \tau. \\
 & \quad \quad (L(G') (\text{unfold } f) (f' x), L(G') (\text{unfold } g) (g' y)) \\
 & \quad \quad \in O'_F(G')(\text{unfold } f x', \text{unfold } g y') \\
 & \Rightarrow \\
 & \quad \forall f' \sim g' : \tau \rightarrow G \tau. \\
 & \quad \quad \exists a \sim b : G_1 \tau. f' x = \text{inl}(a) \wedge g' y = \text{inl}(b) \\
 & \quad \Rightarrow (\text{inl}(L(G_1) (\text{unfold } f) a), \text{inl}(L(G_1) (\text{unfold } g) b)) \\
 & \quad \quad \in \{ (\text{inl}(x'), \text{inl}(y')) \\
 & \quad \quad \mid (x', y') \in O'_F(G_1)(\text{unfold } f x', \text{unfold } g y') \}
 \end{aligned}$$

\Leftarrow Rewrite, specialise.

$$\begin{aligned}
 & \forall F, f \sim g : \tau \rightarrow F \tau, x \sim y : \tau. \\
 & \quad \exists x' \sim y' : \tau. \\
 & \quad \forall f' \sim g' : \tau \rightarrow G_1 \tau. \\
 & \quad \quad (L(G_1) (\text{unfold } f) (f' x), L(G_1) (\text{unfold } g) (g' y)) \\
 & \quad \quad \in O'_F(G_1)(\text{unfold } f x', \text{unfold } g y') \\
 & \Rightarrow \\
 & \quad \forall f'' \sim g'' : \tau \rightarrow G \tau. \\
 & \quad \quad \exists a \sim b : G_1 \tau. f'' x = \text{inl}(a) \wedge g'' y = \text{inl}(b) \\
 & \quad \Rightarrow (L(G_1) (\text{unfold } f) a, L(G_1) (\text{unfold } g) b) \\
 & \quad \quad \in O'_F(G_1)(\text{unfold } f x', \text{unfold } g y')
 \end{aligned}$$

\Leftrightarrow Assumption, choose $f' = \lambda x. a$, $g' = \lambda y. b$. $f', g' : \tau \rightarrow G_1 \tau$, both continuous, and $f' \sim g'$ since $a \sim b$.

⊤

○ $f' x = \text{inr}(a)$, $g' y = \text{inr}(b)$: Analogous.

10 The PER is monotone

The predicate \sim_σ can be viewed as a function $\sim_\sigma : \llbracket \sigma \rrbracket^2 \rightarrow 1_\perp$:

$$\sim_\sigma (x, y) = \begin{cases} \star, & x \sim_\sigma y, \\ \perp, & \text{otherwise.} \end{cases}$$

This function will now be shown to be monotone.

First note that it is enough to prove monotonicity for one argument, since the relation is symmetric. We need to show the following:

$$x \sim y \wedge y \sqsubseteq y' \Rightarrow x \sim y'.$$

Proof: By induction over the type structure.

1: Done.

$\sigma \rightarrow \tau$:

$$\begin{aligned} \text{Given: } & f \ x \sim g \ y \sqsubseteq g' \ y \Rightarrow f \ x \sim g' \ y \\ & f \sim g \sqsubseteq g' \end{aligned}$$

Need to prove: $f \sim g'$

Take $x \sim y$. We have $f \ x \sim g \ y \sqsubseteq g' \ y$. Done.

$\sigma \times \tau$:

$$\begin{aligned} \text{Given: } & x_1 \sim x_2 \sqsubseteq x_2' \Rightarrow x_1 \sim x_2' \\ & y_1 \sim y_2 \sqsubseteq y_2' \Rightarrow y_1 \sim y_2' \\ & p \sim q \sqsubseteq q' \end{aligned}$$

Need to prove: $p \sim q'$

Since $p \sim q$ we get that $p, q \neq \perp$ which implies that $p = (x_1, y_1)$ and $q = (x_2, y_2)$ for some values with $x_1 \sim x_2, y_1 \sim y_2$. Furthermore $q \sqsubseteq q'$, so $q' = (x_2', y_2')$ for some x_2', y_2' with $x_2 \sqsubseteq x_2', y_2 \sqsubseteq y_2'$. By the inductive hypothesis we then get that $p \sim q'$.

$\sigma + \tau$:

$$\begin{aligned} \text{Given: } & a \sim b \sqsubseteq b' \Rightarrow a \sim b' \\ & p \sim q \sqsubseteq q' \end{aligned}$$

Need to prove: $p \sim q'$

Since $p \sim q$ we get that $p, q \neq \perp$ which implies either that $p = \text{inl}(a)$ and $q = \text{inl}(b)$ or that $p = \text{inr}(a)$ and $q = \text{inr}(b)$, for some a, b with $a \sim b$. Furthermore $q \sqsubseteq q'$, so in the inl case we get $q' =$

$\text{inl}(b')$ for some b' with $b \sqsubseteq b'$. By the inductive hypothesis we then get that $p \sim q'$. The inr case is analogous.

μF :

$$\begin{aligned} & \forall x, y, y' \in \llbracket \mu F \rrbracket. x \sim y \wedge y \sqsubseteq y' \Rightarrow x \sim y' \\ \Leftarrow & \\ & \forall x, y, x', y' \in \llbracket \mu F \rrbracket. x \sim y \wedge x \sqsubseteq x' \wedge y \sqsubseteq y' \Rightarrow x' \sim y' \\ & \{ \text{Use induction. Let} \\ \Leftarrow & \mid X = \{ (x, y) \in \llbracket \mu F \rrbracket^2 \mid \forall x', y' \in \llbracket \mu F \rrbracket. x \sqsubseteq x' \wedge y \sqsubseteq y' \Rightarrow x' \sim y' \}. \\ & \{ \text{We are done if we can show that } 0(F)(X) \sqsubseteq X. \} \end{aligned}$$

$$\begin{aligned} & \forall (x, y) \in 0(F)(X). \\ & \quad \forall x', y' \in \llbracket \mu F \rrbracket. \\ & \quad \quad x \sqsubseteq x' \wedge y \sqsubseteq y' \Rightarrow x' \sim y' \\ \Leftrightarrow & \{ \text{in, out isomorphisms, see also per-and-in-out. } \} \\ & \quad \forall (x, y) \in 0'_F(F)(X). \\ & \quad \quad \forall x', y' \in \llbracket F \mu F \rrbracket. \\ & \quad \quad \quad x \sqsubseteq x' \wedge y \sqsubseteq y' \Rightarrow x' \sim y' \\ \Leftarrow & \{ \text{Generalise. } \} \\ & \quad \forall G \leq F. \\ & \quad \quad \forall (x, y) \in 0'_F(G)(X). \\ & \quad \quad \quad \forall x', y' \in \llbracket G \mu F \rrbracket. \\ & \quad \quad \quad \quad x \sqsubseteq x' \wedge y \sqsubseteq y' \Rightarrow x' \sim y' \\ \Leftrightarrow & \{ \text{Induction over } G. \} \\ & \quad \forall G \leq F. \\ & \quad \quad (\forall G' < G, (x, y) \in 0'_F(G')(X). \\ & \quad \quad \quad \forall x', y' \in \llbracket G' \mu F \rrbracket. \\ & \quad \quad \quad \quad x \sqsubseteq x' \wedge y \sqsubseteq y' \Rightarrow x' \sim y' \\ & \quad \quad) \\ & \quad \Rightarrow \forall (x, y) \in 0'_F(G)(X). \\ & \quad \quad \quad \forall x', y' \in \llbracket G \mu F \rrbracket. \\ & \quad \quad \quad \quad x \sqsubseteq x' \wedge y \sqsubseteq y' \Rightarrow x' \sim y' \\ \Leftrightarrow & \{ \text{Case analysis. } \} \end{aligned}$$

• $G = \text{Id}$:

$$\begin{aligned} & \forall (x, y) \in X. \\ & \quad \forall x', y' \in \llbracket \mu F \rrbracket. \\ & \quad \quad x \sqsubseteq x' \wedge y \sqsubseteq y' \Rightarrow x' \sim y' \\ \Leftrightarrow & \{ \text{Definition of } X. \} \\ & \quad \top \end{aligned}$$

• $G = K_\sigma \leq F$:

$$\begin{aligned} & \forall x, y \in \llbracket \sigma \rrbracket. \\ & \quad x \sim y \\ \Rightarrow & \forall x', y' \in \llbracket \sigma \rrbracket. \\ & \quad \quad x \sqsubseteq x' \wedge y \sqsubseteq y' \Rightarrow x' \sim y' \end{aligned}$$

$\Leftrightarrow \{ \text{Outer inductive hypothesis, } \sigma < \mu F. \}$
 \top

• $G = G_1 \times G_2$:

$(\forall G' < G, (x, y) \in O'_F(G')(X).$
 $\quad \forall x', y' \in \llbracket G' \mu F \rrbracket.$
 $\quad \quad x \sqsubseteq x' \wedge y \sqsubseteq y' \Rightarrow x' \sim y'$
 $)$
 $\Rightarrow \forall (x_1, y_1) \in O'_F(G_1)(X), (x_2, y_2) \in O'_F(G_2)(X).$
 $\quad \forall x_1', y_1' \in \llbracket G_1 \mu F \rrbracket, x_2', y_2' \in \llbracket G_2 \mu F \rrbracket.$
 $\quad \quad x_1 \sqsubseteq x_1' \wedge x_2 \sqsubseteq x_2' \wedge y_1 \sqsubseteq y_1' \wedge y_2 \sqsubseteq y_2'$
 $\quad \quad \Rightarrow x_1' \sim y_1' \wedge x_2' \sim y_2'$
 \Leftrightarrow
 \top

• $G = G_1 + G_2$:

$(\forall G' < G, (x, y) \in O'_F(G')(X).$
 $\quad \forall x', y' \in \llbracket G' \mu F \rrbracket.$
 $\quad \quad x \sqsubseteq x' \wedge y \sqsubseteq y' \Rightarrow x' \sim y'$
 $)$
 $\Rightarrow \forall (x_1, y_1) \in O'_F(G_1)(X).$
 $\quad \forall x_1', y_1' \in \llbracket G_1 \mu F \rrbracket.$
 $\quad \quad x_1 \sqsubseteq x_1' \wedge y_1 \sqsubseteq y_1' \Rightarrow x_1' \sim y_1'$
 $\wedge \forall (x_2, y_2) \in O'_F(G_2)(X).$
 $\quad \forall x_2', y_2' \in \llbracket G_2 \mu F \rrbracket.$
 $\quad \quad x_2 \sqsubseteq x_2' \wedge y_2 \sqsubseteq y_2' \Rightarrow x_2' \sim y_2'$
 \Leftrightarrow
 \top

νF :

$\forall x, y, y' \in \llbracket \nu F \rrbracket. x \sim y \wedge y \sqsubseteq y' \Rightarrow x \sim y'$
 \Leftarrow
 $\forall x, y, x', y' \in \llbracket \nu F \rrbracket. x \sim y \wedge x \sqsubseteq x' \wedge y \sqsubseteq y' \Rightarrow x' \sim y'$

{ Use coinduction. Let
 $\Leftarrow \mid X = \{ (x', y') \mid x, y, x', y' \in \llbracket \nu F \rrbracket, x \sim y, x \sqsubseteq x', y \sqsubseteq y' \}.$
 { We are done if we can show that $X \subseteq O(F)(X).$

$\forall x, y, x', y' \in \llbracket \nu F \rrbracket.$
 $\quad x \sim y \wedge x \sqsubseteq x' \wedge y \sqsubseteq y'$
 $\quad \Rightarrow (x', y') \in O(F)(X)$
 $\Leftrightarrow \{ \text{in, out isomorphisms, see also per-and-in-out. } \}$
 $\quad \forall x, y, x', y' \in \llbracket F \nu F \rrbracket.$
 $\quad \quad x \sim y \wedge x \sqsubseteq x' \wedge y \sqsubseteq y'$
 $\quad \quad \Rightarrow (x', y') \in O'_F(F)(X)$
 $\Leftarrow \{ \text{Generalise. } \}$

$$\begin{aligned}
 & \forall G \leq F, x, y, x', y' \in \llbracket G \vee F \rrbracket. \\
 & \quad x \sim y \wedge x \sqsubseteq x' \wedge y \sqsubseteq y' \\
 & \quad \Rightarrow (x', y') \in \mathcal{O}'_F(G)(X) \\
 \Leftrightarrow & \{ \text{Induction over } G. \} \\
 & \forall G \leq F. \\
 & \quad (\forall G' < G, x, y, x', y' \in \llbracket G' \vee F \rrbracket. \\
 & \quad \quad x \sim y \wedge x \sqsubseteq x' \wedge y \sqsubseteq y' \\
 & \quad \quad \Rightarrow (x', y') \in \mathcal{O}'_F(G')(X) \\
 & \quad) \\
 & \Rightarrow \forall x, y, x', y' \in \llbracket G \vee F \rrbracket. \\
 & \quad x \sim y \wedge x \sqsubseteq x' \wedge y \sqsubseteq y' \\
 & \quad \Rightarrow (x', y') \in \mathcal{O}'_F(G)(X) \\
 \Leftrightarrow & \{ \text{Case analysis. } \}
 \end{aligned}$$

- $G = \text{Id}$:

$$\begin{aligned}
 & \forall x, y, x', y' \in \llbracket \vee F \rrbracket. \\
 & \quad x \sim y \wedge x \sqsubseteq x' \wedge y \sqsubseteq y' \\
 & \quad \Rightarrow (x', y') \in X \\
 \Leftrightarrow & \{ \text{Definition of } X. \} \\
 & \top
 \end{aligned}$$

- $G = K_\sigma \leq F$:

$$\begin{aligned}
 & \forall x, y, x', y' \in \llbracket \sigma \rrbracket. \\
 & \quad x \sim y \wedge x \sqsubseteq x' \wedge y \sqsubseteq y' \\
 & \quad \Rightarrow x' \sim y' \\
 \Leftrightarrow & \{ \text{Outer inductive hypothesis, } \sigma < \vee F. \} \\
 & \top
 \end{aligned}$$

- $G = G_1 \times G_2$:

$$\begin{aligned}
 & (\forall G' < G, x, y, x', y' \in \llbracket G' \vee F \rrbracket. \\
 & \quad x \sim y \wedge x \sqsubseteq x' \wedge y \sqsubseteq y' \\
 & \quad \Rightarrow (x', y') \in \mathcal{O}'_F(G')(X) \\
 &) \\
 \Rightarrow & \forall x_1, y_1, x_1', y_1' \in \llbracket G_1 \vee F \rrbracket, x_2, y_2, x_2', y_2' \in \llbracket G_2 \vee F \rrbracket. \\
 & \quad x_1 \sim y_1 \wedge x_2 \sim y_2 \wedge \\
 & \quad x_1 \sqsubseteq x_1' \wedge y_1 \sqsubseteq y_1' \wedge x_2 \sqsubseteq x_2' \wedge y_2 \sqsubseteq y_2' \\
 & \quad \Rightarrow (x_1', y_1') \in \mathcal{O}'_F(G_1)(X) \wedge (x_2', y_2') \in \mathcal{O}'_F(G_2)(X) \\
 \Leftrightarrow & \\
 & \top
 \end{aligned}$$

- $G = G_1 + G_2$:

$$\begin{aligned}
& (\forall G' < G, x, y, x', y' \in \llbracket G' \vee F \rrbracket . \\
& \quad x \sim y \wedge x \sqsubseteq x' \wedge y \sqsubseteq y' \\
& \quad \Rightarrow (x', y') \in \mathcal{O}'_F(G')(X) \\
&) \\
\Rightarrow & \quad \forall x_1, y_1, x_1', y_1' \in \llbracket G_1 \vee F \rrbracket . \\
& \quad x_1 \sim y_1 \wedge x_1 \sqsubseteq x_1' \wedge y_1 \sqsubseteq y_1' \\
& \quad \Rightarrow (x_1', y_1') \in \mathcal{O}'_F(G_1)(X) \\
& \wedge \forall x_2, y_2, x_2', y_2' \in \llbracket G_2 \vee F \rrbracket . \\
& \quad x_2 \sim y_2 \wedge x_2 \sqsubseteq x_2' \wedge y_2 \sqsubseteq y_2' \\
& \quad \Rightarrow (x_2', y_2') \in \mathcal{O}'_F(G_2)(X) \\
\Leftrightarrow & \quad \top
\end{aligned}$$

When recursive types are not used the function \sim_σ is also continuous, i.e. least upper bounds are preserved:

$$\bigsqcup_i (x_i \sim y_i) = \bigsqcup_i (x_i) \sim \bigsqcup_i (y_i)$$

Proof:

Without recursive types all CPOs are finite, so monotonicity implies continuity.

11 Sizes can be assigned to some values

Each value of μ -type can be assigned a size

Since \mathbb{N} is a set we can use fold at the type

$$(F \mathbb{N} \rightarrow \mathbb{N}) \rightarrow \langle\langle \mu F \rangle\rangle \rightarrow \mathbb{N}.$$

(Note that $\langle\langle \mu F \rangle\rangle$ is the codomain of `in`, so the above is well-typed.)

```
size_μF : ⟨⟨μF⟩⟩ → ℕ
size_μF x = 1 + fold size'_F x
  size'_G : G ℕ → ℕ
  size'_Id      s      = 1 + s
  size'_(K_τ)   x      = 1
  size'_(F1 × F2) (x1, x2) = 1 + size'_F1 x1 + size'_F2 x2
  size'_(F1 + F2) inl(x1) = 1 + size'_F1 x1
  size'_(F1 + F2) inr(x2) = 1 + size'_F2 x2
```

`size'` is well-defined since the size of the index functor always decreases.

```
size_⊥,μF : ⟨⟨[[μF]] → ℕ_⊥⟩⟩
size_⊥,μF x = 1 + fold size'_⊥,F x
  size'_⊥,G : ⟨L(G) ℕ_⊥ → ℕ_⊥⟩
  size'_⊥,G      ⊥      = ⊥
  size'_⊥,Id     s      = 1 + s
  size'_⊥,(K_τ)  x      = 1
  size'_⊥,(F1 × F2) (x1, x2) = 1 + size'_⊥,F1 x1 + size'_⊥,F2 x2
  size'_⊥,(F1 + F2) inl(x1) = 1 + size'_⊥,F1 x1
  size'_⊥,(F1 + F2) inr(x2) = 1 + size'_⊥,F2 x2
```

`size'_⊥` is well-defined since the size of the index functor always decreases.

We assume that all arithmetical operations are strict. This implies that all functions above can be seen as arrows in `CPO_⊥`.

Now we can prove that $x \sim y \Rightarrow \text{size}_\perp x = \text{size}_\perp y \neq \perp$. This implies that we can define a size for PER elements:

```
size_~,μF : [~_μF] → ℕ
size_~,μF [x] = size_⊥,μF x
```

Proof:

First define \sim for \mathbb{N}_\perp (note that $\mathbb{N}_\perp \neq \mu F$ for any F since we have too many liftings):

Section 11: Sizes can be assigned to some values

$$m \sim n \Leftrightarrow m = n \neq \perp.$$

This yields a PER.

We are done if we can show $\text{fold}, (\circ), (1+), \text{size}'_{\perp}, F \in \text{dom}(\sim)$.

1. fold:

Here we have $\text{fold} \in \langle \langle L(F) \mathbb{N}_{\perp} \rightarrow \mathbb{N}_{\perp} \rangle \rightarrow \langle \llbracket \mu F \rrbracket \rightarrow \mathbb{N}_{\perp} \rangle \rangle$, for some polynomial functor F , with the definition

$$\text{fold} = \lambda f. \text{fix } (\lambda g. f \circ L(F) g \circ \text{out}).$$

The only difference between this definition and the previous, general definition of fold is that we have replaced a semantic domain $\llbracket \sigma \rrbracket$ with \mathbb{N}_{\perp} . Now, \mathbb{N}_{\perp} cannot be represented as a semantic domain $\llbracket \sigma \rrbracket$. However, to prove that the definition above is well-formed and that fold is in $\text{dom}(\sim)$ we can still use the proofs for the general fold. The reason is that the only property needed of \mathbb{N}_{\perp} for the proofs to go through is that it is a CPO with a PER \sim defined on it. (Maybe it does not even need to be a CPO, but that is irrelevant.)

2. (\circ) : Easy.

3. $(1+)$: Easy.

4. size'_{\perp}, F : Easy induction over F .

This gives rise to an inductive proof method: We can prove something by induction over the size of something of μ -type.

For simplicity we define the size of elements of type $G \mu F$ as well:

$$\begin{aligned} \text{size}_{(G \mu F)} x &= \text{size}'_G (G (\text{fold size}'_F) x) \\ \text{size}_{\perp, (G \mu F)} x &= \text{size}'_{\perp, G} (G (\text{fold size}'_{\perp, F}) x) \\ \text{size}_{\sim, (G \mu F)} [x] &= \text{size}_{\perp, (G \mu F)} x \end{aligned}$$

We get a couple of easy lemmas, stated in general form, valid both for size and size_{\sim} :

$$\begin{aligned} \text{size } (\text{in } x) &> \text{size } x \\ \text{size } (x, y) &> \text{size } x \\ \text{size } (x, y) &> \text{size } y \\ \text{size } \text{inl}(x) &> \text{size } x \\ \text{size } \text{inr}(y) &> \text{size } y \end{aligned}$$

Note that the size does not decrease for μId . In fact it is not even well-defined. This is no problem, though, since $\langle \langle \mu\text{Id} \rangle \rangle = [\sim_{\mu\text{Id}}] = \emptyset$.

