Type-Based Termination and Productivity Checking

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Short CV

	1999 Diploma from this university
	Major in computer science, minor in mathematics
	Diplomathesis: termination checker foetus for structural
Slide 2	recursion
	1999-2003 Ph.D. student at this chair in the PhD program <i>Logic in</i> <i>Computer Science</i> :
	2000/01 Visit to Frank Pfenning at Carnegie-Mellon, Pittsburgh, USA: Development of a <i>tut</i> orial proof <i>checker</i> (Tutch) for constructive logics

Short C	CV ($\operatorname{cont.}$)
			/

	2004-today Postdoc at Chalmers, Göteborg, Sweden Verifying Haskell programs using First-Order Logic and Type Theory
3	Oct 2005(?!) Ph.D. from this university A Polymorphic Lambda-Calculus with Sized Higher-Order Types

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Talk outline

- 1. Introduction to termination
- 2. Inductive types and a recursion principle
- 3. $F_{\omega}^{\widehat{}}$ —a type system for termination
- Slide 4 4. Examples: the type system at work
 - 5. Productivity via coinduction
 - 6. Achieved results and future work

Termination

- Question: Will the run of a program eventually halt?
- Undecidable for Turing-complete programming languages (Halteproblem).
- No termination checker can give a definitive answer for all programs.
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- Problem still interesting for:
 - optimization and program specialization
 - total correctness of programs
 - theorem proving

Termination for theorem proving

- Inductive theorem provers: e.g., Agda, Coq, LEGO, Twelf.
- Some proofs are *tree-shaped deriviations*, e.g., proof that [a, 0] = [b, 0].

$$\frac{0 = 0 \quad [] = []}{(0 :: []) = (0 :: [])} \\
\frac{a = b}{a :: (0 :: []) = b :: (0 :: [])}$$

- Some proofs are *recursive programs*, manipulating derivations.
- E.g., proof of $(l_1 = l_2) \to (l_2 = l_3) \to (l_1 = l_3)$.
- Only *terminating* programs denote valid proofs.
- E.g., program trans $d_1 d_2 = \text{trans} d_1 d_2$ has to be rejected.

Termination of Functions Over Inductive Types

- For termination, only structure of trees is interesting.
- Structure of these trees can be represented by *inductive types*.
- More inductive types:
 - lists

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- binary trees
- natural numbers
- tree ordinals

Inductive types

- Semantical perspective: types are *value sets*.
- Example: integer lists

- [] is an int. list

- if x is an int. and xs an int. list, then x :: xs is an int. list

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• Least solution of type equation

 $\mathsf{List} \mathsf{Int} = \{[]\} \cup \{x :: xs \mid x \in \mathsf{Int} \text{ and } xs \in \mathsf{List} \mathsf{Int}\}\$

• Abstracting away the names

 $\mathsf{List}\ \mathsf{Int} = 1 + \mathsf{Int} \times \mathsf{List}\ \mathsf{Int}$

• Definable as least fixed-point $\mu^{\infty}F$ of some type operator F

List $Int := \mu^{\infty}(\lambda X. 1 + Int \times X)$





Iterating to the least fixed point

• The least fixed point is reachable from below by ordinal iteration:

- E.g., $\text{List}^{\alpha} \text{Int} := \mu^{\alpha}(\lambda X.1 + \text{Int} \times X)$ contains integer lists of length $< \alpha$.
- List^{ω} Int is already the least fixed point.
- List constructors definable:

Recursive functions over inductive types

- E.g., we want to define list summation $\mathsf{sum} \in \mathsf{List}^{\omega} \mathsf{Int} \to \mathsf{Int}$.
- Recursive program:

Slide 11 • Via fixed-point combinator fix f = f(fix f).

sum = fix $(\lambda sum.\lambda l. match l with$

 $\underbrace{\begin{array}{ccc} \mathsf{nil} & \mapsto & 0 \\ (x :: xs) & \mapsto & x + sum \, xs) \\ \overbrace{f} \end{array}}_{f}$

• How to prove that sum is well defined, i.e., terminating?