Examples

```

size_μK1 (in ☆)
=
1 + fold size'_K1 (in ☆)
=
1 + size'_K1 (K1 (fold size'_K1) ☆)
=
1 + size'_K1 ☆
=
2

size_μ(K1 + Id) (in inr(in inl(☆)))
=
1 + fold size'_(K1 + Id) (in inr(in inl(☆)))
=
1 + size'_(K1 + Id) ((K1 + Id) (fold size'_(K1 + Id)) inr(in inl(☆)))
=
1 + size'_(K1 + Id) (inr(fold size'_(K1 + Id) (in inl(☆))))
=
2 + size'_Id (fold size'_(K1 + Id) (in inl(☆)))
=
3 + fold size'_(K1 + Id) (in inl(☆))
=
3 + size'_(K1 + Id) ((K1 + Id) (fold size'_(K1 + Id)) inl(☆))
=
3 + size'_(K1 + Id) inl(☆)
=
4 + size'_K1 ☆
=
5

```

12 The approximation lemma

`approx_⊥` is defined in

[Graham Hutton and Jeremy Gibbons
The Generic Approximation Lemma
Information Processing Letters 79(4) p197-201, August 2001]:

$$\begin{aligned} \text{approx_}\perp &\in \mathbb{N} \rightarrow \langle \llbracket \vee F \rrbracket \rightarrow \llbracket \vee F \rrbracket \rangle \\ \text{approx_}\perp \ 0 &= \lambda_. \perp \\ \text{approx_}\perp \ (n+1) &= \text{in} \circ L(F) (\text{approx_}\perp \ n) \circ \text{out} \end{aligned}$$

We have the approximation lemma:

$$\begin{aligned} \forall x, y \in \llbracket \vee F \rrbracket \\ x = y \Leftrightarrow \forall n \in \mathbb{N}. \text{approx_}\perp \ n \ x = \text{approx_}\perp \ n \ y. \end{aligned}$$

(Since we are working in CPO and all polynomial functors are locally continuous.)

We can generalise the approximation lemma slightly. Define

$$\begin{aligned} \text{approx_}\perp, G &\in \mathbb{N} \rightarrow \langle \llbracket G \vee F \rrbracket \rightarrow \llbracket G \vee F \rrbracket \rangle \\ \text{approx_}\perp, G \ n &= L(G) (\text{approx_}\perp \ n). \end{aligned}$$

We get

$$\begin{aligned} \forall x, y \in \llbracket G \vee F \rrbracket \\ x = y \Leftrightarrow \forall n \in \mathbb{N}. \text{approx_}\perp, G \ n \ x = \text{approx_}\perp, G \ n \ y. \end{aligned}$$

Proof:

\Rightarrow : Trivial.

\Leftarrow : Done by easy induction over G .

□

13 Explicit characterisations of recursive type formers

Explicit characterisations of $[\sim_\mu F]$, $[\sim_\nu F]$, $\langle\langle \mu F \rangle\rangle$ and $\langle\langle \nu F \rangle\rangle$

Define the following monotone operators on the complete lattices $(\wp([\sim_\mu/\nu F]), \subseteq)$ and $(\wp(\langle\langle \mu/\nu F \rangle\rangle), \subseteq)$:

$$\begin{aligned} S(F) &: \wp([\sim_\mu/\nu F]) \rightarrow \wp([\sim_\mu/\nu F]) \\ S(F)(X) &= \{ \text{in } x \mid x \in S'_F(F)(X) \} \end{aligned}$$

$$\begin{aligned} S'_F(G) &: \wp([\sim_\tau]) \rightarrow \wp([\sim_\tau(G \tau)]) \\ S'_F(\text{Id})(X) &= X \\ S'_F(K_\sigma)(_) &= [\sim_\sigma] \\ S'_F(F_1 \times F_2)(X) &= \{ [(x, y)] \mid [x] \in S'_F(F_1)(X), [y] \in S'_F(F_2)(X) \} \\ S'_F(F_1 + F_2)(X) &= \{ [\text{inl}(x)] \mid [x] \in S'_F(F_1)(X) \} \cup \\ &\quad \{ [\text{inr}(y)] \mid [y] \in S'_F(F_2)(X) \} \end{aligned}$$

$$\begin{aligned} \hat{S}(F) &: \wp(\langle\langle \mu/\nu F \rangle\rangle) \rightarrow \wp(\langle\langle \mu/\nu F \rangle\rangle) \\ \hat{S}(F)(X) &= \{ \text{in } x \mid x \in \hat{S}'_F(F)(X) \} \end{aligned}$$

$$\begin{aligned} \hat{S}'_F(G) &: \wp(\langle\langle \tau \rangle\rangle) \rightarrow \wp(\langle\langle G \tau \rangle\rangle) \\ \hat{S}'_F(\text{Id})(X) &= X \\ \hat{S}'_F(K_\sigma)(_) &= \langle\langle \sigma \rangle\rangle \\ \hat{S}'_F(F_1 \times F_2)(X) &= \hat{S}'_F(F_1)(X) \times \hat{S}'_F(F_2)(X) \\ \hat{S}'_F(F_1 + F_2)(X) &= \hat{S}'_F(F_1)(X) + \hat{S}'_F(F_2)(X) \end{aligned}$$

We will show that

$$\begin{aligned} \mu S(F) &= [\sim_\mu F], \\ \mu \hat{S}(F) &= \langle\langle \mu F \rangle\rangle, \\ \nu S(F) &= [\sim_\nu F], \text{ and} \\ \nu \hat{S}(F) &= \langle\langle \nu F \rangle\rangle. \end{aligned}$$

(Note that all fixpoints exist since the lattices are complete.)

1. $\mu S(F) = [\sim_\mu F]$:

- We know that $\mu S(F) \subseteq [\sim_\mu F]$ by construction (complete lattice).

- $\mu S(F) \supseteq [\sim_\mu F]$:

$$\forall x \in [\sim_\mu F]. x \in \mu S(F)$$

\Leftarrow { Generalise. }

Section 13: Explicit characterisations of recursive type formers

$\forall G, x \in [\sim_-(G \mu F)]. x \in S'_F(G)(\mu S(F))$

$\Leftarrow \{ \text{Induction on size of } x. \}$

$\forall G, x \in [\sim_-(G \mu F)].$

$\forall G', x' \in [\sim_-(G' \mu F)].$

$\text{size}_{\sim, (G' \mu F)} x' < \text{size}_{\sim, (G \mu F)} x$

$\Rightarrow x' \in S'_F(G')(\mu S(F))$

$\Rightarrow x \in S'_F(G)(\mu S(F))$

$\Leftrightarrow \{ \text{Case analysis on } G. \}$

• $G = \text{Id}$:

$\Leftrightarrow \{ \text{Definition } S'_F(\text{Id}). \}$

$\forall x \in [\sim_{\mu F}].$

$\forall G', x' \in [\sim_-(G' \mu F)].$

$\text{size}_{\sim, (G' \mu F)} x' < \text{size}_{\sim, \mu F} x$

$\Rightarrow x' \in S'_F(G')(\mu S(F))$

$\Rightarrow x \in \mu S(F)$

$\Leftrightarrow \{ \text{Fixpoint. } \}$

$\forall x \in [\sim_{\mu F}].$

$\forall G', x' \in [\sim_-(G' \mu F)].$

$\text{size}_{\sim, (G' \mu F)} x' < \text{size}_{\sim, \mu F} x$

$\Rightarrow x' \in S'_F(G')(\mu S(F))$

$\Rightarrow x \in S(F)(\mu S(F))$

$\Leftrightarrow \{ \text{Definition of } S, \text{ in/out inverses. } \}$

$\forall x \in [\sim_{\mu F}].$

$\forall G', x' \in [\sim_-(G' \mu F)].$

$\text{size}_{\sim, (G' \mu F)} x' < \text{size}_{\sim, \mu F} x$

$\Rightarrow x' \in S'_F(G')(\mu S(F))$

$\Rightarrow \text{out } x \in S'_F(F)(\mu S(F))$

$\Leftrightarrow \{ \text{size}_{\sim, (F \mu F)} (\text{out } x) < \text{size}_{\sim, \mu F} x \text{ (see size). } \}$

⊤

• $G = K_\sigma$:

$\Leftarrow \{ \text{Definition } S'_F(K_\sigma), \text{ simplification. } \}$

$\forall x \in [\sim_\sigma].$

$x \in [\sim_\sigma]$

$\Leftrightarrow \{ \text{Assumption. } \}$

Section 13: Explicit characterisations of recursive type formers

⊤

- $G = G_1 \times G_2$:

\Leftrightarrow { Definition $S'_F(G_1 \times G_2)$. }

$\forall x \in [\sim_-(G \mu F)]$.

$\forall G', x' \in [\sim_-(G' \mu F)]$.

$\text{size}_{\sim, (G' \mu F)} x' < \text{size}_{\sim, (G \mu F)} x$

$\Rightarrow x' \in S'_F(G')(\mu S(F))$

$\Rightarrow x \in \{ [(a, b)] \mid [a] \in S'_F(G_1)(\mu S(F)), [b] \in S'_F(G_2)(\mu S(F)) \}$

\Leftrightarrow { Definition \sim . }

$\forall [(x, y)] \in [\sim_-(G \mu F)]$.

$\forall G', x' \in [\sim_-(G' \mu F)]$.

$\text{size}_{\sim, (G' \mu F)} x' < \text{size}_{\sim, (G \mu F)} [(x, y)]$

$\Rightarrow x' \in S'_F(G')(\mu S(F))$

$\Rightarrow [x] \in S'_F(G_1)(\mu S(F)) \wedge [y] \in S'_F(G_2)(\mu S(F))$

\Leftrightarrow { $\text{size}_{\sim, (G_1 \mu F)} [x] < \text{size}_{\sim, (G \mu F)} [(x, y)]$, and similarly for $[y]$ (see size). }

⊤

- $G = G_1 + G_2$:

\Leftrightarrow { Definition $S'_F(G_1 + G_2)$. }

$\forall x \in [\sim_-(G \mu F)]$.

$\forall G', x' \in [\sim_-(G' \mu F)]$.

$\text{size}_{\sim, (G' \mu F)} x' < \text{size}_{\sim, (G \mu F)} x$

$\Rightarrow x' \in S'_F(G')(\mu S(F))$

$\Rightarrow x \in \{ [\text{inl}(a)] \mid [a] \in S'_F(G_1)(\mu S(F)) \}$

$\vee x \in \{ [\text{inr}(b)] \mid [b] \in S'_F(G_2)(\mu S(F)) \}$

\Leftrightarrow { We assume $x = [\text{inl}(y)]$ for some y . The other case is analogous. }

$\forall [y] \in [\sim_-(G_1 \mu F)]$.

$\forall G', x' \in [\sim_-(G' \mu F)]$.

$\text{size}_{\sim, (G' \mu F)} x' < \text{size}_{\sim, (G \mu F)} [\text{inl}(y)]$

$\Rightarrow x' \in S'_F(G')(\mu S(F))$

$\Rightarrow [y] \in S'_F(G_1)(\mu S(F))$

\Leftrightarrow { $\text{size}_{\sim, (G_1 \mu F)} [y] < \text{size}_{\sim, (G \mu F)} [\text{inl}(y)]$ (see size). }

⊤

□

2. $\mu\hat{S}(F) = \langle\langle\mu F\rangle\rangle$:

This proof is *_almost_* a carbon copy of the previous one.

- We know that $\mu\hat{S}(F) \subseteq \langle\langle\mu F\rangle\rangle$ by construction (complete lattice).

- $\mu\hat{S}(F) \supseteq \langle\langle\mu F\rangle\rangle$:

$$\forall x \in \langle\langle\mu F\rangle\rangle. x \in \mu\hat{S}(F)$$

\Leftarrow { Generalise. }

$$\forall G, x \in \langle\langle G \mu F\rangle\rangle. x \in \hat{S}'_F(G)(\mu\hat{S}(F))$$

\Leftarrow { Induction on size of x . }

$$\begin{aligned} &\forall G, x \in \langle\langle G \mu F\rangle\rangle. \\ &\quad \forall G', x' \in \langle\langle G' \mu F\rangle\rangle. \\ &\quad \quad \text{size}_{(G' \mu F)} x' < \text{size}_{(G \mu F)} x \\ &\quad \Rightarrow x' \in \hat{S}'_F(G')(\mu\hat{S}(F)) \\ &\quad \Rightarrow x \in \hat{S}'_F(G)(\mu\hat{S}(F)) \end{aligned}$$

\Leftrightarrow { Case analysis on G . }

- $G = \text{Id}$:

\Leftrightarrow { Definition $\hat{S}'_F(\text{Id})$. }

$$\begin{aligned} &\forall x \in \langle\langle\mu F\rangle\rangle. \\ &\quad \forall G', x' \in \langle\langle G' \mu F\rangle\rangle. \\ &\quad \quad \text{size}_{(G' \mu F)} x' < \text{size}_{\mu F} x \\ &\quad \Rightarrow x' \in \hat{S}'_F(G')(\mu\hat{S}(F)) \\ &\quad \Rightarrow x \in \mu\hat{S}(F) \end{aligned}$$

\Leftrightarrow { Fixpoint. }

$$\begin{aligned} &\forall x \in \langle\langle\mu F\rangle\rangle. \\ &\quad \forall G', x' \in \langle\langle G' \mu F\rangle\rangle. \\ &\quad \quad \text{size}_{(G' \mu F)} x' < \text{size}_{\mu F} x \\ &\quad \Rightarrow x' \in \hat{S}'_F(G')(\mu\hat{S}(F)) \\ &\quad \Rightarrow x \in \hat{S}(F)(\mu\hat{S}(F)) \end{aligned}$$

\Leftrightarrow { Definition of S , in/out inverses. }

Section 13: Explicit characterisations of recursive type formers

$$\begin{aligned} & \forall x \in \langle\langle \mu F \rangle\rangle. \\ & \quad \forall G', x' \in \langle\langle G' \mu F \rangle\rangle. \\ & \quad \quad \text{size}_{(G' \mu F)} x' < \text{size}_{\mu F} x \\ & \quad \Rightarrow x' \in \hat{\mathcal{S}}'_F(G')(\mu \hat{\mathcal{S}}(F)) \\ & \quad \Rightarrow \text{out } x \in \hat{\mathcal{S}}'_F(F)(\mu \hat{\mathcal{S}}(F)) \\ & \Leftrightarrow \{ \text{size}_{(F \mu F)} (\text{out } x) < \text{size}_{\mu F} x \text{ (see size)}. \} \end{aligned}$$

⊤

• $G = K_\sigma$:

$$\Leftrightarrow \{ \text{Definition } \hat{\mathcal{S}}'_F(K_\sigma), \text{ simplification}. \}$$

$$\begin{aligned} & \forall x \in \langle\langle \sigma \rangle\rangle. \\ & \quad x \in \langle\langle \sigma \rangle\rangle \end{aligned}$$

$$\Leftrightarrow \{ \text{Assumption}. \}$$

⊤

• $G = G_1 \times G_2$:

$$\Leftrightarrow \{ \text{Definition } \hat{\mathcal{S}}'_F(G_1 \times G_2). \}$$

$$\begin{aligned} & \forall x \in \langle\langle G \mu F \rangle\rangle. \\ & \quad \forall G', x' \in \langle\langle G' \mu F \rangle\rangle. \\ & \quad \quad \text{size}_{(G' \mu F)} x' < \text{size}_{(G \mu F)} x \\ & \quad \Rightarrow x' \in \hat{\mathcal{S}}'_F(G')(\mu \hat{\mathcal{S}}(F)) \\ & \quad \Rightarrow x \in \{ (a, b) \mid a \in \hat{\mathcal{S}}'_F(G_1)(\mu \hat{\mathcal{S}}(F)), b \in \hat{\mathcal{S}}'_F(G_2)(\mu \hat{\mathcal{S}}(F)) \} \end{aligned}$$

$$\Leftrightarrow \{ \text{Definition } \langle\langle G \mu F \rangle\rangle. \}$$

$$\begin{aligned} & \forall (x, y) \in \langle\langle G \mu F \rangle\rangle. \\ & \quad \forall G', x' \in \langle\langle G' \mu F \rangle\rangle. \\ & \quad \quad \text{size}_{(G' \mu F)} x' < \text{size}_{(G \mu F)} (x, y) \\ & \quad \Rightarrow x' \in \hat{\mathcal{S}}'_F(G')(\mu \hat{\mathcal{S}}(F)) \\ & \quad \Rightarrow x \in \hat{\mathcal{S}}'_F(G_1)(\mu \hat{\mathcal{S}}(F)) \wedge y \in \hat{\mathcal{S}}'_F(G_2)(\mu \hat{\mathcal{S}}(F)) \end{aligned}$$

$$\Leftrightarrow \{ \text{size}_{(G_1 \mu F)} x < \text{size}_{(G \mu F)} (x, y), \text{ and similarly for } y \text{ (see size)}. \}$$

⊤

• $G = G_1 + G_2$:

$$\Leftrightarrow \{ \text{Definition } \hat{\mathcal{S}}'_F(G_1 + G_2). \}$$

$$\begin{aligned}
 & \forall x \in \langle\langle G \ \mu F \rangle\rangle. \\
 & \quad \forall G', x' \in \langle\langle G' \ \mu F \rangle\rangle. \\
 & \quad \quad \text{size}_{(G' \ \mu F)} x' < \text{size}_{(G \ \mu F)} x \\
 & \quad \Rightarrow x' \in \hat{S}'_F(G')(\mu\hat{S}(F)) \\
 & \Rightarrow x \in \{ \text{inl}(a) \mid a \in \hat{S}'_F(G_1)(\mu\hat{S}(F)) \} \\
 & \quad \forall x \in \{ \text{inr}(b) \mid b \in \hat{S}'_F(G_2)(\mu\hat{S}(F)) \} \\
 \\
 & \Leftrightarrow \{ \text{We assume } x = \text{inl}(y) \text{ for some } y. \text{ The other case is} \\
 & \quad \text{analogous. } \} \\
 \\
 & \forall y \in \langle\langle G_1 \ \mu F \rangle\rangle. \\
 & \quad \forall G', x' \in \langle\langle G' \ \mu F \rangle\rangle. \\
 & \quad \quad \text{size}_{(G' \ \mu F)} x' < \text{size}_{(G \ \mu F)} \text{inl}(y) \\
 & \quad \Rightarrow x' \in \hat{S}'_F(G')(\mu\hat{S}(F)) \\
 & \quad \Rightarrow y \in \hat{S}'_F(G_1)(\mu\hat{S}(F)) \\
 \\
 & \Leftrightarrow \{ \text{size}_{(G_1 \ \mu F)} y < \text{size}_{(G \ \mu F)} \text{inl}(y) \text{ (see size). } \} \\
 \\
 & \top
 \end{aligned}$$

□

3. $\nu S(F) = [\sim_{\nu F}]$:

- We know that $\nu S(F) \subseteq [\sim_{\nu F}]$ by construction (complete lattice).

- $\nu S(F) \supseteq [\sim_{\nu F}]$:

$$[\sim_{\nu F}] \subseteq \nu S(F)$$

\Leftarrow { Coinduction. }

$$[\sim_{\nu F}] \subseteq S(F)([\sim_{\nu F}])$$

\Leftarrow { Definition of $S(F)$. }

$$[\sim_{\nu F}] \subseteq \{ \text{in } x \mid x \in S'_F(F)([\sim_{\nu F}]) \}$$

\Leftarrow { in/out isomorphisms. }

$$[\sim_{(F \ \nu F)}] \subseteq S'_F(F)([\sim_{\nu F}])$$

\Leftarrow { Generalise. }

$$\forall G. [\sim_{(G \ \nu F)}] \subseteq S'_F(G)([\sim_{\nu F}])$$

\Leftarrow { Induction. }

Section 13: Explicit characterisations of recursive type formers

$$\begin{aligned} &\forall G. \\ &\quad \forall G' < G. [\sim_(G' \vee F)] \subseteq S'_F(G')([\sim_\vee F]) \\ &\quad \Rightarrow [\sim_(G \vee F)] \subseteq S'_F(G)([\sim_\vee F]) \end{aligned}$$

\Leftrightarrow { Case analysis. }

• $G = \text{Id}$:

\Leftrightarrow { Simplify. }

$$[\sim_\vee F] \subseteq [\sim_\vee F]$$

\Leftrightarrow

⊤

• $G = K_\sigma$:

\Leftrightarrow { Simplify. }

$$[\sim_\sigma] \subseteq [\sim_\sigma]$$

\Leftrightarrow

⊤

• $G = G_1 \times G_2$:

\Leftrightarrow { Simplify. }

$$\begin{aligned} &\forall G' < G. [\sim_(G' \vee F)] \subseteq S'_F(G')([\sim_\vee F]) \\ &\Rightarrow [\sim_(G_1 \vee F)] \subseteq S'_F(G_1)([\sim_\vee F]) \\ &\quad \wedge [\sim_(G_2 \vee F)] \subseteq S'_F(G_2)([\sim_\vee F]) \end{aligned}$$

\Leftrightarrow

⊤

• $G = G_1 + G_2$:

\Leftrightarrow { Simplify. }

$$\begin{aligned} &\forall G' < G. [\sim_(G' \vee F)] \subseteq S'_F(G')([\sim_\vee F]) \\ &\Rightarrow [\sim_(G_1 \vee F)] \subseteq S'_F(G_1)([\sim_\vee F]) \\ &\quad \wedge [\sim_(G_2 \vee F)] \subseteq S'_F(G_2)([\sim_\vee F]) \end{aligned}$$

\Leftrightarrow

⊤

□

This proof is *_almost_* a carbon copy of the previous one.

4. $\nu\hat{\mathbb{S}}(F) = \langle\langle\nu F\rangle\rangle$:

- We know that $\nu\hat{\mathbb{S}}(F) \subseteq \langle\langle\nu F\rangle\rangle$ by construction (complete lattice).

- $\nu\hat{\mathbb{S}}(F) \supseteq \langle\langle\nu F\rangle\rangle$:

$$\langle\langle\nu F\rangle\rangle \subseteq \nu\hat{\mathbb{S}}(F)$$

\Leftrightarrow { Coinduction. }

$$\langle\langle\nu F\rangle\rangle \subseteq \hat{\mathbb{S}}(F)(\langle\langle\nu F\rangle\rangle)$$

\Leftrightarrow { Definition of $\hat{\mathbb{S}}(F)$. }

$$\langle\langle\nu F\rangle\rangle \subseteq \{ \text{in } x \mid x \in \hat{\mathbb{S}}'_F(F)(\langle\langle\nu F\rangle\rangle) \}$$

\Leftrightarrow { in/out isomorphisms. }

$$\langle\langle F \nu F \rangle\rangle \subseteq \hat{\mathbb{S}}'_F(F)(\langle\langle\nu F\rangle\rangle)$$

\Leftrightarrow { Generalise. }

$$\forall G. \langle\langle G \nu F \rangle\rangle \subseteq \hat{\mathbb{S}}'_F(G)(\langle\langle\nu F\rangle\rangle)$$

\Leftrightarrow { Induction. }

$\forall G.$

$$\forall G' < G. \langle\langle G' \nu F \rangle\rangle \subseteq \hat{\mathbb{S}}'_F(G')(\langle\langle\nu F\rangle\rangle)$$

$$\Rightarrow \langle\langle G \nu F \rangle\rangle \subseteq \hat{\mathbb{S}}'_F(G)(\langle\langle\nu F\rangle\rangle)$$

\Leftrightarrow { Case analysis. }

- $G = \text{Id}$:

\Leftrightarrow { Simplify. }

$$\langle\langle\nu F\rangle\rangle \subseteq \langle\langle\nu F\rangle\rangle$$

\Leftrightarrow

\top

- $G = K_\sigma$:

\Leftrightarrow { Simplify. }

Section 13: Explicit characterisations of recursive type formers

$$\langle\langle\sigma\rangle\rangle \subseteq \langle\langle\sigma\rangle\rangle$$

\Leftrightarrow

\top

• $G = G_1 \times G_2:$

$\Leftrightarrow \{ \text{Simplify. } \}$

$$\begin{aligned} \forall G' < G. \langle\langle G' \ \nu F \rangle\rangle &\subseteq \hat{S}'_F(G')(\langle\langle \nu F \rangle\rangle) \\ \Rightarrow \langle\langle G_1 \ \nu F \rangle\rangle &\subseteq \hat{S}'_F(G_1)(\langle\langle \nu F \rangle\rangle) \\ &\wedge \langle\langle G_2 \ \nu F \rangle\rangle \subseteq \hat{S}'_F(G_2)(\langle\langle \nu F \rangle\rangle) \end{aligned}$$

\Leftrightarrow

\top

• $G = G_1 + G_2:$

$\Leftrightarrow \{ \text{Simplify. } \}$

$$\begin{aligned} \forall G' < G. \langle\langle G' \ \nu F \rangle\rangle &\subseteq \hat{S}'_F(G')(\langle\langle \nu F \rangle\rangle) \\ \Rightarrow \langle\langle G_1 \ \nu F \rangle\rangle &\subseteq \hat{S}'_F(G_1)(\langle\langle \nu F \rangle\rangle) \\ &\wedge \langle\langle G_2 \ \nu F \rangle\rangle \subseteq \hat{S}'_F(G_2)(\langle\langle \nu F \rangle\rangle) \end{aligned}$$

\Leftrightarrow

\top

□

14 A characterisation of the PER, valid for function-free types

Whenever σ does not contain any function spaces we have

$$x \sim_{\sigma} y \Leftrightarrow x \in \text{dom}(\sim_{\sigma}) \wedge x = y.$$

Note first that the \Leftarrow case is easy, and $x \sim_{\sigma} y$ directly implies that $x \in \text{dom}(\sim_{\sigma})$. For

$$x \sim_{\sigma} y \Rightarrow x = y$$

we use induction over the structure of σ .

1, +, \times : Easy.

μF :

$$\begin{aligned} & x \sim_{\mu F} y \Rightarrow x = y \\ \Leftarrow & \{ \text{Induction. Let} \\ & \{ X = \{ (x, x) \mid x \in \llbracket \mu F \rrbracket \} \}. \\ & 0(F)(X) \subseteq X \\ \Leftrightarrow & \\ & \forall (x, y) \in 0(F)(X). x = y \\ \Leftrightarrow & \{ \text{in, out bijections.} \} \\ & \forall (x, y) \in 0'_F(F)(X). x = y \\ \Leftarrow & \\ & \forall G \leq F. (x, y) \in 0'_F(G)(X). x = y \\ \Leftarrow & \{ \text{Induction over } G. \} \\ & \forall G \leq F. \\ & \quad \forall G' < G, (x, y) \in 0'_F(G')(X). x = y \\ & \quad \Rightarrow \forall (x, y) \in 0'_F(G)(X). x = y \\ \Leftrightarrow & \{ \text{Case analysis.} \} \\ & \bullet G = \text{Id: Trivial.} \\ & \bullet G = K_{\tau}: \text{By outer inductive hypothesis.} \\ & \bullet G = G_1 \times G_2 \text{ or } G_1 + G_2: \text{By inner inductive hypothesis.} \end{aligned}$$

νF :

$$\begin{aligned} & \forall x, y \in \llbracket \nu F \rrbracket. x \sim_{\nu F} y \Rightarrow x = y \\ \Leftrightarrow & \{ \text{in, out bijections.} \} \\ & \forall x, y \in \llbracket F \nu F \rrbracket. x \sim_{(F \nu F)} y \Rightarrow x = y \\ \Leftarrow & \{ \text{Generalise.} \} \\ & \forall G \leq F, x, y \in \llbracket G \nu F \rrbracket. x \sim_{(G \nu F)} y \Rightarrow x = y \\ \Leftrightarrow & \{ \text{The generalised approximation lemma.} \} \\ & \forall G \leq F, x, y \in \llbracket G \nu F \rrbracket. x \sim_{(G \nu F)} y \\ & \quad \Rightarrow \forall n \in \mathbb{N}. \text{approx}_{\perp, G} n x = \text{approx}_{\perp, G} n y \\ \Leftrightarrow & \\ & \forall n \in \mathbb{N}, G \leq F, x, y \in \llbracket G \nu F \rrbracket. \\ & \quad x \sim_{(G \nu F)} y \Rightarrow \text{approx}_{\perp, G} n x = \text{approx}_{\perp, G} n y \end{aligned}$$

Section 14: A characterisation of the PER, valid for function-free types

We proceed by lexicographic induction over first n and then G .

- $n = 0$, $G = \text{Id}$: Trivial.

- $n = k+1$, $G = \text{Id}$, $x = \text{in } x'$, $y = \text{in } y'$:

$$\begin{aligned}
 & \text{approx}_{\perp, \text{Id}} (k+1) (\text{in } x') \\
 = & \\
 & \text{in } (\text{approx}_{\perp, F} k x') \\
 = & \{ \text{Inductive hypothesis, } x' \sim y'. \} \\
 & \text{in } (\text{approx}_{\perp, F} k y') \\
 = & \\
 & \text{approx}_{\perp, \text{Id}} (k+1) (\text{in } y')
 \end{aligned}$$

- $G = K_{\tau}$:

$$\begin{aligned}
 & \text{approx}_{\perp, K_{\tau}} n x \\
 = & \\
 & x \\
 = & \{ \text{Outer inductive hypothesis.} \} \\
 & y \\
 = & \\
 & \text{approx}_{\perp, K_{\tau}} n y
 \end{aligned}$$

- $G = G_1 \times G_2$, $x = (x_1, x_2)$, $y = (y_1, y_2)$:

$$\begin{aligned}
 & \text{approx}_{\perp, (G_1 \times G_2)} n (x_1, x_2) \\
 = & \\
 & (\text{approx}_{\perp, G_1} n x_1, \text{approx}_{\perp, G_2} n x_2) \\
 = & \{ \text{Inductive hypothesis, } x_1 \sim y_1, x_2 \sim y_2. \} \\
 & (\text{approx}_{\perp, G_1} n y_1, \text{approx}_{\perp, G_2} n y_2) \\
 = & \\
 & \text{approx}_{\perp, (G_1 \times G_2)} n (y_1, y_2)
 \end{aligned}$$

- $G = G_1 + G_2$, $x = \text{inl}(x_1)$, $y = \text{inl}(y_1)$, other case analogous.

$$\begin{aligned}
 & \text{approx}_{\perp, (G_1 + G_2)} n \text{inl}(x_1) \\
 = & \\
 & \text{inl}(\text{approx}_{\perp, G_1} n x_1) \\
 = & \{ \text{Inductive hypothesis, } x_1 \sim y_1. \} \\
 & \text{inl}(\text{approx}_{\perp, G_1} n y_1) \\
 = & \\
 & \text{approx}_{\perp, (G_1 + G_2)} n \text{inl}(y_1)
 \end{aligned}$$

(It may be nicer to use coinduction `_for equality_` instead of the approximation lemma here. Indeed that could be true for the other proofs that use the approximation lemma as well. Maybe a general scheme for coinduction, that includes both \sim and $=$, could be set up.) □

15 The PER gives rise to a distributive bicartesian closed category

This part proves that the PER model gives rise to a bicartesian closed category.

Note: Originally it was proved that the category was also distributive (i.e. $(\sigma \times \tau) + (\sigma \times \gamma) \cong \sigma \times (\tau + \gamma)$), but apparently this follows since the category is bicartesian closed. See e.g. Huwig and Poigné, A note on inconsistencies caused by fixpoints in a cartesian closed category, Theoretical Computer Science 73(1), 101-112, 1990.

- It is a category

For lack of a better idea, name the category PER.

Objects: Types σ .

Morphisms: A morphism from σ to τ is an equivalence class of functions in $\text{dom}(\sim_{\sigma \rightarrow \tau})$.

Composition: $[f] \circ [g] = [f \circ g] = [\lambda v. f (g v)]$ (well-defined and associative).

Identity: $\text{id}_{\sigma} = [\text{id}_{\sigma}]$ (a proper identity).

(Properties are inherited from the underlying structure.)

- Terminal object

The terminal object is 1 with $[\sim_1] = \{[*]\}$. (This object is apparently isomorphic to νId , since $[\sim_{\nu\text{Id}}] = \{[\perp]\}$.)

We need to prove that there is exactly one morphism $!_{\sigma} : \sigma \rightarrow 1$.

At least one: $[[\lambda x. *]] = [\lambda v. *] : \sigma \rightarrow 1$.

At most one: Assume $f : \sigma \rightarrow 1$. Then, for any $x \in [\sim_{\sigma}]$ we have $f x = [*]$. The result ($f = [\lambda v . *]$) follows by extensionality.

- Initial object

The initial object is μId with $[\sim_{\mu\text{Id}}] = \emptyset$.

We need to prove that there is exactly one morphism $<_{\sigma} : \mu\text{Id} \rightarrow \sigma$.

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At least one: $[\lambda v. \perp] : \mu\text{Id} \rightarrow \sigma$. (Note that $\lambda v. \perp \in \text{dom}(\sim)$ since it is non-bottom and $\text{dom}(\sim_{\mu\text{Id}}) = \emptyset$.)

At most one: Assume $f : \mu\text{Id} \rightarrow \sigma$. Then, since $\text{dom}(\sim_{\mu\text{Id}}) = \emptyset$, we have that $f = [\lambda v. \perp]$. Done.

• Products

The product construction is the product (\times) of the type system.

For the morphisms we have $[f] \Delta [g] = [\lambda v. (f v, g v)]$, $\text{fst} = [[\text{fst}]]$, $\text{snd} = [[\text{snd}]]$ (all well-defined).

Now, take arbitrary $f : \gamma \rightarrow \sigma$ and $g : \gamma \rightarrow \tau$. We have to show that $h = f \Delta g \Leftrightarrow \text{fst} \circ h = f \wedge \text{snd} \circ h = g$.

$$\begin{aligned} \Rightarrow: \quad & \text{fst} \circ ([f] \Delta [g]) \\ &= \\ & \quad [[\text{fst}]] \circ (\lambda v. (f v, g v)) \\ &= \\ & \quad [\lambda v. f v] \\ &= \\ & \quad [f] \end{aligned}$$

Similarly for $\text{snd} \circ h = g$.

\Leftarrow : Assume that $\text{fst} \circ [h] = [f]$ and $\text{snd} \circ [h] = [g]$. For an arbitrary v we get that

$$[\text{fst} (h v)] = [f v]$$

and

$$[\text{snd} (h v)] = [g v].$$

Hence, since $\perp \notin \text{dom}(\sim)$ for product types,

$$[h v] = [(f v, g v)],$$

i.e.

$$[h] = [\lambda v. (f v, g v)] = [f] \Delta [g].$$

• Coproducts

The coproduct construction is the sum ($+$) of the type system.

For the morphisms we have $[f] \nabla [g] = [\lambda v. [\text{case}] v f g]$, $\text{inl} = [[\text{inl}]]$, $\text{inr} = [[\text{inr}]]$ (all well-defined).

Now, take arbitrary $f : [\sim_\sigma] \rightarrow [\sim_\gamma]$ and $g : [\sim_\tau] \rightarrow [\sim_\gamma]$. We have to show that

$$h = f \nabla g \Leftrightarrow h \circ \text{inl} = f \wedge h \circ \text{inr} = g.$$

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$$\begin{aligned}
 \Rightarrow: & \quad ([f] \nabla [g]) \circ \text{inl} \\
 = & \\
 & \quad [(\lambda v. \llbracket \text{case} \rrbracket v f g) \circ \text{inl}] \\
 = & \\
 & \quad [\lambda v. \llbracket \text{case} \rrbracket \text{inl}(v) f g] \\
 = & \\
 & \quad [\lambda v. f v] \\
 = & \\
 & \quad [f]
 \end{aligned}$$

Similarly for $h \circ \text{inr} = g$.

\Leftarrow : Assume that $h \circ \text{inl} = f$ and $h \circ \text{inr} = g$. For arbitrary vs we get that

$$[h \text{ inl}(v)] = [f v]$$

and

$$[h \text{ inr}(v)] = [g v].$$

Hence, since $\perp \notin \text{dom}(\sim)$ for product types,

$$[h v] = [\llbracket \text{case} \rrbracket v f g],$$

i.e.

$$[h] = f \nabla g.$$

• Exponentials

The exponential $\sigma^{\wedge}\tau$ is $\tau \rightarrow \sigma$.

The corresponding morphisms are $\text{apply} = [\lambda(f, x). f x]$ and $\text{curry} = [\lambda f x y. f (x, y)]$.

Actually we can easily derive curry from the universal property. (Note that we cannot assume that curry is a morphism. The expression $\text{curry } f$ has to be defined for all f , but this only implies that curry is a total function from $[\sim_((\sigma \times \tau) \rightarrow \gamma)]$ to $[\sim_(\sigma \rightarrow (\tau \rightarrow \gamma))]$.)

$$\begin{aligned}
 [g] = \text{curry } [f] & \Leftrightarrow \text{apply} \circ ([g] \times \text{id}) = [f] \\
 \Leftrightarrow & \\
 [g] = \text{curry } [f] & \Leftrightarrow [\lambda(f, x). f x] \circ ([g] \times \text{id}) = [f] \\
 \Leftrightarrow \{ \text{As above.} \} & \\
 [g] = \text{curry } [f] & \Leftrightarrow [\lambda(f, x). f x] \circ [\lambda(x, y). (g x, y)] = [f] \\
 \Leftrightarrow & \\
 [g] = \text{curry } [f] & \Leftrightarrow [\lambda(x, y). g x y] = [f] \\
 \Leftrightarrow & \\
 [g] = \text{curry } [f] & \Leftrightarrow [g x y] = [f (x, y)] \\
 \Leftrightarrow \{ \text{Extensionality.} \} & \\
 (\text{curry } [f]) [x] [y] & = [f (x, y)] \\
 \Leftrightarrow & \\
 \text{curry } [f] & = [\lambda x y. f (x, y)] \\
 \Leftrightarrow \{ \text{Now we know that curry is a morphism.} \} & \\
 \text{curry} & = [\lambda f x y. f (x, y)]
 \end{aligned}$$

• Initial algebras

Given a polynomial functor F , we will prove that $(\mu F, \text{in})$ with $\text{in} = [\text{in}] : F \mu F \rightarrow \mu F$ is an initial F -algebra. That is, for any A , we have that $\text{fold}_F = [[\text{fold}_F]] : (F A \rightarrow A) \rightarrow \mu F \rightarrow A$ satisfies

$$\begin{aligned} \forall h : \mu F \rightarrow A, f : F A \rightarrow A. \\ h = \text{fold}_F f \Leftrightarrow h \circ \text{in} = f \circ F h. \end{aligned}$$

Proof:

First notice that μF is a type, and that the morphisms above are actually morphisms of the correct types.

Note also that $\text{out} = [\text{out}]$ is used below.

1. Show that

$$h = \text{fold}_F f \Rightarrow h \circ \text{in} = f \circ F h,$$

i.e. show that

$$\text{fold}_F f \circ \text{in} = f \circ F (\text{fold}_F f)$$

or equivalently ($[\text{in}]/[\text{out}]$ are bijections since in/out are)

$$\text{fold}_F f = f \circ F (\text{fold}_F f) \circ \text{out}.$$

We can restate this as follows: For any $[f]$, show that

$$[[\text{fold}_F]] f = [f \circ L(F) ([\text{fold}_F]] f) \circ \text{out}]$$

(see functor-properties for proof that $F = [L(F)]$). By the definition of $[[\text{fold}_F]]$ and fix we have that

$$[[\text{fold}_F]] f = f \circ L(F) ([\text{fold}_F]] f) \circ \text{out},$$

and hence we are done (since $[[\text{fold}_F]] f \in \text{dom}(\sim)$).

2. Show that

$$[h] \circ \text{in} = [f] \circ F [h] \Rightarrow [h] = \text{fold}_F [f],$$

i.e.

$$[h] = [f \circ L(F) h \circ \text{out}] \Rightarrow [h] = [[\text{fold}_F]] f,$$

or, using extensionality,

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$$\begin{aligned} [h] &= [f \circ L(F) h \circ \text{out}] \\ \Rightarrow \forall [x] \in [\sim_{\mu F}]. [h x] &= [[\text{fold}_F] f x]. \end{aligned}$$

Proof by induction over size of x (somewhat inspired by proof in Meseguer and Goguen, Initiality, induction, and computability):

$$\begin{aligned} \forall [x] \in [\sim_{\mu F}]. \\ [h x] &= [[\text{fold}_F] f x] \end{aligned}$$

\Leftrightarrow { Properties of h and $[\text{fold}_F]$. }

$$\begin{aligned} \forall [x] \in [\sim_{\mu F}]. \\ [(f \circ L(F) h \circ \text{out}) x] &= [(f \circ L(F) ([\text{fold}_F] f) \circ \text{out}) x] \end{aligned}$$

\Leftarrow { Generalise. }

$$\begin{aligned} \forall [x] \in [\sim_{\mu F}]. \\ [(L(F) h \circ \text{out}) x] &= [(L(F) ([\text{fold}_F] f) \circ \text{out}) x] \end{aligned}$$

\Leftrightarrow { in/out bijections. }

$$\begin{aligned} \forall [x] \in [\sim_{(F \mu F)}]. \\ [L(F) h x] &= [L(F) ([\text{fold}_F] f) x] \end{aligned}$$

\Leftarrow { Generalise. }

$$\begin{aligned} \forall G, [x] \in [\sim_{(G \mu F)}]. \\ [L(G) h x] &= [L(G) ([\text{fold}_F] f) x] \end{aligned}$$

\Leftarrow { Proof by induction over size of x . }

$$\begin{aligned} \forall G, [x] \in [\sim_{(G \mu F)}]. \\ \forall G', [x'] \in [\sim_{(G' \mu F)}]. \\ \text{size}_{\sim, (G' \mu F)} [x'] < \text{size}_{\sim, (G \mu F)} [x] \\ \Rightarrow [L(G') h x'] &= [L(G') ([\text{fold}_F] f) x'] \\ \Rightarrow [L(G) h x] &= [L(G) ([\text{fold}_F] f) x] \end{aligned}$$

\Leftrightarrow { Case analysis on G . }

• $G = \text{Id}$:

\Leftrightarrow { Definition of Id , $L(\text{Id})$. }

$$\begin{aligned} \forall [x] \in [\sim_{\mu F}]. \\ \forall G', [x'] \in [\sim_{(G' \mu F)}]. \\ \text{size}_{\sim, (G' \mu F)} [x'] < \text{size}_{\sim, \mu F} [x] \\ \Rightarrow [L(G') h x'] &= [L(G') ([\text{fold}_F] f) x'] \\ [h x] &= [[\text{fold}_F] f x] \end{aligned}$$

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\Leftrightarrow { Properties of h and $\llbracket \text{fold}_F \rrbracket$. }

$\forall [x] \in [\sim_{\mu F}]$.
 $\forall G', [x'] \in [\sim_{\mu F}(G')]$.
 $\text{size}_{\sim, \mu F}(G') [x'] < \text{size}_{\sim, \mu F} [x]$
 $\Rightarrow [L(G') h x'] = [L(G') (\llbracket \text{fold}_F \rrbracket f) x']$
 $[(f \circ L(F) h \circ \text{out}) x] = [(f \circ L(F) (\llbracket \text{fold}_F \rrbracket f) \circ \text{out}) x]$

\Leftarrow { Generalise. }

$\forall [x] \in [\sim_{\mu F}]$.
 $\forall G', [x'] \in [\sim_{\mu F}(G')]$.
 $\text{size}_{\sim, \mu F}(G') [x'] < \text{size}_{\sim, \mu F} [x]$
 $\Rightarrow [L(G') h x'] = [L(G') (\llbracket \text{fold}_F \rrbracket f) x']$
 $[L(F) h (\text{out } x)] = [L(F) (\llbracket \text{fold}_F \rrbracket f) (\text{out } x)]$

\Leftrightarrow { $\text{size}_{\sim, \mu F}(F) [\text{out } x] < \text{size}_{\sim, \mu F} [x]$ (see size). }

⊤

• $G = K_{\sigma}$:

\Leftarrow { Definition of K_{σ} , $L(K_{\sigma})$, simplification. }

$\forall [x] \in [\sim_{\sigma}]$.
 $\Rightarrow [x] = [x]$

\Leftarrow { Assumption. }

⊤

• $G = G_1 \times G_2$:

\Leftarrow { Definition of $L(G)$, \sim . }

$\forall [(x_1, x_2)] \in [\sim_{\mu F}(G)]$.
 $\forall G', [x'] \in [\sim_{\mu F}(G')]$.
 $\text{size}_{\sim, \mu F}(G') [x'] < \text{size}_{\sim, \mu F} [x]$
 $\Rightarrow [L(G') h x'] = [L(G') (\llbracket \text{fold}_F \rrbracket f) x']$
 $[L(G_1) h x_1] = [L(G_1) (\llbracket \text{fold}_F \rrbracket f) x_1] \wedge$
 $[L(G_2) h x_2] = [L(G_2) (\llbracket \text{fold}_F \rrbracket f) x_2]$

\Leftrightarrow { $\text{size}_{\sim, \mu F}(G_1) [x_1] < \text{size}_{\sim, \mu F}(G) [(x_1, x_2)]$, and similarly for $[x_2]$ (see size). }

⊤

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- $G = G_1 + G_2$:

\Leftrightarrow { We treat one case here (inl). The other one is analogous.
Definition of $L(G), \sim$. }

$$\begin{aligned} \forall [\text{inl}(x)] \in [\sim_{(G \mu F)}] . \\ \forall G', [x'] \in [\sim_{(G' \mu F)}] . \\ \text{size}_{\sim, (G' \mu F)} [x'] < \text{size}_{\sim, (G \mu F)} [x] \\ \Rightarrow [L(G') \text{ h } x'] = [L(G') (\llbracket \text{fold}_F \rrbracket f) x'] \\ \Rightarrow [L(G_1) \text{ h } x] = [L(G_1) (\llbracket \text{fold}_F \rrbracket f) x] \end{aligned}$$

\Leftrightarrow { $\text{size}_{\sim, (G_1 \mu F)} [x] < \text{size}_{\sim, (G \mu F)} [\text{inl}(x)]$ (see size). }

⊥

□

- Final coalgebras

Given a polynomial functor F , we will prove that $(\nu F, \text{out})$ with $\text{out} = [\text{out}] : \nu F \rightarrow F \nu F$ is a final F -coalgebra. That is, for any τ , we have that $\text{unfold}_F = [\llbracket \text{unfold}_F \rrbracket] : (\tau \rightarrow F \tau) \rightarrow \tau \rightarrow \nu F$ satisfies

$$\begin{aligned} \forall h : \tau \rightarrow \nu F, f : \tau \rightarrow F \tau . \\ h = \text{unfold}_F f \Leftrightarrow \text{out} \circ h = F h \circ f . \end{aligned}$$

Proof:

First notice that νF is a type, and that the morphisms above are actually morphisms of the correct types.