A recursion principle from transfinite induction

• Rule for transfinite induction:

$$\frac{P(0) \qquad P(\alpha) \to P(\alpha+1) \qquad (\forall \alpha < \lambda, P(\alpha)) \to P(\lambda)}{P(\beta)}$$

• Use transfinite induction to define a recursive program:

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$$\frac{\mathsf{fix}\,f\in A^0 \qquad f\in A^\alpha\to A^{\alpha+1} \qquad (\forall \alpha<\lambda,\mathsf{fix}\,f\in A^\alpha)\to\mathsf{fix}\,f\in A^\lambda}{\mathsf{fix}\,f\in A^\beta}$$

• For sum $\in \text{List}^{\omega} \operatorname{Int} \to \operatorname{Int}$, instantiate $A^{\alpha} = \operatorname{List}^{\alpha} \operatorname{Int} \to \operatorname{Int}$ and $\beta = \omega$.

Handling base and limit case

• Recursion principle:

$$\frac{\mathsf{fix}\,f\in A^0 \qquad f\in A^\alpha\to A^{\alpha+1} \qquad (\mathsf{fix}\,f\in\bigcap_{\alpha<\lambda}A^\alpha)\to\mathsf{fix}\,f\in A^\lambda}{\mathsf{fix}\,f\in A^\beta}$$

- Restrict admissible types A^{α} such that
 - $\begin{array}{l} \mbox{ fix } f \in A^0 \mbox{ is trivial, e.g., } A^{\alpha} = \mu^{\alpha} F \to C, \\ \ (\bigcap_{\alpha < \lambda} A^{\alpha}) \subseteq A^{\lambda}. \end{array}$
- Specialized rule

$$\frac{\forall \alpha. f \in A^{\alpha} \to A^{\alpha+1}}{\mathsf{fix} f \in A^{\beta}} A^{\alpha} \text{ admissible}$$

From semantics to syntax

• Recapitulation of semantic types we used:

 $\begin{array}{rcl} & \frac{\forall \alpha. \ f \in A^{\alpha} \to A^{\alpha+1}}{\mathsf{fix} \ f \in A^{\beta}} A^{\alpha} \ \text{admissible} \\ & \mathsf{sum} \quad \in \quad \mathsf{List}^{\omega} \ \mathsf{Int} \to \mathsf{Int} \\ & \mathsf{nil} \quad \in \quad \mathsf{List}^{\alpha+1} \ \mathsf{Int} \\ & (::) \quad \in \quad \mathsf{Int} \to \mathsf{List}^{\alpha} \ \mathsf{Int} \to \mathsf{List}^{\alpha+1} \ \mathsf{Int} \end{array}$

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- We only talk about ordinal variables (α, β), successor, and closure ordinal (in this case, ω)!
- We can turn these semantic rules into syntax *without an ordinal notation system* (e.g., Cantor normal form).

$\mathsf{F}_{\omega}^{\widehat{}}:$ a type system for termination

- A language with three levels:
 - *Terms* (programs) which have types.
 - *Type constructors*: a language to construct types.
 - *Kinds*, the "types" of type constructor.

Slide 15 • Kinds:

κ	::=	*	types A, B
		ord	ordinals a, b
		$\kappa_1 \xrightarrow{p} \kappa_2$	p-variant type constructors F, G

• Constructors can be covariant (p = +), contravariant (p = -), and non-variant $(p = \circ, \text{ "don't know"})$.

$F_{\widehat{\omega}}$: constructors

• Types and type constructors:

$$\begin{array}{rcl} F,G & ::= & X \mid \lambda X.F \mid F \: G \mid \: \rightarrow \: \mid \: \forall_{\kappa} \mid \: \mu^{a} \\ a,b & ::= & i \mid a+1 \mid \infty \end{array}$$

Slide 16 • Defined types:

F_{ω} : sized inductive types

• Sized polymorphic lists and tree ordinals:

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• Sized de Bruijn terms:

Lam : ord
$$\xrightarrow{+} * \xrightarrow{+} *$$

Lam := $\lambda a. \ \mu^a (\lambda X. \lambda A. A + (X A \times X A) + X (\mathbf{1} + A))$

• Lam is an example of a non-regular type / heterogeneous type / nested type / inductive constructor.