1. Show that

$$h = \text{unfold}_F f \Leftrightarrow \text{out} \circ h = F h \circ f ,$$

i.e. show that

$$\text{out} \circ \text{unfold}_F f = F (\text{unfold}_F f) \circ f ,$$

or equivalently ($[\text{in}]/[\text{out}]$ are bijections since in/out are)

$$\text{unfold}_F f = \text{in} \circ F (\text{unfold}_F f) \circ f .$$

We can restate this as follows: For any $[f]$, show that

$$[\llbracket \text{unfold}_F \rrbracket f] = [\text{in} \circ L(F) (\llbracket \text{unfold}_F \rrbracket f) \circ f]$$

(see functor-properties for proof that $F = [L(F)]$). By the definition of $[\llbracket \text{unfold}_F \rrbracket]$ and fix we have that

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$$\llbracket \text{unfold}_F \rrbracket f = \text{in} \circ L(F) (\llbracket \text{unfold}_F \rrbracket f) \circ f,$$

and hence we are done (since $\llbracket \text{unfold}_F \rrbracket f \in \text{dom}(\sim)$).

2. Show that

$$\text{out} \circ [h] = F [h] \circ [f] \Rightarrow [h] = \text{unfold}_F [f],$$

i.e.

$$[h] = [\text{in} \circ L(F) h \circ f] \Rightarrow [h] = [\llbracket \text{unfold}_F \rrbracket f],$$

or, using extensionality,

$$\begin{aligned} [h] &= [\text{in} \circ L(F) h \circ f] \\ &\Rightarrow \forall [x] \in [\sim_\tau]. [h x] = [\llbracket \text{unfold}_F \rrbracket f x]. \end{aligned}$$

Proof:

$$\forall [x] \in [\sim_\tau]. [h x] = [\llbracket \text{unfold}_F \rrbracket f x]$$

{ Use coinduction. Let
 $\Leftarrow \mid X = \{ (h x, \llbracket \text{unfold}_F \rrbracket f x) \mid x \in \text{dom}(\sim_\tau) \}$.
 { We need to show that $X \subseteq O(F)(X)$.

$$\forall x \in \text{dom}(\sim_\tau). (h x, \llbracket \text{unfold}_F \rrbracket f x) \in O(F)(X)$$

\Leftarrow { Top-level assumption about h , property of $\llbracket \text{unfold}_F \rrbracket$. }

$$\begin{aligned} \forall x \in \text{dom}(\sim_\tau). \\ ((\text{in} \circ L(F) h \circ f) x, (\text{in} \circ L(F) (\llbracket \text{unfold}_F \rrbracket f) \circ f) x) \in O(F)(X) \end{aligned}$$

\Leftarrow { Definition of $O(F)$, in/out bijections. }

$$\begin{aligned} \forall x \in \text{dom}(\sim_\tau). \\ ((L(F) h \circ f) x, (L(F) (\llbracket \text{unfold}_F \rrbracket f) \circ f) x) \in O'_F(F)(X) \end{aligned}$$

\Leftarrow { Generalise. }

$$\begin{aligned} \forall x \in \text{dom}(\sim_{(F \tau)}). \\ (L(F) h x, L(F) (\llbracket \text{unfold}_F \rrbracket f) x) \in O'_F(F)(X) \end{aligned}$$

\Leftarrow { Generalise. }

$$\begin{aligned} \forall G, x \in \text{dom}(\sim_{(G \tau)}). \\ (L(G) h x, L(G) (\llbracket \text{unfold}_F \rrbracket f) x) \in O'_F(G)(X) \end{aligned}$$

\Leftarrow { Induction over G . }

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$\forall G.$

$$\begin{aligned} & \forall G' < G, x \in \text{dom}(\sim_{(G' \tau)}). \\ & (L(G') \text{ h } x, L(G') (\llbracket \text{unfold_F} \rrbracket f) x) \in O'_F(G')(X) \\ \Rightarrow & \forall x \in \text{dom}(\sim_{(G \tau)}). \\ & (L(G) \text{ h } x, L(G) (\llbracket \text{unfold_F} \rrbracket f) x) \in O'_F(G)(X) \end{aligned}$$

$\Leftrightarrow \{ \text{Case analysis on } G. \}$

• $G = \text{Id}:$

$$\begin{aligned} & \forall x \in \text{dom}(\sim_{\tau}). \\ & (\text{h } x, \llbracket \text{unfold_F} \rrbracket f x) \in X \end{aligned}$$

$\Leftrightarrow \{ \text{Definition of } X. \}$

\top

• $G = K_{\sigma}:$

$$\begin{aligned} & \forall x \in \text{dom}(\sim_{\sigma}). \\ & (x, x) \in \{ (x, y) \mid x, y \in \text{dom}(\sim_{\sigma}), x \sim y \} \end{aligned}$$

$\Leftrightarrow \{ \text{Assumption.} \}$

\top

• $G = G_1 \times G_2:$

$$\begin{aligned} & \forall G' < G, x \in \text{dom}(\sim_{(G' \tau)}). \\ & (L(G') \text{ h } x, L(G') (\llbracket \text{unfold_F} \rrbracket f) x) \in O'_F(G')(X) \\ \Rightarrow & \forall x \in \text{dom}(\sim_{(G \tau)}). \\ & (L(G) \text{ h } x, L(G) (\llbracket \text{unfold_F} \rrbracket f) x) \in O'_F(G)(X) \end{aligned}$$

$\Leftrightarrow \{ \text{Definition of } L(G) \text{ and } O'_F(G). \}$

$$\begin{aligned} & \forall G' < G, x \in \text{dom}(\sim_{(G' \tau)}). \\ & (L(G') \text{ h } x, L(G') (\llbracket \text{unfold_F} \rrbracket f) x) \in O'_F(G')(X) \\ \Rightarrow & \forall x_1 \in \text{dom}(\sim_{(G_1 \tau)}), x_2 \in \text{dom}(\sim_{(G_2 \tau)}). \\ & (L(G_1) \text{ h } x_1, L(G_1) (\llbracket \text{unfold_F} \rrbracket f) x_1) \in O'_F(G_1)(X) \wedge \\ & (L(G_2) \text{ h } x_2, L(G_2) (\llbracket \text{unfold_F} \rrbracket f) x_2) \in O'_F(G_2)(X) \end{aligned}$$

$\Leftrightarrow \{ \text{Assumption.} \}$

\top

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- $G = G_1 + G_2$:

$$\begin{aligned} & \forall G' < G, x \in \text{dom}(\sim_{-}(G' \tau)). \\ & \quad (L(G') \text{ h } x, L(G') (\llbracket \text{unfold_F} \rrbracket f) x) \in 0'_{\text{F}}(G')(X) \\ \Rightarrow & \forall x \in \text{dom}(\sim_{-}(G \tau)). \\ & \quad (L(G) \text{ h } x, L(G) (\llbracket \text{unfold_F} \rrbracket f) x) \in 0'_{\text{F}}(G)(X) \end{aligned}$$

\Leftrightarrow { Definition of $L(G)$ and $0'_{\text{F}}(G)$. }

$$\begin{aligned} & \forall G' < G, x \in \text{dom}(\sim_{-}(G' \tau)). \\ & \quad (L(G') \text{ h } x, L(G') (\llbracket \text{unfold_F} \rrbracket f) x) \in 0'_{\text{F}}(G')(X) \\ \Rightarrow & \quad \forall x_1 \in \text{dom}(\sim_{-}(G_1 \tau)). \\ & \quad (L(G_1) \text{ h } x_1, L(G_1) (\llbracket \text{unfold_F} \rrbracket f) x_1) \in 0'_{\text{F}}(G_1)(X) \\ & \quad \wedge \forall x_2 \in \text{dom}(\sim_{-}(G_2 \tau)). \\ & \quad (L(G_2) \text{ h } x_2, L(G_2) (\llbracket \text{unfold_F} \rrbracket f) x_2) \in 0'_{\text{F}}(G_2)(X) \end{aligned}$$

\Leftrightarrow { Assumption. }

⊤

□

16 A characterisation of emptiness of domains of the PER

$$\text{dom}(\sim_\sigma) = \emptyset \Leftrightarrow \langle\langle\sigma\rangle\rangle = \emptyset$$

Proof by induction over the type structure:

- $\sigma = 1$: $\text{dom}(\sim_\sigma) \neq \emptyset \wedge \langle\langle\sigma\rangle\rangle \neq \emptyset$.

- $\sigma = \sigma_1 \times \sigma_2$:

$$\begin{aligned} & \text{dom}(\sim_\sigma) = \emptyset \\ \Leftrightarrow & \{ \text{Definition of } \sim. \} \\ & \text{dom}(\sim_{\sigma_1}) = \emptyset \vee \text{dom}(\sim_{\sigma_2}) = \emptyset \\ \Leftrightarrow & \{ \text{Inductive hypothesis.} \} \\ & \langle\langle\sigma_1\rangle\rangle = \emptyset \vee \langle\langle\sigma_2\rangle\rangle = \emptyset \\ \Leftrightarrow & \\ & \langle\langle\sigma\rangle\rangle = \emptyset \end{aligned}$$

- $\sigma = \sigma_1 + \sigma_2$:

$$\begin{aligned} & \text{dom}(\sim_\sigma) = \emptyset \\ \Leftrightarrow & \{ \text{Definition of } \sim. \} \\ & \text{dom}(\sim_{\sigma_1}) = \emptyset \wedge \text{dom}(\sim_{\sigma_2}) = \emptyset \\ \Leftrightarrow & \{ \text{Inductive hypothesis.} \} \\ & \langle\langle\sigma_1\rangle\rangle = \emptyset \wedge \langle\langle\sigma_2\rangle\rangle = \emptyset \\ \Leftrightarrow & \\ & \langle\langle\sigma\rangle\rangle = \emptyset \end{aligned}$$

- $\sigma = \sigma_1 \rightarrow \sigma_2$:

$$\begin{aligned} & \text{dom}(\sim_\sigma) = \emptyset \\ \Leftrightarrow & \{ \text{Definition of } \sim. \} \\ & \{ f \in \llbracket \sigma_1 \rightarrow \sigma_2 \rrbracket \mid f \neq \perp, \forall x, y \in \llbracket \sigma_1 \rrbracket. x \sim y \Rightarrow f x \sim f y \} = \emptyset \\ \Leftrightarrow & \\ & \{ f \in \langle \llbracket \sigma_1 \rrbracket \rightarrow \llbracket \sigma_2 \rrbracket \rangle \mid \forall x, y \in \llbracket \sigma_1 \rrbracket. x \sim y \Rightarrow f x \sim f y \} = \emptyset \\ \Leftrightarrow & \\ & \neg(\exists f \in \langle \llbracket \sigma_1 \rrbracket \rightarrow \llbracket \sigma_2 \rrbracket \rangle. \forall x, y \in \llbracket \sigma_1 \rrbracket. x \sim y \Rightarrow f x \sim f y) \\ & \left\{ \begin{array}{l} \text{Assume } \exists f \in \langle \llbracket \sigma_1 \rrbracket \rightarrow \llbracket \sigma_2 \rrbracket \rangle. \forall x, y \in \llbracket \sigma_1 \rrbracket. x \sim y \Rightarrow f x \sim f y. \\ \text{Then either } \text{dom}(\sim_{\sigma_1}) = \emptyset \text{ or we must have } \text{dom}(\sim_{\sigma_2}) \neq \emptyset. \end{array} \right. \\ \Leftrightarrow & \left\{ \begin{array}{l} \text{On the other hand, assume } \text{dom}(\sim_{\sigma_1}) = \emptyset. \text{ Since } \langle \llbracket \sigma_1 \rrbracket \rightarrow \llbracket \sigma_2 \rrbracket \rangle \neq \emptyset \\ \text{(take } \lambda v. \perp, \text{ for instance), we immediately get what we want.} \\ \text{Finally, assume } \text{dom}(\sim_{\sigma_2}) \neq \emptyset. \text{ Take } z \in \text{dom}(\sim_{\sigma_2}) \text{ and let } f = \\ \lambda v. z. \text{ Done.} \end{array} \right. \end{aligned}$$

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$$\begin{aligned}
 & \neg(\text{dom}(\sim_{\sigma_1}) = \emptyset \vee \text{dom}(\sim_{\sigma_2}) \neq \emptyset) \\
 \Leftrightarrow & \\
 & \text{dom}(\sim_{\sigma_1}) \neq \emptyset \wedge \text{dom}(\sim_{\sigma_2}) = \emptyset \\
 \Leftrightarrow & \{ \text{Inductive hypothesis.} \} \\
 & \langle\langle\sigma_1\rangle\rangle \neq \emptyset \wedge \langle\langle\sigma_2\rangle\rangle = \emptyset \\
 \Leftrightarrow & \\
 & \langle\langle\sigma\rangle\rangle = \emptyset
 \end{aligned}$$

- $\sigma = \mu F$:

$$\begin{aligned}
 & \text{dom}(\sim_{\sigma}) = \emptyset \Leftrightarrow \langle\langle\sigma\rangle\rangle = \emptyset \\
 \Leftrightarrow & \{ \text{Definition of } \sim. \} \\
 & \mu O(F) = \emptyset \Leftrightarrow \langle\langle\mu F\rangle\rangle = \emptyset \\
 \Leftrightarrow & \{ \text{See explicit-characterisations.} \} \\
 & \mu O(F) = \emptyset \Leftrightarrow \mu \hat{S}(F) = \emptyset \\
 \Leftrightarrow & \{ \text{Induction, plus the fact that } \emptyset \text{ is a prefix point on both sides} \\
 & \{ \text{above.} \\
 & O(F)(\emptyset) \subseteq \emptyset \Leftrightarrow \hat{S}(F)(\emptyset) \subseteq \emptyset \\
 \Leftrightarrow & \\
 & O(F)(\emptyset) = \emptyset \Leftrightarrow \hat{S}(F)(\emptyset) = \emptyset \\
 \Leftrightarrow & \\
 & O'_F(F)(\emptyset) = \emptyset \Leftrightarrow \hat{S}'_F(F)(\emptyset) = \emptyset \\
 \Leftarrow & \{ \text{Generalise.} \} \\
 & \forall G \leq F. O'_F(G)(\emptyset) = \emptyset \Leftrightarrow \hat{S}'_F(G)(\emptyset) = \emptyset \\
 \Leftarrow & \{ \text{Induction.} \} \\
 & \forall G \leq F. \\
 & \quad \forall G' < G. O'_F(G')(\emptyset) = \emptyset \Leftrightarrow \hat{S}'_F(G')(\emptyset) = \emptyset \\
 & \quad \Rightarrow O'_F(G)(\emptyset) = \emptyset \Leftrightarrow \hat{S}'_F(G)(\emptyset) = \emptyset \\
 \Leftrightarrow & \{ \text{Case analysis.} \}
 \end{aligned}$$

- $G = \text{Id}$:

$$\begin{aligned}
 & O'_F(G)(\emptyset) = \emptyset \Leftrightarrow \hat{S}'_F(G)(\emptyset) = \emptyset \\
 \Leftrightarrow & \\
 & \top
 \end{aligned}$$

- $G = K_{\tau}$:

$$\begin{aligned}
 & O'_F(G)(\emptyset) = \emptyset \Leftrightarrow \hat{S}'_F(G)(\emptyset) = \emptyset \\
 \Leftrightarrow & \\
 & \sim_{\tau} = \emptyset \Leftrightarrow \langle\langle\tau\rangle\rangle = \emptyset \\
 \Leftrightarrow & \\
 & \text{dom}(\sim_{\tau}) = \emptyset \Leftrightarrow \langle\langle\tau\rangle\rangle = \emptyset \\
 \Leftrightarrow & \{ \text{Outer inductive hypothesis, } \tau < \mu F \text{ since } K_{\tau} \leq F. \} \\
 & \top
 \end{aligned}$$

- $G = G_1 \times G_2$:

$$\begin{aligned}
 & O'_F(G)(\emptyset) = \emptyset \Leftrightarrow \hat{S}'_F(G)(\emptyset) = \emptyset \\
 \Leftrightarrow &
 \end{aligned}$$

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$$\begin{aligned} & (0'_F(G_1)(\emptyset) = \emptyset \vee 0'_F(G_2)(\emptyset) = \emptyset) \Leftrightarrow (\hat{S}'_F(G_1)(\emptyset) = \emptyset \vee \hat{S}'_F(G_2)(\emptyset) = \emptyset) \\ \Leftrightarrow & \{ \text{Inner inductive hypothesis.} \} \\ & \top \end{aligned}$$

- $G = G_1 + G_2$:

$$\begin{aligned} & 0'_F(G)(\emptyset) = \emptyset \Leftrightarrow \hat{S}'_F(G)(\emptyset) = \emptyset \\ \Leftrightarrow & (0'_F(G_1)(\emptyset) = \emptyset \wedge 0'_F(G_2)(\emptyset) = \emptyset) \Leftrightarrow (\hat{S}'_F(G_1)(\emptyset) = \emptyset \wedge \hat{S}'_F(G_2)(\emptyset) = \emptyset) \\ \Leftrightarrow & \{ \text{Inner inductive hypothesis.} \} \\ & \top \end{aligned}$$

- $\sigma = \vee F$:

First we define two subsets of the set of functors, using the following grammars:

$$\begin{aligned} E ::= & K_\tau \mid E + E \mid E \times F \mid F \times E \\ & (\text{For } \tau \text{ with } \langle\langle \tau \rangle\rangle = \emptyset.) \end{aligned}$$

$$\begin{aligned} NE ::= & \text{Id} \mid K_\tau \mid NE + F \mid F + NE \mid NE \times NE \\ & (\text{For } \tau \text{ with } \langle\langle \tau \rangle\rangle \neq \emptyset.) \end{aligned}$$

The union of these subsets is the set of all functors. We can prove this by induction over the structure of a functor:

- **Id**: Included in NE.
- **K_τ** : Included in either E or NE, depending on whether $\langle\langle \tau \rangle\rangle = \emptyset$ or not.
- **$F_1 \times F_2$** : Inductively we know that F_1 and F_2 are both included in either E or NE. We get two cases:
 - One of F_1 and F_2 in E: $F_1 \times F_2$ in E.
 - F_1 and F_2 both in NE: $F_1 \times F_2$ in NE.
- **$F_1 + F_2$** : Analogously.

Now we will prove the following four statements, thereby establishing that $\text{dom}(\sim_{\vee F}) = \emptyset \Leftrightarrow \langle\langle \vee F \rangle\rangle = \emptyset$:

1. $\text{dom}(\sim_{\vee E}) = \emptyset$,
2. $\langle\langle \vee E \rangle\rangle = \emptyset$,
3. $\text{dom}(\sim_{\vee NE}) \neq \emptyset$, and
4. $\langle\langle \vee NE \rangle\rangle \neq \emptyset$.

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1. Note first that $\text{dom}(\sim_{\nu}E) = \emptyset$ iff $[\sim_{\nu}E] = \emptyset$. We have:

$$\begin{aligned}
 & [\sim_{\nu}E] \\
 = & \{ \text{See explicit-characterisations.} \} \\
 & \nu S(E) \\
 = & \{ \text{Fixpoint.} \} \\
 & S(E)(\nu S(E)) \\
 = & \{ \text{Definition of } S(E). \} \\
 & \{ [in\ x] \mid [x] \in S'_E(E)(\nu S(E)) \}
 \end{aligned}$$

Note that $\{ [in\ x] \mid [x] \in S'_E(E)(\nu S(E)) \} = \emptyset$ iff $S'_E(E)(\nu S(E)) = \emptyset$. We will now prove the generalised statement $S'_E(G)(\nu S(E)) = \emptyset$, for arbitrary G given by the grammar E , by induction over G :

• $G = K_{\tau}$, $\langle\langle\tau\rangle\rangle = \emptyset$:

$$\begin{aligned}
 & S'_E(K_{\tau})(\nu S(E)) \\
 = & \\
 & [\sim_{\tau}] \\
 = & \{ \text{Outer inductive hypothesis.} \} \\
 & \emptyset
 \end{aligned}$$

• $G = G_1 + G_2$:

$$\begin{aligned}
 & S'_E(G_1 + G_2)(\nu S(E)) \\
 = & \\
 & \{ [inl(x)] \mid [x] \in S'_E(G_1)(\nu S(E)) \} \cup \\
 & \{ [inr(y)] \mid [y] \in S'_E(G_2)(\nu S(E)) \} \\
 = & \{ \text{Inductive hypothesis.} \} \\
 & \{ [inl(x)] \mid [x] \in \emptyset \} \cup \\
 & \{ [inr(y)] \mid [y] \in \emptyset \} \\
 = & \\
 & \emptyset
 \end{aligned}$$

• $G = G_1 \times F$:

$$\begin{aligned}
 & S'_E(G_1 \times F)(\nu S(E)) \\
 = & \\
 & \{ [(x, y)] \mid [x] \in S'_E(G_1)(\nu S(E)), [y] \in S'_E(F)(\nu S(E)) \} \\
 = & \{ \text{Inductive hypothesis.} \} \\
 & \{ [(x, y)] \mid [x] \in \emptyset, [y] \in S'_E(F)(\nu S(E)) \} \\
 = & \\
 & \emptyset
 \end{aligned}$$

• $G = F \times G_2$:

Similarly.

2. This proof follows the general structure of the proof of 1..

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3. By the fundamental theorem we know that $u \in \llbracket \nu F \rrbracket$ defined by

$$u = \llbracket \text{unfold } (\lambda x.y) \star \rrbracket [y \mapsto v]$$

(with $v \in \llbracket F \ 1 \rrbracket$) is in $\text{dom}(\sim_{\nu F})$ if $v \in \text{dom}(\sim_{(F \ 1)})$.

We will now prove $\text{dom}(\sim_{\nu G}) \neq \emptyset$ by proving that $\text{dom}(\sim_{(G \ 1)}) \neq \emptyset$, for arbitrary G given by the grammar NE. The proof uses induction over G :

- $G = \text{Id}$:

$$\star \in \text{dom}(\sim_1)$$

- $G = K_{\tau}$, $\langle\langle \tau \rangle\rangle \neq \emptyset$:

$\langle\langle \tau \rangle\rangle \neq \emptyset$ implies $\text{dom}(\sim_{\tau}) \neq \emptyset$ by the outer inductive hypothesis.

- $G = G_1 \times G_2$:

By the inductive hypothesis we know that there is a $v_1 \in \text{dom}(\sim_{(G_1 \ 1)})$ and a $v_2 \in \text{dom}(\sim_{(G_2 \ 1)})$. Hence $(v_1, v_2) \in \text{dom}(\sim_{((G_1 \times G_2) \ 1)})$.

- $G = G_1 + F$:

By the inductive hypothesis we know that there is a $v \in \text{dom}(\sim_{(G_1 \ 1)})$. Hence $\text{inl}(v) \in \text{dom}(\sim_{((G_1 + F) \ 1)})$.

- $G = F + G_2$:

Similarly.

4. This proof follows the general structure of the proof of 3.

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For all types σ :

$$\begin{aligned} \exists j_\sigma : \langle\langle\sigma\rangle\rangle &\rightsquigarrow [\sim_\sigma]. \\ j_\sigma &\text{ is surjective} \\ \wedge \\ j_\sigma(\sigma' \rightarrow \sigma) f \text{ and } j_\sigma' x &\text{ both exist} \Rightarrow j_\sigma (f x) = (j_\sigma(\sigma' \rightarrow \sigma) f) (j_\sigma' x) \end{aligned}$$

First note that, due to cardinality issues, we cannot find a bijective (total) function like the one above:

All Scott domains have cardinality $\leq |\wp(\mathbb{N})|$ since

1. Scott domains are ω -algebraic,
2. an ω -algebraic domain has a countable set of basis elements, and
3. every element of an ω -algebraic domain is the least upper bound of an ω -chain of basis elements.

Now let $\text{Nat} = \mu(1 + \text{Id})$. $\llbracket \text{Nat} \rrbracket$ is a Scott domain since S-DOM (the category of Scott domains and continuous functions) is closed under direct limits and $1 + \text{Id}$ is a locally continuous functor. (See Fokkinga and Meijer, Program Calculation Properties of Continuous Algebras for hints that $\llbracket \text{Nat} \rrbracket$ can actually be constructed using a direct limit.)

$\llbracket (\text{Nat} \rightarrow \text{Nat}) \rightarrow \text{Nat} \rrbracket$ is also a Scott domain since S-DOM is closed under function spaces. Hence $|\llbracket (\text{Nat} \rightarrow \text{Nat}) \rightarrow \text{Nat} \rrbracket| \leq |\wp(\mathbb{N})|$. On the other hand, $|\langle\langle (\text{Nat} \rightarrow \text{Nat}) \rightarrow \text{Nat} \rangle\rangle| = |(\mathbb{N} \rightarrow \mathbb{N}) \rightarrow \mathbb{N}| = |\wp(\wp(\mathbb{N}))| > |\wp(\mathbb{N})|$. Hence j cannot be bijective for all types. □

(Domain theory results taken from Abbas Edalat's lecture notes for the course "Domain Theory and Exact Computation" given 2002 at Imperial College.)

Second, note that j has to be partial:

Take the total function $\text{isInfinite} \in \langle\langle \text{CoNat} \rightarrow \text{Bool} \rangle\rangle$, with $\text{CoNat} = \nu(1 + \text{Id})$ and $\text{Bool} = 1 + 1$, defined by

$$\text{isInfinite } n = \begin{cases} \text{True, } j \text{ } n \text{ is defined and equal to } [\omega], \\ \text{False, otherwise.} \end{cases}$$

(Here $\omega = \llbracket \text{unfold inr } \star \rrbracket$ is the infinite "natural number", $\text{True} = \text{inl}(\star)$ and $\text{False} = \text{inr}(\star)$. Note that isInfinite takes j as an implicit parameter.)

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Assume that we can find a function satisfying the specification of j , and assume that $j_{\perp}(\text{CoNat} \rightarrow \text{Bool})$ is total.

We get

$$(j \text{ isInfinite}) (j n) = j (\text{isInfinite } n) = j \text{ True},$$

whenever $j n = [\omega]$ and whenever $j n$ is defined but different from $[\omega]$ we get

$$(j \text{ isInfinite}) (j n) = j \text{ False}.$$

Notice that $\{j \text{ True}\}$ and $\{j \text{ False}\}$ are incomparable, since j is surjective and $\perp \notin \text{dom}(\sim_{\text{CoNat}})$ (see `troublesome-types`). Notice also that $\{j \text{ True}\}$ and $\{j \text{ False}\}$ are well-defined. (The application of j is defined and the equivalence classes are singletons.)

Furthermore we have

$$\begin{aligned} & \{j \text{ True}\} \\ = & \{ \text{See above. Note that } j \text{ is surjective. } \} \\ & \{j \text{ isInfinite}\} \omega \\ = & \{ \text{Continuity. } \} \\ & \bigsqcup_n \{j \text{ isInfinite}\} \text{inr}^n(\perp). \end{aligned}$$

This implies that $\{j \text{ isInfinite}\} \text{inr}^n(\perp) \sqsubseteq \{j \text{ True}\}$ for all $n \in \mathbb{N}$, and furthermore $\{j \text{ isInfinite}\} \text{inr}^n(\perp) = \{j \text{ True}\} \neq \perp$ for all $n \geq n_0$ for some $n_0 \in \mathbb{N}$.

By surjectivity of j we know that there is a subset $X \subseteq \langle\langle \text{CoNat} \rangle\rangle$ such that $j n$ is defined for all $n \in X$ and

$$\{ [\text{inr}^n(\text{inl}(\star))] \mid n \in \mathbb{N} \} = \{ j n \mid n \in X \}.$$

This means that

$$(j \text{ isInfinite}) (j n) = j \text{ False}$$

for all $n \in X$, so we get

$$\{j \text{ isInfinite}\} \text{inr}^n(\text{inl}(\star)) = \{j \text{ False}\}$$

for all $n \in \mathbb{N}$, and hence by monotonicity

$$\{j \text{ isInfinite}\} \text{inr}^n(\perp) \sqsubseteq \{j \text{ False}\},$$

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which contradicts what we derived above. Hence $j_-(\text{CoNat} \rightarrow \text{Bool})$ cannot be total. In particular, $j \text{ isInfinite}$ cannot be defined. □

Third, if we add the requirements that

- j has to satisfy the main result (see main-result), and
 - the definition of j for function spaces is the one given below,
- then we get that j is not injective. Note that these requirements are satisfied by the particular j defined below.

Let $\text{isIsInf} \in \langle\langle \text{CoNat} \rightarrow \text{Bool} \rangle \rightarrow \text{Bool} \rangle$ be defined by

$$\text{isIsInf } f = \begin{cases} \text{True,} & f = \text{isInfinite,} \\ \text{False,} & \text{otherwise.} \end{cases}$$

(Notice that the definition of isInfinite does not depend on any properties of j , not even that it is total.)

Furthermore, let $k = \lambda f. \text{False} = \langle\langle \lambda f. \text{inr } \star \rangle\rangle$.

Assume now that $j \text{ isIsInf}$ and $j k$ both exist. By surjectivity we have that for any $f \in [\sim_-(\text{CoNat} \rightarrow \text{Bool})]$ there is some $f' \in \langle\langle \text{CoNat} \rightarrow \text{Bool} \rangle\rangle$ such that $f = j f'$. We get

$$(j \text{ isIsInf}) f = (j \text{ isIsInf}) (j f') = j (\text{isIsInf } f').$$

Since $j \text{ isInfinite}$ is not defined we get that $(j \text{ isIsInf}) f = j \text{ False}$ for all $f \in [\sim_-(\text{CoNat} \rightarrow \text{Bool})]$. The same is true for $(j k) f$. Hence, by extensionality, $j \text{ isIsInf} = j k$, so j is not injective.

So, are $j \text{ isIsInf}$ and $j k$ both defined? By the requirement that j has to satisfy the main result, we get that $j k$ has to be defined (note that k is closed). Furthermore $j \text{ isIsInf}$ is defined (and equal to $j k$) by the second requirement above since $j \text{ isInfinite}$ is not defined. □

Thanks to Ross Paterson for the idea in the last proof above.

Now, on to the proof of the main statement:

By lexicographic induction over

1. the type structure, and
2. the size of the argument (only defined for μ -types).

We simultaneously construct a total right inverse j^{-1} to j . The

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function j^{-1} is then injective.

- $\sigma = 1$:

$$j \star = [\star].$$

$$j^{-1} [\star] = \star.$$

Surjective:

$$\begin{aligned} & j (j^{-1} [\star]) \\ = & \\ & j \star \\ = & \\ & [\star]. \end{aligned}$$

- $\sigma = \tau_1 \times \tau_2$:

$$j (x, y) = [(\{j \ x\}, \{j \ y\})].$$

$$j^{-1} [(x, y)] = (j^{-1} [x], j^{-1} [y]).$$

Surjective:

$$\begin{aligned} & j (j^{-1} [(x, y)]) \\ = & \\ & j (j^{-1} [x], j^{-1} [y]) \\ = & \\ & [(\{j (j^{-1} [x])\}, \{j (j^{-1} [y])\})] \\ = & \{ \text{Inductive hypothesis. } \} \\ & [(\{[x]\}, \{[y]\})] \\ = & \\ & [(x, y)]. \end{aligned}$$

- $\sigma = \tau_1 + \tau_2$:

$$\begin{aligned} j \text{ inl}(x) &= [\text{inl}(\{j \ x\})], \\ j \text{ inr}(y) &= [\text{inr}(\{j \ y\})]. \end{aligned}$$

$$\begin{aligned} j^{-1} [\text{inl}(x)] &= \text{inl}(j^{-1} [x]), \\ j^{-1} [\text{inr}(y)] &= \text{inr}(j^{-1} [y]). \end{aligned}$$

Surjective:

$$\begin{aligned} & j (j^{-1} [\text{inl}(x)]) \\ = & \\ & j \text{ inl}(j^{-1} [x]) \\ = & \\ & [\text{inl}(\{j (j^{-1} [x])\})] \\ = & \{ \text{Inductive hypothesis. } \} \end{aligned}$$

$$\begin{aligned} & [\text{inl}(\{[x]\})] \\ = & \\ & [\text{inl}(x)]. \end{aligned}$$

Other case analogous.

- $\sigma = \tau_1 \rightarrow \tau_2$:

[Definition taken from:
Harvey Friedman
Equality between functionals
Logic Colloquium
Lecture Notes in Mathematics 453
Springer-Verlag
1975]

Let $j \ f$ be the element $g \in [\sim_-(\tau_1 \rightarrow \tau_2)]$ satisfying
 $\forall x \in \text{dom}(j). g \ (j \ x) = j \ (f \ x)$ (which has to exist),
if it (g) exists (in which case it is unique, see below).

Uniqueness:

Assume $g_1, g_2 \in [\sim_-(\tau_1 \rightarrow \tau_2)]$, both satisfying the condition
above. Then $g_1 = g_2$ by extensionality (see definitions) since j_{τ_1}
is surjective (by inductive hypothesis).

Surjectivity:

Lemma:
If $\langle\langle \tau_2 \rangle\rangle = \emptyset$, then $[\sim_-(\tau_1 \rightarrow \tau_2)] = \emptyset$ or $\langle\langle \tau_1 \rangle\rangle = \emptyset$.

Proof:

We have

$$\begin{aligned} \text{dom}(\sim_-(\tau_1 \rightarrow \tau_2)) = \\ \{ f \in [\tau_1 \rightarrow \tau_2] \mid f \neq \perp, \forall x, y \in [\tau_1]. x \sim y \Rightarrow f \ x \sim f \ y \}. \end{aligned}$$

Furthermore j_{τ_2} is surjective, by inductive hypothesis, so $\langle\langle \tau_2 \rangle\rangle = \emptyset$ implies that $\text{dom}(\sim_{\tau_2}) = \emptyset$. Thus $f \ x \sim f \ y$ can never be true. Hence $\text{dom}(\sim_-(\tau_1 \rightarrow \tau_2)) = \emptyset$, unless $\text{dom}(\sim_{\tau_1}) = \emptyset$ in which case we have $\text{dom}(\sim_-(\tau_1 \rightarrow \tau_2)) = [\tau_1 \rightarrow \tau_2] \setminus \{ \perp \} \supseteq \{ \lambda v. \perp \} \neq \emptyset$. Finally we have that $\text{dom}(\sim_{\tau_1}) = \emptyset$ implies that $\langle\langle \tau_1 \rangle\rangle = \emptyset$ (see per-empty).

Three cases, based on the lemma:

- $\langle\langle \tau_2 \rangle\rangle = \emptyset, [\sim_-(\tau_1 \rightarrow \tau_2)] = \emptyset$:

j is trivially surjective ($j = \emptyset, j^{-1} = \emptyset$).

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- $\langle\langle\tau_2\rangle\rangle = \emptyset$, $[\sim_-(\tau_1 \rightarrow \tau_2)] \neq \emptyset$, $\langle\langle\tau_1\rangle\rangle = \emptyset$:

Take any element $g \in [\sim_-(\tau_1 \rightarrow \tau_2)]$. We have to show that $g = j f$ for some $f \in \langle\langle\tau_1 \rightarrow \tau_2\rangle\rangle$. Note that $\langle\langle\tau_1\rangle\rangle = \langle\langle\tau_2\rangle\rangle = \emptyset$ implies that $\langle\langle\tau_1 \rightarrow \tau_2\rangle\rangle = \emptyset \rightarrow \emptyset = \{ \emptyset \}$. Let $f = \emptyset$. Now we have, for all $x \in \text{dom}(j_{-\tau_1}) = \emptyset$, that $j (f x) = g (j x)$. Hence g is a (the) element satisfying the definition of $j f$.

Note that, since all elements in $[\sim_-(\tau_1 \rightarrow \tau_2)]$ satisfy the definition of $j f$, we get that $[\sim_-(\tau_1 \rightarrow \tau_2)] = \{ g \}$. It follows that j^{-1} , defined by $j^{-1} g = \emptyset$, is a total right inverse to j .

- $\langle\langle\tau_2\rangle\rangle \neq \emptyset$:

Take any element $g \in [\sim_-(\tau_1 \rightarrow \tau_2)]$. We have to show that $g = j f$ for some $f \in \langle\langle\tau_1 \rightarrow \tau_2\rangle\rangle$.

$$\text{Let } f x = \begin{cases} j^{-1} (g (j x)), & \text{if } x \in \text{dom}(j_{-\tau_1}), \\ y, & \text{otherwise,} \end{cases}$$

where y is an arbitrary element in $\langle\langle\tau_2\rangle\rangle \neq \emptyset$. Furthermore we know inductively that $j_{-\tau_2}$ has a (total) right inverse $j^{-1} : [\sim_-\sigma] \rightarrow$

$\langle\langle\sigma\rangle\rangle$, so f is well-defined.

Now we have, for all $x \in \text{dom}(j)$,

$$\begin{aligned} & j (f x) \\ = & \{ x \in \text{dom}(j) \} \\ & j (j^{-1} (g (j x))) \\ = & \{ j^{-1} \text{ is the right inverse of } j \} \\ & g (j x). \end{aligned}$$

Hence g is a (the) element satisfying the definition of $j f$.

Note that this shows that

$$j^{-1} g = \lambda x. \begin{cases} j^{-1} (g (j x)), & \text{if } x \in \text{dom}(j_{-\tau_1}), \\ y, & \text{otherwise,} \end{cases}$$

with y as above, is a total right inverse to j .

Distributivity:

If $j f$ and $j x$ both exist, then $j f (j x) = j (f x)$ by definition

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(and hence $j (f x)$ has to exist).

- $\sigma = \mu F$:

$$j : \langle\langle \mu F \rangle\rangle \rightsquigarrow [\sim_{\mu F}]$$

$$j x = [\text{in } \{J_F(F) (\text{out } x)\}]$$

$$J_F(G) : \langle\langle G \mu F \rangle\rangle \rightsquigarrow [\sim_{(G \mu F)}]$$

$$J_F(\text{Id}) \quad x \quad = j x$$

$$J_F(K_{\sigma}) \quad x \quad = j x$$

$$J_F(G_1 \times G_2) (x, y) = [(\{J_F(G_1) x\}, \{J_F(G_2) y\})]$$

$$J_F(G_1 + G_2) \text{inl}(x) = [\text{inl}(\{J_F(G_1) x\})]$$

$$J_F(G_1 + G_2) \text{inr}(y) = [\text{inr}(\{J_F(G_2) y\})]$$

j is well-defined (modulo partiality of j for the K_{σ} case), since

1. all uses of j in J_F are either at a smaller type (K_{σ}), or apply to an argument which is smaller (Id , size defined in size), and
2. recursive uses of J_F in the definition of $J_F(G)$ only use $J_F(G')$ for $G' < G$.

$$j^{-1} : [\sim_{\mu F}] \rightarrow \langle\langle \mu F \rangle\rangle$$

$$j^{-1} x = \text{in } (J^{-1}_F(F) [\text{out } \{x\}])$$

$$J^{-1}_F(G) : [\sim_{(G \mu F)}] \rightarrow \langle\langle G \mu F \rangle\rangle$$

$$J^{-1}_F(\text{Id}) \quad x \quad = j^{-1} x$$

$$J^{-1}_F(K_{\sigma}) \quad x \quad = j^{-1} x$$

$$J^{-1}_F(G_1 \times G_2) [(x, y)] = (J^{-1}_F(G_1) [x], J^{-1}_F(G_2) [y])$$

$$J^{-1}_F(G_1 + G_2) [\text{inl}(x)] = \text{inl}(J^{-1}_F(G_1) [x])$$

$$J^{-1}_F(G_1 + G_2) [\text{inr}(y)] = \text{inr}(J^{-1}_F(G_2) [y])$$

j^{-1} is well-defined for the same reasons that j is (using size_{\sim} instead of size , see size), with no caveats regarding partiality.

$$j (j^{-1} [\text{in } x])$$

$$=$$

$$j (\text{in } (J^{-1}_F(F) [x]))$$

$$=$$

$$[\text{in } \{J_F(F) (J^{-1}_F(F) [x])\}]$$

$$= \{ \text{See lemma below. } \}$$

$$[\text{in } x]$$

Lemma: $J_F(G) (J^{-1}_F(G) [x]) = [x]$, proved by induction over $G \leq F$, with outer inductive hypothesis $j \circ j^{-1} = \text{id}$ (which is OK as long as we don't have $F = \text{Id}$, but then $[\sim_{\mu F}] = \emptyset$ anyway, as noted in definitions).

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- $G = \text{Id}$:

$$\begin{aligned}
 & J_F(\text{Id}) (J^{-1}_F(\text{Id}) [x]) \\
 = & \\
 & j (j^{-1} [x]) \\
 = & \{ \text{Outer inductive hypothesis. } \} \\
 & [x]
 \end{aligned}$$

- $G = K_\sigma$:

$$\begin{aligned}
 & J_F(K_\sigma) (J^{-1}_F(K_\sigma) [x]) \\
 = & \\
 & j (j^{-1} [x]) \\
 = & \{ \text{Outer inductive hypothesis } (\sigma < \nu F). \} \\
 & [x]
 \end{aligned}$$

- $G = G_1 \times G_2$:

$$\begin{aligned}
 & J_F(G_1 \times G_2) (J^{-1}_F(G_1 \times G_2) [(x, y)]) \\
 = & \\
 & J_F(G_1 \times G_2) (J^{-1}_F(G_1) [x], J^{-1}_F(G_2) [y]) \\
 = & \\
 & [(\{J_F(G_1) (J^{-1}_F(G_1) [x])\}, \{J_F(G_2) (J^{-1}_F(G_2) [y])\})] \\
 = & \{ \text{Inner inductive hypothesis. } \} \\
 & [(\{[x]\}, \{[y]\})] \\
 = & \\
 & [(x, y)]
 \end{aligned}$$

- $G = G_1 + G_2$:

$$\begin{aligned}
 & J_F(G_1 + G_2) (J^{-1}_F(G_1 + G_2) [\text{inl}(x)]) \\
 = & \\
 & J_F(G_1 + G_2) \text{inl}(J^{-1}_F(G_1) [x]) \\
 = & \\
 & [\text{inl}(\{J_F(G_1) (J^{-1}_F(G_1) [x])\})] \\
 = & \{ \text{Inner inductive hypothesis. } \} \\
 & [\text{inl}(\{[x]\})] \\
 = & \\
 & [\text{inl}(x)]
 \end{aligned}$$

Other case analogous.

- $\sigma = \nu F$:

To define j we'll use `unfold` in the category `CP0`:

$$\text{unfold} : \langle A \rightarrow L(F) A \rangle \rightarrow \langle A \rightarrow \llbracket \nu F \rrbracket \rangle$$

Below we make use of the evaluation rules for `unfold` without mentioning it.

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Let $A = \langle\langle \nu F \rangle\rangle_{\perp}$, a flat CPO. All operations on $\langle\langle \cdot \rangle\rangle$ can be lifted to $\langle\langle \cdot \rangle\rangle_{\perp}$ by making them strict.