$\mathsf{F}_{\widehat{\omega}}^{\frown}:$ judgements on constructors

• Judgements

$F:\kappa$	constructor F has kind κ
$F = G : \kappa$	constructors F,G are $\beta\eta\text{-equal}$
$F \leq G : \kappa$	F is a higher-order subtype of G

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• Kinding of type constructor constants

\rightarrow : * $\xrightarrow{-}$ * $\xrightarrow{+}$ *	function space
$\forall_{\kappa} \ : (\kappa \xrightarrow{\circ} *) \xrightarrow{+} *$	quantification
$\mu_{\kappa} : \operatorname{ord} \xrightarrow{+} (\kappa \xrightarrow{+} \kappa) \xrightarrow{+} \kappa$	inductive constructors

$\mathsf{F}_{\omega}^{\widehat{}}$: higher-order subtyping

• Subtyping for ordinal expressions:

$a \leq b: ord$	$a \leq b: ord$	a:ord
$\overline{a+1 \leq b+1}$: ord	$\overline{a \leq b+1}: ord$	$\overline{a \leq \infty : ord}$

• Point-wise ordering of type constructors

$$\frac{F \le F': \kappa \xrightarrow{p} \kappa' \qquad G: \kappa}{F \ G \le F' \ G: \kappa'}$$

• Co/contra-variant subtyping

$$\frac{F:\kappa \xrightarrow{+} \kappa' \quad G \leq \mathbf{G}':\kappa}{F G \leq F \mathbf{G}':\kappa'} \qquad \frac{F:\kappa \xrightarrow{-} \kappa' \quad G \leq \mathbf{G}':\kappa}{F \mathbf{G}' \leq F G:\kappa'}$$

• Subtyping for inductive constructors:

$$\frac{a \leq b: \text{ord} \quad F: \kappa \xrightarrow{+} \kappa}{\mu^a F \leq \mu^b F: \kappa}$$

F_{ω} : terms

• Terms:

$$r, s, t ::= x \mid \lambda x.t \mid r s \mid fix$$

- Typing judgment t : A.
- Inductive type folding and unfolding:

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$$\frac{t:F(\mu^a F)}{t:\mu^{a+1}F} \qquad \frac{t:\mu^{a+1}F}{t:F(\mu^a F)}$$

• Recursion rule:

$$\frac{a: \text{ord}}{\text{fix}: (\forall i. A^i \to A^{i+1}) \to A^a} A^i \text{ admissible}$$

Examples

• Typing of sum:

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• Syntax with implicit fix and size annotations:









Splitting: definition

 $\begin{array}{ll} {\rm split}: & \forall A\!:\!*. \ {\rm List} \ A \to {\rm List} \ A \times {\rm List} \ A \\ {\rm split} \ [] & = ([] \ , [] \) \\ {\rm split} \ (y::l \) & = {\rm let} \ (xs \ , ys \) {=} {\rm split} \ l \ {\rm in} \\ & ((y::ys) \ , xs \) \\ \end{array}$

Splitting: termination

 $\begin{array}{l} {\rm split}:\forall i : {\rm ord}. \forall A : *. \ {\rm List}^i A \to {\rm List} \ A \times {\rm List} \ A \\ {\rm split} \ [] \qquad = ([] \qquad , [] \qquad) \\ {\rm split} \ (y :: l^i)^{i+1} = {\rm let} \ (xs \ , ys \) = {\rm split} \ l^i \ {\rm in} \\ \quad ((y :: ys) \ , xs \) \\ \end{array}$

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- To compute split at stage i + 1, split is only used at stage i.
- Hence, **split** is terminating.

Splitting: bounded output

$$\begin{split} \text{split} &: \forall i : \text{ord.} \forall A : *. \ \text{List}^i A \to \text{List}^i A \times \text{List}^i A \\ \text{split} \ []^{i+1} &= ([]^{i+1}, []^{i+1}) \\ \text{split} \ (y :: l^i)^{i+1} &= \text{let} \ (xs^i, ys^i) = \text{split} \ l^i \ \text{in} \\ ((y :: ys)^{i+1}, xs^{i \leq i+1}) \end{split}$$

- We additionally can infer that split is non-size increasing.
- Using split, we can define merge sort...

Merging: definition

merge produces a sorted list from two sorted input lists merge : List $Int \rightarrow List$ $Int \rightarrow List$ Intmerge [] l = lmerge (x :: xs) l = merge' lSlide 27 where merge' : List $A \rightarrow List$ Amerge' [] = x :: xsmerge' (y :: ys) = if $x \leq y$ then x :: merge xs (y :: ys)else y :: merge' ys

Merging: termination

merge terminates by lexicographic ordering merge : $\forall i : \text{ord. List}^i \text{ Int } \rightarrow \text{List}^\infty \text{ Int } \rightarrow \text{List}^\infty \text{ Int}$ merge [] l = lmerge $(x :: xs^i)^{i+1}$ l = merge' lSlide 28 where merge' : List $A \rightarrow \text{List}$ Amerge' [] = x :: xsmerge' (y :: ys) $= \text{if } x \leq y \text{ then}$ $x :: \text{merge } xs^i (y :: ys)$ else y :: merge' ys