Let us first define j' :

$$\begin{aligned} j' &\in \langle\langle \nu F \rangle\rangle_{\perp} \rightarrow \llbracket \nu F \rrbracket \\ j' &= \text{unfold } (J'_{\nu F}(F) \circ \text{out}) \end{aligned}$$

Note that $\text{out} \in \langle\langle \nu F \rangle\rangle_{\perp} \rightarrow \langle\langle F \nu F \rangle\rangle_{\perp}$.

The helper function J'_{σ} is well-defined since recursive applications use a smaller functor. Note also that the instance of j used below (in j'') is at a smaller type. We also have to make sure that the function is continuous; since its domain is flat this follows directly from strictness.

$$\begin{aligned} J'_{\sigma}(G) &\in \langle\langle G \sigma \rangle\rangle_{\perp} \rightarrow L(G) \langle\langle \sigma \rangle\rangle_{\perp} \\ J'_{\sigma}(G) \quad \perp &= \perp \\ J'_{\sigma}(\text{Id}) \quad x &= x \\ J'_{\sigma}(K_{\tau}) \quad x &= j'' x \\ J'_{\sigma}(G_1 \times G_2) (x, y) &= (J'_{\sigma}(G_1) x, J'_{\sigma}(G_2) y) \\ J'_{\sigma}(G_1 + G_2) \text{inl}(x) &= \text{inl}(J'_{\sigma}(G_1) x) \\ J'_{\sigma}(G_1 + G_2) \text{inr}(y) &= \text{inr}(J'_{\sigma}(G_2) y) \end{aligned}$$

$$\begin{aligned} j'' &\in \langle\langle \sigma \rangle\rangle_{\perp} \rightarrow \llbracket \sigma \rrbracket \\ j'' \perp &= \perp \\ j'' x &= \begin{cases} \text{an arbitrary but fix element in } j x, & \text{if } x \in \text{dom}(j), \\ \perp, & \text{otherwise} \end{cases} \end{aligned}$$

Note that in the proof below the function $J'_{F}(G)$ defined by $J'_{F}(G) = J'_{\nu F}(G)$ is used.

Given j' we can define j :

$$\begin{aligned} j &\in \langle\langle \nu F \rangle\rangle \rightsquigarrow [\sim_{\nu F}] \\ j x &= \begin{cases} [j' x], & \text{if } j' x \in \text{dom}(\sim), \\ \text{undefined}, & \text{otherwise.} \end{cases} \end{aligned}$$

We also need to define j^{-1} . To do this we use `unfold` in the category `SET`:

$$\text{unfold} : (A \rightarrow F A) \rightarrow (A \rightarrow \langle\langle \nu F \rangle\rangle)$$

Let $A = [\sim_{\nu F}]$, which of course is a set.

We can now define j^{-1} :

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$$\begin{aligned} j^{-1} &\in [\sim_{\vee}F] \rightarrow \langle\langle \vee F \rangle\rangle \\ j^{-1} &= \text{unfold } (J^{-1}_F(F) \circ \text{out}') \end{aligned}$$

Here we have

$$\begin{aligned} \text{out}' &\in [\sim_{\vee}F] \rightarrow [\sim_{\vee}(F \vee F)] \\ \text{out}' [x] &= [\text{out } x] \end{aligned}$$

The helper function J^{-1}_F is well-defined since recursive applications use a smaller functor. Note also that the instance of j^{-1} used below is at a smaller type.

$$\begin{aligned} J^{-1}_F(G) &\in [\sim_{\vee}(G \vee F)] \rightarrow G [\sim_{\vee}F] \\ J^{-1}_F(\text{Id}) &= x \\ J^{-1}_F(K_{\sigma}) &= j^{-1} x \\ J^{-1}_F(G_1 \times G_2) [(x, y)] &= (J^{-1}_F(G_1) [x], J^{-1}_F(G_2) [y]) \\ J^{-1}_F(G_1 + G_2) [\text{inl}(x)] &= \text{inl}(J^{-1}_F(G_1) [x]) \\ J^{-1}_F(G_1 + G_2) [\text{inr}(y)] &= \text{inr}(J^{-1}_F(G_2) [y]) \end{aligned}$$

Now we have to prove that j^{-1} is the right inverse of j , thereby proving that j is surjective.

$$\begin{aligned} &\forall x \in [\sim_{\vee}F]. j (j^{-1} x) = x \\ \Leftrightarrow &\forall x \in [\sim_{\vee}F]. j' (j^{-1} x) \in x \\ \Leftrightarrow &\forall x \in [\sim_{\vee}F]. \exists x' \in x. j' (j^{-1} x) = x' \\ \Leftrightarrow &\forall x \in [\sim_{\vee}F]. \exists g \in [\sim_{\vee}F] \rightarrow \llbracket \vee F \rrbracket. \\ &g x \in x \wedge j' (j^{-1} x) = g x \\ \Leftrightarrow &\{ \text{OK even if } [\sim_{\vee}F] = \emptyset. \} \\ &\exists g \in [\sim_{\vee}F] \rightarrow \llbracket \vee F \rrbracket. \forall x \in [\sim_{\vee}F]. \\ &g x \in x \wedge j' (j^{-1} x) = g x \\ \Leftrightarrow &\{ \text{in isomorphism. } \} \\ &\exists g \in [\sim_{\vee}(F \vee F)] \rightarrow \llbracket F \vee F \rrbracket. \forall x \in [\sim_{\vee}(F \vee F)]. \\ &g x \in x \wedge j' (j^{-1} [\text{in } \{x\}]) = \text{in } (g x) \end{aligned}$$

$$\begin{aligned} &\left\{ \begin{array}{l} j' (j^{-1} [\text{in } \{x\}]) \\ = \\ \text{in } (L(F) j' (J'_F(F) (F j^{-1} (J^{-1}_F(F) x)))) \\ = \\ \text{in } (J' (J^{-1} x)) \end{array} \right. \\ \Leftrightarrow & \\ &\{ J' \text{ and } J^{-1} \text{ are defined below.} \end{aligned}$$

$$\begin{aligned} &\exists g \in [\sim_{\vee}(F \vee F)] \rightarrow \llbracket F \vee F \rrbracket. \forall x \in [\sim_{\vee}(F \vee F)]. \\ &g x \in x \wedge J' (J^{-1} x) = g x \\ \Leftrightarrow &\{ \text{Generalise. We have to limit } G \text{ to be } \leq F \text{ here. See below. } \} \end{aligned}$$

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$$\begin{aligned}
& \forall G \leq F. \\
& \exists g \in [\sim_-(G \vee F)] \rightarrow \llbracket G \vee F \rrbracket. \\
& \forall x \in [\sim_-(G \vee F)]. \\
& \quad g \ x \in x \wedge J' (J^{-1} \ x) = g \ x
\end{aligned}$$

Here we define

$$\begin{aligned}
J^{-1} & \in [\sim_-(G \vee F)] \rightarrow \langle\langle G \vee F \rangle\rangle \\
J^{-1} & = G \ j^{-1} \circ J^{-1}_{-F}(G)
\end{aligned}$$

and

$$\begin{aligned}
J' & \in \langle\langle G \vee F \rangle\rangle_{\perp} \rightarrow \llbracket G \vee F \rrbracket \\
J' & = L(G) \ j' \circ J'_{-F}(G).
\end{aligned}$$

1. Find a g .

We use unfold, just as above:

$$\begin{aligned}
g_0 & \in \langle [\sim_{\vee} F]_{\perp} \rightarrow \llbracket \vee F \rrbracket \rangle \\
g_0 & = \text{unfold } (G_{-F} \circ \text{out}') \\
G_{-G} & \in \langle [\sim_-(G \vee F)]_{\perp} \rightarrow L(G) \ [\sim_{\vee} F]_{\perp} \rangle \\
G_{-G} \ \perp & = \perp \\
G_{-Id} \ x & = x \\
G_{-(K_{\sigma})} \ x & = j'' (j^{-1} \ x) \\
G_{-(G_1 \times G_2)} \ [(x_1, x_2)] & = (G_{-G_1} \ [x_1], G_{-G_2} \ [x_2]) \\
G_{-(G_1 + G_2)} \ [\text{inl}(x_1)] & = \text{inl}(G_{-G_1} \ [x_1]) \\
G_{-(G_1 + G_2)} \ [\text{inr}(x_2)] & = \text{inr}(G_{-G_2} \ [x_2])
\end{aligned}$$

Since we can assume (inductively) that $j'' (j^{-1} \ x)$ is well-defined we get that g_0 and G_{-G} satisfy their given type signatures with reasoning similar to the one above. (Note that we could not assume this if we hadn't assumed $G \leq F$.)

We can then define g as follows:

$$\begin{aligned}
g & \in [\sim_-(G \vee F)] \rightarrow \llbracket G \vee F \rrbracket \\
g & = L(G) \ g_0 \circ G_{-G}.
\end{aligned}$$

Now one can easily check that g satisfies the following laws:

$$\begin{aligned}
\text{Id: } g \ [\text{in } x_0] & = \text{in } (g \ [x_0]) \\
K_{\sigma}: g \ x & = j'' (j^{-1} \ x) \\
\times: g \ [(x_1, x_2)] & = (g \ [x_1], g \ [x_2]) \\
+: g \ [\text{inl}(x_1)] & = \text{inl}(g \ [x_1]) \\
+: g \ [\text{inr}(x_2)] & = \text{inr}(g \ [x_2])
\end{aligned}$$

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2. We now have to show

$$\forall G \leq F, x \in [\sim_{\nu} (G \vee F)]. \quad g \ x \in x.$$

We do this by induction over G . The case for Id follows below. The other cases are very similar to the respective inner cases inside the case for Id .

$$\forall x \in [\sim_{\nu} \nu F]. \quad g \ x \in x$$

$$\Leftrightarrow \{ \text{Use coinduction: Let } X = \{ (g \ x, x') \mid x \in [\sim_{\nu} \nu F], x' \in x \}. \\ \{ \text{We are done if we can show that } X \subseteq O(F)(X). \}$$

$$\forall x \in [\sim_{\nu} \nu F], x' \in x. \quad (g \ x, x') \in O(F)(X)$$

$$\Leftrightarrow \{ \text{See per-and-in-out and note that in is an isomorphism. } \}$$

$$\forall x \in [\sim_{\nu} (F \vee F)], x' \in [\text{in } \{x\}]. \quad (\text{in } (g \ x), x') \in O(F)(X)$$

$$\Leftrightarrow$$

$$\forall x \in [\sim_{\nu} (F \vee F)], x' \in x. \quad (g \ x, x') \in O'_{\nu} F(F)(X)$$

$$\Leftrightarrow \{ \text{Generalise. } \}$$

$$\forall G \leq F, x \in [\sim_{\nu} (G \vee F)], x' \in x. \quad (g \ x, x') \in O'_{\nu} F(G)(X)$$

$$\Leftrightarrow \{ \text{Induction over } G. \}$$

$$\forall G \leq F.$$

$$\forall G' < G. \quad \forall x \in [\sim_{\nu} (G' \vee F)], x' \in x. \quad (g \ x, x') \in O'_{\nu} F(G')(X)$$

$$\Rightarrow \forall x \in [\sim_{\nu} (G \vee F)], x' \in x. \quad (g \ x, x') \in O'_{\nu} F(G)(X)$$

$$\Leftrightarrow \{ \text{Case analysis. } \}$$

• $G = \text{Id}$:

$$\forall x \in [\sim_{\nu} \nu F], x' \in x. \quad (g \ x, x') \in X$$

$$\Leftrightarrow \{ \text{Definition of } X. \}$$

⊤

• $G = K_{\sigma}$:

$$\forall x \in [\sim_{\nu} \sigma], x' \in x.$$

$$(j'' (j^{-1} x), x') \in \{ (x, y) \mid x, y \in \text{dom}(\sim_{\nu} \sigma), x \sim y \}$$

$$\{ \text{We have inductively } (\sigma < \nu F) \text{ that}$$

$$\Leftrightarrow \left\{ \begin{array}{l} j (j^{-1} x) = x, \\ \{ \text{whereby } j'' (j^{-1} x) \in x. \end{array} \right.$$

⊤

• $G = G_1 \times G_2$:

$$\forall G' < G. \quad \forall x \in [\sim_{\nu} (G' \vee F)], x' \in x. \quad (g \ x, x') \in O'_{\nu} F(G')(X)$$

$$\Rightarrow \forall x \in [\sim_{\nu} (G \vee F)], x' \in x. \quad (g \ x, x') \in O'_{\nu} F(G)(X)$$

$$\Leftrightarrow$$

$$\forall G' < G. \quad \forall x \in [\sim_{\nu} (G' \vee F)], x' \in x. \quad (g \ x, x') \in O'_{\nu} F(G')(X)$$

$$\Rightarrow \forall x_1 \in [\sim_{\nu} (G_1 \vee F)], x_2 \in [\sim_{\nu} (G_2 \vee F)], x_1' \in x_1, x_2' \in x_2.$$

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$$\begin{aligned}
& ((g \ x_1, g \ x_2), (x_1', x_2')) \in O'_F(G)(X) \\
\Leftrightarrow & \forall G' < G. \forall x \in [\sim_-(G' \vee F)], x' \in x. (g \ x, x') \in O'_F(G')(X) \\
\Rightarrow & \forall x_1 \in [\sim_-(G_1 \vee F)], x_2 \in [\sim_-(G_2 \vee F)], x_1' \in x_1, x_2' \in x_2. \\
& (g \ x_1, x_1') \in O'_F(G_1)(X) \wedge (g \ x_2, x_2') \in O'_F(G_2)(X) \\
\Leftrightarrow & \top
\end{aligned}$$

• $G = G_1 + G_2$:

$$\begin{aligned}
& \forall G' < G. \forall x \in [\sim_-(G' \vee F)], x' \in x. (g \ x, x') \in O'_F(G')(X) \\
\Rightarrow & \forall x \in [\sim_-(G \vee F)], x' \in x. (g \ x, x') \in O'_F(G)(X) \\
\Leftrightarrow & \forall G' < G. \forall x \in [\sim_-(G' \vee F)], x' \in x. (g \ x, x') \in O'_F(G')(X) \\
\Rightarrow & \forall x_1 \in [\sim_-(G_1 \vee F)], x_1' \in x_1. (g \ x_1, x_1') \in O'_F(G_1)(X) \\
& \wedge \\
& \forall x_2 \in [\sim_-(G_2 \vee F)], x_2' \in x_2. (g \ x_2, x_2') \in O'_F(G_2)(X) \\
\Leftrightarrow & \top
\end{aligned}$$

3. Finally we have to show

$$\forall G \leq F, x \in [\sim_-(G \vee F)]. J' (J^{-1} x) = g \ x.$$

We proceed by using the (generalised) approximation lemma,

$$\begin{aligned}
& \forall G \leq F, x \in [\sim_-(G \vee F)]. J' (J^{-1} x) = g \ x \\
\Leftrightarrow & \forall G \leq F, x \in [\sim_-(G \vee F)], n \in \mathbb{N}. \\
& \text{approx}_{\perp, G} n (J' (J^{-1} x)) = \text{approx}_{\perp, G} n (g \ x) \\
\Leftrightarrow & \forall n \in \mathbb{N}, G \leq F, x \in [\sim_-(G \vee F)]. \\
& \text{approx}_{\perp, G} n (J' (J^{-1} x)) = \text{approx}_{\perp, G} n (g \ x)
\end{aligned}$$

and then lexicographic induction over first n and then G .

• $n = 0, G = \text{Id}$:

$$\begin{aligned}
& \text{approx}_{\perp, \text{Id}} 0 (J' (J^{-1} x)) \\
= & \\
& \text{approx}_{\perp} 0 (J' (J^{-1} x)) \\
= & \\
& \perp \\
= & \\
& \text{approx}_{\perp} 0 (g \ [\text{in } x_0]) \\
= & \\
& \text{approx}_{\perp, \text{Id}} 0 (g \ [\text{in } x_0])
\end{aligned}$$

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- $n = k+1$, $G = \text{Id}$, $x = [\text{in } x_0]$:

$$\begin{aligned}
& \text{approx}_{\perp, G} (k+1) (J' (J^{-1} x)) \\
= & \text{approx}_{\perp} (k+1) (j' (j^{-1} [\text{in } x_0])) \\
= & \text{approx}_{\perp} (k+1) (j' (\text{in } (F j^{-1} (J^{-1}_{\text{F}}(F) (\text{out}' [\text{in } x_0]))))) \\
= & \text{approx}_{\perp} (k+1) (j' (\text{in } (F j^{-1} (J^{-1}_{\text{F}}(F) [x_0])))) \\
= & \text{approx}_{\perp} (k+1) \\
& (\text{in } (L(F) j' (J'_{\text{F}}(F) (\text{out } (\text{in } (F j^{-1} (J^{-1}_{\text{F}}(F) [x_0])))))) \\
= & \{ \text{OK since in from SET and out lifted variant originally from SET. } \} \\
& \text{approx}_{\perp} (k+1) (\text{in } (L(F) j' (J'_{\text{F}}(F) (F j^{-1} (J^{-1}_{\text{F}}(F) [x_0]))))) \\
= & \text{in } (\text{approx}_{\perp, F} k (L(F) j' (J'_{\text{F}}(F) (F j^{-1} (J^{-1}_{\text{F}}(F) [x_0])))) \\
= & \text{in } (\text{approx}_{\perp, F} k (J' (J^{-1} [x_0]))) \\
= & \{ \text{Outer inductive hypothesis. } \} \\
& \text{in } (\text{approx}_{\perp, F} k (g [x_0])) \\
= & \text{approx}_{\perp, G} (k+1) (\text{in } (g [x_0])) \\
= & \{ \text{Property of g. } \} \\
& \text{approx}_{\perp, G} (k+1) (g [\text{in } x_0])
\end{aligned}$$

- $G = K_{\sigma}$:

$$\begin{aligned}
& \text{approx}_{\perp, G} n (J' (J^{-1} x)) \\
= & j'' (j^{-1} x) \\
= & \{ \text{Property of g. } \} \\
& g x \\
= & \text{approx}_{\perp, G} n (g x)
\end{aligned}$$

- $G = G_1 \times G_2$, $x = [(x_1, x_2)]$:

$$\begin{aligned}
& \text{approx}_{\perp, G} n (J' (J^{-1} [(x_1, x_2)])) \\
= & (\text{approx}_{\perp, G_1} n (J' (J^{-1} [x_1])) \\
& , \text{approx}_{\perp, G_2} n (J' (J^{-1} [x_2])) \\
&) \\
= & \{ \text{Inductive hypothesis. } \} \\
& (\text{approx}_{\perp, G_1} n (g [x_1]) \\
& , \text{approx}_{\perp, G_2} n (g [x_2]) \\
&) \\
= & \text{approx}_{\perp, G} n (g [x_1], g [x_2]) \\
= & \{ \text{Property of g. } \} \\
& \text{approx}_{\perp, G} n (g [(x_1, x_2)])
\end{aligned}$$

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- $G = G_1 + G_2$, $x = [\text{inl}(x_1)]$ (other case analogous):

$$\begin{aligned}
 & \text{approx}_{\perp, G} n (J' (J^{-1} [\text{inl}(x_1)])) \\
 = & \\
 & \text{inl}(\text{approx}_{\perp, G_1} n (J' (J^{-1} [x_1]))) \\
 = & \{ \text{Inductive hypothesis. } \} \\
 & \text{inl}(\text{approx}_{\perp, G_1} n (g [x_1])) \\
 = & \\
 & \text{approx}_{\perp, G} n \text{inl}(g [x_1]) \\
 = & \{ \text{Property of } g. \} \\
 & \text{approx}_{\perp, G} n (g [\text{inl}(x_1)])
 \end{aligned}$$

□

18 Some properties satisfied by the partial surjective homomorphism

Various useful properties that j satisfies

Of course we have $j \circ j^{-1} = \text{id}$, and whenever $j f$ and $j x$ are defined we have $j f (j x) = j (f x)$.

If we restrict j_σ to $j^{-1} (j \langle\langle\sigma\rangle\rangle)$, then j_σ is total and j_σ and j^{-1}_σ are inverses:

1. The restriction of j to $j^{-1} (j \langle\langle\sigma\rangle\rangle)$ is total, since $j (j^{-1} v) = v$ is always defined.
 2. The restriction of j and j^{-1} are inverses:
 - $\forall v \in j \langle\langle\sigma\rangle\rangle. j (j^{-1} v) = v$
by definition of j^{-1} .
 - $\forall v \in j^{-1} (j \langle\langle\sigma\rangle\rangle). j^{-1} (j v) = v$
since $v = j^{-1} v'$ for some v' and $j (j^{-1} v') = v'$.
-

When do we have $\langle\langle t \rangle\rangle \Gamma \in j^{-1} (j \langle\langle \cdot \rangle\rangle)$?

Probably not very often. Note that, for $f \in \text{dom}(j)$,

$$\begin{aligned}
 & j^{-1} (j f) \\
 = & \{ \text{For some } y \in \langle\langle \cdot \rangle\rangle. \} \\
 & \lambda v. \{ j^{-1} (j (f v)), v \in \text{dom}(j) \\
 & \quad \{y, \quad \text{otherwise.}
 \end{aligned}$$

Since j^{-1} in general is not surjective we can not (in general) have $j^{-1} (j f) = \langle\langle \lambda x. x \rangle\rangle = \lambda v. v$. (And the arbitrary element y can not help; j^{-1} is not just one element away from being surjective.)

(Note that j^{-1} cannot be surjective due to cardinality issues.)

$j \text{ id} = [\text{id}]$:

$$\begin{aligned}
 & [\text{id}] (j v) \\
 = & \\
 & j v
 \end{aligned}$$

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$$= \\ j \text{ (id } v)$$

$j \circ = \circ$: See below. Here we have defined $\circ = [\circ]$.

$j \text{ f } \circ j \text{ g} = j \text{ (f } \circ \text{ g)}$ whenever $j \text{ f}$ and $j \text{ g}$ both exist:

$$\begin{aligned} & (j \text{ f } \circ j \text{ g}) (j \text{ v}) \\ = & j \text{ f } ((j \text{ g}) (j \text{ v})) \\ = & j \text{ f } (j \text{ (g } v)) \\ = & j \text{ (f (g } v)) \\ = & j \text{ ((f } \circ \text{ g) } v) \end{aligned}$$

Note that the results above imply that j is a partial functor from

- the category of types and functions between the corresponding set-theoretic semantic domains

to

- the category PER defined in biccc.
-

Given $g \in \langle\langle \sigma \rightarrow \tau \rangle\rangle \cap \text{dom}(j)$ where $\perp \notin \text{dom}(\sim)$, we have

$$j \text{ (F } g) = [L(F) \{j \text{ g}\}],$$

with both sides well-defined:

Proof by induction over structure of F :

(Properties from functor-properties silently used below.)

- $F = \text{Id}$:

$$\begin{aligned} & [L(\text{Id}) \{j \text{ g}\}] \\ = & \\ & [\{j \text{ g}\}] \\ = & \\ & j \text{ g} \\ = & \end{aligned}$$

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$$j \text{ (Id } g)$$

- $F = K_\sigma$:

Note that this case requires that the left hand side is defined.

$$\begin{aligned} & [L(K_\sigma) \{j \ g\}] \\ = & [id] \\ = & j \ id \\ = & j \ (K_\sigma \ g) \end{aligned}$$

- $F = F_1 \times F_2$:

For arbitrary $v \in \text{dom}(j)$ we have:

$$\begin{aligned} & [L(F_1 \times F_2) \{j \ g\}] \ (j \ v) \\ = & [L(F_1 \times F_2) \{j \ g\} \{j \ v\}] \\ = & \{ \perp \notin \text{dom}(\sim) \text{ by assumption. } \} \\ & [(L(F_1) \{j \ g\} \{j \ v\}), L(F_2) \{j \ g\} \{j \ v\})] \\ = & [(\{[L(F_1) \{j \ g\} \{j \ v\}]\}, \{[L(F_2) \{j \ g\} \{j \ v\}]\})] \\ = & [(\{[L(F_1) \{j \ g\}] \ (j \ v)\}, \{[L(F_2) \{j \ g\}] \ (j \ v)\})] \\ = & \{ \text{Inductive hypothesis. } \} \\ & [(\{j \ (F_1 \ g) \ (j \ v)\}, \{j \ (F_2 \ g) \ (j \ v)\})] \\ = & \{ \text{See above. } \} \\ & [(\{j \ (F_1 \ g \ v)\}, \{j \ (F_2 \ g \ v)\})] \\ = & j \ (F_1 \ g \ v, F_2 \ g \ v) \\ = & j \ ((F_1 \times F_2) \ g \ v) \end{aligned}$$

- $F = F_1 + F_2$:

For arbitrary $v \in \text{dom}(j)$ we have:

$$\begin{aligned} & [L(F_1 + F_2) \{j \ g\}] \ (j \ v) \\ = & [L(F_1 + F_2) \{j \ g\} \{j \ v\}] \\ = & \{ \text{Assume } v = \text{inl}(v'). \text{ Other case analogous. } \} \\ & [L(F_1 + F_2) \{j \ g\} \{j \ \text{inl}(v')\}] \\ = & [L(F_1 + F_2) \{j \ g\} \ \text{inl}(\{j \ v'\})] \\ = & [\text{inl}(L(F_1) \{j \ g\} \{j \ v'\})] \\ = & \end{aligned}$$

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$$\begin{aligned}
& [\text{inl}(\{[L(F_1) \{j \ g\} \{j \ v'}]\})] \\
= & [\text{inl}(\{[L(F_1) \{j \ g\}] (j \ v')\})] \\
= & \{ \text{Inductive hypothesis. } \} \\
& [\text{inl}(\{j (F_1 \ g) (j \ v')\})] \\
= & \{ \text{See above. } \} \\
& [\text{inl}(\{j (F_1 \ g \ v')\})] \\
= & \\
& j \ \text{inl}(F_1 \ g \ v') \\
= & \\
& j \ ((F_1 + F_2) \ g \ \text{inl}(v')) \\
= & \{ \text{By assumption above. } \} \\
& j \ ((F_1 + F_2) \ g \ v)
\end{aligned}$$

$\forall v \in \langle\langle F \ \mu F \rangle\rangle. j \ (\text{in } v) = [\text{in } \{j \ v\}] :$

Lemma: $J_F(G) \ v = j \ v.$

Proof by induction over structure of G .

$G = \text{Id}$ or K_σ : OK.

$G = G_1 \times G_2$ or $G_1 + G_2$: OK (inductively).

And then:

$$\begin{aligned}
& j \ (\text{in } v) \\
= & \\
& [\text{in } \{J_F(F) \ v\}] \\
= & \\
& [\text{in } \{j \ v\}]
\end{aligned}$$

$\forall v \in \langle\langle vF \rangle\rangle. j \ \text{out}(v) = [\text{out } \{j \ v\}] :$

We reduce the out case to the in case:

$$\begin{aligned}
& j \ \text{out}(v) \\
= & \{ \text{Let } v = \text{in}(v'). \} \\
& j \ v' \\
= & \\
& [\text{out } \{[\text{in } \{j \ v'\}]\}] \\
= & \{ \text{See below. } \} \\
& [\text{out } \{j \ \text{in}(v')\}] \\
= & \\
& [\text{out } \{j \ v\}]
\end{aligned}$$

Section 18: Some properties satisfied by the partial surjective homomorphism

Note that this in case isn't the same as the one above, though (different types). We get:

$$\begin{aligned}
 & j \text{ (in } v) \\
 = & [j' \text{ (in } v)] \\
 = & [\text{in } (L(F) \ j' \ (J'_F(F) \ v))] \\
 = & \{ J' \text{ is defined in partial-surjective-homomorphism. } \} \\
 & [\text{in } (J' \ v)] \\
 = & \{ \text{See next property. } \} \\
 & [\text{in } \{j \ v\}]
 \end{aligned}$$

For any $v \in \langle\langle G \ vF \rangle\rangle$, if either side is well-defined, then $[J' \ v] = j \ v$.
(J' is defined in partial-surjective-homomorphism.)

We prove this by induction over the structure of G .

- $G = \text{Id}$:

$$\begin{aligned}
 & j \ v \\
 = & [j' \ v] \\
 = & [J' \ v]
 \end{aligned}$$

- $G = K_\sigma$:

$$\begin{aligned}
 & j \ v \\
 = & [j'' \ v] \\
 = & [J' \ v]
 \end{aligned}$$

- $G = G_1 \times G_2$:

$$\begin{aligned}
 & j \ (v_1, \ v_2) \\
 = & [(\{j \ v_1\}, \ \{j \ v_2\})] \\
 = & \{ \text{Inductive hypothesis. } \} \\
 & [(J' \ v_1, \ J' \ v_2)] \\
 = & [(L(G_1) \ j' \ (J'_F(G_1) \ v_1), \ L(G_2) \ j' \ (J'_F(G_2) \ v_2))] \\
 = & [J' \ (v_1, \ v_2)]
 \end{aligned}$$

Section 18: Some properties satisfied by the partial surjective homomorphism

- $G = G_1 + G_2$:

$$\begin{aligned}
 & j \text{ inl}(v_1) \\
 = & \\
 & [\text{inl}(\{j \ v_1\})] \\
 = & \{ \text{Inductive hypothesis. } \} \\
 & [\text{inl}(J' \ v_1)] \\
 = & \\
 & [\text{inl}(L(G_1) \ j' \ (J'_F(G_1) \ v_1))] \\
 = & \\
 & [J' \ \text{inl}(v_1)]
 \end{aligned}$$

Other case analogous. □

$$(j^{-1} f) (j^{-1} x) = j^{-1} (f x):$$

$$\begin{aligned}
 & (j^{-1} f) (j^{-1} x) \\
 = & \{ \text{Definition of } j^{-1}. \text{ (Here } y \text{ is an arbitrary element in } \langle\langle \cdot \rangle\rangle.) \} \\
 & g (j^{-1} x) \text{ where } g \ v = \begin{cases} j^{-1} (f (j \ v)), & v \in \text{dom}(j) \\ y, & \text{otherwise} \end{cases} \\
 = & \\
 & j^{-1} (f (j (j^{-1} x))) \\
 = & \{ \text{Right inverse. } \} \\
 & j^{-1} (f x)
 \end{aligned}$$

$$j^{-1} (f \circ g) = j^{-1} f \circ j^{-1} g:$$

$$\begin{aligned}
 & j^{-1} (f \circ g) \\
 = & \{ \text{Definition of } j^{-1}. \text{ (Here } y \text{ is an arbitrary element in } \langle\langle \cdot \rangle\rangle.) \} \\
 & \lambda v. \begin{cases} j^{-1} ((f \circ g) (j \ v)), & v \in \text{dom}(j) \\ y, & \text{otherwise} \end{cases} \\
 = & \{ \text{Definition } \circ. \} \\
 & \lambda v. \begin{cases} j^{-1} (f (g (j \ v))), & v \in \text{dom}(j) \\ y, & \text{otherwise} \end{cases} \\
 = & \{ \text{Left inverse. } \} \\
 & \lambda v. \begin{cases} j^{-1} (f (j (j^{-1} (g (j \ v))))), & v \in \text{dom}(j) \\ y, & \text{otherwise} \end{cases} \\
 = & \{ \text{Definition } j^{-1}, \circ. \} \\
 & j^{-1} f \circ j^{-1} g
 \end{aligned}$$

19 The main result

Main result, given that

- $t \in L_1$,
- seq is not used in t at a type with \perp in its domain:

$$\forall x \in \text{FV}(t). \Gamma(x) \in \text{dom}(\sim) \wedge j \Gamma'(x) = [\Gamma(x)] \Rightarrow$$

$$\begin{aligned} j \langle\langle t \rangle\rangle \Gamma' & \text{ is well-defined } \wedge \\ j \langle\langle t \rangle\rangle \Gamma' & = [[t]] \Gamma \end{aligned}$$

Corollary (with analogous preconditions):

$$\begin{aligned} \forall x \in \text{FV}(t_1). \Gamma_1(x) \in \text{dom}(\sim) \wedge j \Gamma_1'(x) = [\Gamma_1(x)] \Rightarrow \\ \forall x \in \text{FV}(t_2). \Gamma_2(x) \in \text{dom}(\sim) \wedge j \Gamma_2'(x) = [\Gamma_2(x)] \Rightarrow \end{aligned}$$

$$\langle\langle t_1 \rangle\rangle \Gamma_1' = \langle\langle t_2 \rangle\rangle \Gamma_2' \Rightarrow [[t_1]] \Gamma_1 \sim [[t_2]] \Gamma_2$$

Furthermore, if

$$\langle\langle t_1 \rangle\rangle \Gamma_1', \langle\langle t_2 \rangle\rangle \Gamma_2' \in j^{-1} (j \langle\langle \cdot \rangle\rangle),$$

then the above implication is an equivalence.

The corollary follows immediately from the main result:

$$\begin{aligned} & \langle\langle t_1 \rangle\rangle \Gamma_1' = \langle\langle t_2 \rangle\rangle \Gamma_2' \\ \Rightarrow & \{ \text{Extensionality. Note that both sides are well-defined. } \} \\ & j \langle\langle t_1 \rangle\rangle \Gamma_1' = j \langle\langle t_2 \rangle\rangle \Gamma_2' \\ \Leftrightarrow & \\ & [[t_1]] \Gamma_1 = [[t_2]] \Gamma_2 \\ \Leftrightarrow & \\ & [[t_1]] \Gamma_1 \sim [[t_2]] \Gamma_2 \end{aligned}$$

The "furthermore" part follows immediately from the corollary since j has a left inverse when restricted to $\{j^{-1} (j \langle\langle \sigma \rangle\rangle) \mid \sigma \text{ is a type}\}$ (see `properties-of-j`).

The main result is proved by induction over the structure of t , after noting that the fundamental theorem implies that $[[t]] \Gamma \in \text{dom}(\sim)$.

$t = x$:

$$\begin{aligned} & [[x]] \Gamma \\ = & \\ & [\Gamma(x)] \\ = & \{ \text{Assumption. } \} \\ & j \Gamma'(x) \\ = & \\ & j \langle\langle x \rangle\rangle \Gamma' \end{aligned}$$

$t = t_1 \ t_2$:

$$\begin{aligned}
& \llbracket t_1 \ t_2 \rrbracket \Gamma \\
= & \llbracket t_1 \rrbracket \Gamma \ (\llbracket t_2 \rrbracket \Gamma) \\
= & \{ \text{Inductive hypothesis, twice.} \} \\
& j \ (\langle\langle t_1 \rangle\rangle \Gamma') \ (j \ (\langle\langle t_2 \rangle\rangle \Gamma')) \\
= & \{ \text{See partial-surjective-homomorphism.} \} \\
& j \ (\langle\langle t_1 \rangle\rangle \Gamma') \ (\langle\langle t_2 \rangle\rangle \Gamma') \\
= & j \ (\langle\langle t_1 \ t_2 \rangle\rangle \Gamma')
\end{aligned}$$

$t = \lambda x. \ t'$:

Assuming $t : \sigma \rightarrow \tau$, pick an arbitrary $v \in \text{dom}(j_\sigma)$. We have

$$\begin{aligned}
& \llbracket \lambda x. \ t' \rrbracket \Gamma \ (j \ v) \\
= & \llbracket \lambda v. \ \llbracket t' \rrbracket \Gamma[x \mapsto v] \rrbracket \ (j \ v) \\
= & \llbracket t' \rrbracket \Gamma[x \mapsto \{j \ v\}] \\
= & \{ \text{Inductive hypothesis;} \\
& \{ \text{note that } \llbracket \Gamma[x \mapsto \{j \ v\}](x) \rrbracket = j \ v = j \ \Gamma'[x \mapsto v]. \} \\
& j \ (\langle\langle t' \rangle\rangle \Gamma'[x \mapsto v]) \\
= & j \ ((\lambda v. \ \langle\langle t' \rangle\rangle \Gamma'[x \mapsto v]) \ v) \\
= & j \ (\langle\langle \lambda x. \ t' \rangle\rangle \Gamma') \ v
\end{aligned}$$

Hence $\llbracket \lambda x. \ t' \rrbracket \Gamma$ satisfies the definition of $j \ (\langle\langle \lambda x. \ t' \rangle\rangle \Gamma')$.

(This proof method will be used without any explanations below.)

$t = \text{seq}$:

$$\begin{aligned}
& \llbracket \text{seq} \rrbracket \\
= & [f] \ \text{where } f \ b \ v = \begin{cases} \perp, & b = \perp \\ v, & \text{otherwise} \end{cases} \\
= & \{ \text{Assumption: } \perp \notin \text{dom}(\sim). \} \\
& \llbracket \lambda b \ v. \ v \rrbracket \\
= & \{ \text{See below.} \} \\
& j \ (\lambda b \ v. \ v) \\
= & j \ \langle\langle \text{seq} \rangle\rangle
\end{aligned}$$

$$\begin{aligned}
& [\lambda b v. v] (j b) \\
= & \\
& [id] \\
= & \{ \text{See properties-of-}j. \} \\
& j id \\
= & \\
& j ((\lambda b v. v) b)
\end{aligned}$$

t = *:

$$\begin{aligned}
& [[*]] \\
= & \\
& [*] \\
= & \\
& j \langle\langle * \rangle\rangle
\end{aligned}$$

t = (,):

$$\begin{aligned}
& [[(,)] \\
= & \\
& [\lambda x y. (x, y)] \\
= & \{ \text{See below. } \} \\
& j (\lambda x y. (x, y)) \\
= & \\
& j \langle\langle (,) \rangle\rangle
\end{aligned}$$

$$\begin{aligned}
& [\lambda x y. (x, y)] (j x) (j y) \\
= & \\
& [(\{j x\}, \{j y\})] \\
= & \\
& j (x, y) \\
= & \\
& j ((\lambda x y. (x, y)) x y)
\end{aligned}$$

t = fst:

$$\begin{aligned}
& [[(,)] \\
= & \\
& [f] \text{ where } f p = \begin{cases} \perp, & p = \perp \\ x, & p = (x, y) \end{cases} \\
= & \{ \perp \notin \text{dom}(\sim). \} \\
& [\lambda(x, y). x] \\
= & \{ \text{See below. } \} \\
& j (\lambda(x, y). x) \\
= & \\
& j \langle\langle \text{fst} \rangle\rangle
\end{aligned}$$

$$\begin{aligned}
& [\lambda(x, y). x] (j (x, y)) \\
= & \\
& [\lambda(x, y). x] [(\{j x\}, \{j y\})]
\end{aligned}$$

$$\begin{aligned}
&= \\
&\quad j \ x \\
&= \\
&\quad j \ ((\lambda(x, y). x) (x, y))
\end{aligned}$$

- $t = \text{snd}$:

Symmetrically.

- $t = \text{inl}$:

$$\begin{aligned}
&[[\text{inl}]] \\
&= \\
&\quad [\lambda x. \text{inl}(x)] \\
&= \{ \text{See below.} \} \\
&\quad j \ (\lambda x. \text{inl}(x)) \\
&= \\
&\quad j \ \langle\langle \text{inl} \rangle\rangle \\
& \\
&\quad [\lambda x. \text{inl}(x)] (j \ x) \\
&= \\
&\quad [\text{inl}(\{j \ x\})] \\
&= \\
&\quad j \ \text{inl}(x) \\
&= \\
&\quad j \ ((\lambda x. \text{inl}(x)) x)
\end{aligned}$$

- $t = \text{inr}$:

Symmetrically.

- $t = \text{case}$:

$$\begin{aligned}
&[[\text{case}]] \\
&= \\
&\quad \left[\begin{array}{l} \perp, \quad v = \perp \\ \{f\} \text{ where } f \ v \ f_1 \ f_2 = \left\{ \begin{array}{l} f_1 \ v_1, \ v = \text{inl}(v_1) \\ f_2 \ v_2, \ v = \text{inr}(v_2) \end{array} \right. \end{array} \right. \\
&= \{ \perp \notin \text{dom}(\sim). \} \\
&\quad \left[\begin{array}{l} \{f\} \text{ where } f \ v \ f_1 \ f_2 = \left\{ \begin{array}{l} f_1 \ v_1, \ v = \text{inl}(v_1) \\ f_2 \ v_2, \ v = \text{inr}(v_2) \end{array} \right. \end{array} \right. \\
&= \{ \text{See below.} \} \\
&\quad j \ \langle\langle \text{case} \rangle\rangle
\end{aligned}$$

Let us focus on the case when $v = \text{inl}(v_1)$. The other case is analogous.

$$\begin{aligned}
&[f] (j \ (\text{inl}(v_1))) (j \ f_1) (j \ f_2) \\
&\quad \text{where } f \ v \ f_1 \ f_2 = \left\{ \begin{array}{l} f_1 \ v_1, \ v = \text{inl}(v_1) \\ f_2 \ v_2, \ v = \text{inr}(v_2) \end{array} \right.
\end{aligned}$$

$$\begin{aligned}
&\Leftrightarrow \\
&\quad \forall f \in \text{dom}(j_-(F \sigma \rightarrow \sigma)), v \in \text{dom}(j_-\mu F). \\
&\quad \quad \forall f_0 \in j f, v_0 \in j v. \\
&\quad \quad \quad [[\text{fold}]] f_0 v_0] = j (\langle\langle \text{fold} \rangle\rangle f v) \\
&\Leftarrow \{ \text{Generalise. } \} \\
&\quad \forall G, f \in \text{dom}(j_-(F \sigma \rightarrow \sigma)), v \in \text{dom}(j_-(G \mu F)). \\
&\quad \quad \forall f_0 \in j f, v_0 \in j v. \\
&\quad \quad \quad [L(G) ([[\text{fold}]] f_0) v_0] = j (G (\langle\langle \text{fold} \rangle\rangle f) v) \\
&\Leftarrow \{ \text{Induction on size of } v. \} \\
&\quad \forall G, f \in \text{dom}(j_-(F \sigma \rightarrow \sigma)), v \in \text{dom}(j_-(G \mu F)). \\
&\quad \quad \forall G', v' \in \text{dom}(j_-(G' \mu F)). \\
&\quad \quad \quad \text{size}_-(G' \mu F) v' < \text{size}_-(G \mu F) v \\
&\quad \quad \quad \Rightarrow \forall f_0 \in j f, v_0' \in j v'. \\
&\quad \quad \quad \quad [L(G') ([[\text{fold}]] f_0) v_0'] = j (G' (\langle\langle \text{fold} \rangle\rangle f) v') \\
&\quad \quad \quad \Rightarrow \forall f_0 \in j f, v_0 \in j v. \\
&\quad \quad \quad \quad [L(G) ([[\text{fold}]] f_0) v_0] = j (G (\langle\langle \text{fold} \rangle\rangle f) v) \\
&\Leftrightarrow \{ \text{Case analysis. } \}
\end{aligned}$$

- $G = \text{Id}$:

Here we have $v = \text{in } v'$. Let $[\text{in } v_0'] = j (\text{in } v') = [\text{in } \{j v'\}]$.

$$\begin{aligned}
& [L(G) ([[\text{fold}]] f_0) (\text{in } v_0')] \\
&= \\
& [[\text{fold}]] f_0 (\text{in } v_0') \\
&= \\
& [f_0 (L(F) ([[\text{fold}]] f_0) v_0')] \\
&= \\
& [f_0 \{ [L(F) ([[\text{fold}]] f_0) v_0'] \}] \\
&= \{ \text{Inductive hypothesis: } v' < v. \} \\
& [f_0 \{ j (F (\langle\langle \text{fold} \rangle\rangle f) v') \}] \\
&= \\
& j f (j (F (\langle\langle \text{fold} \rangle\rangle f) v')) \\
&= \\
& j (f (F (\langle\langle \text{fold} \rangle\rangle f) v')) \\
&= \\
& j (\langle\langle \text{fold} \rangle\rangle f (\text{in } v')) \\
&= \\
& j (G (\langle\langle \text{fold} \rangle\rangle f) (\text{in } v'))
\end{aligned}$$

- $G = K_\tau$:

$$\begin{aligned}
& [L(G) ([[\text{fold}]] f_0) v_0] \\
&= \\
& [v_0] \\
&= \\
& j v \\
&= \\
& j (G (\langle\langle \text{fold} \rangle\rangle f) v)
\end{aligned}$$

- $G = G_1 \times G_2$:

Here we have $v = (v_1, v_2)$. Let $[(v_{01}, v_{02})] = j (v_1, v_2) = [(\{j v_1\}, \{j v_2\})]$.