Merging: termination

merge terminates by lexicographic ordering merge : $\forall i : \text{ord. List}^i \text{ Int } \rightarrow \text{List}^\infty \text{ Int } \rightarrow \text{List}^\infty \text{ Int}$ merge [] l = lmerge $(x :: xs^i)^{i+1}$ l = merge' lSlide 29 where merge' : $\forall j : \text{ord. List}^j A \rightarrow \text{List}^\infty A$ merge' [] = x :: xsmerge' $(y :: ys^j)^{j+1} = \text{if } x \leq y \text{ then}$ $x :: \text{merge } xs^i (y :: ys)^{j+1} \leq \infty$ else $y :: \text{merge'} ys^j$

Merge sort: definition

Merge sort: termination

 $\begin{array}{ll} \operatorname{msort} \ :\operatorname{List}^{\infty}\operatorname{Int} \to \operatorname{List}^{\infty}\operatorname{Int} \\ \operatorname{msort} \ [] &= [] \\ \operatorname{msort} \ (x::l) &= \operatorname{msort}' \ x \ l \\ \end{array}$ $\begin{array}{l} \operatorname{msort}' : \forall i : \operatorname{ord.} \ \operatorname{Int} \to \operatorname{List}^{i} \ \operatorname{Int} \to \operatorname{List}^{\infty} \ \operatorname{Int} \\ \operatorname{msort}' : \forall i : \operatorname{ord.} \ \operatorname{Int} \to \operatorname{List}^{i} \ \operatorname{Int} \to \operatorname{List}^{\infty} \ \operatorname{Int} \\ \end{array}$ $\begin{array}{l} \operatorname{Slide} \ \mathbf{31} & \operatorname{msort}' \ x \ []^{i+1} &= [x] \\ \operatorname{msort}' \ x \ (y::l^{i}) &= \operatorname{let} \ (xs^{i}, ys^{i}) = \operatorname{split} \ l^{i} \ \operatorname{in} \\ & \operatorname{msort}' \ x \ xs^{i}) \\ & (\operatorname{msort}' \ y \ ys^{i}) \end{array}$

Leaving Hindley-Milner typing

- So far, termination could have been checked without types
- The size relation of split could have been recorded separately
- But now let us parametrize merge sort over a split function...