$$\begin{aligned}
& [L(G) (\llbracket \text{fold} \rrbracket f_0) (v_{01}, v_{02})] \\
&= \\
& [(L(G_1) (\llbracket \text{fold} \rrbracket f_0) v_{01}, L(G_2) (\llbracket \text{fold} \rrbracket f_0) v_{02})] \\
&= \\
& [(\{L(G_1) (\llbracket \text{fold} \rrbracket f_0) v_{01}\}, \{L(G_2) (\llbracket \text{fold} \rrbracket f_0) v_{02}\})] \\
&= \{ \text{Inductive hypothesis: } v_1 < v, v_2 < v. \} \\
& [(\{j (G_1 (\llbracket \text{fold} \rrbracket f) v_1)\}, \{j (G_2 (\llbracket \text{fold} \rrbracket f) v_2)\})] \\
&= \{ \text{Definition of } j. \} \\
& j (G_1 (\llbracket \text{fold} \rrbracket f) v_1, G_2 (\llbracket \text{fold} \rrbracket f) v_2) \\
&= \\
& j (G (\llbracket \text{fold} \rrbracket f) (v_1, v_2))
\end{aligned}$$

- $G = G_1 + G_2$:

Here we have $v = \text{inl}(v_1)$ or $v = \text{inr}(v_2)$. The second case is omitted. Let $[\text{inl}(v_{01})] = j \text{inl}(v_1) = [\text{inl}(\{j v_1\})]$.

$$\begin{aligned}
& [L(G) (\llbracket \text{fold} \rrbracket f_0) \text{inl}(v_{01})] \\
&= \\
& [\text{inl}(L(G_1) (\llbracket \text{fold} \rrbracket f_0) v_{01})] \\
&= \\
& [\text{inl}(\{L(G_1) (\llbracket \text{fold} \rrbracket f_0) v_{01}\})] \\
&= \{ \text{Inductive hypothesis: } v_1 < v. \} \\
& [\text{inl}(\{j (G_1 (\llbracket \text{fold} \rrbracket f) v_1)\})] \\
&= \{ \text{Definition of } j. \} \\
& j \text{inl}(G_1 (\llbracket \text{fold} \rrbracket f) v_1) \\
&= \\
& j (G (\llbracket \text{fold} \rrbracket f) \text{inl}(v_1))
\end{aligned}$$

- $t = \text{unfold}$:

Note that

$$\llbracket \text{unfold} \rrbracket f = \text{in} \circ F (\llbracket \text{fold} \rrbracket f) \circ f$$

and

$$\langle\langle \text{unfold} \rangle\rangle f = \text{in} \circ F (\langle\langle \text{fold} \rangle\rangle f) \circ f.$$

We get:

$$\begin{aligned}
& \llbracket \text{unfold} \rrbracket = j \langle\langle \text{unfold} \rangle\rangle \\
& \Leftrightarrow \\
& \forall f \in \text{dom}(j_-(\sigma \rightarrow F \sigma)), v \in \text{dom}(j_-\sigma). \\
& \llbracket \text{unfold} \rrbracket (j f) (j v) = j \langle\langle \text{unfold} \rangle\rangle f v \\
& \Leftrightarrow
\end{aligned}$$

$$\begin{aligned} & \forall f \in \text{dom}(j_-(\sigma \rightarrow F \sigma)), v \in \text{dom}(j_-\sigma). \\ & \quad \forall f_0 \in j f, v_0 \in j v. \\ & \quad \quad [\llbracket \text{unfold} \rrbracket f_0 v_0] = j (\langle\langle \text{unfold} \rangle\rangle f v) \\ \\ & \{ \text{Use coinduction. Let} \\ \Leftrightarrow & \quad X = \left\{ \left(\llbracket \text{unfold} \rrbracket f_0 v_0 \mid \begin{array}{l} f \in \text{dom}(j_-(\sigma \rightarrow F \sigma)), \\ v \in \text{dom}(j_-\sigma), \\ f_0 \in j f, v_0 \in j v \end{array} \right) \mid j' (\langle\langle \text{unfold} \rangle\rangle f v) \right\}. \\ & \quad \text{We are done if we can show that } X \subseteq O(F)(X). \\ & \{ \text{For definition of } j', \text{ see partial-surjective-homomorphism.} \\ \\ & \forall f \in \text{dom}(j_-(\sigma \rightarrow F \sigma)), v \in \text{dom}(j_-\sigma). \\ & \quad \forall f_0 \in j f, v_0 \in j v. \\ & \quad \quad (\llbracket \text{unfold} \rrbracket f_0 v_0, j' (\langle\langle \text{unfold} \rangle\rangle f v)) \in O(F)(X) \\ \Leftrightarrow & \{ \text{out isomorphism.} \} \\ & \quad \forall f \in \text{dom}(j_-(\sigma \rightarrow F \sigma)), v \in \text{dom}(j_-\sigma). \\ & \quad \quad \forall f_0 \in j f, v_0 \in j v. \\ & \quad \quad \quad (\text{out} (\llbracket \text{unfold} \rrbracket f_0 v_0), \text{out} (j' (\langle\langle \text{unfold} \rangle\rangle f v))) \in O'_F(F)(X) \\ \\ & \{ \\ \Leftrightarrow & \quad \text{out} (\llbracket \text{unfold} \rrbracket f_0 v_0) \\ & \quad = \\ & \quad \quad L(F) (\llbracket \text{unfold} \rrbracket f_0) (f_0 v_0) \\ & \quad = \\ & \quad \quad \text{out} (j' (\langle\langle \text{unfold} \rangle\rangle f v)) \\ & \quad = \\ & \quad \quad L(F) j' (J'_F(F) (F (\langle\langle \text{unfold} \rangle\rangle f) (f v))) \\ & \quad = \\ & \quad \quad J' (F (\langle\langle \text{unfold} \rangle\rangle f) (f v)) \\ & \{ \text{For definition of } J', \text{ see partial-surjective-homomorphism.} \\ \\ & \forall f \in \text{dom}(j_-(\sigma \rightarrow F \sigma)), v \in \text{dom}(j_-\sigma). \\ & \quad \forall f_0 \in j f, v_0 \in j v. \\ & \quad \quad (L(F) (\llbracket \text{unfold} \rrbracket f_0) (f_0 v_0), J' (F (\langle\langle \text{unfold} \rangle\rangle f) (f v))) \in O'_F(F)(X) \\ \Leftrightarrow & \{ f_0 \in j f \wedge v_0 \in j v \Rightarrow f_0 v_0 \in j (f v). \} \\ & \quad \forall f \in \text{dom}(j_-(\sigma \rightarrow F \sigma)), v \in \text{dom}(j_-\sigma). \\ & \quad \quad \forall f_0 \in j f, v_0 \in j (f v). \\ & \quad \quad \quad (L(F) (\llbracket \text{unfold} \rrbracket f_0) v_0, J' (F (\langle\langle \text{unfold} \rangle\rangle f) (f v))) \in O'_F(F)(X) \\ \Leftrightarrow & \{ \text{Generalise. } f \in \text{dom}(j) \wedge v \in \text{dom}(j) \Rightarrow f v \in \text{dom}(j). \} \\ & \quad \forall f \in \text{dom}(j_-(\sigma \rightarrow F \sigma)), v \in \text{dom}(j_-(F \sigma)). \\ & \quad \quad \forall f_0 \in j f, v_0 \in j v. \\ & \quad \quad \quad (L(F) (\llbracket \text{unfold} \rrbracket f_0) v_0, J' (F (\langle\langle \text{unfold} \rangle\rangle f) v)) \in O'_F(F)(X) \\ \Leftrightarrow & \{ \text{Generalise.} \} \\ & \quad \forall G \leq F, f \in \text{dom}(j_-(\sigma \rightarrow F \sigma)), v \in \text{dom}(j_-(G \sigma)). \\ & \quad \quad \forall f_0 \in j f, v_0 \in j v. \\ & \quad \quad \quad (L(G) (\llbracket \text{unfold} \rrbracket f_0) v_0, J' (G (\langle\langle \text{unfold} \rangle\rangle f) v)) \in O'_F(G)(X) \\ \Leftrightarrow & \{ \text{Induction over } G. \} \end{aligned}$$

$$\begin{aligned}
 & \forall G \leq F. \\
 & \quad (\forall G' < G. \\
 & \quad \quad \forall f \in \text{dom}(j_-(\sigma \rightarrow F \sigma)), v \in \text{dom}(j_-(G' \sigma)). \\
 & \quad \quad \quad \forall f_0 \in j f, v_0 \in j v. \\
 & \quad \quad \quad \quad (L(G') (\llbracket \text{unfold} \rrbracket f_0) v_0, J' (G' (\langle\langle \text{unfold} \rangle\rangle f) v)) \in O'_F(G')(X) \\
 & \quad \quad) \\
 & \Rightarrow \forall f \in \text{dom}(j_-(\sigma \rightarrow F \sigma)), v \in \text{dom}(j_-(G \sigma)). \\
 & \quad \quad \forall f_0 \in j f, v_0 \in j v. \\
 & \quad \quad \quad (L(G) (\llbracket \text{unfold} \rrbracket f_0) v_0, J' (G (\langle\langle \text{unfold} \rangle\rangle f) v)) \in O'_F(G)(X) \\
 & \Leftrightarrow \{ \text{Case analysis.} \}
 \end{aligned}$$

• $G = \text{Id}$:

$$\begin{aligned}
 & \forall f \in \text{dom}(j_-(\sigma \rightarrow F \sigma)), v \in \text{dom}(j_-\sigma). \\
 & \quad \forall f_0 \in j f, v_0 \in j v. \\
 & \quad \quad (\llbracket \text{unfold} \rrbracket f_0 v_0, j' (\langle\langle \text{unfold} \rangle\rangle f) v) \in X \\
 & \Leftrightarrow \{ \text{Definition of } X. \} \\
 & \quad \top
 \end{aligned}$$

• $G = K_\tau$:

$$\begin{aligned}
 & \forall f \in \text{dom}(j_-(\sigma \rightarrow F \sigma)), v \in \text{dom}(j_-\tau). \\
 & \quad \forall f_0 \in j f, v_0 \in j v. \\
 & \quad \quad v_0 \sim j'' v \\
 & \Leftrightarrow \{ \text{By definition of } j''. \} \\
 & \quad \top
 \end{aligned}$$

• $G = G_1 \times G_2$:

$$\begin{aligned}
 & (\forall G' < G. \\
 & \quad \forall f \in \text{dom}(j_-(\sigma \rightarrow F \sigma)), v \in \text{dom}(j_-(G' \sigma)). \\
 & \quad \quad \forall f_0 \in j f, v_0 \in j v. \\
 & \quad \quad \quad (L(G') (\llbracket \text{unfold} \rrbracket f_0) v_0, J' (G' (\langle\langle \text{unfold} \rangle\rangle f) v)) \in O'_F(G')(X) \\
 & \quad \quad) \\
 & \Rightarrow \forall f \in \text{dom}(j_-(\sigma \rightarrow F \sigma)), v_1 \in \text{dom}(j_-(G_1 \sigma)), v_2 \in \text{dom}(j_-(G_2 \sigma)). \\
 & \quad \quad \forall f_0 \in j f, v_{01} \in j v_1, v_{02} \in j v_2. \\
 & \quad \quad \quad ((L(G_1) (\llbracket \text{unfold} \rrbracket f_0) v_{01}, L(G_2) (\llbracket \text{unfold} \rrbracket f_0) v_{02}) \\
 & \quad \quad \quad \quad , (J' (G_1 (\langle\langle \text{unfold} \rangle\rangle f) v_1), J' (G_2 (\langle\langle \text{unfold} \rangle\rangle f) v_2)) \\
 & \quad \quad \quad) \in O'_F(G)(X) \\
 & \Leftrightarrow
 \end{aligned}$$

$$\begin{aligned}
 & (\forall G' < G. \\
 & \quad \forall f \in \text{dom}(j_-(\sigma \rightarrow F \sigma)), v \in \text{dom}(j_-(G' \sigma)). \\
 & \quad \quad \forall f_0 \in j f, v_0 \in j v. \\
 & \quad \quad \quad (L(G') (\llbracket \text{unfold} \rrbracket f_0) v_0, J' (G' (\langle\langle \text{unfold} \rangle\rangle f) v)) \in O'_F(G')(X) \\
 & \quad \quad) \\
 & \Rightarrow \forall f \in \text{dom}(j_-(\sigma \rightarrow F \sigma)), v_1 \in \text{dom}(j_-(G_1 \sigma)), v_2 \in \text{dom}(j_-(G_2 \sigma)). \\
 & \quad \quad \forall f_0 \in j f, v_{01} \in j v_1, v_{02} \in j v_2. \\
 & \quad \quad \quad (L(G_1) (\llbracket \text{unfold} \rrbracket f_0) v_{01}, J' (G_1 (\langle\langle \text{unfold} \rangle\rangle f) v_1)) \in O'_F(G_1)(X) \wedge \\
 & \quad \quad \quad (L(G_2) (\llbracket \text{unfold} \rrbracket f_0) v_{02}, J' (G_2 (\langle\langle \text{unfold} \rangle\rangle f) v_2)) \in O'_F(G_2)(X) \\
 & \Leftrightarrow
 \end{aligned}$$

⊤

- $G = G_1 + G_2$:

$$\begin{aligned}
 & (\forall G' < G. \\
 & \quad \forall f \in \text{dom}(j_-(\sigma \rightarrow F \sigma)), v \in \text{dom}(j_-(G' \sigma)). \\
 & \quad \quad \forall f_0 \in j f, v_0 \in j v. \\
 & \quad \quad \quad (L(G') (\llbracket \text{unfold} \rrbracket f_0) v_0, J' (G' (\langle\langle \text{unfold} \rangle\rangle f) v)) \in O'_F(G')(X) \\
 &) \\
 \Rightarrow & \forall f \in \text{dom}(j_-(\sigma \rightarrow F \sigma)), f_0 \in j f. \\
 & \quad \forall v_1 \in \text{dom}(j_-(G_1 \sigma)), v_{01} \in j v_1. \\
 & \quad \quad (L(G_1) (\llbracket \text{unfold} \rrbracket f_0) v_{01}, J' (G_1 (\langle\langle \text{unfold} \rangle\rangle f) v_1)) \in O'_F(G_1)(X) \\
 & \quad \wedge \\
 & \quad \forall v_2 \in \text{dom}(j_-(G_2 \sigma)), v_{02} \in j v_2. \\
 & \quad \quad (L(G_2) (\llbracket \text{unfold} \rrbracket f_0) v_{02}, J' (G_2 (\langle\langle \text{unfold} \rangle\rangle f) v_2)) \in O'_F(G_2)(X)
 \end{aligned}$$

\Leftrightarrow

⊤

□

20 Strict language

The main theorem holds for a strict language as well

Simple definition of strict language: Same syntax, same semantics, except that

$$\llbracket t_1 \ t_2 \rrbracket_{\perp} \rho = \begin{cases} (\llbracket t_1 \rrbracket_{\perp} \rho) (\llbracket t_2 \rrbracket_{\perp} \rho), & \llbracket t_2 \rrbracket_{\perp} \rho \neq \perp, \\ \perp, & \text{otherwise.} \end{cases}$$

Note: Strange strict language, includes coinductive types.

Translation:

$$t^* = \begin{cases} \text{seq } t_2^* (t_1^* t_2^*), & t = t_1 \ t_2, \\ \lambda x. t_1^*, & t = \lambda x. t_1, \\ t, & \text{otherwise.} \end{cases}$$

It is straightforward to check that this translation is type-preserving.

We have $\llbracket t \rrbracket_{\perp} \rho = \llbracket t^* \rrbracket \rho$. Proof by induction over structure of t :

Application:

1. Assume that $\llbracket t_2 \rrbracket_{\perp} \rho \neq \perp$:

$$\begin{aligned} & \llbracket t_1 \ t_2 \rrbracket_{\perp} \rho \\ = & \{ \llbracket t_2 \rrbracket_{\perp} \rho \neq \perp. \} \\ & (\llbracket t_1 \rrbracket_{\perp} \rho) (\llbracket t_2 \rrbracket_{\perp} \rho) \\ = & \{ \text{Inductive hypothesis.} \} \\ & (\llbracket t_1^* \rrbracket \rho) (\llbracket t_2^* \rrbracket \rho) \\ = & \{ \text{Inductive hypothesis, } \llbracket t_2^* \rrbracket \rho = \llbracket t_2 \rrbracket_{\perp} \rho \neq \perp. \} \\ & \llbracket \text{seq} \rrbracket (\llbracket t_2^* \rrbracket \rho) ((\llbracket t_1^* \rrbracket \rho) (\llbracket t_2^* \rrbracket \rho)) \\ = & \\ & \llbracket \text{seq } t_2^* (t_1^* t_2^*) \rrbracket \rho \\ = & \\ & \llbracket (t_1 \ t_2)^* \rrbracket \rho \end{aligned}$$

2. Assume that $\llbracket t_2 \rrbracket_{\perp} \rho = \perp$:

$$\begin{aligned} & \llbracket t_1 \ t_2 \rrbracket_{\perp} \rho \\ = & \{ \llbracket t_2 \rrbracket_{\perp} \rho = \perp. \} \\ & \perp \\ = & \{ \text{Inductive hypothesis: } \llbracket t_2^* \rrbracket \rho = \llbracket t_2 \rrbracket_{\perp} \rho = \perp. \} \\ & \llbracket \text{seq} \rrbracket (\llbracket t_2^* \rrbracket \rho) ((\llbracket t_1^* \rrbracket \rho) (\llbracket t_2^* \rrbracket \rho)) \\ = & \end{aligned}$$

$$\begin{aligned} & \llbracket \text{seq } t_2^* (t_1^* t_2^*) \rrbracket \rho \\ = & \llbracket (t_1 t_2)^* \rrbracket \rho \end{aligned}$$

Abstraction:

$$\begin{aligned} & \llbracket \lambda x. t \rrbracket_{\perp} \rho \\ = & \lambda v. \llbracket t \rrbracket_{\perp} \rho [x \mapsto v] \\ = & \{ \text{Inductive hypothesis.} \} \\ & \lambda v. \llbracket t^* \rrbracket \rho [x \mapsto v] \\ = & \llbracket (\lambda x. t)^* \rrbracket \rho \end{aligned}$$

Otherwise:

$$\begin{aligned} & \llbracket t \rrbracket_{\perp} \rho \\ = & \llbracket t \rrbracket \rho \\ = & \llbracket t^* \rrbracket \rho \end{aligned}$$

□

We also have $\llbracket t \rrbracket \rho = \llbracket t^* \rrbracket \rho$ (easy induction over t).

Given the two results above we immediately get that the main result and its corollary hold when $\llbracket \cdot \rrbracket$ is replaced by $\llbracket \cdot \rrbracket_{\perp}$, with the following slightly modified precondition regarding uses of `seq` in the term in question:

- `seq` is not used in the translation of the term at a type with \perp in its domain.

Proof:

Note first that if t satisfies the precondition above, then both t and t^* satisfy the preconditions of the fundamental theorem and the main result regarding uses of `seq` at the wrong type.

Now, given a term t and contexts ρ, ρ' satisfying all the preconditions of the main result, including the modified one, we get that $\llbracket t \rrbracket_{\perp} \rho \in \text{dom}(\sim)$ and hence:

$$\begin{aligned} & \llbracket \llbracket t \rrbracket_{\perp} \rho \rrbracket \\ = & \{ \text{Result above.} \} \\ & \llbracket \llbracket t^* \rrbracket \rho \rrbracket \\ = & \{ \text{Main result.} \} \end{aligned}$$

$$\begin{aligned}
& j (\langle\langle t^* \rangle\rangle \rho') \\
= & \{ \text{Result above.} \} \\
& j (\langle\langle t \rangle\rangle \rho')
\end{aligned}$$

Thus the main result holds for $\llbracket \cdot \rrbracket_{\perp}$. The corollary follows in the same way as before. \square

Note that the translation above leads to an exponential blowup in term size. Probably this doesn't matter, since the translation won't be used in practice. However, we would get around the blowup by having

$$(t_1 t_2)^* = \text{seq } t_2^* (t_1^* t_2)$$

instead of

$$(t_1 t_2)^* = \text{seq } t_2^* (t_1^* t_2^*).$$

Is this possible? The answer is no, not if we want to have $\llbracket t \rrbracket_{\perp} \rho = \llbracket t^* \rrbracket \rho$.

Denote the new variant of the translation by $\hat{*}$. Assume that $\llbracket t^{\hat{*}} \rrbracket \rho \neq \perp$. We get:

$$\begin{aligned}
& \llbracket ((\lambda x.x) t)^{\hat{*}} \rrbracket \rho = \llbracket (\lambda x.x) t \rrbracket_{\perp} \rho \\
\Leftrightarrow & \llbracket \text{seq} \rrbracket (\llbracket t^{\hat{*}} \rrbracket \rho) (\llbracket (\lambda x.x)^{\hat{*}} \rrbracket \rho (\llbracket t \rrbracket \rho)) = \llbracket (\lambda x.x) t \rrbracket_{\perp} \rho \\
\Leftrightarrow & \{ \llbracket t^{\hat{*}} \rrbracket \rho \neq \perp. \} \\
& \llbracket (\lambda x.x)^{\hat{*}} \rrbracket \rho (\llbracket t \rrbracket \rho) = \llbracket (\lambda x.x) t \rrbracket_{\perp} \rho \\
\Leftrightarrow & \llbracket t \rrbracket \rho = \llbracket (\lambda x.x) t \rrbracket_{\perp} \rho \\
\Leftrightarrow & \{ \llbracket t \rrbracket_{\perp} \rho = \perp \Rightarrow \llbracket (\lambda x.x) t \rrbracket_{\perp} \rho = \perp. \} \\
& \llbracket t \rrbracket \rho = \llbracket t \rrbracket_{\perp} \rho
\end{aligned}$$

Hence, for an arbitrary term t we should have

$$\llbracket t^{\hat{*}} \rrbracket \rho \neq \perp \Rightarrow \llbracket t \rrbracket \rho = \llbracket t \rrbracket_{\perp} \rho.$$

It is easy to find a counterexample:

$$t = \lambda x. (\lambda z.x) y, \rho = [y \mapsto \perp]$$

$$\llbracket t^{\hat{*}} \rrbracket \rho = \lambda v. \perp \neq \perp$$

$$\llbracket t \rrbracket \rho = \lambda v. v$$

$$\llbracket t \rrbracket_{\perp} \rho = \lambda v. \perp$$

References

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Fast and loose reasoning is morally correct. In *POPL '06: Conference record of the 33rd ACM SIGPLAN-SIGACT symposium on Principles of programming languages*, pages 206–217, 2006.