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```
 \begin{array}{ll} \mathsf{msort}' \; split \; x \; [] &= [x] \\ \mathsf{msort}' \; split \; x \; (y :: l \;) = \mathsf{let} \; (xs \;, ys \;) \!=\! split \; l \; \mathsf{ in} \\ & \mathsf{merge} \; (\mathsf{msort}' \; x \; xs \;) \\ & (\mathsf{msort}' \; y \; ys \;) \end{array}
```

- The variable *split* can only be instantiated with *non size increasing* functions
- This is naturally expressed with a rank-2 *size polymorphic* type

Merge sort: abstract split (II)

 $\begin{array}{l} \mathsf{msort}' : (\forall i : \mathsf{ord.} \forall A : *. \ \mathsf{List}^i A \to \mathsf{List}^i A \times \mathsf{List}^i A) \to \\ \forall i : \mathsf{ord.} \ \mathsf{Int} \to \mathsf{List}^i \ \mathsf{Int} \to \mathsf{List}^\infty \ \mathsf{Int} \\ \\ \mathsf{msort}' \ split \ x \ []^{i+1} &= [x] \\ \\ \mathsf{msort}' \ split \ x \ (y :: l^i) = \mathsf{let} \ (xs^i, ys^i) = split \ l^i \ \mathsf{in} \\ \\ & \mathsf{merge} \ (\mathsf{msort}' \ x \ xs^i) \\ & (\mathsf{msort}' \ y \ ys^i) \end{array}$

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• We drop the restriction of Hughes, Pareto, and Sabry and Barthe et. al. that sizes should be inferable

Tree ordinals

 $\bullet~{\rm Tree~ordinals}$

$$\operatorname{Ord}^{a} = \mu^{a} \left(\lambda X. \mathbf{1} + X + (\operatorname{Nat}^{\infty} \to X) \right)$$

• Definable constructors

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Example: addition for tree ordinals

• Constructors:

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• Addition:

Productivity

- Productivity is *dual* to termination
- A productive process should continuously turn input into output
- Examples: editor, operating system, stream
- Slide 38 Important in embedded and functional reactive programming

Infinite structures

- On infinite objects like streams, we are interested in the *definedness* rather than the size.
- $s: \mathsf{Stream}^a A$ means s is defined upto depth a.
- Objects which are defined up to depth ∞ are called *productive*.
- Basic stream operations:

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- $(::) \quad : \quad A \to \forall i : \mathsf{ord.} \operatorname{Stream}^{i} A \to \operatorname{Stream}^{i+1} A$
- hd : $\forall i : \text{ord. Stream}^{i+1}A \rightarrow A$
- $\mathsf{tl} \quad : \quad \forall i : \mathsf{ord.} \, \mathsf{Stream}^{i+1}A \to \mathsf{Stream}^iA$
- Subtyping: Stream^{∞} $A \leq \dots$ Streamⁱ⁺¹ $A \leq$ Streamⁱ A

F_{ω} : extension by coinduction

- Add type constructor $\nu_{\kappa} : \operatorname{ord} \xrightarrow{-} (\kappa \xrightarrow{+} \kappa) \xrightarrow{+} \kappa$.
- Example Stream^{*a*} = $\lambda A. \nu^a (\lambda X. A \times X).$
- Recursion rule also usable for corecursion!

$$\frac{a: \text{ord}}{\mathsf{fix}: (\forall i. A^i \to A^{i+1}) \to A^a} A^i \text{ admissible}$$

• Example: defining infinite sequence upfrom 0 = [0, 1, 2, ...]

Related works on type-based termination

- Hughes, Pareto, Sabry (1996) Proving the correctness of reactive system using sized types
- Amadio and Coupet-Grimal (1998) Analysis of a guard condition in type theory
- Barthe, Frade, Giménez, Pinto, Uustalu (2004) Type-based termination of recursive definitions

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• Buchholz (2003), Recursion on non-wellfounded trees

Own works on termination

- Specification and verification of a formal system for structural recursion (TYPES'99)
- A predicative analysis of structural recursion (with Altenkirch, JFP, 2002)
- Termination and guardedness checking with continuous types (TLCA'03)
 - Termination checking with types (ITA, 2004)
 - A polymorphic λ-calculus with sized higher-order types (Ph.D. thesis, almost ready for submission)

Works on iteration and recursion

- A predicative strong normalization proof for a λ-calculus with interleaving inductive types (Abel, Altenkirch, TYPES'99)
- Co(iteration) for higher-order nested datatypes (Abel, Matthes, TYPES'02)

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- Generalized iteration and conteration for higher-order nested datatypes (Abel, Matthes, Uustalu, FoSSaCS'03)
- Fixed points of type constructors and primitive recursion (Abel, Matthes, CSL'04)
- Generalized iteration and conteration for higher-order and nested datatypes (Abel, Matthes, Uustalu, TCS, 2005)

Works on dependent type theory

	• Meta-theoretical: Untyped algorithmic equality for Martin-Löf's Logical Framework with Surjective Pairs (Abel, Coquand, TLCA'05)
Slide 44	 Case studies: A third-order representation of the λμ-calculus (MERLIN'01)
	- Weak normalization for the simply-typed λ -calculus in Twelf (LFM'04)
	- Verifying Haskell programs in constructive type theory

- Adopt type-based termination to *dependent types*
- Investigate type-based termination for higher-order abstract syntax
 - Challenge: negative inductive types

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 $\begin{array}{rcl} \mathsf{Tm} & = & (\mathsf{Tm} \times \mathsf{Tm}) + (\mathsf{Tm} \to \mathsf{Tm}) \\ \\ \mathsf{app} & : & \mathsf{Tm}^i \to \mathsf{Tm}^i \to \mathsf{Tm}^{i+1} \\ \\ \\ \mathsf{abs} & : & (\mathsf{Tm}^? \to \mathsf{Tm}^i) \to \mathsf{Tm}^{i+1} \end{array}$

- Type-based termination not directly applicable.
- Can it be adopted to negative types?

Longer-term research goals

- Can type-based termination be adopted to languages with references?
- Integrate with heap type system
- Combinable with Hofmann/Jost system?

- Human-readable machine-verifiable proofs for teaching constructive logic (Abel, Chang, Pfenning, PTP'01)
- Connecting a logical framework to a first-order prover (Abel, Coquand, Norell, FroCoS'05)

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Long term research: proof documents

- Future of theorem proving:
 - User writes legible, formal proof document
 - Trivial steps are filled in by machine
- How should the proof language look like?
 - What can be considered a trivial step?
 - How to integrate automation?

This is a community effort (TYPES).

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